

House Calendar No. 166

111TH CONGRESS }
2d Session }

HOUSE OF REPRESENTATIVES

{ REPORT
{ 111-422

IN THE MATTER OF THE INVESTIGATION INTO OFFI-
CIALLY CONNECTED TRAVEL OF HOUSE MEMBERS
TO ATTEND THE CARIB NEWS FOUNDATION MUL-
TINATIONAL BUSINESS CONFERENCES IN 2007 AND
2008

R E P O R T

OF THE

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

BOOK 2 OF 2



FEBRUARY 26, 2010.—Referred to the House Calendar and ordered to be
printed

**IN THE MATTER OF THE INVESTIGATION INTO OFFICIALLY CONNECTED TRAVEL OF
HOUSE MEMBERS TO ATTEND THE CARIB NEWS FOUNDATION MULTINATIONAL
BUSINESS CONFERENCES IN 2007 AND 2008—BOOK 2 OF 2**

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U.S. GOVERNMENT PRINTING OFFICE

55-121

WASHINGTON : 2010

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111th CONGRESS, 1st SESSION
U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

Appendix D

1214

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS

U.S. HOUSE OF REPRESENTATIVES

REPORT

Review No. 09-6333

The Board of the Office of Congressional Ethics, by a vote of no less than four members, on May 29, 2009 adopted the following report and ordered it to be transmitted to the Committee on Standards of Official Conduct of the U.S. House of Representatives.

SUBJECT: Representative Charles Rangel

NATURE OF THE ALLEGED VIOLATION: There is substantial reason to believe that Congressman Charles Rangel's participation in the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A. from November 7-9, 2008, his acceptance of reimbursement of expenses to attend that conference and the Member Post Travel Disclosure Form he filed violated various provisions of House Rule XXV Section 5(b).

RECOMMENDATION: The Board of the Office of Congressional Ethics recommends that the Committee on Standards of Official Conduct further review the above described allegations concerning Congressman Rangel.

VOTES IN THE AFFIRMATIVE: 6

VOTES IN THE NEGATIVE: 0

MEMBER OF THE BOARD OR STAFF DESIGNATED TO PRESENT THIS REPORT TO THE STANDARDS COMMITTEE: Leo Wise, Staff Director & Chief Counsel.

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 09-6333

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OFFICE OF CONGRESSIONAL ETHICS

U.S. HOUSE OF REPRESENTATIVES

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 09-6333

On May 29, 2009 the Board adopted the following findings of fact and accompanying citations to law, regulations, rules and standards of conduct (*in italics*). The Board notes that these findings do not constitute a determination that a violation actually occurred.

I. INTRODUCTION

1. Congressman Charles Rangel attended the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A., from November 6-9, 2008. Congressman Rangel received funds from a private source to participate in the conference.

A. Summary of Allegations

2. There is substantial reason to believe that Congressman Rangel violated the requirement that he identify the "true, correct and accurate" sponsor of his travel "to the best of his knowledge" on his Member Post Travel Disclosure Form. While forms submitted to his office and the Standards Committee before the conference identified one entity as the sponsor—the Carib News Foundation—once at the conference, Congressman Rangel was presented with indications that other corporations—including Citigroup, IBM, AT&T, Pfizer, Macy's and Verizon Foundation—were also sponsors. Those indications proved to be true—the corporations were in fact sponsors of Congressman Rangel's travel according to House travel rules because the corporations had donated funds specifically for the conference (i.e. earmarked funds). Further, events that occurred in 2007 affected the Standards Committee's ability to determine whether these corporations were sponsors in 2008:
 - a. In 2007, the House of Representatives changed the rules governing travel. Those changes placed limitations on the number of days Members of Congress could participate in events (such as conferences) when their travel was sponsored by an entity that employs or retains a lobbyist. These changes affected the annual Caribbean Multi-National Business Conference. In 2007, the Carib News Foundation continued to identify various corporations as sponsors on the

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conference agenda and on a draft version of the Private Sponsor Travel Certification Form prepared for submission to the Standards Committee for approval. However, after interacting with the counsel to the then-Chair of the Standards Committee, and apparently without the knowledge of the staff attorney reviewing the trip, the documents identifying these corporations as "sponsors" were changed and their sponsorship was not disclosed.

