# THE ENVIRONMENT

## China's Pollution Challenges and Health Concerns

During the Commission's 2014 reporting year, widespread and severe environmental challenges continued to confront China and to threaten citizens' health,<sup>1</sup> including soil, air, water, and other pollution problems. Environmental problems reportedly have led to increasing migration by China's wealthy to less polluted areas in China or to locations outside the country.<sup>2</sup> Soil pollution in China has been linked to food safety concerns both domestically and internationally.<sup>3</sup> Results of a national soil pollution survey showed that over 19 percent of arable land soil samples collected from across China were contaminated.<sup>4</sup> The survey also showed that over 36 percent of the soil samples collected from sites around hundreds of heavy polluting industries exhibited contamination.<sup>5</sup> This figure is significant given that according to one study, approximately 110 million Chinese people live within one kilometer of "key" polluting enterprises<sup>6</sup> (in 2014, there were 14,410 total "key" enterprises).<sup>7</sup> Air pollution incidents in several locations led authorities to take actions such as closing schools, canceling flights, and closing highways because of low visibility.<sup>8</sup> Chinese citizens,<sup>9</sup> as well as U.S. scientists and authorities and South Korean officials, expressed concern about China's air pollution, which has crossed international boundaries.<sup>10</sup>

During this reporting year, news articles chronicled a number of environmental accidents in China that affected drinking water supplies,<sup>11</sup> highlighting both transparency issues and ongoing challenges in maintaining drinking water quality. At a United Nations meeting in May 2014, a representative of the Chinese government asserted that over 98 percent of China's city residents have access to "safe drinking water," <sup>12</sup> although it is uncertain whether he was referring to all urban areas. One report cited official statistics indicating that in 2012, 95.3 percent of the drinking water in 113 "key" cities met quality standards, but pointed out that officials did not disclose drinking water quality from thousands of other cities.<sup>13</sup> The same report cited a source asserting that only about half of urban drinking water met standards.<sup>14</sup> Rural residents reportedly face more formidable challenges in accessing safe drinking water than their urban counterparts.<sup>15</sup> A Ministry of Land Resources survey indicated that 280 million people in China still use unsafe drinking water.<sup>16</sup>

In addition, dirty migration, whereby polluting industries move to less developed areas, remains a problem. Environmental protection efforts have lagged behind and information disclosure has been lower in less developed areas.<sup>17</sup> This situation is problematic because it potentially leaves rural residents more vulnerable to the effects of pollution.<sup>18</sup> A news source reported that relocated power plants to the Xinjiang Uyghur Autonomous Region (XUAR) have affected public health.<sup>19</sup> The largely minority populations in the XUAR and other regions face serious political risks if they speak out in opposition to such polluting projects.<sup>20</sup> For example, court authorities in Biru county, Tibet Autonomous Region, sentenced three environmentalists to respective prison terms of 3, 9, and 13 years for allegedly leading a public demonstration against pollution from mining activities.<sup>21</sup> [For more information on these three cases, see Section V—Tibet.]

### Regulatory Developments and Challenges to Rule of Law and Accountability

Party leaders' speeches  $^{22}$  and provisions in a major planning document suggest that central authorities have raised the priority of regulating threats to environmental quality. During the Third Plenum of the 18th National Congress of the Chinese Communist Party Central Committee in November 2013,<sup>23</sup> the Party issued a major planning document, the Central Committee Third Plenum Decision on Certain Major Issues Regarding Comprehensively Deepening Reforms (the Decision), which contained provisions in support of environmental protection, including provisions to strengthen enforcement.<sup>24</sup> The Decision's provisions highlighted the following objectives: publishing environmental information in a timely manner, strengthening public supervision, and strictly implementing a compensation payments system for ecological or environmental damage,<sup>25</sup> among several other goals.<sup>26</sup>

### REVISIONS TO THE ENVIRONMENTAL PROTECTION LAW: STRENGTHS AND WEAKNESSES

In April 2014, the National People's Congress Standing Committee passed substantial revisions to the Environmental Protection Law (EPL), the first revisions since 1989,<sup>27</sup> further suggesting that central authorities have raised the priority of regulating threats to the environment.<sup>28</sup> Implementation will be a key determinant for the success of the revised EPL as it is for other regulatory measures.<sup>29</sup> If duly implemented, the revised EPL provisions could have positive effects,<sup>30</sup> some of which are mentioned below.

- 1. Certain articles in the revised EPL have the potential to improve transparency, by:
  - a. Codifying at the level of law the requirement that relevant departments shall (*yingdang*) make full environmental impact assessment (EIA) reports available to the public, except for information that is considered a state or company secret.<sup>31</sup>
  - b. Stipulating that "key" polluting entities should make public the names and amounts of pollutants they emit along with other related details.<sup>32</sup>
  - c. Specifying that if "key" polluting industries do not disclose or disclose false "environmental information," then environmental protection authorities may, through orders, fines, and exposure, compel them to do so.<sup>33</sup>
- 2. Provisions from the revised EPL listed below have the potential to address lax implementation and enforcement, and reduce noncompliance:
  - a. Article 6 stipulates greater responsibility of local government officials for environmental quality.<sup>34</sup>
  - b. Article 26 codifies into law the inclusion of environmental protection targets as criteria in same-level performance evaluations of environmental protection departments and for performance evaluations of lower level governments and officials.<sup>35</sup>

- c. Article 44 specifies that environmental authorities can put on hold approvals for polluting projects in a region if that region is out of compliance with total emission control targets or has not met nationally determined environmental quality targets.<sup>36</sup>
- d. Article 43 allows authorities to collect environmental taxes in some cases instead of pollution emission fees, which remain a policy option, but amounts have been too low to have the desired impact.<sup>37</sup>
- e. Article 59 provides for authorities to impose daily fines on polluting entities under certain conditions.<sup>38</sup>

In addition, the EPL revisions may also improve public oversight of environmental affairs by allowing a narrow, select range of environmental groups to file public interest lawsuits, although limitations and questions remain. Article 58 provides that environmental "social organizations" (*shehui zuzhi*) may bring public interest lawsuits only if the group (1) is registered with a civil affairs bureau at a municipal-level city or above, (2) has been involved in environmental protection public interest activities continuously for five years, and (3) has not broken the law.<sup>39</sup> Experts point out that there is too much ambiguity in the language about which groups will be allowed to file lawsuits and suggest further clarification is needed.<sup>40</sup> Given this ambiguity, there is no certainty that authorities will allow groups without close ties to government agencies to file public interest lawsuits.

