APPENDIX B BIOLOGICAL EFFECTS ANALYSIS AND SIMPAS MODEL DOCUMENTATION

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# **B.1** BACKGROUND

Since late 1999, NMFS has been engaged in ESA Section 7 consultation with the Federal Action Agencies (the Corps, BOR, and BPA) to develop a Biological Opinion on the effects of the Action Agencies' proposed action and future operation and configuration of the FCRPS projects. To facilitate completion of the Section 7 consultation process, the Federal agencies formed five action teams during January 2000. The Biological Effects Team (BET) was charged with estimating the effects of current operations and potential future configurations and operations on the survival of listed juvenile out migrants. This information was used by NMFS to analyze the listed species' biological requirements in the action area (**Section 6.1.1**), as well as at the species level (**Section 6.1.2**). The team included Federal biologists and engineers representing NMFS, the Corps, and BPA. NMFS Hydro Program staff picked up where the BET analysis left off to complete the biological effects analysis described in this appendix.

For juvenile fish using the mainstem Columbia and Snake rivers as a migration corridor, the primary method for evaluating the effects of the proposed action on the action area biological requirements is through simulation modeling. The BET agreed to use NMFS' SIMPAS model to evaluate the biological benefits of juvenile salmonid passage measures. The spreadsheet model, developed by staff in the Hydro Program of NMFS' Northwest Region, is a fish passage accounting model that apportions the run to various passage routes (i.e., turbines, fish bypass system, sluiceway/surface bypass, spillway, and/or fish transportation) based on empirical data and input assumptions for fish passage parameters. The model accounts for "successful fish passage" (survival) and "losses" (mortalities) through each of the alternative passage routes to estimate survival past each project. The model also accounts for the proportion of juvenile fish transported and the proportion of fish left to migrate inriver. The model also provides as output survival estimates at each project (dam plus pool) and throughout the system (from the head of Lower Granite Reservoir to the tailrace of Bonneville Dam).

The BET reviewed and analyzed fish passage assumptions used by NMFS in earlier fish passage modeling exercises, those developed in the PATH process, and the most recent empirical data information to determine the fish passage parameters for input into the SIMPAS model. The team also used the latest compilation of fish passage information contained in the four white papers recently prepared by the Northwest Fisheries Science Center:

- "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams" (NMFS 2000*a*)
- "Salmonid Travel Time and Survival Related to Flow in the Columbia River Basin" (NMFS 2000b)

- "Predation on Salmonids Relative to the Federal Columbia River Power System" (NMFS 2000*c*)
- "Summary of Research Related to Transportation of Juvenile Anadromous Salmonids Around Snake and Columbia River Dams" (NMFS 2000*d*)

Examples of the fish passage parameters reviewed by the BET include spill efficiency, fish guidance efficiency, spill/gas caps, turbine survival, spillway survival, sluiceway survival, bypass system survival and diel passage patterns. The values of these parameters were quantified for each FCRPS dam and for both spring and fall chinook salmon (considered indicator species for the spring and summer passage seasons, respectively). The parameter values selected for modeling represent the best available scientific information which, in cases where empirical information was unavailable, outdated or limited, represented the team's best professional judgment.

As a result of this collaborative analytical effort, on March 20, 2000, the BET prepared and sent out for review a draft Biological Effects Team report to the 13 Tribes and other regional fisheries comanagers. This draft report documented preliminary results of SIMPAS model runs incorporating current passage conditions (and alternative proposed future actions under consideration in the 2000 Section 7 consultation process, see **Section 9.3.1**). The assumptions and estimated dam passage survival rates used in this analysis are unchanged from the March 20 draft, pending receipt of comments on that draft together with comments on this draft Biological Opinion.

There are limitations in modeling juvenile fish survival based solely on empirical data gathered during a single year. Fish passage conditions differ from year to year, environmentally as well as operationally and structurally. Flow, temperature, runoff timing, fish condition, spill level, and extended- versus standard-length screens in turbine intakes are a few of the factors that can change. To address this limitation, the NMFS Hydro Program staff used all of the most recent empirical PIT-tag reach survival information collected from 1994 through 1999 to model a range of fish passage and environmental conditions for yearling and subyearling chinook and steelhead. This approach demonstrates the modeled variation in juvenile passage survival that results from different environmental (and the resulting operational) conditions because water conditions ranged from low flow (in 1994) to high flow (1997) during this period.

The BET also recognizes that survival estimates for relatively long river reaches are less subject to error than those for shorter reaches. PIT-tag data are used to estimate survival probabilities between successive dams (i.e., detection sites). The estimate for the overall reach is calculated as the product of the estimates for each of the shorter reaches. The statistical model results in consecutive estimates that are inversely correlated: an underestimate in one reach tends to be followed by an overestimate in the next (or vice versa). Even though this property indicates that

the product of two (or more) estimates should be more precise than the individual estimates, the use of project-by-project survival estimates does not result in substantially decreased accuracy.

# B.2 DEVELOPMENT AND HISTORY OF THE SIMPAS SPREADSHEET MODEL

The SIMPAS (simulated passage) spreadsheet model was first developed by NMFS' Hydro Program staff to evaluate potential actions for the 1995 FCRPS Biological Opinion. Since then it has been used repeatedly as an exploratory tool to evaluate the potential for additional structural or operational measures to reduce the mortality of juvenile salmon and steelhead at these projects. In 1999, the Federal Caucus' Hydro Workgroup and the Multispecies Framework's Ecological Working Group used a variant of this model (SIMPAS2) to evaluate hydrosystem alternatives that were not modeled by PATH. The Hydro Workgroup used this model as a tool for generating point estimates of likely survival improvements for several new alternatives. Most recently, NMFS updated the original SIMPAS model to accommodate additional passage routes (for example, raised spillway crest and surface bypass routes) to evaluate potential actions for the 2000 FCRPS Biological Opinion.

# **B.3 METHODOLOGY**

The SIMPAS model starts with a group of fish (1.00) and applies an estimated pool survival to these fish prior to their reaching a project. The model then assigns the surviving fish to various routes of passage at the project, applies an estimated survival rate for the respective routes of passage, removes the estimated proportion of fish that are transported from a given project (if it is a collector project), and then recombines the surviving fish in the tailrace of the project. This process is repeated for each additional project. Fish guidance and survival estimates are typically averages of empirically measured rates through various routes of dam passage (or deconstructed from average fish passage efficiency estimates) or various reservoir pools. When empirically based estimates are not available, passage parameter estimates are obtained from studies at other similar projects or from best professional judgement.<sup>1</sup>

For each species, model input includes:

- Seasonal average flows and spill levels
- Average spill, sluiceway, and guidance efficiency estimates
- Average survival rates through various passage routes and reservoirs

For each species, model output includes:

- Estimated proportion of fish transported and left inriver
- Estimated project-specific and system survival estimates
- Estimated fish passage efficiency at each project
- Estimated mortality due to passage through turbines

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<sup>&</sup>lt;sup>1</sup> A report prepared by the BET (March 16, 2000) also documents the parameter estimates used in the initial SIMPAS modeling work for the 2000 FCRPS Biological Opinion.

# **B.4** CAVEATS TO SIMPAS MODELING RESULTS

Whereas the SIMPAS model is a useful analytical tool to enable screening of alternative fish passage options, there are a number of important caveats to the appropriate use of SIMPAS modeling results. These include:

- The juvenile survival rates shown in **Tables B-7 through B-23** are based on juvenile passage studies only and cannot be used to infer the likelihood of adult returns.
- The juvenile survival rates shown, as well as the input passage parameters, are point estimates, i.e., confidence intervals are not calculated or implied.
- The model does not contain a time-step function so both inputs and outputs are scaled to seasonal averages.
- The model does not account for the potential effects of various fish passage options on forebay passage in terms of reducing delay, residence time, or predation.
- Best professional judgement was used to develop some of the passage parameters, e.g., in some cases, fish passage data gathered at one dam during a single passage season was applied to several other similar hydrosystem projects.

