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Financial Disclosure Report

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Filer Information

Name: Hon. Joe Courtney
Status: Member
State/District: CT02

Filing Information

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Schedule A: Assets and "Unearned" Income

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Bank of America Accounts [BA]		$1,001 - $15,000	None		
Commonwealth Annuity and Life Insurance Co. "Exceptional Life Policy" [WU]		$1,001 - $15,000	None		
Hartford Federal Credit Union [BA]		$1 - $1,000	Dividends	$1 - $200	
Inherited Brokerage Account ⇒ Alibaba Group Holding Limited American Depositary Shares each representing eight Ordinary share (BABA) [ST]		$15,001 - $50,000	Dividends	None	
Inherited Brokerage Account ⇒ BlackRock Credit Allocation Income Trust (BTZ) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
Inherited Brokerage Account ⇒ Blackrock Debt Strategies Fund, Inc. (DSU) [ST]		$1,001 - $15,000	Dividends	$1 - $200	
Inherited Brokerage Account ⇒ DFA Int'l Core Equity [MF]		$1,001 - $15,000	Dividends	$1 - $200	
Inherited Brokerage Account ⇒		$1,001 - $15,000	Dividends	$1 -	

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Eaton Vance Limited Duration Income Fund Common Shares of Beneficial Interest (EVV) [ST]				$200	
Inherited Brokerage Account ⇒ Energy Transfer LP Common Units (ET) [ST]		$1,001 - $15,000	Dividends	None	☐
Inherited Brokerage Account ⇒ Federated Investors Tax-Free Obligations [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Ford Motor Company (F) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ Global X Funds SUPDV US [EF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ Goldman Sachs Funds Dynamic Muni Income [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ IShares Trust Int's Select [EF]		$1,001 - $15,000	Dividends	None	☐
Inherited Brokerage Account ⇒ Natixis Funds LS SR Floating Rate & Fixed [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ NexPoint Strategic Opportunities Fund (NHF) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Nintendo Co Ltd ADR (NTDOY) [ST]		$15,001 - $50,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ Northern Funds Ultra Short Fixed [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Pimco Investments Income Inst'l [MF]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☐
Inherited Brokerage Account ⇒ RBB Orinda Income Opportunities [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ Rivernorth Funds Doubleline Strategic Income [MF]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Inherited Brokerage Account ⇒ SSGA Active [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited Brokerage Account ⇒ Sweep Account [BA]		$1,001 - $15,000	Interest	$1 - $200	☐
Inherited Brokerage Account ⇒ United Technologies Corporation (UTX) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Vanguard Dividend ETF [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Vanguard Total Stock Market [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Virtus Funds SEIX Floating [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ WCM Focused Int'l Growth [MF]		$1,001 - $15,000	Dividends	None	☐
Inherited Brokerage Account ⇒ Wisdomtree Trust CBOE S&P 500 [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited Brokerage Account ⇒ Wisdomtree Trust Dynamic [EF]		$1,001 - $15,000	Dividends	None	☐
Inherited IRA ⇒ Apple Inc. (AAPL) [ST]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ Deposit Sweep Account [BA]		$1 - $1,000	Interest	$1 - $200	☐
Inherited IRA ⇒ DFA Int'l Core Equity [MF]		$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ DFA US Core Equity (DFEOX) [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ Federated MDT Small Cap [MF]		$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ Natixis LS Senior Flat Rate (LSFYX) [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ PIMCO FDS Income Instl (PIMIX) [MF]		$15,001 - $50,000	Dividends	$1 - $200	☐