Some Chinese and international experts welcomed the revision of the EPL;<sup>41</sup> however, some mentioned remaining deficiencies, such as the need for a central platform to facilitate citizen access to information, and an assessment mechanism to assist in monitoring environmental health.<sup>42</sup> In addition, environmental officials still cannot order a polluting entity to halt operations other than on a temporary basis without approval from the local government.<sup>43</sup> Under the revised EPL, environmental officials can compel "key" polluting industries to disclose pollution emissions and other information, but this authority does not appear to extend to the vast majority of enterprises.<sup>44</sup>

#### ADDITIONAL INSTITUTIONAL AND REGULATORY DEVELOPMENTS

In addition to revising the EPL, authorities took additional steps to strengthen the environmental protection regulatory framework. In November 2013, the Ministry of Environmental Protection issued three important documents that sought to delegate authority to approve, strengthen supervision over, and guide information disclosure regarding environmental impact assessments (EIA).<sup>45</sup> In June 2014, the Supreme People's Court established the Environment and Resources Tribunal to offer "unified guidance and coordination" to China's 134 environmental courts.<sup>46</sup> Central and environmental authorities continued to revise several major environmental laws;<sup>47</sup> discuss far-reaching institutional changes;<sup>48</sup> and draft new laws and plans to address soil contamination,<sup>49</sup> institute environmental taxes,<sup>50</sup> and raise awareness of environmental health.<sup>51</sup> In addition, central and environmental authorities instituted an air pollution target responsibility system in provinciallevel areas;<sup>52</sup> issued a guiding opinion<sup>53</sup> and began to draft national rules that will guide public participation in environmental protection; <sup>54</sup> discussed revamping and expanding pollution permit markets; <sup>55</sup> and planned to expand carbon exchange market pilot projects, <sup>56</sup> including launching trial operation of a national unified carbon market in 2016 in select provinces and cities. <sup>57</sup>

#### AUTHORITIES INCREASED CRIMINAL ENFORCEMENT ACTIONS

During the 2014 reporting period, authorities increased criminal enforcement actions in addition to strengthening the environmental protection regulatory framework. In June 2013, court and procuratorate authorities issued an interpretation that clarified the application of the PRC Criminal Law to environmental cases,<sup>58</sup> and between June and December 2013, security agencies reportedly investigated and handled 247 environmental criminal cases, equivalent to the total number of cases handled in the previous 10 years.<sup>59</sup> The Ministries of Environmental Protection and Public Security issued a joint opinion in December 2013 that outlined closer cooperation between the two ministries in enforcing environmental laws,<sup>60</sup> and by early December, eight provinces and cities had established joint enforcement mechanisms.<sup>61</sup>

## NONCOMPLIANCE AND CORRUPTION

Rule of law in the environmental sector will require more than new or revised laws and regulations, as noncompliance and corruption remain problematic. A survey published in 2014 by several Chinese non-governmental organizations about real-time online air pollution monitoring results from a sampling of China's "key" enterprises for air emissions found that most companies surveyed were in compliance only 30 to 45 percent of the time.<sup>62</sup> An official source indicated that in 2013, environmental protection enforcement personnel found nearly 10,000 infractions of the law and other hazards and risks during environmental inspections.<sup>63</sup> Corruption and disregard for the law reportedly are widespread in the environmental sector <sup>64</sup> and in some cases, they have been linked to pollution accidents.<sup>65</sup>

#### UNRELIABLE LEGAL REMEDIES

Despite improved environmental legislation and increased application of criminal sanctions, significant challenges hinder the development of the rule of law in the area of environmental protection, including ongoing barriers faced by citizens in accessing the courts.<sup>66</sup> During this reporting year, for example, in February 2014, Li Guixin, a resident of Hebei province, tried to file a lawsuit related to air pollution against the Shijiazhuang Municipal Environmental Protection Bureau (EPB).<sup>67</sup> While domestic media touted Li's efforts as the first lawsuit by an individual against an EPB,<sup>68</sup> Li did not get his day in court because no court would accept the case.<sup>69</sup> In addition, a Chinese news article reported that Li was subject to pressure from "all sides," including from his lawyer, who decided to drop Li as a client.<sup>70</sup>

Another case illustrating the obstacles citizens faced in their efforts to access the courts concerns the April 2014 major benzene chemical spill in Lanzhou municipality, Gansu province.<sup>71</sup> Five citizens filed a lawsuit over the spill, but a court did not accept the lawsuit and, without providing a written response, stated that the litigants did not meet the criteria to sue, citing legal provisions related to public interest cases.<sup>72</sup> Sources cite assertions that the court's reasoning is problematic because the citizens were filing the case as individuals directly harmed by the spill, so the case should not have been considered a public interest case.<sup>73</sup> The Supreme People's Court responded to questions about the lower court's decision not to accept the lawsuit by stating that the court where a plaintiff files should be the court that makes the determination to accept or reject the case.<sup>74</sup>