In addition, the reach survival data available for calibration of the SIMPAS analysis and for estimating reservoir effects is limited to NMFS PIT-tag data collected during 1994-99. The analysis used these empirical data to calibrate, or "ground truth," the model results. These years represent a range in flow and environmental conditions. In several years, reach survival data were extrapolated from some of the upper projects in the Snake River (on a per-mile basis) to the entire system (see discussion in the Pool Survival section below). The reach survival estimates are point estimates roughly classified by the volume of runoff during the year in which the data were collected. These survival estimates do not represent the kind of multi-year analysis that ideally would be used to estimate the range of reach survival rates expected under a 50-year record of flow conditions. They do, however, provide a general sense of the between-year variation observed in the last six years.

Although there may be uncertainty about the accuracy of the resulting pool and dam survival estimates, the BET and NMFS found that the model output for the years 1994-99 was reasonable and produced reach survival estimates similar to the empirical estimates. Once the model was calibrated to data for the current operation, the BET and NMFS considered it had a reasonable base case from which to make comparisons of additional model studies over a range of water

conditions represented by water years 1994-99 of potential future juvenile fish passage actions (see **Table 9.3-3** for SIMPAS model results of aggressive RPA hydro actions).

Other models attempting to characterize these same effects have relied on flow/survival or travel time/predation relationships applied to a simulated monthly flow condition. Each approach has its own limitations. On balance however, NMFS has determined that this relatively simple and straightforward approach made the best use of the most recent empirical survival information and was adequate for the purposes of this analysis. The framework for this analysis is also now consistent with the monitoring and evaluation program described in **Section 9.2.6** so that as additional information is collected, it can be incorporated directly into future versions of this analysis.

# **B.4.1 Example of SIMPAS Model Calculations**

This simple example, using a single hypothetical project, is provided to better illustrate how the model works. The example provides the necessary input parameter estimates, demonstrates the types of calculations made by the SIMPAS model, and provides the model output based on these calculations.

# **B.4.1.1 SIMPAS Input Parameters**

Flow:

• Total project flow = 100 kcfs

• Total project spill = 40 kcfs (24 hours per day)

# Project configuration:

- Only three passage routes are available to fish: spillway, fish bypass system, and turbines
- Spill effectiveness (i.e., ratio of fish per unit volume of water through the spillway) = 1.25
- Fish guidance efficiency of turbine intake screens = 50%

### Survival estimates:

• Pool survival = 96 %

•	Spillway survival =	98 %
•	Bypass system survival =	96 %
•	Turbine survival =	90 %

### **B.4.1.2 SIMPAS Calculations and Output**

# Step 1: Determine proportion of fish arriving at project

Proportion surviving pool and arriving at the project (0.960)= starting proportion (1.000) \* pool survival (0.960)

Step 2: Calculate proportion of fish passing via spillway, bypass system, and turbines

Proportion of fish passing via spillway (0.480)= proportion of fish arriving at project (0.960) \* proportion of water spilled (0.400) \* spill effectiveness (1.250)

Proportion of fish passing via fish bypass system (0.240)= proportion of fish remaining (0.960 - 0.480 = 0.480) \* fish guidance efficiency of the turbine screens (0.500)

Proportion of fish passing via turbines (0.240)= proportion of fish remaining (0.960 - 0.480 - 0.240 = 0.240)

Step 3: Calculate the proportion of fish surviving the spillway, bypass system, and turbines

Proportion of fish surviving the spillway (0.470)= proportion of fish passing via spillway (0.480) \* survival rate through spillway (0.980)

Proportion of fish surviving the fish bypass system (0.230)= proportion of fish passing via the bypass system (0.240) \* survival through the bypass system (0.960)

Proportion of fish surviving the turbines (0.216)= proportion of fish passing via the turbines (0.240) \* survival through the turbines (0.900)

**Step 4:** Calculate the proportion of fish surviving to the project tailrace (assuming project does not collect fish from the fish bypass system for transport)

Proportion of starting population surviving to project tailrace (**0.916**)= proportion surviving spillway (0.470) + proportion surviving fish bypass system (0.230) + proportion surviving turbines (0.216)

# **Step 5:** Calculate Output Parameters

Proportion of fish surviving the reservoir and project =**0.916** proportion surviving to tailrace (0.916) / starting proportion (1.000)

Proportion of fish surviving the project only = 0.954 proportion surviving to tailrace (0.916) / proportion arriving at the project (0.960)

Proportion of fish avoiding turbine passage (fish passage efficiency) = 0.750 [proportion of fish passing via spillway (0.480) + proportion of fish passing via fish bypass system (0.240)] / proportion of fish arriving at the project (0.960)

Proportion of fish killed by turbines at this project = 0.024 proportion of fish passing via turbines (0.240) - proportion of fish surviving turbines (0.216)

#### **B.4.1.3 SIMPAS Model Parameters**

**Tables B-1 through B-6** identify the SIMPAS model input parameters used by the BET and NMFS for yearling chinook, subyearling chinook and steelhead for both the existing conditions and the conditions expected under full implementation of the Reasonable and Prudent Alternative.

Table B-1. Estimated Dam Passage Parameter Values for Juvenile SR Spring/Summer Chinook Salmon

	Spill				Survival		SBC or Sluice	SBC or	Diel
Project	Efficiency	Spill Cap	FGE	Turbine	Spillway	Bypass	Eff.	Sluice S.	Pass
LWG	Eqn. <sup>7</sup>	60 kcfs	75% <sup>3</sup>	93%1	98%2	98%1	n/a	n/a	68% <sup>9</sup>
LGS	Eqn. <sup>7</sup>	45 kcfs	$78\%^{3}$	$92\%^{1}$	$100\%^{1}$	$99\%^{1}$	n/a	n/a	$68\%^{7}$
LMN	Eqn. <sup>7</sup>	40 kcfs	49%3	$92\%^{16}$	$97\%^{16}$	$95\%^{1}$	n/a	n/a	$83\%^{10}$
IHR	Eqn.8	105 kcfs night, 45 kcfs day	$54\%^{7}$	$90\%^{2}$	$98\%^{2}$	$98\%^{2}$	n/a	n/a	$50\%^{11}$
MCN	1:12	135 kcfs (120-150 range)	$83\%^{3}$	$90\%^{2}$	$98\%^{2}$	$98\%^{2}$	n/a	n/a	$50\%^{7}$
JDA	1:12	85 kcfs or 60% (70-100	$73\%^{12}$	$90\%^{2}$	$98\%^{2}$	$98\%^{2}$	n/a	n/a	$80\%^{1}$
		range)							
TDA	1.2:14	230 kcfs or 64%	$3\%^{14}$	$90\%^{2}$	90%5	n/a	12%4	$96\%^{6}$	$50\%^{2}$
BON I			$39\%^{12}$	$90\%^{1,2}$		$90\%^{7,15}$	$22\%^{13}$	$98\%^{7}$	
	1:12	135 kcfs (120-150 range)			$98\%^{2}$				50% <sup>2</sup>
BON II		· · · · · · · · · · · · · · · · · · ·	$48\%^{12}$	$90\%^{1,2}$		$98\%^{2}$	n/a	n/a	

These values were used in the SIMPAS modeling of the effects of current FCRPS operations on the action area biological requirements of yearling migrants. Passage parameters shown in this table for SR spring/summer chinook salmon are assumed to represent those of all yearling chinook salmon migrants.

Sources: NMFS White Paper, "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams," April 2000.

<sup>&</sup>lt;sup>2</sup> March 1998 PATH report.

<sup>&</sup>lt;sup>3</sup> NMFS 1998 Supplemental Biological Opinion..

<sup>&</sup>lt;sup>4</sup> Ploskey, 1999 (reported as a percent of project passage).

<sup>&</sup>lt;sup>5</sup> E. Dawley reports, average of all 64% spill tests, 1997-1999.

<sup>&</sup>lt;sup>6</sup> E. Dawley, 1998

<sup>&</sup>lt;sup>7</sup> Best professional judgement.

