

A. Biographical Information and Qualifications

1. Name: Mario Cordero
2. Position to which nominated: Commissioner, Federal Maritime Commission
3. Date of appointment:

Nominated January 13, 2015

4. Address:

5. Date and Place of Birth: August 31, 1952, Los Angeles, CA
6. Provide the name, position, and place of employment for your spouse and the names and ages of your children:

- Gloria Cordero, spouse – owner, Cordero & Associates
- Celine Cordero, daughter, 38 years of age
- Mario Andres Cordero, son, 35 years of age

7. List all the college and graduate degrees. Provide year and school attended.

- Santa Clara University School of Law, J.D. 1975 – 1978
- University of Southern California 1974 - 1975
- California State University Long Beach, B.A. 1970 – 1974

8. List all post-undergraduate employment and highlight all management level jobs held and any non-managerial jobs that related to the position for which you are nominated.

Chairman, Federal Maritime Commission Washington, DC	2013 – Present
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Commissioner, Federal Maritime Commission Washington, DC	2011 – 2013
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Commissioner, Board of Harbor Commissioners Port of Long Beach, CA	2003 – 2011
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Senior Attorney, Law Office of Wayne Singer Long Beach, CA	2009 – 2011
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Adjunct Instructor of Political Science (part-time), Long Beach City College	1995 – 2011
Counsel, Safeco Insurance Long Beach, CA	2007 – 2008
Attorney, Adelson, Testan & Brundo Long Beach, CA	2001 – 2006
Attorney, Altman & Shoemaker Encino, CA	1998 – 2001
Attorney, Ochoa & Sillas Los Angeles, CA	1996 – 1998
Attorney, Robin, Carmack & Gonia Tustin, CA	1993 – 1996
Attorney, Nezin, Maher & Johnson Tustin, CA	1988 – 1993
Counsel, Industrial Indemnity Insurance Los Angeles, CA	1987 – 1988
Attorney, State Compensation Insurance Fund Los Angeles, CA	1986 – 1987
Sole Practitioner Long Beach, CA	1982 – 1986

9. Attach a copy of your resume.

Please see attached.

10. List any advisory, consultative, honorary, or other part-time service or positions with Federal, State, or local governments, other than those listed above, within the last five years.

None.

11. List all positions held as an officer, director, trustee, partner, proprietor, agent, representative, or consultant of any corporation, company, firm, partnership, or other business, enterprise, educational, or other institution within the last five years.

President, Association of Pacific Ports	2010 – 2011
Vice President, Association of Pacific Ports	2009 – 2010
Member, Board of Directors California League of Conservation Voters	2008 – 2011
Member, Board of Directors, Museum of Latin American Art	2004 – 2011
Member, Board of Directors, St. John Bosco High School	2005 – 2009

12. Please list all membership you have had during the past ten years or currently hold with any civic, social, charitable, educational, political, professional, fraternal, benevolent or religious organization, private club, or other membership organization. Include dates of membership and any positions you have held with any organization. Please note whether any such club or organization restricts membership on the basis of sex, race, color, religion, national origin, age or handicap.

None of the following organizations restrict membership in any way, and I have not served on any of the organizations below since May 2011.

California League of Conservation Voters, Board of Directors	2008 – 2011
Miller Children's Hospital, Advisory Council	2007 – 2011
Museum of Latin American Art, Board of Directors	2004 – 2011
St. John Bosco High School, Board of Directors	2005 – 2009
Long Beach Bar Association, Committee Chair	2003 – 2004
Mexican American Bar Association, Committee Chair	2001 – 2003
State Bar of California	1980 – Present
Bar of the U.S. District Court, Central District of California	1980 – Present

13. Have you ever been a candidate for and/or held a public office (elected, non-elected, or appointed)? If so, indicate whether any campaign has any outstanding debt, the amount, and whether you are personally liable for that debt.

I was appointed to the Long Beach Harbor Commission.
There was no campaign; therefore, no debt incurred.

I was appointed to the Federal Maritime Commission.
There was no campaign; therefore, no debt incurred.

14. Itemize all political contributions to any individual, campaign organization, political party, political action committee, or similar entity of \$500 or more for the past ten years. Also, list all offices you have held with, and services rendered to, a state or national political party or election committee during the same period.