### POLLUTION AND MASS INCIDENTS

Pollution and environmental degradation problems are among the primary triggers of environmental mass incidents.<sup>75</sup> For example, in May 2014, authorities in Hangzhou municipality, Zhejiang province, reportedly detained at least 53 people linked to their participation in a mass protest<sup>76</sup> against a waste incinerator, and 7 others for "spreading rumors" about the protest.<sup>77</sup> In another example, on March 30, 2014, thousands of people joined a peaceful protest against a paraxylene (PX) plant in Maoming municipality, Guangdong province,<sup>78</sup> that eventually turned violent.<sup>79</sup> Human Rights Watch called upon Chinese authorities to launch an investigation into possible excessive use of force in Maoming.<sup>80</sup> Officials administratively detained 26 people and criminally detained 18 others on unspecified charges.<sup>81</sup> One resident reportedly said citizens had not been consulted about the project.<sup>82</sup> News articles reported instances of censorship,<sup>83</sup> deletion of journalists' photographs,<sup>84</sup> and journalists being blocked from entering the city<sup>85</sup> or being required to have a special permit.<sup>86</sup> The government and some schools and employers pressured workers and students to support the plant and not to participate in the demonstrations.<sup>87</sup>

### Environmental Transparency: Advances and Setbacks

During the 2014 reporting period, Chinese and international media reported that Chinese authorities had made advances in environmental transparency. A Chinese NGO research report indicated that in more than 100 cities, disclosure of air quality data had improved since 2011.<sup>88</sup> As of January 2014, 179 cities had started to disclose to the public real-time information on air quality.<sup>89</sup> Also in January, a national environmental measure came into force requiring "key enterprises and scaled livestock and poultry farms" to self-monitor and disclose air, water, noise, and other pollution emissions data.<sup>90</sup> The measure also required enterprises that have automated monitoring systems to disclose emissions data on a real-time basis.<sup>91</sup> A January 2014 preliminary evaluation of compliance with the measure indicated some positive results.<sup>92</sup> In April 2014, authorities made available to the public limited general data from a sample-based national survey on soil pollution,<sup>93</sup> following a previous refusal to release it on the grounds that the data was a "state secret." <sup>94</sup> While the disclosure represents a step forward, the general and incomplete nature of the information hinted that officials may be reluctant to move toward full disclosure.<sup>95</sup>

During the reporting year, censorship persisted and citizens con-tinued to face obstacles in accessing environmental information from governmental agencies. In February 2014, sources reported that Chinese officials fired an editor from the Finance Channel of Chinese Central Television (CCTV) for allowing posts complaining about the air quality in Beijing municipality on the channel's official Sina Weibo microblog, and ordered CCTV not to report on Beijing's air pollution.<sup>96</sup> Chinese officials reportedly deleted references to a Shanghai Academy of Social Sciences research study, which was cited by several news media, that said "Beijing is not livable." 97 As China accelerates its development of nuclear power,98 a source reported on the lack of transparency and some unease regarding communication and cooperation on safety issues at the Taishan nuclear plant under construction in Guangdong province.<sup>99</sup> Other sources highlighted instances in which officials denied environmental information requests. For example, in one case, officials denied a request for information on pollution emission fees collected by environmental officials across the country,100 and in another case, information regarding environmental impact assessment results and emissions data related to an incinerator in Hangzhou municipality, Zhejiang province.<sup>101</sup> One international source noted that requests for information about the implementation of China's Green Credit Directive (the Directive) sent to six Chinese banks went unanswered.<sup>102</sup> Chinese banks are required under the Directive to evaluate the social and environmental impacts of their international project loans.<sup>103</sup>

#### Notes to Section II—The Environment

Notes to Section II—The Environment <sup>1</sup>See, e.g., "China Suspends Officials After Lead Poisoning in Hunan Children," Radio Free Asia, 17 June 14; Cheng Lu and Li Yahong, "China Raises Alert Against Surging Cancer Crisis," Xinhua, 19 April 14; Tom Phillips, "Toxic Smog Threatens Millions of Chinese Lives," Telegraph, 18 February 14; "China Says Air Pollution Affecting Physical, Mental Health of Citizens," Agence France-Presse, reprinted in Shanghai Daily, 6 November 13; Xie Haitao and Liu Hongqiao, "Huai River Cancer" [Huaihe aizheng], Caixin, 30 September 13; "China Has Over 200 'Cancer Villages' Due to Water Pollution: Expert," Caijing, 18 September 13; "China Tackles the Health Effects of Air Pollution," Lancet, 14 December 13; Darren Wee, "Ex-Health Minister Endorses Finding China's Smog Kills 350,000 a Year," South China Morning Post, 7 January 14.

14. <sup>2</sup>Han Yuting, "Environmental Migration" [Huanjing yimin], Economic Observer, 9 February