- b. The 2007 conduct and attendant misrepresentations appear to have directly influenced what occurred in 2008. When the Carib News Foundation submitted materials to the Standards Committee for their approval of the 2008 conference, the Carib News Foundation took the same approach as they were instructed to take in 2007 and did not disclose the role of the corporate sponsors on the forms they submitted to the Standards Committee.
3. There is substantial reason to believe that Congressman Rangel violated House Rule 25, clause 5(b)(1)(C) by accepting trip-related reimbursements from entities that employ or retain lobbyists for participating in an event for more than one day.
4. There is substantial reason to believe that Congressman Rangel violated House Rule 25 clause 5(h)(i)(1)(B) by underreporting the value of the travel reimbursements he received to attend the 13th Annual Caribbean Multi-National Business Conference.
5. There is substantial reason to believe that the Carib News Foundation's payment of travel expenses for Representative Rangel and his acceptance of payment violated the Internal Revenue Code. As stated above, Carib News Charities, the name previously held by the 501(c) organization now known as the Carib News Foundation, is listed as a private foundation in the Internal Revenue's cumulative list of charitable organizations, Publication 78. Therefore, the Standards Committee should not have approved the trip because the funds came from a prohibited source.

B. A Note on Culpability

6. These findings fall into three categories: (1) the sponsor or sponsors, as the case appears to be, of the conference; (2) the intervention of the counsel to the former Chair of the Standards Committee in the Standards Committee's review process of the conference in 2007 and how it affected the information before the Standards Committee in 2008; (3) the accuracy of the value of the reimbursement submitted by Congressman Rangel and (4) whether, because of its tax status, the Carib News Foundation could lawfully pay for Congressman Rangel's conference-related expenses.

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7. While all these topics are relevant, the Board notes that they do not all involve conduct by Congressman Rangel to the same degree.
 - a. As to topic 1, determining the identity of the true sponsor or sponsors implicates the conduct of both Congressman Rangel and the Standards Committee. Congressman Rangel told the OCE he saw no evidence of corporate sponsorship at the conference. However, the evidence strongly suggests the Member's statement is not credible. Once Congressman Rangel arrived at the conference, he was almost certainly confronted with information that other corporations were co-sponsors. As such, he had a responsibility to inform the Standards Committee as that information, at least in 2008, does not appear to have been before the Standards Committee when they initially approved the 2008 travel.
 - b. As to topic 2, the intervention of the counsel to the former Chair of the Standards Committee in the Standards Committee's review of the 2007 conference did not involve Congressman Rangel. Nonetheless, it directly bears on whether and to what extent the Standards Committee could determine the true sponsor of the conference in 2008.
 - c. As to topic 3, the accuracy of the information Congressman Rangel provided as to the value of the reimbursement of his conference-related expenses most directly implicates his conduct. The post-travel disclosure form that all Members must file when they accept privately sponsored travel is signed and certified by Members. The form puts the obligation on Members, not staff, not the Standards Committee and not sponsors, to certify that the information on the form is "true, accurate and complete" to the "best of the Member's knowledge." Congressman Rangel stated that while he certified the information on the post-travel disclosure form, he was unaware of the accuracy of the information he certified.
 - d. As to topic 4, limitations on Carib News Foundation's ability to pay for overseas travel of government officials, Congressman Rangel told the OCE he was unaware of any such limitations. The Board finds this statement to be credible. Nonetheless, the law prohibits such payments. Therefore, the Board feels compelled to include a discussion of this issue in this referral.