I have not held any office or provided any service to a State or National political party and/or election committee.

I have made contributions to the following individuals:

Mayor Bob Foster, 2006 - \$500.00 2010 - \$500.00
Long Beach, CA

Mayor Beverly O'Neill, 2002- \$500.00
Long Beach, CA

15. List all scholarships, fellowships, honorary degrees, honorary society memberships, military medals, and any other special recognitions for outstanding service or achievements.

Stanley T. Olafson Award for Advancement of World Trade 2014

Alternative Fuel Vehicle Institute, 2009
20/20 Vision Award, Public Servant Award

Community Hispanic Association, Community Award 2008

League of California Cities/Latino Caucus, 2007
Public Servant Environmental Leadership Award

Mexican American Bar Association, Attorney of the Year Award 2007

16. Please list each book, article, column, or publication you have authored individually or with others. Also list any speeches that you have given on topics relevant to the position for which you have been nominated. Do not attach copies of these publications unless otherwise instructed.

I have authored one article, "Port & Counter Port: Lawsuit will slow cleanup," which appeared in the *Long Beach Press Telegram* on February 17, 2008.

As a Port of Long Beach Harbor Commissioner, I gave the following speeches relevant to the position to which I have been nominated:

Latin American Delegation Port Conference

Addressed port operations and environmental policy.
2005, 2007, 2008

Hemispheric Latin American Conference

Addressed sustainable practices at ports.
Attended two conferences hosted in Panama, Brazil.

Mexican Pacific Port Conference

Addressed cooperative agreements on security and environmental technology.
2007 Conference, hosted by the Ports of Long Beach and Los Angeles
2009 Conference, hosted by the Port of Manzanillo, Mexico

Addressed the subject of international trade with an emphasis on western hemispheric relations on behalf of the Port of Long Beach before an audience of maritime legal professionals.

2006, Buenos Aires, Argentina

American Association of Port Authorities

Participated in panel presentations concerning environmental initiatives and sustainable policy.
2005, 2007, Port of Long Beach, CA

Texas Corridor Transportation Coalition Conference

Addressed efficient transportation of goods.
2005, 2006

Fast Freight Clean Air Conference

Addressed sustainable, environmental port operations.
2007, Los Angeles, CA
2008, New York City, NY

Footwear Logistics Distribution Conference, Recycle Exporter Association
Addressed sustainable practices and advancement of exports.
2010

As a Federal Maritime Commissioner, I have given the following speeches relevant to the position to which I have been nominated:

The Biogas USA West Conference
Addressed sustainable shipping practices.
Oct. 2011, San Francisco, CA

The Global Shippers Forum
Addressed port infrastructure.
Nov. 2011, Atlanta, GA

World LNG Fuels Conference
Addressed environmental maritime practices.
Jan. 2012, Houston, TX

Panama Week
Addressed expansion of Panama Canal and U.S. port infrastructure.
Mar. 2012, Washington, DC

The XXI Latin American Congress of Ports
Addressed sustainability in Latin American port development.
Apr. 2012, Antigua, Guatemala

Logistics Conference, Sala de Las Americas
Addressed role of the Federal Maritime Commission.
Aug. 2012, Bogota, Columbia

FIATA World Congress
Addressed port logistics and infrastructure.
Oct. 2012, Los Angeles, CA

Organization of American States
Addressed sustainable port practices.
Nov. 2012, Washington, DC

Transportation Research Board
Addressed maritime infrastructure and freight policy.
Jan. 2013, Washington, DC

Association of Pacific Ports
Addressed sustainable port practices.
Apr. 2013, Seattle, WA

International Association of Ports and Harbors
Addressed international trade.
May 2013, Los Angeles, CA

Los Angeles Custom Brokers Freight Forwarders Association
Addressed port infrastructure.
Sept. 2013, Long Beach, CA

Port Tech Expo
Addressed global technology and ports.
Sept. 2013, Los Angeles, CA

Marine Log Global GreenShip Conference
Addressed sustainable port practices.
Sept. 2013, Washington, DC

International Warehouse Logistics Association
Addressed sustainable port practices.
Sept. 2013, Los Angeles, CA

2013 CONECT Round Table
Participated in round table discussion on international trade.
Oct. 2013, Washington, DC

International Propeller Club
Addressed Federal Maritime Commission's role and regulatory responsibilities.
Oct. 2013, Washington, DC