<sup>14</sup>. <sup>2</sup>Han Yuting, "Environmental Migration" [Huanjing yimin], Economic Observer, 9 February <sup>14</sup>. <sup>3</sup>Wang Yue, "Polluted Farmland Leads to Chinese Food Security Fears," Chinadialogue, 7 January 14; Didi Kirsten Tatlow, "After 'Cadmium Rice,' Now 'Lead' and 'Arsenic Rice, " New York Times, Sinosphere (blog), 30 April 14; Liu Hongqiao, "The Polluted Legacy of China's Larg-est Rice-Growing Province," Chinadialogue, 30 May 14. For information on food safety and China, including the linkage between food safety and pollution and the implications for the United States, see Jason J. Czarnezki et al., Global Environmental Law: Food Safety & China, 25 Geo. Int'l Envtl. L. Rev., 261 (2013), last visited 26 June 14, 261–262, 266, 271; Forum on Health, Environment and Development, Working Group on Food Safety, "Food Safety in China: A Mapping of Problems, Governance and Research," February 2014, chap. 3.4. <sup>4</sup> Ministry of Environmental Protection and Ministry of Land and Resources, "Report of the National Soil Pollution Conditions Survey" [Quanguo turang wuran zhuangkuang diaocha gongbao], 17 April 14, 1, 3; Angel Hsu and William Miao, "Soil Pollution in China Still a State Secret Despite Recent Survey," Scientific American (blog), 18 June 14. The authors explain the methodology of the sample survey and the limited nature of the data disclosed by officials. <sup>5</sup> Ministry of Environmental Protection and Ministry of Land and Resources, "Report of the National Soil Pollution Conditions Survey" [Quanguo turang wuran zhuangkuang diaocha gongbao], 17 April 14, 3-5.

<sup>6</sup>Jin Yu, "280 Million Residents Use Unsafe Drinking Water" [2.8 yi jumin shiyong bu anquan yinyong shui], Beijing News, 15 March 14.

yinyong shui], Beijing News, 15 March 14. <sup>7</sup>The Ministry of Environmental Protection designates the exact number of the "key" polluting enterprises that it targets for monitoring. The number of "key" polluting enterprises varies every year. See Ministry of Environmental Protection, Circular Regarding the National 2014 List of Key Enterprises [To Be] Monitored [Guanyu yinfa 2014 nian guojia zhongdian jiankong qiye mingdan de tongzhi], issued 26 December 13; Ministry of Environmental Protection, Circular Regarding the National 2013 List of Key Enterprises [To Be] Monitored [Guanyu yinfa 2013 nian guojia zhongdian jiankong qiye mingdan de tongzhi], issued 22 March 13; Ministry of Envi-ronmental Protection, Circular Regarding the "National 2012 List of Key Enterprises [To Be]

Monitored" [Guanyu yinfa 2012 nian "guojia zhongdian jiankong qiye mingdan" de tongzhi], issued 31 December 11; Ministry of Environmental Protection, Circular Regarding the "National 2011 List of Key Enterprises [To Be] Monitored" [Guanyu yinfa 2011 nian "guojia zhongdian jiankong qiye mingdan" de tongzhi], issued 25 March 11. <sup>8</sup>Edward Wong, "Response to a City's Smog Points to a Change in Chinese Attitude," New York Times, 24 October 14; "Super Smog in Northern Chinese City of Harbin Closes Schools, Cancels Flights and Halts Buses," Associated Press, reprinted in Washington Post, 21 October 13; "China Cancels Flights, Closes Roads Due to Pollution," Agencia EFE, reprinted in Global Post, 6 October 13; "Third Day of Serious Smog in Beijing Forces Highway Closures," Voice of America, 7 October 13; Edward Wong, "Airpocalypse' Smog Hits Beijing at Dangerous Levels," New York Times, Sinosphere (blog), 16 January 14; Gu Ruizhen, "Course of Events in Serious Pollution [Incidents] in Beijing, Tianjin, Hebei, and Surrounding Areas" [Jing jin ji ji zhoubian dichu fasheng kongqi zhong wuran guocheng], Xinhua, 14 April 14; "China Chases Renewable Energy as Coast Chokes on Air," Wall Street Journal, China Real Time Report (blog), 6 Decem-ber 13; "Shanghai Cloaked in Smog as Air Pollution Hits Dangerous Peak," South China Morn-ing Post, 2 December 13.

<sup>10</sup> Post, 2 December 13. <sup>9</sup> David Stanway, "Complaints About Air Pollution in China's Capital Double in Five Months," Reuters, 14 June 14; Pew Research Center, "Environmental Concerns on the Rise in China, Many Also Worried About Inflation, Inequality, Corruption," 19 September 13, 1. The Pew sur-vey found that between 2008 and 2013 citizen concern over air quality and water pollution in-

<sup>11</sup> Northito Without Minutoni, induction, on the part of the problem of the proble

Business Herald, reprinted in Sina, 27 April 14. <sup>14</sup> Ibid.

<sup>15</sup>Luna Lin, "Chinese Countryside Facing More Serious Drinking Water Crisis Than Cities," Chinadialogue, 7 May 14. <sup>16</sup>Jin Yu, "280 Million Residents Use Unsafe Drinking Water" [2.8 yi jumin shiyong bu

<sup>16</sup> Jin Yu, "280 Million Residents Use Unsafe Drinking Water" [2.8 yi jumin shiyong bu anquan yinyong shui], Beijing News, 15 March 14.
 <sup>17</sup> "Ministry of Environmental Protection: Rural Pollution Emissions Account for 'Half of the Country's' Pollution" [Huanbaobu: nongcun wuran paifang yi zhan zhongguo 'banbi jiangshan'], China Youth Daily, 3 June 11. Institute of Public and Environmental Affairs (IPE) and Natural Resources Defense Council, "Open Environmental Information: Taking Stock: The 2011 Pollution Information Transparency Index (PITI), Third Annual Assessment of Environmental Transparency in 113 Chinese Cities," 16 January 12, 2. The IPE study indicates the level of information disclosure has been lower in inland and western provinces than in coastal areas. Liu Xiaoxing, "Don't Let Rural Environment Become a Forgotten Corner" [Bie rang nongcun huanjing cheng bei yiwang jiaoluo], China Environmental News, 11 March 13. According to an official cited in the China Environmental News report, only 2.8 percent of China's over 600,000 villages are included in comprehensive environmental control efforts.
 <sup>18</sup> He Guangwei, "Special Report: The Victims of China's Soil Pollution Crisis," Chinadialogue, 30 June 14; Luna Lin, "Chinese Countryside Facing More Serious Drinking Water Crisis Than Cities," Chinadialogue, 7 May 14; Gao Jianghong, "Scholar Says Water Quality Situation Is a Disaster, but Worse Are State Secrets" [Xuezhe cheng shuizhi qingkuang hen zaogao dan duo zao shi guojia jimi], 21st Century Business Herald, reprinted in Sina, 27 April 14. This article cites official figures from 2012 that indicate a lower percentage of drinking water meets stand-were deviced to marker or the print of the cites official figures from 2012 that indicate a lower percentage of drinking water meets stand-were deviced to marker or the print of the print of the cites official figures from 2012 that indicate a lower percentage of drinking water meets stand-were deviced to marker or the pr

