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ONE HUNDRED TWELFTH CONGRESS

## U.S. House of Representatives

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### MEMORANDUM TO ALL HOUSE MEMBERS, OFFICERS, AND EMPLOYEES

**FROM:** Committee on Ethics  
Jo Bonner, Chairman *JB*  
Linda T. Sánchez, Ranking Member *LS*

**SUBJECT:** REMINDER: Spouse PTR Transaction Reporting Begins September 30, 2012

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Pending legislation, S. 3625, which has been passed by Congress but is awaiting signature by the President, would modify certain provisions of the STOCK Act. However, Members, officers, and employees should be aware that this legislation would not change any substantive filing obligations or filing deadlines that apply to financial disclosure filers in the House of Representatives. In particular, financial disclosure filers should be aware that the legislation does not change the existing requirement that **beginning September 30, 2012, the requirement to make periodic reports of financial transactions valued over \$1,000 in stocks, bonds, and other securities applies not only to securities owned by the filer or by the filer jointly with another person, but also to transactions in assets owned by the filer's spouse and dependent children.** The Committee takes this opportunity to remind House filers that such transactions must be reported, beginning on September 30, on the filer's periodic transaction reports ("PTRs") regardless of whether S. 3625 is signed into law.

Instructions for completing the form and blank PTR forms, including a fillable PDF version of the form, are available on the Committee's Web site, <http://ethics.house.gov>, under Financial Disclosure/Information and Forms. In addition, the Committee notes that a filer may not submit a brokerage statement instead of completing and filing the official PTR form.

More information about financial disclosure reporting is available on the Committee's Web site, including the Committee's August 17, 2012, pink sheet that discusses the PTR requirement. As always, please feel free to call the Committee's financial disclosure office, at (202) 225-7103, with any questions you may have about financial disclosure reports and obligations.