



Federal Emergency Management Agency

Washington, D.C. 20472

JUN 16 2003

Mr. Daniel P. Mulhollan
Director
Congressional Research Service
The Library of Congress
101 Independence Avenue S.E.
Washington, D.C. 20540-7000

Dear Mr. Mulhollan:

Thank you for your letter dated February 13, 2003, to the Federal Emergency Management Agency, which is now incorporated within the Department of Homeland Security. You wrote to request a report of Hazard Mitigation Grant Program (HMGP) obligations provided to each State in which a major disaster has been declared since the beginning of FY 1998 to include a State-by-State breakdown of the percentage of total declaration funds allowed under the Robert T. Stafford Act. In addition, you reference the Disaster Mitigation Act of 2000 (Code of Federal Regulations [CFR] 44, Subchapter D, Part 201 Mitigation Planning) legislation and the requirement of approved mitigation plans. Due to the nature of your letter it was referred to my office. I apologize for the delayed response.

We clarified your request in a telephone conversation with Mr. Keith Bea on April 10, 2003, in which Mr. Bea explained that by "obligation" you are asking us to provide the HMGP lock-in (ceiling). The 44 CFR, Part 206, Subpart N-Hazard Mitigation Grant Program, Section 206.432 (b) states, the total of Federal assistance under the HMGP, shall not exceed 15 or 20 percent of the total estimated Federal assistance (excluding administrative costs) provided for a major disaster. HMGP's estimate, also known as the ceiling, is established one year after the date of the declaration. Enclosed with this letter is the report as clarified detailing the HMGP lock-in (ceiling) funding for each State starting with FY 1998 through FY 2001.

Division K, Sec. 417, of the Consolidated Appropriations Resolution, 2003, P.L. 108-7, amended Section 404 of the Stafford Act to change the maximum amount of HMGP funds available after a disaster from 15 percent to 7.5 percent of other Stafford Act assistance available for a major disaster declaration. This was passed into law on February 20, 2003.

Since February 20, 2003, there have been seventeen declarations that included the HMGP 7.5 percent rate; however, the ceiling has not been established for these disasters at this time.

During the conversation on April 10, 2003, Mr. Bea also referenced the Disaster Mitigation Act of 2000, Mitigation Planning. To qualify for the 20 percent, a State must have an approved Enhanced State Mitigation Plan in effect prior to the disaster declaration. At this time, none of the States have an Approved Enhanced Mitigation Plan.

I hope this information is helpful to you. Should you have any further questions, please have a member of your staff contact our Office of Legislative Affairs at (202) 646-4500.

Sincerely,

A handwritten signature in black ink, appearing to read "Allan" or "Anthony", written in a cursive style.

Anthony S. Lowe
Director
Mitigation Division
Emergency Preparedness
and Response Directorate

Enclosure

Financial and Lock-In Percent Disaster Information
For Disasters Declared on or After October 1, 1997 through September 30, 2001

By State

State	Fiscal Year 1998 thru 2001	Total Ceiling	Lock-In Percentage	
AK	FY 2000	\$1,621,696	15%	
AL	FY 1998	\$14,595,666	15%	
AL	FY 1999	\$1,170,105	15%	
AL	FY 2000	\$1,304,578	15%	
AL	FY 2001	\$1,447,680	15%	
AR	FY 1999	\$3,956,104	15%	
AR	FY 2001	\$31,299,277	15%	
AZ	FY 2000	\$595,717	15%	
AZ	FY 2001	\$1,672,457	15%	
CA	FY 1998	\$29,903,846	15%	
CA	FY 1999	\$4,150,664	15%	
CA	FY 2000	\$1,222,300	15%	
CO	FY 1999	\$1,158,596	15%	
CO	FY 2001	\$716,326	15%	
CT	FY 1999	\$287,521	15%	
DC	FY 2000	\$144,229	15%	
DC	FY 2001	\$837,621	15%	
DE	FY 1998	\$294,298	15%	
DE	FY 1999	\$770,998	15%	
FL	FY 1998	\$36,829,478	15%	
FL	FY 1999	\$6,413,914	15%	
FL	FY 2000	\$31,218,045	15%	
FL	FY 2001	\$112,571,141	15%	
FM	FY 1998	\$274,967	15%	
GA	FY 1998	\$9,114,309	15%	
GA	FY 1999	\$494,947	15%	
GA	FY 2000	\$6,258,086	15%	
GU	FY 1998	\$15,418,020	15%	
HI	FY 2000	\$1,433,168	15%	
IA	FY 1998	\$6,578,680	15%	
IA	FY 1999	\$5,022,020	15%	
IA	FY 2001	\$1,880,921	15%	