<sup>&</sup>lt;sup>8</sup> Eppard, 1999 report in preparation.

BioSonics 1985 po werhouse hydro acoustic estimate (Kuehl, 1986).
 Mean of 1988 and 1989 hydro acoustic estimates (BioSonics, 1988; HTI, 1989).

<sup>111986</sup> hydro acoust ic estimate (Parametrix).

<sup>&</sup>lt;sup>12</sup>NMFS 1/28/00 Fredricks' memo to Hydro Files.

<sup>&</sup>lt;sup>13</sup>NMFS 2/1/00 Fredricks'mem o to Hydro Files (Percent of Powerhouse Passage).

<sup>&</sup>lt;sup>14</sup>Estimated with 6-inch orifice passage (ends up in sluiceway).

<sup>&</sup>lt;sup>15</sup>Estimate no better than turbine survival. No data, known problem area.

<sup>&</sup>lt;sup>16</sup>Based on calibration using 1999 Little Goose tailwater to Lower Monumental tailwater reach survival estimate.

Table B-2. Estimates of Passage Parameters for Juvenile SR Fall Chinook Salmon: Current Passage Conditions at FCRPS Hydro Projects

Project	Spill Efficiency	Spill Cap	FGE	Turbine S	Spillway S	Low	Med Bypass	High <sup>17</sup> S	Sluice Eff.	Sluice S.	Diel Pass
LWG	Eqn. <sup>2</sup>	N/A	53%1	90%3	98%³	99%16	98%2	98%16	n/a	n/a	68%2
LGS LMN	Eqn. <sup>2</sup> Eqn. <sup>2</sup>	N/A N/A	$53\%^{2}$ $49\%^{2}$	$90\%^{3}$ $90\%^{3}$	$98\%^{3}$ $98\%^{3}$	$94\%^{16}$ $99\%^{16}$	$98\%^{2}$ $98\%^{2}$	$98\%^{16}$ $99\%^{16}$	n/a n/a	n/a n/a	$68\%^2$ $83\%^2$
IHR	Eqn. <sup>2</sup>	45 kcfs day 100% - 9 kcfs night	54% <sup>2</sup>	90%3	98% <sup>3</sup>	96%16		98%²	n/a	n/a	50% <sup>2</sup>
MCN	1:13	200 minus 155 kcfs Ph capacity	62%1	90%³	98%³	96%16	97% <sup>9</sup>	99%16	n/a	n/a	50%²
JDA	1:13	85 kcfs or 60% (70-100 range)	$32\%^{10}$	90%³	98%³	96%²	$98\%^2$	89%²	n/a	n/a	80%1
TDA BON I <sup>14</sup>	1.2:18	230 kcfs or 64% 75 kcfs day <sup>15</sup>	$3\%^{12}$ $9\%^{10}$	$90\%^{3}$ $90\%^{3}$	88%7	82%4	n/a 82% <sup>4</sup>	82%4	$10\%^{6}$ $6\%^{11}$	89% <sup>13</sup> 95% <sup>2</sup>	50%³
BON II	1:12	135 kcfs night (120-150 kcfs range)	28%10	94%4	98% <sup>5</sup>	96%²	98%²	92%²	n/a	n/a	50%³

These values were used in SIMPAS modeling of effects of current FCRPS operations on action area biological requirements. Passage parameters shown for SR fall chinook salmon are assumed to represent those of all subyearling chinook migrants.

Sources: NMFS Draft White Paper, "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams," Draft 1999.

<sup>&</sup>lt;sup>2</sup> Based on observations and best professional judgment.

<sup>&</sup>lt;sup>3</sup> From March 1998 PATH report.

<sup>&</sup>lt;sup>4</sup> From Ledgerwood et al., 1990 with adjustments for tailrace mortality and predator removal since 1990.

<sup>&</sup>lt;sup>5</sup> From Homes, 1952 and Ledgerwood et al., 1990.

<sup>&</sup>lt;sup>6</sup> From Ploskey, 1999.

<sup>&</sup>lt;sup>7</sup> From Dawley reports, mean of 1997-99 data.

<sup>8 1.2:1 @64%</sup> spill, (Allen et al., 1999).

<sup>&</sup>lt;sup>9</sup> NMFS unpublished date (Muir 1999). <sup>10</sup> NMFS 2//00 memo to Hydro files.

 $<sup>^{\</sup>scriptscriptstyle 11}\,NMFS$  2/ /00 memo to Hydro files.

<sup>12</sup> Estimated with 6-inch orifice passage (ends up in sluiceway).

<sup>&</sup>lt;sup>13</sup> From E. Dawley, 1998.

<sup>&</sup>lt;sup>14</sup> Assu e BON Ph 1 priority in sum mer.

<sup>15</sup> min. PH flow of 30 kcfs.

<sup>&</sup>lt;sup>16</sup> Based on Corps Transport Reports for LGR, LGS, LMN, MCN for 1994 and 1996 (low and high flow years).

<sup>&</sup>lt;sup>17</sup> Low, medium, high flows.

Table B-3. Estimates of the Values of Dam Passage Parameters for Juvenile Steelhead

	Spill				Survival		SBC or Sluice	SBC or	Diel
Project	Efficiency	Spill Cap	FGE	Turbine	Spillway	Bypass	Eff.	Sluice S.	Pass
LWG	Eqn. <sup>5</sup>	60 kcfs	81%3	93%5	98%²	98%1	n/a	n/a	76%¹
LGS	Eqn. <sup>5</sup>	45 kcfs	81%3	$92\%^{1}$	$100\%^{1,5}$	$95\%^{1}$	n/a	n/a	76% <sup>5</sup>
LMN	Eqn.5	40 kcfs	82%1	93%5	$97\%^{1}$	$93\%^{1}$	n/a	n/a	$83\%^{6}$
IHR	Eqn. <sup>5</sup>	105 kcfs night, 45 kcfs day	93%1	90%5	$98\%^{5}$	98%5	n/a	n/a	50% <sup>5,7</sup>
MCN	1:15	135 kcfs (120-150 range)	89%1	90%5	$98\%^{5}$	$98\%^{5}$	n/a	n/a	50% <sup>5</sup>
JDA	Eqn <sup>10</sup>	85 kcfs or 60% (70-100	85% <sup>1</sup>	90%5	98% <sup>5</sup>	$98\%^{5}$	n/a	n/a	83%1
		range)							
TDA	1.2:15,11	230 kcfs or 64%	3%5	90%5	$90\%^{5}$	n/a	12%4	96% <sup>5,6</sup>	50% <sup>5</sup>
BON I			41%1	90%5		$90\%^{5,9}$	22%5	98% <sup>7</sup>	
	1:15	135 kcfs (120-150 range)			$98\%^{5}$				50% <sup>5</sup>
BON II			48%8,1	90%1,5		98%5	n/a	n/a	

These values were used in the SIMPAS modeling of the effects of current FCRPS operations on the action area biological requirements. Passage parameters shown in this table for SR steelhead are assumed to represent those of all steelhead yearling migrants.

- Sources: NMFS White Paper, "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams," April 2000. March 1998 PATH report.

  - <sup>3</sup> NMFS 1998 Supplemental Biological Opinion.
  - <sup>4</sup> Ploskey, 1999 (reported as a % of project passage).
  - <sup>5</sup> Best professional judgement.
  - 6 Mean of 1988 and 1989 hydro acoustic estimates (BioSonics, 1988; HTI, 1989).
  - <sup>7</sup>1986 hydro acoust ic estimate (Parametrix).
  - <sup>8</sup>NMFS 1/28/00 Fredricks' memo to Hydro Files.
  - <sup>9</sup>Estimate no better than turbine survival. No data, known problem area.
  - <sup>10</sup>Hansel et al. 1999.
  - 11Allen et al. 1999.

