## MEMBER REPORT

## UNITED STATES HOUSE OF REPRESENTATIVES

### ETHICS IN GOVERNMENT ACT

# CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

Please provide the following information. Your address and signature WILL NOT be made available to the public.

William Lewis Owens (Print Full Name) (Daytime Telephone) 202-225-4611

431 Cannon House Office Building Washington, DC 20515

(Complete Address -- Office or Home)

# CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

requesting person upon written application and will be reviewed by the Committee on Ethics or its designee. Any individual who knowingly and willfully and 18 U.S.C. § 1001). falsifies, or who knowingly and willfully fails to file the attached report may be subject to civil penalties and criminal sanctions (See U.S.C. app. 4, § 104 The attached Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on the attached financial disclosure statement and all attached schedules are true, complete, and correct to the best of my knowledge and belief.		5/10/12

Members must file a signed original and two photocopies thereof. Employees must file a signed original and one photocopy thereof.

## \*\*\*FOR OFFICIAL USE ONLY - DO NOT WRITE BELOW\*\*\*

Certification	Signature of Certifying Individual	Date (Month, Day, Year)
It is my opinion, based on the information contained in this Financial Disclosure Statement, that the reporting individual is in compliance with Title I of the Ethics in Government Act (5 U.S.C. app. 4 §§ 101-111).		

child Ethics. Yes ☐ No ☑	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	ssets, "unearned" inco? ? Do not answer "yes	Exemptions Have you excluded from this report any other ass because they meet all three tests for exemption?	
e Yes No V	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	oved by the Committee details of such a trust	Trusts Details regarding "Qualified Blind Trusts" approdisclosed. Have you excluded from this report	
STIONS	TION ANSWER EACH OF THESE QUESTIONS	OR TRUST INFORMATION	PENDENT,	اص
d and the appropriate	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	Yes 🗸 No 🗌	Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?  If yes, complete and attach Schedule V.	<
Č NO	if yes, complete and attach Schedule IX.	Yes V No		
	- 1		Did you, your spouse, or dependent child purchase, sell, or exchange any	
iling in the Yes ✓ No ☐	Did you hold any reportable positions on or before the date of filing in the VIII. current calendar year?  If yes, complete and attach Schedule VIII.	Yes 🗸 No 🗆 V	Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?  If you complete and affach Schedulle III.	=
han \$350 Yes 🗸 No 🗌	Did you, your spouse, or a dependent child receive any reportable travel or VII. reimbursements for travel in the reporting period (worth more than \$350 from one source)?  If yes, complete and attach Schedule VII.	Yes 🗌 No 💟 V	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?  If yes, complete and attach Schedule II.	=
hand	exempt)? If yes, complete and attach Schedule VI.	3		
ble gift in the	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise	Yes 🗀 No 🗀 Vi.	(e.g., salaries or fees) of \$200 d?	- ]
	JESTIONS	OF THESE QUESTIONS	PRELIMINARY INFORMATION ANSWER EACH	٦٢
more than 30 days late.	Termination Date:	☐ Termination	Report ☑ Annual (May 15) ☐ Amendment	A. A
be assessed against anyone who files	Employee			
A \$200 penalty shall	Officer Or Employing Office:	☐ Off	Member of the U.S. State: NY	
(Office Use Only)	(Daytime Telephone)		(Full Name)	
	202-225-4611		William Lewis Owens	
	FORM A  For use by Members, officers, and employees	TATIVES MENT	UNITED STATES HOUSE OF REPRESENTATIVES CALENDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT	ջ ⊂
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## SCHEDULE I - EARNED INCOME