C. Jurisdictional Statement

8. The allegations that are the subject of this review concern Representative Charles Rangel, a Member of the United States House of Representatives from the 15th District of New York. The Resolution the United States House of Representatives adopted creating the

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Office of Congressional Ethics directs that, “[n]o review shall be undertaken...by the board of any alleged violation that occurred before the date of adoption of this resolution.”¹ The House adopted this Resolution on March 11, 2008. Because the conduct under review occurred or relates to actions taken after March 11, 2008, review by the Office of Congressional Ethics is in accordance with the Resolution.

D. Procedural History

9. A preliminary review in this matter commenced on March 6, 2009 following a written request by at least two members of the OCE Board which was made on February 23, 2009.
10. At least three members of the Board voted to initiate a second-phase review in this matter on March 27, 2009. The Board voted to extend the second-phase review by 14 days, as provided for under the Resolution, on April 24, 2009.
11. The second-phase review ended on May 26, 2009.
12. The Board voted to refer the matter to the Standards Committee for further review on May 29, 2009.
13. The OCE requested documents from and interviews with representatives of:
 - (1) Representative Sheila Jackson-Lee’s Congressional office;
 - (2) the Verizon Foundation;
 - (3) Citibank;
 - (4) American Airlines;
 - (5) IBM;
 - (6) Macy’s, Inc;
 - (7) Pfizer;
 - (8) AT&T;
 - (9) Representative Kilpatrick’s Congressional office;

¹ House Resolution 895 of the 110th Congress, Section 1(e).

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- (10) Representative Payne's Congressional office;
- (11) Representative Rangel's Congressional office;
- (12) Representative Thompson's Congressional office;
- (13) Delegate Christensen's Congressional office;
- (14) The Carib News; and
- (15) The Carib News Foundation

II. Multiple Entities, Including CARIB NEWS (the Newspaper), the Government of St. Maarten and Several Corporations, Sponsored Representative Rangel's Trip

14. *Under House Rule 25 clause 5(d), Members are required to obtain prior approval of the Standards Committee for trips where the Member's travel expenses will be reimbursed by a private source. The Standards Committee has adopted regulations, pursuant to House Rule 25 clause 5(h)(i)(1)(B)² that requires Members to identify the "sponsor(s)," i.e. the party reimbursing travel expenses, for any trip where the payor is a private entity.*

15. There is substantial reason to believe that Congressman Rangel violated the requirement that he identify the "true, correct and accurate" sponsor of his travel "to the best of his knowledge" on his Member Post Travel Disclosure Form. While forms submitted to his and the Standards Committee before the conference identified one sponsor—the Carib News Foundation—once at the conference, Congressman Rangel was presented with evidence that other corporations were also sponsors. As will be discussed below, those indications proved to be true—these corporations were in fact sponsors of Congressman Rangel's travel according to House travel rules because these corporations had donated funds specifically for the conference (i.e. earmarked funds).

A. Representative Rangel's Knowledge of The Involvement of These Corporations in the Conference

16. On his Member Post-Travel Disclosure Form, Congressman Rangel listed Carib News Foundation as the only sponsor of the 13th Annual Caribbean Multi-National Business

² House Rule 25(5)(h)(i)(1)(B) directs the Standards Committee to establish, "regulations describing the information it will require individuals subject to this clause to submit to the committee in order to obtain the prior approval of the committee for any travel covered by this clause . . ." On February 20, 2007, the Committee issued guidelines and regulations concerning the travel restrictions and requirements.