California Association of Port Authorities
Addressed port infrastructure and policy.
Oct. 2013, Seattle, WA

Inaugural Conference on Creating an Environmentally Sustainable Maritime Industry
Addressed sustainable port and maritime practices.
Oct. 2013, San Pedro, CA

Western Cargo Conference
Addressed efficiency of maritime transportation.
Oct. 2013, Rancho Mirage, CA

National Association of Waterfront Employers
Addressed consolidation of vessel carrier industry.
Oct. 2013, Washington, DC

Sixth SeaCargo Americas Conference
Addressed port development.
Nov. 2013, Miami, FL

2014 Port Productivity Conference
Addressed challenges facing U.S. ports.
Feb. 2014, Fort Lauderdale, FL

Pulse of the Ports Peak Season Conference
Addressed competitive environment of maritime industry.
Apr. 2014, Long Beach, CA

40th Annual NCBFAA Conference
Addressed Commission regulations affecting ocean transportation
intermediaries.
Apr. 2014, Summerlin, NV

Valparaiso Sustainable Ports Seminar
Addressed sustainable port practices.
May 2014, Valparaiso, Chile

FuturePorts
Gave welcoming remarks.
June 2014, San Pedro, CA

South Carolina International Trade Conference
Addressed port infrastructure.
Sept. 2014, Charleston, SC

5th Annual PortTech Los Angeles Expo
Addressed innovative port technology.
Sept. 2014, Los Angeles, CA

17. Please identify each instance in which you have testified orally or in writing before Congress in a governmental or non-governmental capacity and specify the date and subject matter of each testimony.

- House Committee on Transportation & Infrastructure, Subcommittee on Coast Guard and Maritime Transportation, testimony on FMC 2014 FY Budget request – Apr. 16, 2013

- House Committee on Transportation & Infrastructure, Subcommittee on Coast Guard and Maritime Transportation, testimony on regulatory review – Sept. 10, 2013
- House Committee on Transportation & Infrastructure, Subcommittee on Coast Guard and Maritime Transportation, testimony on FMC Re-authorization - Oct. 29, 2013
- House Committee on Transportation & Infrastructure, Subcommittee on Coast Guard and Maritime Transportation, testimony on FMC 2015 FY Budget request – Mar. 26, 2014
- Senate Committee on Commerce, Science, and Transportation, confirmation hearing - Nov. 30, 2010

18 Given the current mission, major programs, and major operational objectives of the department/agency to which you have been nominated, what in your background or employment experiences do you believe affirmatively qualifies you for appointment to the position for which you have been nominated, and why do you wish to serve in that position?

For the past three years, I have served on the Federal Maritime Commission (FMC) and currently serve as Chairman, effective April 1, 2013. As Chairman, I am the chief executive and administrative officer of the FMC.

In the role of Chairman, I provide management direction to relevant directors at the FMC, and ensure the efficient discharge of their statutory responsibilities.

The FMC carries out important statutorily-mandated programs aimed at maintaining an efficient and competitive international ocean transportation system; protecting the public from unlawful, unfair, and deceptive ocean transportation practices; and resolving shipping disputes. In addition, the FMC's oversight of ocean common carriers, ocean transportation intermediaries, and marine terminal operators is an important element in the effort to protect our Nation's seaports.

Prior to joining the FMC in June 2011, I served eight years on the Board of Harbor Commissioners for the Port of Long Beach (POLB), the second largest port in the Nation. The Board is charged with the exclusive control to manage and set policy in relation to the Harbor District. The duties include providing for the needs of commerce, navigation, and operations related to international trade.

My experience as POLB Harbor Commissioner provided me with first-hand experience on many aspects, not only with regard to port operations, but in addition, paramount issues faced by the maritime community, both in the domestic and international arenas.

My desire to continue to serve on the Federal Maritime Commission as Chairman stems from the positive experiences I gained at the FMC and the Port of Long

Beach, which specifically addressed challenging issues presented before an independent regulatory commission and one of the Nation's largest port complexes.

I have dedicated the past 11 years to the maritime/port industry, an industry that not only is vital to the nation's economy, but one for which I have exhibited a personal passion with regard to the relevant issues of international trade, goods movement, and sustainable development.