Financial and Lock-In Percent Disaster Information
For Disasters Declared on or After October 1, 1997 through September 30, 2001

By State

ID	FY 2000	\$13,056	15%	
IL	FY 1999	\$124,386	15%	
IL	FY 2001	\$1,061,394	15%	
IN	FY 1998	\$1,492,634	15%	
KS	FY 1999	\$5,563,094	15%	
KS	FY 2000	\$458,693	15%	
KS	FY 2001	\$524,822	15%	
KY	FY 1998	\$5,106,031	15%	
KY	FY 2000	\$2,068,220	15%	
KY	FY 2001	\$3,016,258	15%	
LA	FY 1998	\$7,197,142	15%	
LA	FY 1999	\$2,025,740	15%	
LA	FY 2000	\$406,897	15%	
LA	FY 2001	\$16,601,028	15%	
MA	FY 1998	\$1,704,470	15%	
MA	FY 2001	\$1,620,166	15%	
MD	FY 1999	\$1,098,117	15%	
MD	FY 2000	\$1,512,454	15%	
ME	FY 1998	\$6,654,881	15%	
ME	FY 1999	\$235,410	15%	
ME	FY 2000	\$245,387	15%	
ME	FY 2001	\$207,802	15%	
MH	FY 1998	\$1,172,493	15%	
MI	FY 1998	\$5,085,791	15%	
MI	FY 2000	\$42,292,266	15%	
MN	FY 1998	\$9,358,134	15%	
MN	FY 1999	\$4,520,254	15%	
MN	FY 2000	\$4,992,041	15%	
MN	FY 2001	\$7,235,413	15%	
MO	FY 1999	\$3,098,417	15%	
MO	FY 2000	\$902,018	15%	
MP	FY 1998	\$1,968,581	15%	
MS	FY 1999	\$13,071,263	15%	
MS	FY 2001	\$5,618,974	15%	

Financial and Lock-In Percent Disaster Information
For Disasters Declared on or After October 1, 1997 through September 30, 2001

By State

MT	FY 2000	\$393,757	15%	
MT	FY 2001	\$695,285	15%	
NC	FY 1998	\$4,518,779	15%	
NC	FY 1999	\$82,786,258	15%	
NC	FY 2000	\$4,080,498	15%	
ND	FY 1998	\$2,157,723	15%	
ND	FY 1999	\$12,944,366	15%	
ND	FY 2000	\$121,215,355	15%	
ND	FY 2001	\$4,963,587	15%	
NE	FY 1998	\$4,924,633	15%	
NE	FY 1999	\$729,330	15%	
NE	FY 2001	\$406,678	15%	
NH	FY 1998	\$2,175,609	15%	
NH	FY 2000	\$90,399	15%	
NJ	FY 1998	\$501,148	15%	
NJ	FY 1999	\$9,906,414	15%	
NJ	FY 2000	\$942,439	15%	
NM	FY 1998	\$289,239	15%	
NM	FY 1999	\$315,873	15%	
NM	FY 2000	\$2,503,028	15%	
NV	FY 1999	\$913,318	15%	
NY	FY 1998	\$9,216,299	15%	
NY	FY 1999	\$5,011,192	15%	
NY	FY 2000	\$3,388,804	15%	
NY	FY 2001	\$422,852,331	5%	
OH	FY 1998	\$6,069,540	15%	
OH	FY 2000	\$2,181,398	15%	
OH	FY 2001	\$1,103,635	15%	
OK	FY 1999	\$13,099,997	15%	
OK	FY 2001	\$29,303,135	15%	
OR	FY 1998	330,387	15%	
PA	FY 1998	\$420,999	15%	
PA	FY 1999	\$4,774,441	15%	
PA	FY 2001	1,802,378	15%	
PR	FY 1998	\$221,918,026	15%	