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Conference in St. Maarten, N.A. (hereafter "the trip" or "conference"³) in November 2008.⁴

Original Amendment

RELATIVE OF SOURCE OF U.S. House of Representatives
 2008 NOV 24 PM 3: 05 110th Congress
 OF THE CLERK MEMBER / OFFICER
 U.S. HOUSE OF REPRESENTATIVES POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

1. Name of Traveler: Representative Charles B. Rangel
2. a. Name of Accompanying Family Member (if any): N/A
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
3. a. Date of Departure and Date of Return: 11/7/08 - 11/9/08
 b. Dates at personal expense (if any): N/A
4. Itinerary (cities of departure - destination - return): NY (JFK) - St. Maarten - NY (JFK)
5. Sponsor(s) (who paid for the trip): Carib News Foundation

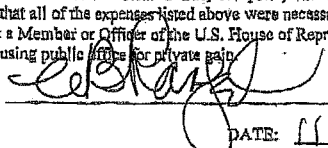
³ The "Member/Officer Post-Travel Disclosure Form" asks the filer at question 5, "Sponsor(s) (who paid for the trip)." This in turn raises two questions: (1) what is a trip and; (2) is a conference a "trip" or is a trip limited to the travel expenses associated with attending the trip, e.g., transportation, lodging and meal expenses? The House Ethics manual and the relevant forms use the terms "travel" and "trip" interchangeably. For example, the relevant form is called a Member/Officer Post-Travel Disclosure Form" (emphasis added). The introductory paragraph on the form provides, "[t]his form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties" (emphasis added). However, question 9 on the form asks the filer to detail "TRIP EXPENSES" and uses as an example, "registration fees." Registration fees are not "travel" expenses unless one defines travel (or a trip) to include participating in a conference. The Board uses the term "trip" to include participating in the conference. The Board also notes that the Standards Committee treated the conference as the "trip" in its letter of October 23, 2008 pre-approving Congressman Rangel's trip.

⁴ Member/Officer Post-Travel Disclosure Form signed by Representative Charles B. Rangel (Nov. 24, 2008) (Exhibit 1 at 09-6333 0002-0003).

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17. Congressman Rangel certified that this information was "true, correct and complete" to the "best of [his] knowledge."⁵

<p>I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.</p>	
<p>✓ SIGNATURE OF MEMBER:</p>	
	<p>DATE: 11-21-08</p>

18. In an interview with the OCE, Congressman Rangel confirmed he signed this document.⁶
19. Prior to the conference, Karl Rodney, the CEO of the Carib News Foundation, submitted a Private Sponsor Travel Certification Form to Congressman Rangel, which he in turn submitted to the Standards Committee that identified the Carib News Foundation as the sponsor of the trip.⁷

<p>U.S. House of Representatives Committee on Standards of Official Conduct</p> <p>PRIVATE SPONSOR TRAVEL CERTIFICATION FORM (provide directly to each House invitee)</p>	
<p>This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.</p>	
<p><i>Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.</i></p>	
1. Sponsor(s) (who will be paying for the trip):	CARIB NEWS FOUNDATION

⁵ *Id.* at 09-6333 0003.

⁶ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0020).

⁷ Private Sponsor Travel Certification Form signed by Karl A. Rodney (Oct. 3, 2008) (Exhibit 1 at 09-6333 0004).

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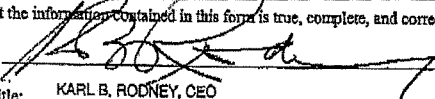
Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

20. Later on that same, form Mr. Rodney represented that "the trip sponsor(s) [had] not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip."⁸

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

21. Rodney also certified that the information was "true, complete, and correct."⁹

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL B. RODNEY, CEO

22. Also prior to the conference, the Standards Committee approved the reimbursement of Congressman Payne's expenses by the Carib News Foundation.¹⁰

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

October 23, 2008

The Honorable Charles B. Rangel
U.S. House of Representatives
2354 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

⁸ *Id.* at 09-6333 0005.