cites official figures from 2012 that indicate a lower percentage of drinking water meets standards in rural areas than in urban areas. <sup>19</sup> "China Outsourcing Smog to West Region Stirs Protest," Bloomberg, 6 March 14.

<sup>20</sup> Ibid.

<sup>20</sup> Ibid. <sup>21</sup> "China Jails Three Tibetans Over Anti-Mining Protest," Radio Free Asia, 23 December 13; Tibetan Centre for Human Rights and Democracy, "Diru Crackdown: Three Tibetans Sent to Prison for Up to 13 Years, Singer Gets 9 Years in Prison," 23 December 13. For more informa-tion on the protest, see CECC, Annual Report 2013, 10 October 13, 184. For more informa-tion on the individuals sentenced, see the Commission's Political Prisoner Database records 2014-0010 ar Charlenge 2014 0000 or Timing Tackar and 2014 00011 on Tacha

on the individuals sentenced, see the Commission's Political Prisoner Database records 2014-0010 on Chockyab, 2014-00009 on Trinle Tsekar, and 2014-00011 on Tselha. <sup>22</sup> "Two Sessions Exclusive Release: Government Work Report" [Lianghui shouquan fabu: zhengfu gongzuo baogao], Xinhua, 14 March 14. In the 2014 Government Work Report, Premier Li Keqiang emphasized that China should "declare war on pollution." Zhang Qiuliu, "2013 Na-tional Meeting of Environmental Department and Bureau Heads Concludes" [2013 nian quanguo huanbao ting juzhang huiyi bimu], China Environment News, reprinted in Ministry of Puvipomental Partoction. 19 August 13. In a spaceh that raitorated the words of President Xi Environmental Protection, 19 August 13. In a speech that reiterated the words of President Xi Jinping, Zhou Shengxian, Minister of Environmental Protection, said that China should "pro-mote environmental protection to optimize economic development" and "use environmental protection as a mechanism to make economic structural adjustments and transform development

tection as a mechanism to make economic structural adjustments and transform development patterns . . . ," and that "protecting the ecological environment is protecting productivity and improving the ecological environment is developing productivity." <sup>23</sup> "Xinhua Insight: Why the CPC's Third Plenary Session Is Important," Xinhua, 30 August 13. According to Xinhua, traditionally, central Party officials use the third meeting of a new Party congress to issue plans for key policy changes. <sup>24</sup> Chinese Communist Party Central Committee, "Decision on Certain Major Issues Regarding Comprehensively Deepening Reforms" [Zhonggong zhongyang guanyu quannian shenhua gaige

Comprehensively Deepening Reforms" [Zhonggong zhongyang guanyu quanmian shenhua gaige ruogan zhongda wenti de jueding], reprinted in Xinhua, 15 November 13. The Decision urged authorities to "increase the weight of resource consumption, environmental damage, ecological benefits...," in assessing development progress (sec. 4(14)). It also said China would "enhance the duties of local governments" in environmental protection (see. 4(15)) and "strengthen grass-roots law enforcement in . . . environmental protection" (see. 9(31)). <sup>25</sup> Ibid.

<sup>26</sup>Ibid. For more information on other objectives of the decision, see the Decision, secs. 5(18),

<sup>14</sup>(51-54).
 <sup>27</sup> PRC Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15.
 <sup>28</sup> Benjamin Van Rooij and Alex Wang, "China's Pollution Challenge," New York Times, 19

<sup>28</sup> Benjamin Van Rooij and Alex Wang, "China's Pollution Challenge," New York Times, 19 May 14. <sup>29</sup> China Voice: New Environmental Law Needs Thorough Enforcement," Xinhua, 29 April 14; Michelle Ker and Kate Logan, "New Environmental Law Targets China's Local Officials," Chinadialogue, 28 April 14; Benjamin Van Rooij and Alex Wang, "China's Pollution Challenge," New York Times, 19 May 14; "Enforcement Key to China's Battle on Pollution," Wall Street Journal, 6 March 14; Yin Pumin, "Saving the Ecosystem," Beijing Review, 22 May 14. <sup>30</sup> Barbara Finamore, "New Weapons in the War on Pollution: China's Environmental Protec-tion Law Amendments," Switchboard Blog (Barbara Finamore's blog), 24 April 14. <sup>31</sup> PRC Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15, art. 56. In November 2013, authorities issued a revised Government Information Disclosure Guide for Construction Project Environmental Impact Assessments (provisional) that, among other items, mandated that authorities proactively disclose information about environmental impact assessment (EIA)

that authorities proactively disclose information about environmental impact assessment (EIA) processes and full EIA reports to the public after exclusion of information considered to be a state secret, a company secret, or information that involves state security, public safety, economic safety, and social stability. See Ministry of Environmental Protection, Government Information Control for Construction Device Favioremental Protection, Government (Dravi nomic satety, and social stability. See Ministry of Environmental Protection, Government Infor-mation Disclosure Guide for Construction Project Environmental Impact Assessments (Provi-sional) [Jianshe xiangmu huanjing yingxiang pingjia zhengfu xinxi gongkai zhinan (shixing)], 14 November 13, secs. 1(4), 4(1.6). See also Ministry of Environmental Protection (MEP), "To Promote the Reform of EIA Approval and the Functional Transformation, MEP Continuously Releases Three Documents To Delegate Approval Authorities, Intensify Information Disclosure, and Strengthen Supervision of EIA," 18 December 13. <sup>32</sup> PRC Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15, art. 55. <sup>33</sup> Ibid., art. 62.