Table B-4. Estimates of Passage Parameters for Juvenile SR Spring/Summer Chinook Salmon

						Survival		SBC or	SBC or		
	Proj.	Spill Eff.	Spill Cap	FGE	Turbine	Spillway	Drymaga	Sluice Eff.	Sluice S.	Diel Pass	Qualitative Comment
	J					1 0	Bypass			Pass	
Gas Fast Track	LWG	Eqn.6	80 kcfs	75% <sup>3</sup>	93%1	98% <sup>2</sup>	$98\%^{1}$	n/a	n/a	600/8	TDG abate. during forced spill
1.0.31			12-hr spill							68%8	D 1 10 1
Improved Spill			24-hr spill							68%	Reduced forebay
CDC /DCC :11		Eqn. <sup>6,13</sup>		$75\%^{3}$						50%	delay/predation/stress
SBS w/BGS, spill SBS Ph		Eqn.		$75\%^{3}$		$98\%^{6}$		29% 18	98%		Could increase fish avidence
w/SWI+BGS				/3%		98%		29%	98%	50%	Could increase fish guidance efficiency.
SBS Ph w/SW I-				75%³				$17\%^{18}$			efficiency.
BGS				13/0				1 / /0			
JBS Improve.		Eqn.6					99%				Reduced stress, direct loading
Gas Fast Track	LGS	Eqn. <sup>6</sup>	70 kcfs	$78\%^{3}$	$92\%^{1}$	$100\%^{1}$	99% <sup>1</sup>	n/a	n/a		TDG abate. during forced spill.
Gus i ust i i uck	LGS	Eq.	12-hr spill	7070	2270	10070	<i>JJ</i> 70	11/ 4	11/ 4	68%11	120 doute. during roreed spin.
Impro ved Spill			24-hr spill							68%	Reduced foreb. delay/pred/stress.
SBS w/BGS, spill		Eqn. <sup>6,13</sup>	v <sub>P</sub>	$78\%^{3}$						50%	
SBS PH		1		$78\%^{3}$		$98\%^{6}$		$29\%^{18}$	98%	50%	Could increase FGE.
w/SWI+BGS											
SBS Ph w/SW I-				$78\%^{3}$				$17\%^{18}$			
BGS											
Gas Fast Track	LMN	Eqn. 6	70 kcfs	$49\%^{3}$	$92\%^{14}$	$98\%^{6}$	95%1	n/a	n/a	83%9	TDG abate. during forced spill.
			12-hr spill							$83\%^{9}$	
Impro ved spill			24-hr spill							50%	Reduced foreb. delay/pred/stress
SBS w/BGS, spill		Eqn. 6,13								50%	
SBS Ph						$98\%^{11}$		$29\%^{18}$	98%		Could increase FGE
w/SWI+BGS											
SBS Ph w/SW I-								$17\%^{18}$			
BGS											
JBS Improve.				78% <sup>6</sup>			98%				
JBS outfall							99%				
relocation		_ 7		6	26	26	26			10	
24-hr spill	IHR	Eqn. <sup>7</sup>	105 kcfs	54% <sup>6</sup>	$90\%^{2,6}$	$98\%^{2,6}$	$98\%^{2,6}$	n/a	n/a	50% <sup>10</sup>	TDG increased
24-hr spill	IHR		45	54% <sup>6</sup>	$90\%^{2,6}$	98% <sup>2,6</sup>	$98\%^{2,6}$	n/a	n/a	50% <sup>10</sup>	TDG reduced
- T) 1	1. 01	MDAC 11	kcfs/day	t DDA actic	LEGRA			. ,			

These values were used in SIMPAS modeling of the effects of RPA actions and FCRPS operations on action area biological requirements. Passage parameters shown in this table for SR spring/summer chinook salmon are assumed to represent those of all yearling chinook salmon migrants.

Table B-4 Continued. Estimates of Passage Parameters for Juvenile SR Spring/Summer Chinook Salmon

		Spill	Spill			Survival		SBC or Sluice	SBC or	Diel	
	Proj.	Eff.	Cap	FGE	Turbine	Spillway	Bypass	Eff.	Sluice S.	Pass	<b>Qualitative Comment</b>
Gas Fast Track	MCN	1:12	135 kcfs	83%³	90%2	98%2	98%²	n/a	n/a	50% <sup>6</sup>	
12-hr spill			160 kcfs							50%	
24-hr spill			160 kcfs.							50%	TDG increas., reduc. delay/stress
JBS Improve.							99%				Reduced stress, direct loading.
Surf. Bypass						98%	98%				
Gas Fast Track	JDA	1:1 1/	180 kcfs	$73\%^{11}$	$90\%^{2}$	$98\%^{2}$	$98\%^{2}$	n/a	n/a	50%	
E. Lng. Scrns.				$82\%^{16}$							FGE based on prototype testing
Raised S. crest		Eqn.6,13								50%	
I/T sluice relo.	TDA	1.2:14,6	230 kcfs	n/a	$92\%^{17}$	$90\%^{5}$	n/a		$98\%^{6}$	$50\%^{3}$	Reduced Stress.
		@64%									
Surface bypass								$22\%^{4}$			Sluice eff = 12% + 10% improve.
Gas Fast Track			30-45% to			$98\%^{6}$					3% Gatewell Orifice Passage.
0 0 1			230 kcfs							500/	
Surface bypass		1.7:14,6								50%	
IDG I	ъ. т	@40%		<b>500</b> /15			000/26	220/12	000/6		
JBS Improve.	Bon I			$72\%^{15}$	020/17		$98\%^{2,6}$	$22\%^{12}$	$98\%^{6}$		
MGRs	Bon I			0.007	$92\%^{17}$		222/6			<b>5</b> 00/	
Surface bypass	Bon I	?	1551 0	80%		000/2	$98\%^{6}$			50%	1051 0 041 1 1 1
Gas Fast Track	D 11	1:12	175 kcfs	50016	000/12	$98\%^{2}$	0.00/2	,	,	$50\%^{2}$	135 kcfs 24-hrs interim operation
JBS Improve.	Bon II			60% <sup>6</sup>	$90\%^{1,2}$		$98\%^{2}$	n/a	n/a		
Corner Coll.	Bon II				1 ECDDG			60%6	98% <sup>6</sup>		: 41: 411 C CD : 4

These values were used in SIMPAS modeling of the effects of RPA actions and FCRPS operations on action area biological requirements. Passage parameters shown in this table for SR spring/summer chinook salmon are assumed to represent those of all yearling chinook salmon.

Sources:

<sup>&</sup>lt;sup>1</sup> From NMFS Draft White Paper, "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams," October 1999.

<sup>&</sup>lt;sup>2</sup> From March 1998 PATH report.

<sup>&</sup>lt;sup>3</sup> From NMFS 1998 Supplemental Biological Opinion.

<sup>&</sup>lt;sup>4</sup> From Ploskey, 1999 (Percent of Total Project Passage).

<sup>&</sup>lt;sup>5</sup> From Dawley reports, 1997-1999.

<sup>&</sup>lt;sup>6</sup> Best professional judgement.

<sup>&</sup>lt;sup>7</sup> From Eppard, 1999 report in preparation.

<sup>&</sup>lt;sup>8</sup> BioSonics 1985 po werhouse hydro acoustic estimate (Kuehl, 1986).

<sup>&</sup>lt;sup>9</sup> Mean of 1988 and 1989 hydro acoustic estimates (BioSonics, 1988; HTI, 1989).

<sup>101986</sup> hydro acoust ic estimate (Parametrix).

<sup>&</sup>lt;sup>11</sup>NMFS 1/28/00 Fredricks' memo to Hydro Files.

<sup>&</sup>lt;sup>12</sup>NMFS 2/1/00 Fredricks' memo to Hydro Files (Percent of Powerhouse

<sup>&</sup>lt;sup>13</sup>Variable spill efficiency: 5@10%, 3@20%, 2@40%, 1.5@50%, 1@60% spill.

<sup>&</sup>lt;sup>14</sup>Based on calibration using 1999 Little Goose tailwater to Lower Monumental tailwater reach survival estimate.

<sup>15</sup>Monk, 1999.

<sup>16</sup>Brege, 1997.