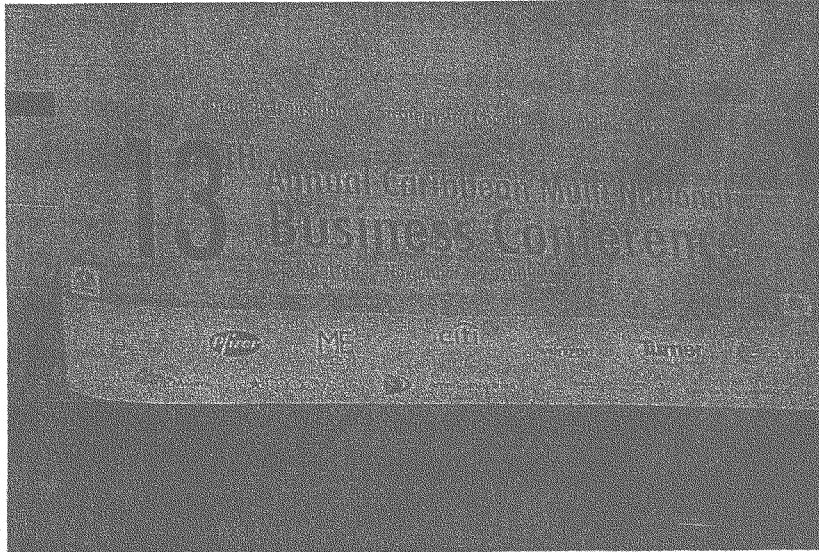
⁹ *Id.* at 09-6333 0006.

¹⁰ Letter from the Committee on Standards of Official Conduct to Representative Charles B. Rangel (Oct. 23, 2008) (Exhibit 1 at 09-6333 0016-0017).

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23. At the conference, several corporations, including Citibank, IBM, AT&T, and others, were identified as “sponsors.”¹¹
24. The signs for the conference displayed these corporations’ logos, including signs hung behind the speaker’s podium.¹² Congressman Rangel arrived at the conference on Friday and left on Sunday. According to his interview with OCE, Congressman Rangel did not attend any of the sessions he did not participate in – with one exception. However, he did attend all the conference meals.¹³ In total, Congressman Rangel would have attended approximately 6 or 7 sessions and/or meals. According to Delegate Christensen, the signs containing the corporate logos were in every room used by the conference.¹⁴ It is therefore likely Congressman Rangel was exposed to the signs bearing the corporate logos.



¹¹ Photographs taken at the 13th Annual Caribbean Multi-National Business Conference (Exhibit 5 at 09-6333 0058-0068) (The Board notes that the source of this information may have a bias, interest, or motive in this matter.).

¹² *Id.*

¹³ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0021).

¹⁴ Memorandum of Interview of Delegate Donna Christensen (May 4, 2009) (Exhibit 26 at 09-6333 0287).

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25. Despite the likelihood that Representative Rangel was exposed to signs bearing corporate logos, in an interview with the OCE, Congressman Rangel stated that he did not see any such signs. He stated that he did not remember any corporations identified as sponsors. While admitting that his lack of memory on this matter was a problem, he nevertheless was unequivocal that he did not see indications that business had sponsored the conference.¹⁵ His statements on this point are not credible and conflict with the statements of other Members of Congress who attended, and the pictorial evidence collected by OCE.

26. Despite his statement that he has no memory of them, these corporate entities, as described below, were in fact sponsors of the conference.

B. CARIB NEWS also Sponsored Congressman Rangel's Travel

27. Karl Rodney is the publisher of the CARIB NEWS, a weekly newspaper published in New York. He also identified himself as the CEO of the Carib News Foundation on the Private Sponsor Travel Certification described above.¹⁶

28. According to Mr. Rodney, "general funds" of CARIB NEWS were "pooled" with payments from corporate sponsors, made both to CARIB NEWS and the Carib News Foundation and fees from attendees at the conference to pay conference expenses including "travel" and "hotel payments."¹⁷

29. Therefore, CARIB NEWS was also effectively a sponsor of Congressman Rangel's trip.

C. Corporate Donations Were Earmarked for the Conference

30. *According to the House Ethics Manual:*

The rule and implementing regulations are concerned with the organization(s) or individual(s) that actually pay for travel. Thus, for example, when a nonprofit organization pays for travel with donations that were earmarked, either formally or informally, for the trip, each such donor is deemed a —private source for the trip and (1) must be publicly disclosed as a trip sponsor on the applicable travel

¹⁵ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0021).