<sup>33</sup> Ibid., art. 62.
 <sup>34</sup> Ibid., art. 6.
 <sup>35</sup> Ibid., art. 26. For more information on the evolution of the environmental target responsibility system see, Anna Brettell, "A Survey of Environmental Deterrence in China's Evolving Regulatory Framework," in Chinese Environmental Governance. Dynamics, Challenges, and Prospects in a Changing Society, eds. Bingqiang Ren and Huisheng Shou (New York: Palgrave Macmillan, 2013), 41–47; Li Xuan, "Analysis of the '12th Five-Year' Plan Outline: '12th Five-Year' Plan Strengthens Environmental Protection Evaluation Guiding Function" ["Shierwu" guihua gangyao jiedu "shier wu" guihua huanbao kaohe yindao zuoyong], China Environmental News, 26 April 11; Alex L. Wang, "The Search for Sustainable Legitimacy: Environmental Law Review, Vol. 37, No. 2 (2013), 386-391, 398-429.

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 and Bureaucracy in China," Harvard Environmental Law Review, Vol. 37, No. 2 (2013), 386–391, 398–429.
 <sup>36</sup> PRC Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15, art. 44.
 <sup>37</sup> Ibid., art. 43; Zheng Meng, "Environmental Tax on the Horizon," Caijing, 11 March 14. For more information about the ineffectiveness of pollution levies, see Li Jing, "Delays Mount for New Green Levies," South China Morning Post, 12 December 13.
 <sup>38</sup> PRC Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15, art. 59. For a reference to the shift to daily fines, see Barbara Finamore et al., "New Weapons in the War on Pollution: China's Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15, art. 59. For a reference to the shift to daily fines, see Barbara Finamore et al., "New Weapons in the War on Pollution: China's Environmental Protection Law [Zhonghua renmin gongheguo huanjing baohu fa], passed 26 December 89, amended 24 April 14, effective 1 January 15, art. 58.
 <sup>40</sup> Ouyang Yanqin, "Environmental Protection Law Revisions Passed, Limited Relaxation of Public Interest Lawsuit Restrictions" [Huanbao fa xiuding an tongguo gongyi susong youxian fangkai], Caixin, 24 April 14; "Environmental Protection Rights Defense Dilemma: High Costs of Public Interest Litigation, Resolving Disputes Are Time-Consuming" [Huanbao weiquan kurjing: gongyi susong chengben gao jiejue jiufen hao shi chang], Xinhua, reprinted in China

<sup>61</sup> Fublic Interest Litigation, Resolving Disputes Are Time-Consuming [Huanbao weiquan kunjing: gongyi susong chengben gao jiejue jiufen hao shi chang], Xinhua, reprinted in China News, 5 June 14.
 <sup>41</sup> Barbara Finamore et al., "New Weapons in the War on Pollution: China's Environmental Protection Law Amendments," Switchboard Blog (Barbara Finamore's blog), 24 April 14; Yin Pumin, "Saving the Ecosystem," Beijing Review, 22 May 14; Christina Larson, "China Gives Teeth, Finally, to Beijing's New War on Pollution,'" Bloomberg, 28 April 14.

<sup>42</sup>Christina Larson, "China Gives Teeth, Finally, to Beijing's New 'War on Pollution," Bloomberg, 28 April 14; Yin Pumin, "Saving the Ecosystem," Beijing Review, 22 May 14; Geral-dine Ding, "China's New Pollution Rules Help Curb Official Interference," ABC News, 26 April

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Strengthening Environmental Impact Assessment Supervision and Administration Work [Guanyu qieshi jiaqiang huanjing yingxiang pingjia jiandu guanli gongzuo de tongzhi], issued 15 November 13. This Circular seeks to strengthen the supervision and administration of EIAs. <sup>46</sup>David Stanway, "China Supreme Court Appoints Top Environmental Judge," Reuters, 30 June 14. See also "Supreme People's Court Establishes Environment and Resources Tribunal in Response to New Expectations of the Judiciary, Mainly Trying Environmental Pollution and Natural Resources Civil Cases" [Zuigao fa chengli huanzi shenpanting huiying cifa xin qidai zhu shen huanjing wuran ziran ziyuan min an], Legal Daily, 4 July 14. <sup>47</sup> "12th National People's Congress Standing Committee Legislative Plan" [Shier jie quanguo renda changweihui lifa guihua], Xinhua, reprinted in National People's Congress, 31 October 13. This October report indicated that the State Council is reviewing draft revisions to the PRC Water Pollution Prevention and Control Law and the PRC Air Pollution Prevention and Control Law, and that the National People's Congress and the Natural Resources and Environmental Protection Committee are reviewing the PRC Soil Pollution Prevention and Control Law, among several other laws related to environmental protection.