<sup>&</sup>lt;sup>17</sup>Based on potential improvement due to MGR's.

<sup>&</sup>lt;sup>18</sup>USGS, 1999. Draft annual report for 1998, Adams et al.

Table B-5. Estimates of Passage Parameters Used for Juvenile SR Fall Chinook Salmon

						Survival		SBC or			
		Spill	Spill					Sluice	SBC or	Diel	
	Proj.	Eff.	Cap	FGE	Turbine	Spillway	Bypass	Eff.	Sluice S.	Pass	Qualitative Comment
JBS Improve.	LWG	Eqn. <sup>2</sup>	n/a	53% <sup>1</sup>	90%3	98%³	$99\%^{2}$	n/a	n/a	$68\%^{2}$	
JBS Improve.	LGS	Eqn. <sup>2</sup>	n/a	$53\%^{2}$	$90\%^{3}$	$98\%^{3}$	$98\%^{2}$	n/a	n/a	$68\%^{2}$	Reduced stress.
Ext. length screens	LMN	Eqn. <sup>2</sup>	n/a	56% <sup>2</sup>	90%3	98%³	98%²	n/a	n/a	83% <sup>2</sup>	Higher FGE than at LWG due to fish more smolted.
Gas Fast Track	IHR	Eqn. <sup>2</sup>	100% night, 45 kcfs day, 45kcfs 24-hrs	54% <sup>2</sup>	90%³	98%³	98%²	n/a	n/a	50%²	
JBS Improvements	MCN	1:13	Invol only-155 kcfs PH capacity	62%1	90%³	98%³	99%²	n/a	n/a	50%²	
Raised spill crest	JDA	Eqn.9	180 kcfs or 60%	60%7	90%³	98%³	98%²	n/a	98%²	50%²	Raised crest flow = 14 k/bay.
	TDA	1.7:1 <sup>6</sup> @ 40%, 1.2:1@ 64%	230 kcfs or 40%	3%8	92% 12	98%²	n/a	18% 11	96%²	50%³	
Surface	Bon I		175 kcfs	$\frac{35\%}{0}^{1}$	$92\%^{12}$		$98\%^{2}$	$55\%^{2}$	$96\%^{2}$		
Bypass			day <sup>13</sup>	J							
		1:12	175 kcfs night			98%5				50% <sup>3</sup>	
Corner Coll.	Bon II		•	40% <sup>2</sup>	94% <sup>4</sup>		98%2	$60\%^{2}$	96% <sup>2</sup>		across in this table for CD fall chinaels solven

These values were used in SIMPAS modeling of the effects of RPA actions and FCRPS operations on action area biological requirements. Passage parameters shown in this table for SR fall chinook salmon are assumed to represent those of all subyearling chinook migrants.

References:

<sup>1</sup> From NMFS White Paper, "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams," April 2000.

<sup>2</sup> Based on observations and best professional judgement.

<sup>&</sup>lt;sup>3</sup> From March 1998 PATH report.

<sup>&</sup>lt;sup>4</sup> From Ledgerwood et al., 1994 reported estimate minus 3% indirect mortality.

<sup>&</sup>lt;sup>5</sup> From Homes, 1952.

<sup>&</sup>lt;sup>6</sup> Same as spring/summer chinook.

<sup>&</sup>lt;sup>7</sup> Brege, 1997.

<sup>&</sup>lt;sup>8</sup> Estimated with 6-inch orifice passage (ends up on sluiceway).

<sup>&</sup>lt;sup>9</sup> Variable spill efficiency: 5@10%, 3@20%, 2.5@30%, 2@40%, 1.5@50%, 1@60%.

 $<sup>\</sup>rm ^{10}$  Monk, 1999.  $\rm ^{13}$  Based on Ploskey 1999. Reported numbers were doubled for effect of blocked trash racks.

<sup>&</sup>lt;sup>12</sup> Based on potential improvement due to MGR installation.

<sup>&</sup>lt;sup>13</sup> Assumes adult fallback problem is corrected, otherwise limit is 120 kcfs.

Table B-6. Estimates of Passage Parameters for Juvenile SR Steelhead

		"				Survival		SBC or Sluice	SBC or Sluice		
	Proj.	Spill Eff.	Spill Cap	FGE	Turbine	Spillway	Bypass	Eff.	S.	Diel Pass	Qualitative Comment
Gas Fast Track	LWG	Eqn.5	80 kcfs	81%3	93% <sup>5</sup>	98% 1,5	98%1	n/a	n/a	1.10	TDG abate. during forced spill
Improved Spill			12-hr spill 24-hr spill							76% <sup>1,18</sup> 50% <sup>5</sup>	Reduced forebay delay/predation/stress
SBS w/BGS, spill		Eqn. <sup>5,11</sup>		$81\%^{3}$						50%	
SBS Ph w/SWI+BGS				$81\%^{3}$		98% 1,5		29% <sup>5,16</sup>	98% <sup>5</sup>	50%	Could increase fish guidance efficiency.
SBS Ph w/SW I- BGS				81%³				17% <sup>5,16</sup>			cirickiney.
JBS Improve.		Eqn.5					$99\%^{5}$				Reduced stress, direct loading
Gas Fast Track	LGS	Eqn. <sup>5</sup>	70 kcfs	$81\%^{3}$	93%1	$100\%^{1}$	98% <sup>5</sup>	n/a	n/a	<b>5</b> 60 6 5	TDG abate. during forced spill.
Improved Spill			12-hr spill 24-hr spill							76% <sup>5</sup> 50% <sup>5</sup>	Reduced foreb.delay/pred/stress.
SBS w/BGS, spill		Eqn. <sup>5,11</sup>	24-m spm	81%3						50% <sup>5</sup>	Reduced forco.delay/pred/stress.
SBS PH		•		$81\%^{3}$		$100\%^1$		$29\%^{5,16}$	98% <sup>5</sup>	50% <sup>5</sup>	Could increase FGE.
w/SWI+BGS SBS Ph w/SWI- BGS				81%³				17% 5,16			
Gas Fast Track	LMN	Eqn. <sup>5</sup>	70 kcfs 12-hr spill	49%³	93%5	99%12	99% <sup>5,12</sup>	n/a	n/a	50% <sup>5,7</sup> 50% <sup>5,7</sup>	TDG abate. during forced spill.
Improved spill			24-hr spill							50% <sup>5</sup>	Reduced foreb. delay/pred/stress
SBS w/BGS, spill		Eqn. <sup>5,11</sup>								50% <sup>5</sup>	
SBS Ph w/SWI+BGS						99% 12		29% 16	98%5		Could increase FGE
SBS Ph w/SW I- BGS								17% 16			
JBS Improve.				84%5			$99\%^{5,12}$				
JBS outfall relocation							99%5				