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Inherited IRA ⇒ SPDR Series Trust Portfolio (SPTM) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ T Rowe Price Blue Chip Growth [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ T Rowe Price Health Sciences [MF]		$1,001 - $15,000	Tax-Deferred		☐
Inherited IRA ⇒ WCM Focust Int Growth Inst (WCMIX) [MF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ Wisdomtree CBOE S&P 500 [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
Inherited IRA ⇒ Wisdomtree Dynamic (DYLS) [EF]		$1,001 - $15,000	Dividends	$1 - $200	☐
IRA ⇒ Blackrock Liquidity Fund [MF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Brown Advantage Sustainable [MF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Calvert Equity Fund (CEYIX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Calvert US Large Cap Value (CFJIX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ CRA Qualified Investment Fund (CRANX) [EF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Delaware Corporate Bond Fund (DGCIX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ Delaware Small Cap Core (DCCIX) [EF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ ISH USD High Yield [MF]		$1,001 - $15,000	Tax-Deferred		☑
IRA ⇒ IShares MSCI EM ESG Optimized (ESGE) [EF]		$15,001 - $50,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
IRA ⇒ IShares MSCI USA ESG Select (SUSA) [EF]		$1,001 - $15,000	Tax-Deferred		☑
IRA ⇒ IShares Trust ISHRS [MF]		$15,001 - $50,000	Tax-Deferred		☑
IRA ⇒ IShares US Treasury Bond (GOVT) [EF]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Merrill Cash/Money Accounts [BA]		$15,001 - $50,000	Tax-Deferred		☐
IRA ⇒ Schwab US Large Cap (SCHV) [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ TIAA CREF Social Choice [EF]		$50,001 - $100,000	Tax-Deferred		☑
IRA ⇒ Vanguard Total International Equity Fund (BNDX) [EF]		$1,001 - $15,000	Tax-Deferred		☐
Manulife Financial Corporation (MFC) [ST]		$1,001 - $15,000	Dividends	$201 - $1,000	☐
Mass Mutual Whole Life Policy [WU]		$15,001 - $50,000	None		☐
People's United Financial, Inc. (PBCT) [ST]		$15,001 - $50,000	Dividends	$1,001 - $2,500	☑
Prudential Annuities (Inherited) [WU] DESCRIPTION: Annuity contract was inherited in 2019	SP	Undetermined	Annuity Distribution	$5,001 - $15,000	☐
Rollover IRA ⇒ AB Global Bond [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ AB High Income [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Rollover IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ American Tower Corporation (AMT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Anthem, Inc. (ANTM) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Capital One Financial Corporation (COF) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Chubb Limited (CB) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Citigroup, Inc. (C) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Constellation Brands, Inc. (STZ) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Danaher Corporation (DHR) [ST]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Fidelity Advantage Floating [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒ Fidelity Advisor Total [MF]	SP	$1,001 - $15,000	Tax-Deferred		✓
Rollover IRA ⇒	SP	$1,001 - $15,000	Tax-Deferred		✓

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Guggenheim Total Return [MF]					
Rollover IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Keurig Dr Pepper Inc. (KDP) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Microchip Technology Incorporated (MCHP) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Pimco Income Fund [MF]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ ProLogis, Inc. (PLD) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Ross Stores, Inc. (ROST) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Sherwin-Williams Company (SHW) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Sysco Corporation (SYY) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑

Asset	Owner	Value of Asset	Income Type(s)	Income	Tx. > $1,000?
Rollover IRA ⇒ Total S.A. (TOT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Truist Financial Corporation (TFC) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Visa Inc. (V) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Walmart Inc. (WMT) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Walt Disney Company (DIS) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Rollover IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	$1,001 - $15,000	Tax-Deferred		☑
Saint Francis Hospital and Medical Center Pension Plan [PE]		Undetermined	None		☐
Templeton World Fund - Class A (TEMWX) [EF]		$15,001 - $50,000	Capital Gains, Dividends	$201 - $1,000	☐
TR 403(b) ⇒ T. Rowe Price Retirement 2025 [MF]		$15,001 - $50,000	Tax-Deferred		☐
United Bank Accounts [BA]		$15,001 - $50,000	Interest	$1 - $200	☐