¹⁶ Private Sponsor Travel Certification Form signed by Karl A. Rodney (Oct. 3, 2008) (Exhibit 1 at 09-6333 0006).

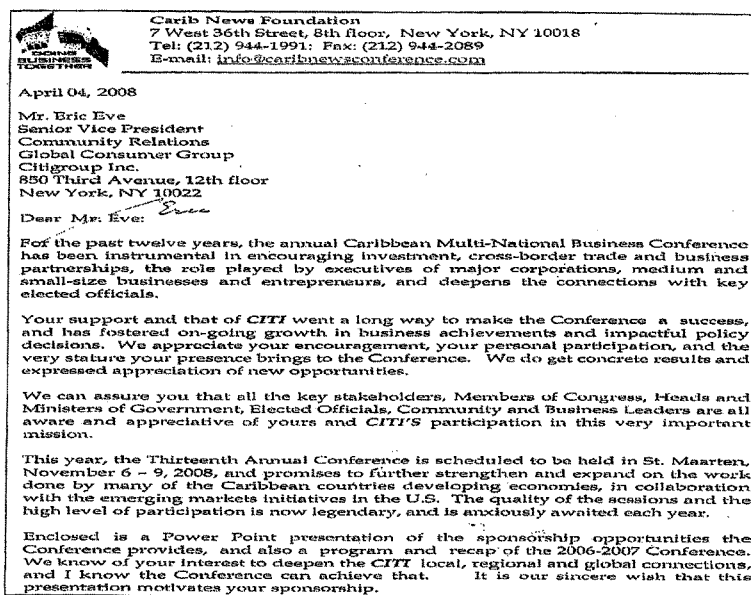
¹⁷ Letter from Joel Cohen, Counsel for Karl Rodney (May 18, 2009) (Exhibit 6 at 09-6333 0069).

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forms and (2) must itself be required to satisfy the above standards on proper sources of travel expenses.

31. In the course of conducting its review, the OCE has learned that the Carib News Foundation solicited earmarked funds for the conference. For example, Carib News Foundation sent a solicitation letter to Citigroup, Inc.¹⁸



32. Attached to this solicitation letter was a PowerPoint presentation.¹⁹ The presentation solicits money only for the conference and not for any other purpose, outlining the benefits of each level of sponsorship – Platinum, Gold, and Silver, along with lesser levels of sponsorship – in terms of the conference.²⁰ In each instance, the sponsorship covers travel related expenses such as gala events and meals.

¹⁸ Letter from Carib News Foundation to Mr. Eric Eve, Citigroup, Inc. (Apr. 4, 2008) (Exhibit 7 at 09-6333 0073) (a nearly identical letter was sent to IBM, AT&T, Pfizer, Verizon Foundation and others).

¹⁹ *Id.* at 09-6333 0075-0090.

²⁰ *Id.* at 09-6333 0083-0086.

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PARTICIPATION**PLATINUM LEVEL - \$75,000**

- ◆ Keynote Speaker at Main Event
- ◆ Sponsor Private VIP Reception and specially arranged forum
- ◆ Special Awards Presentation
- ◆ Display, Exhibition and signage
- ◆ Area of Conference -Reserved for exclusive sponsorship
- ◆ Full page Ad in program
- ◆ Air Transportation for 2 people
- ◆ Accommodations: One Suite and One Standard Room
- ◆ Included in all printed materials
- ◆ Included in Internet promotion
- ◆ Display company logo and link to our website
- ◆ Sponsor of a Gala Event
- ◆ Sponsor and Participate in a workshop
- ◆ Representative listed in program with bio and photo