Table B-6, con	ntinued.										
24-hr spill	IHR	Eqn.6	105 kcf	s 93% <sup>1</sup>	$90\%^{5}$	$98\%^{5}$	$98\%^{5}$	n/a	n/a	$50\%^{5,8}$	TDG increased
24-hr spill	IHR		45 kcfs	$93\%^{1}$	$90\%^{5}$	$98\%^{5}$	$98\%^{5}$	n/a	n/a	$50\%^{5,8}$	TDG reduced
			day								
Gas Fast Track	MCN	1:1 <sup>2,5</sup>	135 kcfs	89%³	90% <sup>2,5</sup>	98% <sup>2,5</sup>	98% <sup>5</sup>	n/a	n/a	50% <sup>5</sup>	
12-hr spill			160 kcfs							50% <sup>5</sup>	
24-hr spill			160 kcfs							50% <sup>5</sup>	TDG increas., reduc. delay/stress
JBS Improve.							$99\%^{5}$				Reduced stress, direct loading.
Surf. Bypass		17		0	2.5	98%5	98%5				
Gas Fast Track	JDA	Eqn.17	180 kcfs	73%9	$90\%^{2,5}$	$98\%^{2,5}$	$98\%^{2,5}$	n/a	n/a	50% <sup>1</sup>	
E. Lng. Scrns.		E 511		$94\%^{14}$						500/5	FGE based on prototype testing
Raised S. crest	TDA	Eqn. <sup>5,11</sup> 1.6 <sup>5,18</sup>	2201.6	3%5	92%15	98%5	n/a		98% <sup>5</sup>	50% <sup>5</sup> 50% <sup>3,5</sup>	D - 1 1 C4
I/T sluice relo.	TDA	@64%	230 kcfs	3%	92%	98%	n/a		98%	30%	Reduced Stress.
Surface bypass		W 0470						22%4			Sluice eff = 12% + 10% improve.
Gas Fast Track			30-45%			98% <sup>5</sup>		22/0			3% Gatewell Orifice Passage.
Gus i ust i i uck			to 230			2070					by care were crimes a assuge.
			kcfs								
Surface bypass		1.7:14,5								50%	
		@40%									
JBS Improve.	Bon I			$72\%^{13}$			$98\%^{2,5}$	$22\%^{5,10}$	$98\%^{5}$		
MGRs	Bon I				$92\%^{5,15}$						
Surface byp ass	Bon I			85% <sup>1</sup>		2.5	$98\%^{5}$			50%5	
Gas Fast Track		$1:1^{2,5}$	175 kcfs	5	1.5	$98\%^{2,5}$	25			$50\%^{2}$	135 kcfs 24-hrs interim operation
JBS Improve.	Bon			60% <sup>5</sup>	$90\%^{1,5}$		$98\%^{2,5}$	n/a	n/a		
Corner Coll.	II							62% 5,19	98% <sup>5</sup>		
Corner Coll.	Bon II							02%	98%		
	11										

These values were used in SIMPAS modeling of the effects of RPA actions and FCRPS operations on action area biological requirements. Note: passage parameters shown in this table for SR steelhead are assumed to represent those of all steelhead yearling migrants.

Sources: <sup>1</sup> From NMFS Draft White Paper, "Passage of Juvenile and Adult Salmonids Past Columbia and Snake River Dams," October 1999.

- <sup>2</sup> From March 1998 PATH report.
- <sup>3</sup> From NMFS 1998 Supplemental Biological Opinion.
- <sup>4</sup> From Ploskey, 1999 (Percent of Total Project Passage).
- <sup>5</sup> Best professional judgement.
- <sup>6</sup> From Eppard, 1999 report in preparation.
- <sup>7</sup> Mean of 1988 and 1989 hydro acoustic estimates (BioSonics, 1988; HTI, 1989).
- <sup>8</sup>1986 hydro acoust ic estimate (Parametrix).
- 9NMFS 1/28/00 Fredricks' memo to Hydro Files.
- <sup>10</sup>NMFS 2/1/00 Fredricks' memo to Hydro Files (Percent of Powerhouse Passage)
- <sup>11</sup>Variable spill efficiency: 5@10%, 3@20%, 2@40%, 1.5@50%, 1@60%

spill

<sup>12</sup>Based on calibration using 1999 Little Goose tailwater to Lower Monumental tailwater reach survival estimate.

<sup>&</sup>lt;sup>13</sup>Monk, 1999.

<sup>&</sup>lt;sup>14</sup>Brege, 1997.

<sup>&</sup>lt;sup>15</sup>Based on potential improvement due to MGRs.

<sup>&</sup>lt;sup>16</sup>USGS, 1999. Draft annual report for 1998, Adams et al.

<sup>&</sup>lt;sup>17</sup>Hansell et al. 1999

<sup>&</sup>lt;sup>18</sup>Allen at al. 1999.

<sup>&</sup>lt;sup>19</sup>Hensleigh et al. 1998.

**B.4.1.3.1 Pool Survival**. Pool survival estimates were developed for yearling chinook (spring migrants), subyearling fall chinook (summer migrants) and steelhead (spring migrants) for use in the SIMPAS model at each of the eight FCRPS mainstem projects. The methods used to derive the pool survival estimates from empirical PIT-tag measurements collected over a range of water conditions from 1994-99 are described below. Beginning with 1994, a low flow year, the methods used to estimate pool survivals for all three species are discussed in the following sections.

# Yearling Chinook Salmon, 1994-99

Yearling Chinook Salmon, 1994. Estimates of pool survival in 1994, a low-flow year,² were based on empirical (PIT-tag) reach survival data for hatchery yearling chinook (**Table B-7**). Empirical reach survival data were partitioned into survival estimates for each of the FCRPS projects where data was available. Because data were only available as far downstream as Lower Monumental Dam, reach survival estimates from the tailrace of Lower Granite Dam to the tailrace of Little Goose Dam, and from the tailrace of Little Goose Dam to the tailrace of Lower Monumental Dam were used. Each tailrace-to-tailrace reach survival estimate in **Table B-7** can be partitioned into its component pool and dam survival estimates. To estimate survival through each reservoir, the appropriate empirical measurement of reach survival was divided by the dam survival estimates for the same project (i.e., from the SIMPAS analysis). At Little Goose Dam, for example, the 1994 reach survival of spring chinook salmon of 0.788 (the empirical reach survival value shown in **Table B-7**), was divided by 0.975 (the SIMPAS dam survival estimate), to obtain the pool survival value of 0.809. The model was calibrated with no spill at Lower Granite, Little Goose, and Lower Monumental dams, as the system was operated with no spill until May 10 in 1994.

Pool survivals for FCRPS projects downstream from Lower Monumental Dam were estimated for Snake River yearling chinook salmon by averaging the per-mile mortality rates for Little Goose and Lower Monumental pools to get a per-mile mortality rate through both pools. After determining the actual reservoir miles for each of the five mainstem FCRPS dams downstream from Lower Monumental, the average per-mile mortality rate was applied to each pool to obtain a pool mortality estimate. The mortality estimate for each pool was then subtracted from one to convert it to an estimate of reservoir survival. The assumption was that applying a constant per-mile mortality rate through the Ice Harbor and the four lower Columbia River projects would be representative through these FCRPS reservoirs, as data were unavailable to define pool mortality rates more accurately at these projects.

 $<sup>^2</sup>$  1994 April through August modified nunoff volume at Lower Granite Dam was 12.15 million acre-feet (maf), or 53% of the 71-year (1929 through 1999) average, and the Columbia River runoff volume at The Dalles Dam over the same period was 67.2 maf, or 73% of average.

**Table B-7.** Project Survival Rates of Juvenile Yearling Chinook Salmon During 1994 (Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.918	0.788	0.875	$0.889^{1}$	$0.855^{1}$	$0.758^{1}$	$0.845^{1}$	$0.826^{1}$
Pool Survival (Calculated)	0.949	0.809	0.936	0.908	0.879	0.781	0.931	0.871
Dam Survival (Modeled)	0.968	0.975	0.935	0.979	0.972	0.971	0.908	0.949

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Yearling Chinook Salmon, 1995. Estimates of pool survival in 1995, which was an average to slightly above average water year, were based on empirical (PIT-tag) reach survival data from 1995 (**Table B-8**). Pool survival estimates for hatchery yearling chinook in 1995 were developed in the same manner as yearling chinook in 1994.

**Table B-8.** Project Survival Rates (Tailrace to Tailrace) of Juvenile Yearling Chinook Salmon in 1995

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.906	0.898	0.938	$0.924^{1}$	$0.903^{1}$	$0.845^{1}$	$0.871^{1}$	$0.868^{1}$
Pool Survival (Calculated)	0.930	0.911	0.985	0.946	0.929	0.871	0.959	0.924
Dam Survival (Modeled)	0.974	0.985	0.952	0.976	0.973	0.970	0.908	0.939

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Yearling Chinook Salmon, 1996. Estimates of pool survival in 1996, which was an above average water year (i.e., 130% of average runoff during the April through August period, measured at Lower Granite Dam over the 71-year [1929 through 1999] water record) were based on empirical (PIT-tag) reach survival data for hatchery yearling chinook from 1996 (**Table B-9**). Pool survivals were estimated as described above for the 1994 year case. Because data were available only as far downstream as McNary Dam, NMFS used the approach described above for yearlings in 1994 for the reach below Lower Monumental Dam to estimate yearling pool survivals for 1996 in the reach below McNary Dam.