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule B: Transactions

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Inherited IRA ⇒ PNC Funds Multi Factor Small Cap (PLOIX) [MF]		09/30/2019	S	$1,001 - $15,000	☐
IRA ⇒		01/23/2019	S	$1,001 - $15,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Blackrock High Yield Bond Fund [MF]					
IRA ⇒ Blackrock Liquidity Fund [MF]		08/16/2019	P	$15,001 - $50,000	
IRA ⇒ Brown Advantage Sustainable [MF]		06/14/2019	P	$1,001 - $15,000	
IRA ⇒ Brown Advantage Sustainable [MF]		01/23/2019	P	$15,001 - $50,000	
IRA ⇒ Calvert Equity Fund [MF]		06/14/2019	P	$1,001 - $15,000	
IRA ⇒ Calvert US Large Cap Value [MF]		01/23/2019	P	$1,001 - $15,000	
IRA ⇒ Delaware Corporate Bond Fund [MF]		01/23/2019	P	$1,001 - $15,000	
IRA ⇒ Delaware Small Cap Core Fund [EF]		01/23/2019	P	$1,001 - $15,000	
IRA ⇒ Domini Impact Int'l Equity [MF]		10/17/2019	S	$1,001 - $15,000	☐
IRA ⇒ Domini Impact Int'l Equity [MF]		06/14/2019	S (partial)	$1,001 - $15,000	☐
IRA ⇒ ISH USD High Yield [MF]		06/14/2019	P	$1,001 - $15,000	
IRA ⇒ IShares MSCI ACWI Low Carbon [MF]		10/18/2019	S	$15,001 - $50,000	☐
IRA ⇒ IShares MSCI ACWI Low Carbon [EF]		01/23/2019	P	$1,001 - $15,000	
IRA ⇒ IShares MSCI EM ESG Optimized [MF]		06/14/2019	S (partial)	$1,001 - $15,000	☐
IRA ⇒ IShares MSCI EM ESG Optimized [EF]		01/23/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
IRA ⇒ IShares MSCI USA ESG Select [MF]		06/14/2019	S (partial)	$15,001 - $50,000	☐
IRA ⇒ IShares Trust ISHRS [MF]		10/18/2019	P	$15,001 - $50,000	
IRA ⇒ Schwab US Large Cap [EF]		01/23/2019	P	$15,001 - $50,000	
IRA ⇒ SPDR Series TR [MF]		06/14/2019	S	$1,001 - $15,000	☐
IRA ⇒ SPDR Series TR [MF]		01/23/2019	P	$1,001 - $15,000	
IRA ⇒ TIAA CREF Social Choice [MF]		06/14/2019	P	$1,001 - $15,000	
People's United Financial, Inc. (PBCT) [ST] DESCRIPTION: Exchange of shares of UBNK for PBCT due to merger		07/15/2019	E	$15,001 - $50,000	
Rollover IRA ⇒ AB Global Bond [MF]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ AB High Income [MF]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Abbott Laboratories (ABT) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Alphabet Inc. - Class A (GOOGL) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ American Tower Corporation (AMT) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Anthem, Inc. (ANTM) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Apple Inc. (AAPL) [ST]	SP	12/31/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Rollover IRA ⇒ BlackRock, Inc. (BLK) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Capital One Financial Corporation (COF) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Chubb Limited (CB) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Cisco Systems, Inc. (CSCO) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Citigroup, Inc. (C) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Constellation Brands, Inc. (STZ) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Costco Wholesale Corporation (COST) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Danaher Corporation (DHR) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Fidelity Advantage Floating [MF]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Fidelity Advisor Total [MF]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Guggenheim Total Return [MF]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Home Depot, Inc. (HD) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Honeywell International Inc. (HON) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ JP Morgan Chase & Co. (JPM) [ST]	SP	12/31/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Rollover IRA ⇒ Keurig Dr Pepper Inc. (KDP) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Lockheed Martin Corporation (LMT) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Microchip Technology Incorporated (MCHP) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Microsoft Corporation (MSFT) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ NextEra Energy, Inc. (NEE) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Pimco Income Fund [MF]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ ProLogis, Inc. (PLD) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Ross Stores, Inc. (ROST) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Sherwin-Williams Company (SHW) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Sysco Corporation (SYY) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Thermo Fisher Scientific Inc (TMO) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Total S.A. (TOT) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Truist Financial Corporation (TFC) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Union Pacific Corporation (UNP) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Visa Inc. (V) [ST]	SP	12/31/2019	P	$1,001 - $15,000	