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<sup>52</sup> Angel Hsu, "Provinces in China Commit to Air Pollution Targets," Angel Hsu's blog, 12 Feb-

ruary 14. <sup>53</sup> Ministry of Environmental Protection, Guiding Opinion Regarding Advancing Public Participation in Environmental Protection [Guanyu tuijin huanjing baohu gongzhong canyu de zhidao yijian], reprinted in Environment and Ecology Net, issued 22 May 14; "Promoting Public Partici-pation in Environmental Protection Innovation in Environmental Governance Models—Analysis

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ability" [Huanjing weifa qiye huo mianling minzong shizhi wenze], Economic Information, 5 Au-gust 14. According to the Economic Information article, on August 4, authorities began drafting the Measures for Public Participation in Environmental Protection and at the earliest, may issue it at the end of the year.

<sup>55</sup> "Xinhua Insight: China's Pollution Permit Market Must Be Revamped," Xinhua, 7 April 14. According to Xinhua, authorities are discussing problems with the current pollution permit trading pilot projects and the Ministry of Finance announced plans to set up a national permit trading system. David Stanway and Kathy Chen, "China Mulls National Pollution Permit Trading System," Reuters, 10 January 14. According to the Reuters article, authorities will issue proposals for new pollution permit trading projects.
<sup>56</sup> Coco Liu, "China's Ambitious Cap-and-Trade Plan Rolls Down a Long, Bumpy Runway," Environment and Energy Publishing, ClimateWire, 23 May 14. The article notes that before the end of the decade, Chinese authorities plan to open more pilot projects in cities and provinces. Kathy Chen and David Stanway, "Update 2—China Completes Pilot Carbon Market Rollout, But Take Up Uncertain," Reuters, 19 June 14.
<sup>57</sup> Li Xueyu, "National Unified Carbon Market 2016 Trial Run Some Provinces and Cities To Enter Market First? [Quanguo tongyi tan shi 2016 nian shi yunxing jubu sheng shi xian ruchang], 21st Century Business Herald, 2 September 14. This article indicates that authorities plan to launch trial operation of a national unified carbon market in 2016 with some provinces. 55 "Xinhua Insight: China's Pollution Permit Market Must Be Revamped," Xinhua, 7 April 14.

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<sup>58</sup> Supreme People's Court and Supreme People's Procuratorate, "Supreme People's Court and Supreme People's Procuratorate Interpretation of Certain Issues Related to Laws Applicable in Criminal Cases of Environmental Pollution" [Zuigao renmin fayuan, zuigao renmin jianchayuan] guanyu banli huanjing wuran xingshi anjian shiyong falu ruogan wenti de jieshi], issued 17

<sup>59</sup> Wang Erde, "Within Half a Year 247 Environmental Criminal Cases Filed" [Bannian nei huanjing xing'an li'an 247 qi], 21st Century Business Herald, reprinted in China Environment Net, 4 December 13. <sup>60</sup> Ibid.

61 Ibid.

<sup>62</sup>Kathy Chen and Stian Reklev, "China's Big Polluters Exceed Emission Limits—Report," Reuters, 16 January 14. For detailed information about the case studies examining real-time

Reuters, 16 January 14. For detailed information about the case studies examining real-time data on emissions from key enterprises, see Institute of Public and Environmental Affairs et al., "Real-Time Disclosure Begins: Blue Sky Roadmap Report II" [Qidong shishi gongkai: lantian luxiantu II], 14 January 14, 35, 40-84. According to the Blue Sky report, researchers surveyed 2,506 of the 4,181 "key" enterprises listed for air emissions in 2013. See subsection China's Pol-lution Challenges and Health Concerns in the Blue Sky report for citations to the Ministry of Environmental Protection lists of key state-monitored enterprises from 2011-2014. <sup>63</sup> Ministry of Environmental Protection, "Report on 2013 China Environmental Conditions" [2013 Zhongguo huanjing zhuangkuang gongbao], 27 May 14, Preface. <sup>64</sup> Elizabeth Economy, "China Wakes Up to Its Environmental Catastrophe," Bloomberg Businessweek, 13 March 14; Li Jing, "Ex-Minister Blames China's Pollution Mess on Lack of Rule of Law," South China Morning Post, 21 January 13. According to Qu Geping, the former Minister of the National Environmental Protection Administration, the strategy of coordinating growth with conservation was not implemented "because there was no supervision of govern-ments. It is because the power [sic] is still above the law." William Kazer and Kersten Zhang, "China's Environmental Protection Racket," Wall Street Journal, China Real Time Report (blog), 1 February 13; Hou Shasha, "Last Year 4,843 Government Officials at County Level or Above Were Investigated" [Qunian 4843 ming xianchu ji yishang guanyuan bei chachu], Beijing Daily, 7 January 12.

<sup>65</sup>An Baijie, "Thousands of Officials Punished," China Daily, 11 January 14; Lu Boan, "Guangxi He River Water Pollution Case Verdict, Former Environmental Monitoring Team Leader Sentenced to Six Years" [Guangxi hejiang shui wuran shijian an yishen huanjing jiancha yuan zhiduizhang bei pan liu nian], Xinhua, 19 March 14. The Xinhua news report cited one example. In March 2014, authorities sentenced an environmental protection official to six years"

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12. <sup>67</sup> Feng Jun, "Citizens Fight Haze" [Minjian kang mai], Southern Metropolitan Daily, 10 March 14. Li's requests were that the EPB fulfill its duty to reduce air pollution, compensate him 10,000 yuan in economic damages, and pay the court costs associated with the lawsuit. <sup>68</sup> Ming Hui, "Resident of Shijiazhuang Sues Environmental Protection Bureau Over Air Pollution in First Case of Its Kind" [Shijiazhuang shimin yin kongqi wuran zhuanggao huanbaoju wei quanguo shouli], China National Radio, 25 February 14; Feng Jun, "Citizens Fight Haze" [Minjian kang mai], Southern Metropolitan Daily, 10 March 14.