**Table B-9**. Project Survival Rates of Juvenile Yearling Chinook Salmon in 1996 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.974	0.914	0.941	0.869	0.868	$0.852^{1}$	0.8731	$0.877^{1}$
Pool Survival (Calculated)	1.000	0.927	0.990	0.892	0.891	0.880	0.962	0.929
Dam Survival (Modeled)	0.974	0.986	0.951	0.974	0.974	0.969	0.908	0.944

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate from Lower Granite tailace to McNary Dam.

Yearling Chinook Salmon, 1997. Estimates of pool survival in 1997, which was one of the highest runoff years on record<sup>3</sup>, were based on empirical (PIT-tag) reach survival data for combined hatchery and wild yearling chinook from 1997 (**Table B-10**). Pool survivals were estimated as described above for the 1994 year case. Because data were available only as far downstream as McNary Dam, NMFS used the approach described above for yearlings in 1994 for the reach below Lower Monumental to estimate yearling pool survivals for 1997 in the reach below McNary Dam.

**Table B-10.** Project Survival Rates of Juvenile Yearling Chinook Salmon in 1997 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.832	0.942	0.894	0.893	0.893	$0.818^{1}$	$0.862^{1}$	0.8621
Pool Survival (Calculated)	0.854	0.955	0.942	0.916	0.916	0.842	0.950	0.906
Dam Survival (Modeled)	0.974	0.986	0.949	0.975	0.975	0.972	0.908	0.952

Calculated from average per-mile mortality rate from Lower Granite to McNary Dam

Yearling Chinook Salmon, 1998. Estimates of pool survival in 1998, which was a near average water runoff year, were based on empirical (PIT-tag) reach survival data for combined hatchery

<sup>&</sup>lt;sup>3</sup> The 1997 April through August modified runoff volume at Lower Granite Dam was 35.3 million acre-feet (maf), or 155% of the 71-year (1929 though 1999) average; and the Columbia River runoff volume at The Dalles Dam over the same period was 111.1 maf, or 121% of average.

and wild yearling chinook from 1998 (**Table B-11**). Pool survivals were estimated as described above for the 1994 year. Because data were available as far downstream as John Day Dam, NMFS used the approach described above for yearlings in 1994 for the reach below Lower Monumental to estimate yearling pool survivals for 1998 in the reach below John Day.

**Table B-11**. Project Survival Rates of Juvenile Yearling Chinook Salmon in 1998 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.924	0.985	0.853	0.957	0.957	0.822	0.8811	$0.888^{1}$
Pool Survival (Calculated)	0.950	1.000	0.898	0.981	0.984	0.848	0.971	0.945
Dam Survival (Modeled)	0.973	0.985	0.950	0.975	0.973	0.970	0.908	0.940

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate from Lower Granite to McNary Dam.

Yearling Chinook Salmon, 1999. The 1999 passage year was an above average flow year in the context of the 71-year water record (1929 through 1999). It was also the first year for which survival estimates for combined wild and hatchery yearling chinook were available for the full FCRPS reach (from the head of Lower Granite pool to the tailrace of Bonneville Dam). Empirical reach survival data were partitioned into tailrace-to-tailrace survival estimates for each of the FCRPS projects (**Table B-12**) (W. Muir, pers. comm.). Pool survivals were their estimated as described above for the 1994 year.

**Table B-12.** Project Survival Rates of Juvenile Yearling Chinook Salmon in 1999 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.943	0.950	0.924	$0.950^{1}$	$0.950^{1}$	0.853	0.8931	0.9131
Pool Survival (Calculated)	0.969	0.965	0.972	0.974	0.977	0.880	0.984	0.972
Dam Survival (Modeled)	0.973	0.985	0.950	0.976	0.973	0.969	0.908	0.939

<sup>&</sup>lt;sup>1</sup> Calculated from two-project reach survival data.

Using the approach described for 1994 yearling chinook to partition project survivals into pool and dam survivals, the BET's preliminary estimate of passage survival at Lower Monumental

Dam (0.924) was lower than at the other lower Snake River projects, due largely to relatively high estimates of turbine and spillway mortality, derived from the high end of the range presented in the NMFS White Paper on dam passage (Table 9 in NMFS 2000a). The higher mortality values were initially chosen to achieve a conservative result. However, the resulting SIMPAS estimate of dam passage survival was so low that, when it was evaluated with the empirically-derived estimate of reach survival, it resulted in an estimate of reservoir survival approximately equal to 1, a highly unlikely outcome. To adjust for this, the BET considered the values for the turbine, spillway, and other passage parameters at Lower Monumental Dam that were reported in the NMFS White Paper, as well as other means of partitioning the reach survival between the dam and reservoir components. In the end, the BET decided to set survival through Lower Monumental pool at 0.97, a value similar to that calculated for Ice Harbor Dam. This was consistent with the higher estimates of turbine and spillway survival reported for Lower Monumental Dam in the dam passage White Paper (NMFS 2000a).

The BET made another exception to its general approach for The Dalles and Bonneville dams. Because there is no juvenile fish PIT-tag detection facility at The Dalles Dam so, the empirical reach survival data spanned the reach between the John Day and Bonneville tailraces. Dam survival at the two projects was removed from the reach survival estimate leaving a pool survival estimate for both reservoirs. The Dalles pool is about one-half the length of the Bonneville pool, so one-third of the total pool survival (0.947) for the reach was allocated to The Dalles (0.984) and two-thirds to Bonneville (0.972).

# Subyearling Chinook Salmon, 1994-1999

Subyearling Chinook Salmon, 1994. No empirical information is available for the survival of subyearling chinook salmon below Lower Granite Dam in 1994. Thus, project survival estimates were not developed for subyearling chinook for 1994.

Subyearling Chinook Salmon, 1995. Empirical PIT-tag reach survival information from 1995 were available for wild subyearling fall chinook salmon from the point of release to Lower Granite Dam. Data for the reach between the Lower Granite and Lower Monumental tailraces were limited to hatchery fish in 1995.

The BET selected the survival of wild fish during 1995 to estimate the reach to Lower Granite Dam and to represent 1995 flow augmentation and temperature control operations. The measured reach survival (76.6%), divided by the estimate of survival at Lower Granite Dam (94.2%), provided an estimate of the survival through Lower Granite pool survival of approximately 81% (**Table B-13**). The 1995 reach survival data for hatchery fish were used for the reach from Lower Granite to Lower Monumental Dam.

Pool survivals for projects downstream from Lower Monumental Dam were estimated for

subyearling Snake River fall chinook salmon in the same manner as for yearling chinook in 1994. The assumption was that applying a constant per-mile mortality rate through the Ice Harbor and the four Lower Columbia River projects would be representative in those reservoirs, as data were unavailable to better define the pool mortality rates at these projects. Another consideration was that whereas empirical data indicate that subyearling chinook salmon tends to migrate at a faster rate as they move downstream, implying decreased exposure to predators, the number of predators increases through the lower Columbia River, thereby offsetting the faster migration rate.

**Table B-13.** Project Survival Rates (Tailrace to Tailrace) of Juvenile Subyearling Chinook Salmon in 1995

Survival	Release to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.668	0.890	0.795	0.8831	$0.825^{1}$	$0.734^{1}$	$0.819^{1}$	$0.808^{1}$
Pool Survival (Calculated)	0.709	0.944	0.846	0.903	0.872	0.767	0.926	0.862
Dam Survival (Modeled)	0.942	0.942	0.939	0.978	0.946	0.956	0.884	0.937

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Subyearling Chinook Salmon, 1996. Estimates of pool survival for subyearling chinook salmon during 1996 were based on empirical (PIT-tag) reach survival data from 1996 (**Table B-14**). Pool survivals were derived as described above for the 1995 year case. Because data were available only as far downstream as Lower Monumental Dam, NMFS used the approach described above for subyearlings at downstream projects in the 1995 year to estimate pool survivals of subyearlings for 1996.

