SPETER OOZ

AMENDMENT TO H.R. OFFERED BY Ms. SPEIER

[amendment to NRSRO discussion draft of October 16, 2009

Page 10, strike lines 9 through 15 (and redesignate succeeding subparagraphs accordingly).

Page 31, beginning on line 25, strike "to the extent determined appropriate by the Commission,".

Page 31, line 17, strike "(1) IN GENERAL.—".

Page 32, line 3, strike "to that issuer, underwriter, or placement agent".

Page 32, beginning on line 4, strike "that preceded" and all that follows through "indirectly".

Page 32, strike line 22 through page 33, line 5.

