# ACCOMPANYING DOCUMENTS AND RECORDS

Shipments of transport enclosures must contain informational documents.

[3.13, 3.14, 3.35, 3.36, 3.60, 3.61, 3.87, 3.113, 3.137]

#### Criteria

Shipping documents include but are not limited to:

- carrier waybill
- food and water instructions
- acclimation certificate

Shipping documents must be attached to a primary enclosure:

- securely
- in a readily accessible manner
- on the outside of any primary enclosure in the shipment

A plastic pocket or sleeve on the enclosure may contain the shipping documents.

## **Species Specific**

### **Dogs and Cats** [3.14(h)]

For surface transportation, the shipping documents must be:

- held by the operator of the primary conveyance, or
- attached to a primary enclosure for:
  - easy detachment for examination
  - easy and secure re-attachment

Additional information to provide with the shipment includes, but is not limited to, instructions for the administration of:

- medications
- drugs
- other special care

#### **Guinea Pigs and Hamsters** [3.35(b)]

Shipping documents must include a certificate of compliance if the transport enclosure does not conform to the structural strength requirements.

A certificate of compliance must be provided by:

- a licensed dealer, or
- an operator of an auction sale

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The certificate of compliance must contain:

- the signature of the consignor
- a certifying statement (e.g., "I hereby certify that the (*number*) primary enclosure(s) which is/are used to transport the animal(s) in this shipment complies (comply) with the USDA standards for primary enclosures (9 CFR Section 3.36).")

NOTE: The certificate of compliance is **not** valid if:

- the primary enclosure is obviously defective or damaged and
- it is apparent that the primary enclosure cannot reasonably be expected to contain the live guinea pig/hamster without causing suffering or injury.

#### **Rabbits** [3.60(b)]

Shipping documents must include a certificate of compliance if the transport enclosure does not conform to the structural strength requirements.

A certificate of compliance must be provided by:

- a licensed dealer or
- an operator of an auction sale

The certificate of compliance must contain:

- the signature of the consignor
- a certifying statement (e.g., "I hereby certify that the (*number*) primary enclosure(s) which is/are used to transport the animal(s) in this shipment complies (comply) with the USDA standards for primary enclosures (9 CFR Section 3.36).")

NOTE: The certificate of compliance is **not** valid if:

- the primary enclosure is obviously defective or damaged and
- it is apparent that the primary enclosure cannot reasonably be expected to contain the live rabbit without causing suffering or injury.

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## **Nonhuman Primates** [3.87(g)]

For surface transportation, the shipping documents must be:

- held by the operator of the primary conveyance, or
- attached to a primary enclosure for:
  - easy detachment for examination
  - easy and secure re-attachment

Additional information to provide with the shipment includes, but is not limited to, instructions for administration of:

- medications
- drugs
- other special care

#### **Marine Mammals**

RESERVED-Pending approval of negotiated rules.

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