**Table B-14.** Project Survival Rates of Juvenile Subyearling Chinook Salmon in 1996 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.479	0.898	0.782	$0.879^{1}$	$0.834^{1}$	0.7221	$0.816^{1}$	$0.797^{1}$
Pool Survival (Calculated)	0.508	0.953	0.828	0.898	0.866	0.755	0.923	0.856
Dam Survival (Modeled)	0.942	0.942	0.944	0.979	0.963	0.956	0.884	0.931

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Subyearling Chinook Salmon, 1997. Estimates of pool survival for subyearling chinook salmon during 1997 were based on empirical (PIT-tag) reach survival data from 1996 (**Table B-15**). Pool survivals were derived as described above for the 1995 year case. Because data were available only as far downstream as Lower Monumental Dam, the BET used the approach described above for subyearlings at downstream projects in the 1995 year to estimate pool survivals of subyearlings for 1997.

**Table B-15**. Project Survival Rates of Juvenile Subyearling Chinook Salmon in 1997 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.353	0.566	0.644	$0.699^{1}$	$0.602^{1}$	$0.302^{1}$	0.6931	0.5331
Pool Survival (Calculated)	0.375	0.601	0.682	0.714	0.624	0.316	0.784	0.596
Dam Survival (Modeled)	0.942	0.942	0.944	0.978	0.964	0.956	0.884	0.928

Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Subyearling Chinook Salmon, 1998. Estimates of pool survival for subyearling chinook salmon during 1998 were based on empirical (PIT-tag) reach survival data from 1998 (**Table B-16**). Pool survivals were derived as described above for the 1995 year case. Because data were available only as far downstream as Lower Monumental Dam, the NMFS used the approach described above for subyearlings at downstream projects in the 1995 year to estimate pool survivals of subyearlings in 1997.

**Table B-16.** Project Survival Rates of Juvenile Subyearling Chinook Salmon in 1998 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.558	0.771	0.921	0.8831	0.8341	0.7331	$0.819^{1}$	$0.807^{1}$
Pool Survival (Calculated)	0.592	0.818	0.976	0.902	0.871	0.766	0.926	0.862
Dam Survival (Modeled)	0.942	0.942	0.944	0.979	0.958	0.956	0.884	0.936

<sup>&</sup>lt;sup>T</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Subyearling Chinook Salmon, 1999. Estimates of pool survival for subyearling chinook salmon during 1999 were based on empirical (PIT-tag) reach survival data from 1999 (**Table B-17**). Pool survivals were derived as described above for the 1995 year. Because data were available only as far downstream as Lower Monumental Dam, the BET used the approach described above for subyearlings at downstream projects in the 1995 year to estimate pool survivals of subyearlings for 1999.

**Table B-17.** Project Survival Rates of Juvenile Subyearling Chinook Salmon in 1999 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.766	0.665	0.890	$0.820^{1}$	$0.759^{1}$	$0.586^{1}$	$0.777^{1}$	$0.716^{1}$
Pool Survival (Calculated)	0.813	0.706	0.943	0.838	0.787	0.613	0.878	0.771
Dam Survival (Modeled)	0.942	0.942	0.944	0.979	0.964	0.955	0.884	0.929

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

#### Steelhead, 1994-1999

Steelhead, 1994. Pool survival estimates for juvenile hatchery steelhead in 1994 were developed in the same manner as with yearling chinook salmon (above). Survival data are shown in **Table B-18.** 

Table B-18. Project Survival Rates of Juvenile Steelhead during 1994 (Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.728	0.782	0.830	$0.838^{1}$	$0.789^{1}$	$0.636^{1}$	$0.808^{1}$	0.7511
Pool Survival (Calculated)	0.750	0.826	0.893	0.855	0.809	0.652	0.890	0.794
Dam Survival (Modeled)	0.971	0.946	0.930	0.980	0.975	0.975	0.908	0.945

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Steelhead, 1995. Pool survival estimates for juvenile hatchery steelhead in 1995 were developed in the same manner as for yearling chinook in 1994. Survival data are shown in **Table B-19**.

**Table B-19.** Project Survival Rates of Juvenile Steelhead in 1995 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.944	0.907	0.947	$0.947^{1}$	0.9331	$0.899^{1}$	$0.885^{1}$	$0.896^{1}$
Pool Survival (Calculated)	0.967	0.933	0.997	0.967	0.957	0.922	0.975	0.954
Dam Survival (Modeled)	0.976	0.972	0.950	0.979	0.975	0.975	0.908	0.939

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pools.

Steelhead, 1996. Pool survival estimates for juvenile hatchery steelhead in 1996 were developed in the same manner as for yearling chinook in 1994. Survival data are shown in **Table B-20**.

**Table B-20.** Project Survival Rates of Juvenile Steelhead in 1996 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.934	0.912	0.948	09471	$0.934^{1}$	$0.898^{1}$	$0.885^{1}$	$0.900^{1}$
Pool Survival (Calculated)	0.957	0.940	1.000	0.967	0.957	0.922	0.975	0.954
Dam Survival (Modeled)	0.976	0.970	0.948	0.979	0.976	0.974	0.908	0.944

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate in Little Goose and Lower Monumental pool.

Steelhead, 1997. Pool survival estimates for combined juvenile wild and hatchery steelhead in 1997 were developed in the same manner as for yearling chinook in 1996. Survival data are shown in **Table B-21**.

**Table B-21**. Project survival rates of juvenile steelhead in 1997 (from tailrace to tailrace)

Survival	Lewiston to LWG	LWG to	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.963	0.966	0.902	0913	0.913	$0.895^{1}$	$0.884^{1}$	$0.905^{1}$
Pool Survival (Calculated)	0.987	0.995	0.953	0.932	0.935	0.918	0.974	0.951
Dam Survival (Modeled)	0.976	0.971	0.946	0.979	0.977	0.975	0.908	0.952

<sup>&</sup>lt;sup>1</sup> Calculated from average per-mile mortality rate from Lower Granite to McNary Dam.

Steelhead, 1998. Empirical reach survival estimates for combined juvenile wild and hatchery steelhead were available for the full FCRPS reach in 1998 (from the head of Lower Granite pool to the tailrace of Bonneville Dam). Pool survivals were calculated in the same manner as yearling chinook in 1999. Survival data are shown in **Table B-22**.

**Table B-22.** Project Survival Rates of Juvenile Steelhead in 1998 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.925	0.930	0.889	08931	0.8931	0.832	0.8731	0.8731
Pool Survival (Calculated)	0.949	0.959	0.939	0.912	0.916	0.854	0.962	0.929
Dam Survival (Modeled)	0.975	0.970	0.947	0.979	0.975	0.975	0.908	0.940

<sup>&</sup>lt;sup>1</sup> Calculated from two-project reach survival data.

Steelhead, 1999. Empirical reach survival estimates for combined juvenile wild and hatchery steelhead were available for the full FCRPS reach in 1999 (from head of Lower Granite pool to the tailrace of Bonneville Dam). Pool survivals were calculated in the same manner as for yearling chinook in 1999.

Table B-23. Project Survival Rates of Juvenile Steelhead in 1999 (from Tailrace to Tailrace)

Survival	Lewiston to LWG	LWG to LGS	LGS to LMN	LMN to IHR	IHR to MCN	MCN to JDA	JDA to TDA	TDA to BON
Reach Survival (Empirical)	0.824	0.926	0.915	$0.913^{1}$	0.9131	0.9211	$0.889^{1}$	$0.793^{1}$
Pool Survival (Calculated)	0.845	0.954	0.966	0.932	0.936	0.945	0.979	0.845
Dam Survival (Modeled)	0.975	0.970	0.947	0.979	0.975	0.974	0.908	0.939

<sup>&</sup>lt;sup>1</sup> Calculated from two-project reach survival data.

# **B.5 REFERENCES**

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