Financial and Lock-In Percent Disaster Information
For Disasters Declared on or After October 1, 1997 through September 30, 2001

By State

PR	FY 2001	\$2,285,588	15%	
RI		NULL		
SC	FY 1998	\$647,091	15%	
SC	FY 1999	\$6,776,073	15%	
SC	FY 2000	\$1,383,540	15%	
SD	FY 1998	\$1,313,781	15%	
SD	FY 1999	\$2,214,385	15%	
SD	FY 2000	\$347,936	15%	
SD	FY 2001	\$919,498	15%	
TN	FY 1998	\$7,603,259	15%	
TN	FY 1999	\$4,520,859	15%	
TN	FY 2000	\$452,908	15%	
TN	FY 2001	\$910,764	15%	
TX	FY 1998	\$6,973,772	15%	
TX	FY 1999	\$26,159,205	15%	
TX	FY 2000	\$710,805	15%	
TX	FY 2001	\$243,100,657	15%	
UT	FY 1999	\$86,350	15%	
VA	FY 1998	\$1,626,281	15%	
VA	FY 1999	\$4,839,104	15%	
VA	FY 2000	\$2,086,048	15%	
VA	FY 2001	\$3,807,264	15%	
VI	FY 1998	\$996,958	15%	
VI	FY 2000	\$1,828,571	15%	
VT	FY 1998	\$2,569,439	15%	
VT	FY 2000	\$475,147	15%	
VT	FY 2001	\$88,659	15%	
WA	FY 1999	\$1,127,964	15%	
WA	FY 2001	\$20,011,499	15%	
WA			15%	
WI	FY 1998	\$4,952,668	15%	
WI	FY 1999	\$641,888	15%	
WI	FY 2000	\$3,454,058	15%	
WI	FY 2001	\$4,185,766	15%	
WV	FY 1998	\$2,031,235	15%	
WV	FY 2000	\$1,433,169	15%	
WV	FY 2001	\$12,886,414	15%	

**Financial and Lock-In Percent Disaster Information
For Disasters Declared on or After October 1, 1997 through September 30, 2001**

By State

WV			15%	
WY	FY 1999	\$120,352	15%	
WY	FY 2001	\$104,956	15%	
The following States will receive the 7.5% funding:				
AK	FY 2003	No Ceiling Established		
AL	FY 2003	No Ceiling Established		
FL	FY 2003	No Ceiling Established		
IL	FY 2003	No Ceiling Established		
KS	FY 2003	No Ceiling Established		
KY	FY 2003	No Ceiling Established		
ME	FY2003	No Ceiling Established		
OK	FY 2003	No Ceiling Established		
MO	FY 2003	No Ceiling Established		
MS	FY 2003	No Ceiling Established		
NC	FY 2003	No Ceiling Established		
NY	FY 2003	No Ceiling Established		
OH	FY 2003	No Ceiling Established		
TN	FY 2003	No Ceiling Established		* Note: The report reflects the amount per year in which disasters were declared from October 1, 1997, FY 1998 to September 30, 2001, FY 2001.
TN	FY2003	No Ceiling Established		
VA	FY 2003	No Ceiling Established		
WV	FY 2003	No Ceiling Established		** Note: In the State of New York for FY 2001, HMCP was set at 3% by the President.