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PARTICIPATION

GOLD LEVEL - \$50,000

- ◆ Sponsor a Workshop
- ◆ Sponsor a Gala Event
- ◆ Display, Exhibition and signage
- ◆ Included in all Printed Material
- ◆ Included in all Internet Promotion
- ◆ Display of Company Logo and Link to Our Website
- ◆ Full Page Ad in Program
- ◆ Representation in the Brochure
- ◆ Air Transportation & room accommodations for 1

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PARTICIPATION

SILVER LEVEL - \$25,000

- ◆ Sponsor & Participate in a Workshop
- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in all printed materials
- ◆ Included in Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Sponsor an event i.e. breakfast, lunch or dinner
- ◆ Full Page ad in program and recap
- ◆ Representatives listed in program with bio & photos
- ◆ Representatives listed in brochure

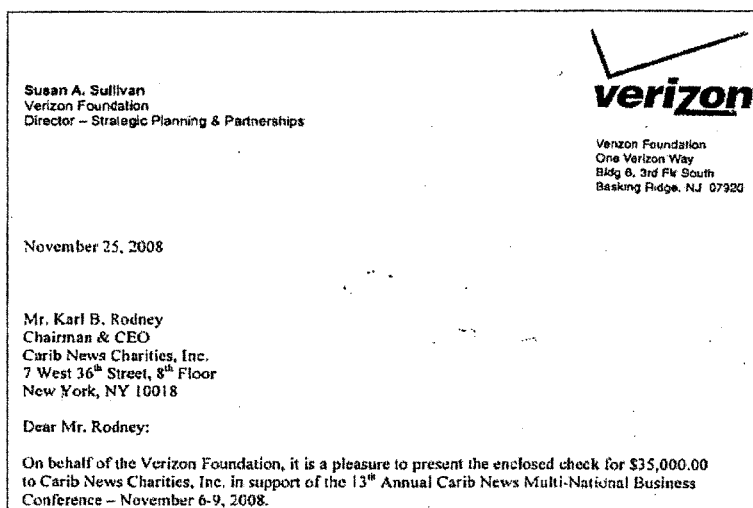
33. The OCE also learned that at least four of the corporations identified at the conference did in fact, make payments to the Carib News Foundation and CARIB NEWS (the newspaper) earmarked for the conference. The Carib News Foundation itself appears to

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be nothing more than a conduit in this case, and a partial one at that, for payments from corporations to support the conference. There is no evidence that the organization has filed a tax return with the IRS, under either Carib News Foundation or Carib News Charities, since 2005.²¹ On its 2005 form 990-PF, the Carib News Foundation is shown as having no assets, no income and no expenses.²² Not a single witness from a sponsor interviewed by the OCE knew of any other work of the Foundation. Indeed one witness, from IBM, was not aware that the Foundation played a role in the conference.²³

34. **Citigroup.** Citigroup made a \$75,000 payment to the CARIB NEWS for the conference.²⁴
35. **Verizon Foundation.** Verizon Foundation made a \$35,000 payment to the Carib News Charities²⁵ for the conference.²⁶



²¹ Letter from IRS to OCE (April 17, 2009) (Exhibit 8 at 09-6333 0095).

²² *Id.* at 09-6333 0096-0107.

²³ Memorandum of Interview of Representative of IBM (May 8, 2009) (Exhibit 9 at 09-6333 0111).

²⁴ Documents submitted to the OCE by Citigroup (Exhibit 7 at 09-6333 0072).

²⁵ Mr. Rodney accepted corporate payments under the names of three entities: The Carib News, the Carib News Foundation, and the Carib News Charities. According to the Secretary of State for the State of New York, the organization that currently operates as the Carib News Foundation originally operated under the name the Carib News Charities.

²⁶ Documents Submitted to the OCE by Verizon Foundation (Exhibit 10 at 09-6333 0117).