Name William Lewis Owens

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

\$1,000.		
Source	Туре	Amount
Mountain Lake Public Television	Spouse Salary	N/A

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SP Mountain Lake Public \$15,001 - DIVIDENDS/INTE \$201 - \$1,00 Television TIAA/CREF 403B \$50,000 REST/CAPITAL Plan (Attachment 2)	IRA of William L Owens - NBT \$500,001 - Bank (Attachment 1) \$1,000,000 GAINS	SP IRA of Jane Owens- NBT Bank \$1,001 - INTEREST \$201 - \$1,000 (Attachment 3)	Hyrdoionic Technologies \$15,001 - None NONE Common Stock	Garnsey Road Building \$15,001 - RENT \$15,001 - \$5 Partnership	Champlain National Bank \$1,001 - DIVIDENDS \$1 - \$200 Common Stock	If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.	Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.	For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.	For rental or other real property held for investment, provide a complete address.		method other than fair generate tax-deferred income method income (such as 401(k) plans or IRAs), market value, please vou may check the "None"		BLOCK A BLOCK B BLOCK C BLOCK	SCHEDULE III - ASSETS AND "UNEARNED" INCOME  Name William Lewis Owens
\$201 - \$1,000	\$50,001 - \$100,000	\$201 - \$1,000	JONE	\$15,001 - \$50,000	1 - \$200					income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	plans or IRAs), you may check the "None" column. For all other assets, indicate the category of	Amount of Income For retirement accounts that do not allow you to choose specific investments or that generate tax- deferred income (such as 401(k)	BLOCK D	
											exceeding \$1,000 in reporting year.	Transaction Indicate if asset had purchases (P), sales (S), or exchanges (E)	BLOCK E	Page 3 of 10

SCHEDULE III - ASSETS AND "UNEARNED" INCOME Stafford, Owens, Curtin & **NBT Bank Savings** Sharing Kelleher & Trombley Profit Stafford, Piller, Plimpton, **NBT Unexercised Stock Options NBT Common Stock** Murnane Building Partnership \$100,001 -\$250,000 \$250,001 -\$500,000 \$250,001 -\$500,000 \$15,001 -\$50,000 \$100,000 \$50,001 -Name William Lewis Owens RENT None DIVIDENDS/INTE DIVIDENDS INTEREST REST/CAPITAL GAINS NONE \$5,001 - \$15,000 \$201 - \$1,000 \$15,001 - \$50,000 \$15,001 - \$50,000 Page 4 of 10

## SCHEDULE IV - TRANSACTIONS

Name William Lewis Owens

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
Intel Corp	P	N/A	2/28/11	\$15,001 - \$50,000
Altria Group Inc	ס	N/A	10/13/11	\$15,001 - \$50,000
Avista Corp	סר	N/A	10/13/11	\$15,001 - \$50,000
Dodge & Cox International Stock Fund	S(part)	Yes	6/24/11	\$15,001 - \$50,000
Fidelity Dividend Growth Fund	S(part)	Yes	6/24/11	\$1,001 - \$15,000
Verizon Communications	S(part)	Yes	6/28/11	\$1,001 - \$15,000
Intel Corp	S(part)	Yes	6/28/11	\$15,001 - \$50,000
Nuance Communications	S(part)	Yes	6/28/11	\$1,001 - \$15,000
Duke Energy Corp	S(part)	Yes	6/28/11	\$15,001 - \$50,000
Johnson & Johnson	S(part)	Yes	6/28/11	\$1,001 - \$15,000
Jones Lang Lasalle Inc	S(part)	Yes	6/28/11	\$1,001 - \$15,000
	Intel Corp  Altria Group Inc  Altria Corp  Dodge & Cox International Stock Fund  Fidelity Dividend Growth Fund  Verizon Communications  Intel Corp  Nuance Communications  Duke Energy Corp  Johnson & Johnson  Johnson & Lasalle Inc	Asset P ternational Stock Fund P Growth Fund S(p snications S(p salle Inc S(p S(p	Type of Transaction  P  Remainder of Transaction  P  S(part)  S(part)  S(part)  S(part)  Salle Inc  S(part)  S(part)	Asset         Type of Transaction         Capital Gain in Excess of \$200?           P         N/A         2/28           P         N/A         2/28           P         N/A         10/2           P         N/A         10/2           Iternational Stock Fund         S(part)         Yes         6/2           Inications         S(part)         Yes         6/2           Inications         S(part)         Yes         6/2           Inson         S(part)         Yes         6/2

## SCHEDULE IV - TRANSACTIONS

Name William Lewis Owens

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

	SP, DC, JT
Southern Co	Asset
S(part)	Type of Transaction
Yes	Capital Gain in Excess of \$200?
6/28/11	Date
\$15,001 - \$50,000	Amount of Transaction