<sup>69</sup> "Hebei Resident Sues Gov't Over Heavy Air Pollution," China Internet Information Center, reprinted in All-China Women's Federation, 25 February 14; Feng Jun, "Citizens Fight Haze" [Minjian kang mai], Southern Metropolitan Daily, 10 March 14. <sup>70</sup> Feng Jun, "Citizens Fight Haze" [Minjian kang mai], Southern Metropolitan Daily, 10 March 14.

<sup>71</sup>Sui-Lee Wee, "China Blames France's Veolia for Tap Water Pollution," Reuters, 16 April 14; Yin Yue and Gao Shengke, "Lanzhou Benzene Crisis Highlights Water Safety Issues," Caijing, 23 April 14; Sui-Lee Wee, "Chinese Court Dismisses Water Pollution Lawsuit," Reuters, 15 April 14; Sui-Lee Wee, "Chairman of Lanzhou Veolia Apologizes After Water Pollution in China," Reuters, 23 April 14. The spill forced authorities to shut down the water supply for some residents and ware others not to drink the water.

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<sup>74</sup>Yuan Dingbo, "Supreme People's Court Responds to Refusal To Accept and Try Lanzhou Water Pollution Lawsuit, The Decision To Accept an Individual Lawsuit Rests With the Court Where a Plaintiff Files the Case" [Zuigao fa huiying lanzhou shui wuran shijian qisu wei shouli gean shouli you yuangao qisu fayuan ding], Legal Daily, 4 July 14. <sup>75</sup>Luna Lin, "China's Water Pollution Will Be More Difficult To Fix Than Its Dirty Air," Chinadiogue (blog), 17 February 14; "China Outsourcing Smog to West Region Stirs Protest," Bloomberg, 6 March 13. For a discussion of mass incidents in general, including environmental incidents, see Chen Rui, "2012 Mass Incident Research Report" [2012 nian quntixing shijian yanjiu baogao], Legal Daily, 27 December 12, sec. 3. The information presented in Legal Daily is a summary of a longer report. The Legal Daily does not provide information about the meth-odology and other important information in the longer report. Environmental incidents report.

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<sup>63</sup> Patrick Boehler, "Violence, Arrests in Guasnes Over PA Plant, Radio Free Asia, 51 March 14, <sup>63</sup> Patrick Boehler, "Violence, Arrests in Guangdong City of Maoming As Locals Rally Against Petrochemical Plant," South China Morning Post, 31 March 14; Human Rights Watch, "China: Investigate Police Violence at Eco-Protests," 1 April 14; "China's Censors Block Details on Envi-ronmental Protest," Voice of America, 4 April 14; Demetri Sevastopulo and Lucy Hornby, "Chi-nese Environmental Protest Broken Up," Financial Times, 31 March 14. <sup>84</sup> "Maoming Government Holds Closed-Door Press Conference as Thousands Protest in Front of City, Coursement [Building], Attheticing Say, 15 Jaiwand and 44 Chinizal Surgeota Are Bairg

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<sup>11</sup> April 14. <sup>38</sup> Institute of Public and Environmental Affairs (IPE) et al., "Real-Time Disclosure Begins: Blue Sky Roadmap Report II" [Qidong shishi gongkai: lantian luxiantu II], 14 January 14, 1. For additional information about specific components of emergency notification plans and the locations that have established them, see pages 17–21 of the IPE report.

<sup>89</sup> Ibid., 5.

<sup>489</sup> Ibid., 5. <sup>90</sup> Ministry of Environmental Protection, Measures for Supervision Monitoring and Informa-tion Disclosure By Key State-Monitored Enterprises (Provisional) [Guojia zhongdian jiankong qive wuranyuan jianduxing jiance ji xinxi gongkai banfa (shixing)], issued 30 July 13, effective I January 14, arts. 2, 4–9. "Transparency in the Haze," Economist, 8 February 14. For more information about the classification of key enterprises, see Institute of Public and Environ-mental Affairs et al., "Real-Time Disclosure Begins: Blue Sky Roadmap Report II" [Qidong shishi gongkai: lantian luxiantu II], 14 January 14, 34–35. <sup>91</sup> Ministry of Environmental Protection, Measures for Supervision Monitoring and Informa-tion Disclosure By Key State-Monitored Enterprises (Provisional) [Guojia zhongdian jiankong qiye wuranyuan jianduxing jiance ji xinxi gongkai banfa (shixing)], issued 30 July 13, effective I January 14, art. 20. <sup>92</sup> Institute of Public and Environmental Affairs et al., "Real-Time Disclosure Begins: Blue Sky

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 <sup>92</sup> Institute of Public and Environmental Affairs et al., "Real-Time Disclosure Begins: Blue Sky Roadmap Report II" [Qidong shishi gongkai: lantian luxiantu II], 14 January 14, 40–43.
 <sup>93</sup> Ministry of Environmental Protection and Ministry of Land and Resources, "Report on National Soil Pollution Conditions Survey" [Quanguo turang wuran zhuangkuang diaocha gongbao], 17 April 14. For information on the total percentage of land contaminated, see page 1 of the report and page 3 for the percentage of arable land contaminated.
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<sup>95</sup>Angel Hsu and William Miao, "Soil Pollution in China Still a State Secret Despite Recent Survey," Scientific American (blog), 18 June 14. This source notes that the survey was narrow in scope and that officials disclosed only limited and general data from the survey. Officials did not disclose to the public any of the raw data collected, including full information on the sam-

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<sup>101</sup> Kong Lingyu, "NGO Sues Hangzhou EPB for Not Making Information Public, Loses Again"
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 <sup>102</sup> David Hill, "What Good Are China's Green Policies If Its Banks Don't Listen?" Guardian,

16 May 14. <sup>103</sup> Ibid.