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
Rollover IRA ⇒ Walmart Inc. (WMT) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Walt Disney Company (DIS) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
Rollover IRA ⇒ Zoetis Inc. Class A (ZTS) [ST]	SP	12/31/2019	P	$1,001 - $15,000	
TR 403(b) ⇒ Lincoln Multi-Fund Variable Annuity Fixed Account [MF] Description: Rollover to ML IRA		10/1/2019	S	$1,001 - $15,000	☐
TR 403(b) ⇒ T Rowe Price Growth Equity Portfolio [MF] Description: Rollover to ML IRA		10/1/2019	S	$1,001 - $15,000	☐
TR 403(b) ⇒ Voya Intermediate Bond Portfolio [MF] Description: Rollover to ML IRA		10/1/2019	S	$1,001 - $15,000	☐
Trinity 403(b) ⇒ American Funds Euro Pacific Growth [MF] Description: Rollover to ML IRA		10/1/2019	S	$15,001 - $50,000	☐
Trinity 403(b) ⇒ Columbia Small Cap Value Fund [MF] Description: Rollover to ML IRA		10/1/2019	S	$1,001 - $15,000	☐
Trinity 403(b) ⇒ Diamond Hill Large Cap [MF] Description: Rollover to ML IRA		10/1/2019	S	$15,001 - $50,000	☐
Trinity 403(b) ⇒ Franklin Small Cap Growth Advantage [MF] Description: Rollover to ML IRA		10/1/2019	S	$1,001 - $15,000	☐
Trinity 403(b) ⇒ Lincoln Stable Value Fund [MF] Description: Rollover to ML IRA		10/1/2019	S	$50,001 - $100,000	☐
Trinity 403(b) ⇒ Loomis Sayles Growth [MF]		10/1/2019	S	$15,001 - $50,000	☐

Asset	Owner	Date	Tx. Type	Amount	Cap. Gains > $200?
DESCRIPTION: Rollover to ML IRA					
Trinity 403(b) ⇒ Metropolitan West Total Return Fund [MF] DESCRIPTION: Rollover to ML IRA		10/1/2019	S	$50,001 - $100,000	☐
United Financial Bancorp, Inc. (UBNK) [ST] DESCRIPTION: Exchange of shares of UBNK for PBCT due to merger		07/15/2019	E	$15,001 - $50,000	

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit https://fd.house.gov/reference/asset-type-codes.aspx.

Schedule C: Earned Income

Source	Type	Amount
Connecticut Children's Specialty Group, Inc.	Spouse Salary	N/A

Schedule D: Liabilities

Owner	Creditor	Date Incurred	Type	Amount of Liability
	Chase Bank	July 2011	Mortgage on personal residence (not rented)	$15,001 - $50,000

Schedule E: Positions

None disclosed.

Schedule F: Agreements

None disclosed.

Schedule G: Gifts

None disclosed.

Schedule H: Travel Payments and Reimbursements

None disclosed.

Schedule I: Payments Made to Charity in Lieu of Honoraria

None disclosed.

Schedule A and B Asset Class Details

- Inherited Brokerage Account (Owner: SP)
  LOCATION: US
  DESCRIPTION: Account inherited in 2019
- Inherited IRA (Owner: SP)
  DESCRIPTION: IRA inherited in December 2018
- IRA
- Rollover IRA (Owner: SP)
- TR 403(b) (Owner: SP)
- Trinity 403(b) (Owner: SP)

Exclusions of Spouse, Dependent, or Trust Information

IPO: Did you purchase any shares that were allocated as a part of an Initial Public Offering?

○ Yes ◉ No

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

○ Yes ◉ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

○ Yes ◉ No

Certification and Signature

☑ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Hon. Joe Courtney , 08/5/2020