19. What do you believe are your responsibilities, if confirmed, to ensure that the department/agency has proper management and accounting controls, and what experience do you have managing a large organization?

First, as Chairman of the FMC I have presided over the Performance and Accountability Report for Fiscal Year 2013 (PAR) submitted by the agency. The fiscal year 2013 independent financial audit, performed by the Inspector General, resulted in an unqualified opinion. In the Statement of Assurance section of the PAR, I provided my assurance that the FMC has no material weaknesses, significant deficiencies, or instances of non-compliance with the laws and regulations to report.

The goals and objective of the Commission are dependent on a keen partnership between the Commission and its management staff. My responsibility would continue to involve not only ensuring the proper oversight, but being proactive on the issues before the Commission by ensuring the staff is accountable to the policies expressed by the Commission and responsive to the needs of the industry and consumers.

Second, my service at the Port of Long Beach provided valuable experience in oversight of management and accounting controls. The gross operating revenue for the Port of Long Beach in fiscal year 2009 (a down year) was estimated at \$311.4 million. In 2008, the revenue sum exceeded \$360 million. Total employees at the POLB approximated 400. The Government Finance Officers Association of the United States and Canada (GFOA) awarded a Certificate of Achievement for Excellence in Financial Reporting to the POLB, for year ending September 30, 2008. This was the 26th consecutive year the POLB had received this prestigious award. Serving on the Board of Harbor Commissioners has provided relevant experience, which has recognized the POLB as a leader in the industry.

20. What do you believe to be the top three challenges facing the department/agency and why?

First, a paramount concern is furthering economic recovery. In this regard, our ability to facilitate commerce and specifically promote policies to advance exports, given the trade imbalance of export-import containerization, is essential.

Promoting the Administration's National Export Initiative is central to the domestic economy and serves to provide a positive impact to the economy.

Second, the emergence of global alliances among ocean carriers brings new dynamics to the Commission's oversight role. This mandates we balance the potential benefits of the cost savings and environmental efficiencies resulting from the coordinated deployment of newer, larger vessels with the potential harm that could come from a concentration of decision-making power in terms of port coverage, sailing schedules, and necessary capacity in the appropriate trade lanes. As part of reviewing the competitive impact of a global alliance, oversight of the changes in capacity is paramount. Specifically, realignment of previously independently operated service strings and how those capacity changes might affect shippers, given current market conditions.

A third challenge is budgetary in nature. As noted, the FMC is an independent agency charged with the regulation of U.S. oceanborne foreign commerce valued at \$930 billion annually. In its oversight role, the FMC's legislative mandate is not only vital to the nation's economy, but ever more important given the increased immunity agreements filed by ocean carriers and marine terminals at our Nation's port authorities. For fiscal year 2015, the FMC submitted budget request totals of \$25,660,000, which includes salaries and benefits for 124 full-time equivalent employees. The requested amount represents minimal spending levels necessary to effectively conduct the Commission's basic day-to-day operations and to meet the responsibilities Congress has entrusted to the agency. While the agency will continue to use its limited resources wisely, I would be remiss not to raise our budgetary issue as one of the top three challenges facing the agency.

B. POTENTIAL CONFLICTS OF INTEREST

1. Describe all financial arrangements, deferred compensation agreements, and other continuing financial dealings with business associates, clients, or customers. Please include information related to retirement accounts.

None.

2. Do you have any commitments or agreements, formal or informal, to maintain employment, affiliation, or practice with any business, association, or other organization during your appointment? If so, please explain.

None.

3. Indicate any investments, obligations, liabilities, or other relationships which could involve potential conflicts of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the FMC's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the FMC designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

4. Describe any business relationship, dealing or financial transaction which you have had during the last ten years, whether for yourself, on behalf of a client, or acting as an agent, that could in any way constitute or result in a possible conflict of interest in the position to which you have been nominated.

In connection with the nomination process, I have consulted with the FMC's designated agency ethics official to identify potential conflicts of interest. Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the FMC designated agency ethics official and that has been provided to this Committee. I am not aware of any other potential conflicts of interest.

5. Describe any activity during the past ten years in which you have been engaged for the purpose of directly, or indirectly influencing the passage, defeat, or modification of any legislation or affecting the administration and execution of law or public policy.

None.