### SCHEDULE V - LIABILITIES

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgates on

Name William Lewis Owens

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personal	personal residences.			
SP,		Date Liability	-	
J,	Creditor	Incurred	Type of Liability	Amount of Liability
	NBT Bank	1990	Mortgage on One Cumberland Ave, Plattsburgh NY	\$15,001 - \$50,000
<b>4</b>	NBT Bank	February 2005	Mortgage on Personal Residence, Plattsburgh, NY	\$500,001 - \$1,000,000

## SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William Lewis Owens Page 8 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the spouse or dependent child that is totally independent of his or her relationship to you. the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the

Source	Date(s)	Point of Departure DestinationPoint of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Lodging? Food? Member Included? (Y/N) (Y/N) (Y/N)	Days not at sponsor's expense
American Israel	8/7/11-	Burlington, VT - Tel Aviv,	~	~	~	None
Chinese Culture University	12/27/11- 1/1/12	Montreal-Taipei-Montreal	~	Υ	~	None
Government of Canada	10/20/11- 10/21/11	Plattsburgh, NY - Ottawa- Plattsburgh	~	~	Z	None

### SCHEDULE VIII - POSITIONS

Name William Lewis Owens

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representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, honorary nature; and positions listed on Schedule I.

See Attached	Position	
See Attached	Name of Organization	

### SCHEDULE IX - AGREEMENTS

Name William Lewis Owens

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Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
12/15/09	Stafford, Piller, Murnane, Plimpton, Kelleher	Buyout of interest in firm; commenced 1/1/10 for 60 months at
	& Trombley, PLLC	\$2,500 a month.

### Schedule III Attachment (1)

William L. Owens IRA, NBT Bank

Note: Aggregate income reported on Schedule III of filing.

Misc Cash:

Fed Govt MMP #5 - \$250,001-\$500,000

Security Mutual Life Insurance Co of NY - \$15,001-\$50,000

Federated Capital Preservation - \$250,001-\$500,000

**Fixed Income Securities:** 

General Electric Cap Corp Med-Term- \$15,001-\$50,000

Ishares Barclay US TIPS BD FD- \$100,001-\$250,000

Mortgage of Warehouse at Van Buren Street -\$50,001-\$100,000

### **Equities:**

Dodge & Cox International Stock Fund - \$15,001-\$50,000 - S (part)

NBT Bancorp Inc Com/NBT Bancorp INC DVR COM (Merged)- \$100,001-\$250,000

Duke Energy Corp - - \$15,001-\$50,000 - S (part)

Johnson & Johnson - \$1,001-\$15,000 - \$ (part)

Jones Lang Lasalle - \$1,001-\$15,000 - S (part)

Southern Company - \$15,001-\$50,000 - S (part)

Nuance Comm - \$15,001-\$50,000 - S (part)

Verizon - \$15,001-\$50,000 - S (part)

Fidelity Dividend Growth - \$1,001-\$15,000 - S (part)

Intel Corp - \$15,001-\$50,000 - (Purchase/S(part))

Avista Corp - \$15,001-\$50,000 - (Purchase)

Altria Group - \$15,001-\$50,000 - (Purchase)

### Capital Gains:

Dodge & Cox International Stock Fund - \$5,001-\$15,000

Duke Energy Corp - \$1,001-\$2,500

Johnson & Johnson - \$201-\$1,000

Jones Lang Lasalle - \$1,001-\$2,500

Southern Company - \$201-\$1000

Nuance Comm - \$1,001-\$2,500

Verizon - \$1,001-\$2,500

Fidelity Dividend Growth - \$201-\$1,000

Intel Corp - \$201-\$1,000

### Schedule III Attachment (2)

Jane Owens – Mountain Lake Public Television TIAA/CREF 403 (B) Plan

Note: Aggregate income reported on Schedule III of filing.

Guaranteed:

TIAA Traditional - \$1,001-\$15,000.

**Equities:** 

CREF Stock -\$1,001-\$15,000.

Real Estate:

TIAA Real Estate- \$1-\$1,000

Fixed Income:

CREF Infl Linked Bond- \$1-\$1,000

### Schedule III Attachment (3)

IRA of Jane Owens –NBT Bank

Note: Aggregate income reported on Schedule III of filing.

Misc Cash:

Fed Govt MMP 5: \$1,001-\$15,000

### Schedule VIII – Positions

Member – Quebec-New York Coordinating Council

Member – Plattsburgh State University of New York School of Business Advisory Council

Member- American Legion Post 1619