6. Explain how you will resolve any potential conflict of interest, including any that may be disclosed by your responses to the above items.

Any potential conflicts of interest will be resolved in accordance with the terms of an ethics agreement that I have entered into with the FMC's designated agency ethics official and that has been provided to this Committee.

C. LEGAL MATTERS

1. Have you ever been disciplined or cited for a breach of ethics by, or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If so, please explain.

No.

2. Have you ever been investigated, arrested, charged, or held by any Federal, State, or other law enforcement authority of any Federal, State, county, or municipal entity, other than for a minor traffic offense? If so, please explain.

No.

3. Have you or any business of which you are or were an officer ever been involved as a party in an administrative agency proceeding or civil litigation? If so, please explain.

In the fall of 2007, I appealed an unemployment benefit reduction that I had received from the State of California Employment Development Department. From December 2006 to February 2007, I had received unemployment from the State of California while I was between jobs. Months later, I received a notice of repayment because the benefit calculation did not account for a stipend of \$100 per meeting that I received as a Commissioner for the Port of Long Beach. I appealed the notice on the issue of whether the stipend was considered income. The Department denied my appeal, and I promptly repaid approximately \$500 in unemployment benefits.

In 1989, a malpractice suit filed by one of my previous firms' clients named every attorney at the firm. I was quickly dismissed by the Plaintiff because I had not had any significant involvement in the matter at issue.

4. Have you ever been convicted (including pleas of guilty or nolo contendere) of any criminal violation other than a minor traffic offense?
If so, please explain.

No.

5. Have you ever been accused, formally or informally sexual harassment, or discrimination on the basis of sex, race, religion, or any other basis? If so, please explain.

A former agency employee named her then-acting supervisor in an informal EEO complaint filed with the FMC's EEO Director. The complaint is unclear as to specific allegations against me other than conclusory allegations, but appears to

state claims relating to an application for the Inspector General position. I had no role in the resume screening process or the first round of interviews. I have a one-fifth representation under the IG Act; accordingly, my role was limited to the final round of interviews like that of every of other Commissioner.

6. Please advise the Committee of any additional information, favorable or unfavorable, which you feel should be disclosed in connection with your nomination.

None.

D. RELATIONSHIP WITH COMMITTEE

1. Will you ensure that your department/agency complies with deadlines for information set by congressional committee?

Yes.

2. Will you ensure that your department/agency does whatever it can to protect congressional witnesses and whistle blowers from reprisal for their testimony and disclosure?

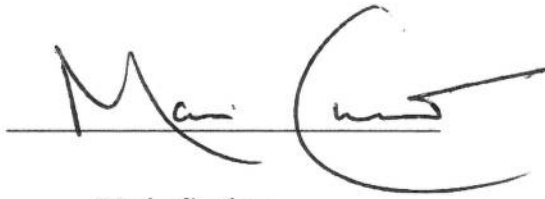
Yes.

3. Will you cooperate in providing the Committee with requested witnesses including technical experts and career employees, with firsthand knowledge of matters of interest to the Committee?

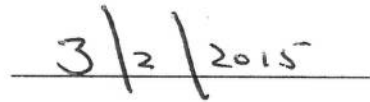
Yes.

4. Are you willing to appear and testify before any duly constituted committee of the Congress on such occasions as you may be reasonably requested to do so?

Yes.

A handwritten signature in dark ink, appearing to read 'Mario Cordero', written over a horizontal line.

Mario Cordero

A handwritten date '3/2/2015' written over a horizontal line.

Date

ATTACHED CALIF. ACKNOWLEDGEMENT Ks 3/2/15

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

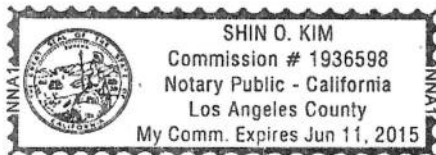
A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
 County of Los Angeles)
 On 8/02/15 before me, SHIN O. KIM, NOTARY PUBLIC,
 Date Here Insert Name and Title of the Officer
 personally appeared MARIO CORDERO
 Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature [Signature]
 Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: Biographical Information & Autobiography Document Date: 20
 Number of Pages: _____ Signer(s) Other Than Named Above: X

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____

Signer's Name: _____
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: _____
 Signer Is Representing: _____