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36. Representative 1 of the Verizon Foundation said the Verizon Foundation funded the Carib News Foundation for the first time in 2008 and that the grant was issued to provide funding for the 13th Annual Caribbean Multi-National Business Conference.²⁷
37. **IBM.** IBM made a \$20,000 payment to Carib News Foundation for the conference as indicated on the invoice that CARIB NEWS, INC. (not Carib News Foundation) sent IBM.²⁸

CARIB NEWS INC. 7 WEST 36TH STREET 8TH FLOOR NEW YORK, 10018 212-944-1991		Invoice					
		<table border="1"> <tr> <th>Date</th> <th>Invoice #</th> </tr> <tr> <td>7/16/2008</td> <td>45122</td> </tr> </table>	Date	Invoice #	7/16/2008	45122	
Date	Invoice #						
7/16/2008	45122						
<table border="1"> <tr> <th colspan="2">Bill To</th> </tr> <tr> <td colspan="2"> Wanda McKenzie IBM 2607 Jesters Court Marietta, GA 30068 </td> </tr> </table>				Bill To		Wanda McKenzie IBM 2607 Jesters Court Marietta, GA 30068	
Bill To							
Wanda McKenzie IBM 2607 Jesters Court Marietta, GA 30068							
Description		Amount					
Sponsorship Multi-National Business Conference 2008 TAX ID 13-362060		20,000.00					

38. **Macy's.** Macy's made a \$35,000 payment to CARIB NEWS for the conference.²⁹
39. **Pfizer.** Pfizer made a \$25,000 payment to CARIB NEWS for the conference.³⁰
40. Only American Airlines explicitly limited their sponsorship of the conference to individuals who were not elected officials, prohibiting CARIB NEWS from using any part of their sponsorship on Members of Congress and other government officials and

²⁷ Memorandum of Interview of Representative 1 of Verizon Foundation (Mar. 23, 2009) (Exhibit 11 at 09-6333 0122).

²⁸ Documents submitted by IBM to the OCE (Exhibit 13 at 09-6333 0140).

²⁹ Memorandum of Interview of Representative 1 of Macy's (Exhibit 20 at 09-6333 0232).

³⁰ Interview with Investigative Counsel on May 22, 2009.

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their staff.³¹ No other sponsor with whom we spoke placed restrictions on how or on whom their contribution could be spent. The only expectation was that the contribution would be used for expenses associated with the conference.

D. Because Entities that Employ or Retain Lobbyists Sponsored the Event, Congressman Rangel's Involvement Should Have Been Limited to One Day

41. According to the House Ethics Manual:

***One-Day Event Trip.** The sole exception to the general prohibition on accepting officially-connected travel from a private source that retains or employs lobbyists or agents of a foreign principal is for trips involving attendance at or participation in one-day event (exclusive of travel time and an overnight stay).*

Under the rule, it is permissible for a Member or staff person to accept and meals related to a single night's lodging and meals related to the trip, if offered by the trip sponsor. Members and staff must limit their involvement in connection with the event to a single calendar day, exclusive of travel time and an overnight stay. A Member or staff person may therefore attend only a single day of a multiple-day conference, forum, or other event that is being hosted primarily for individuals other than congressional invitees.

Under the Committee's travel regulations and guidelines implementing the travel provisions of the gift rule, the Committee may permit a second night's stay when determined—on a case-by-case basis to be practically required to participate in the one-day event (House Rule 25, clause 5(b)(1)(C)). Some circumstances in which the Committee may permit a second night's stay are for certain long-distance trips, when a Member or staff person is participating in a full day's worth of officially-connected activities such that a second night's stay is necessary to accomplish the purpose of the trip, or other exceptional circumstances that are described in detail by the traveler. The traveler will be personally responsible for any expenses incurred beyond those allowed by the Committee in connection with the second night's stay.³²

³¹ Documents submitted by American Airlines to the OCE (Exhibit 14 at 09-6333 0142) and OCE Investigative Counsel interview with American Airlines Representative (Mar. 5, 2009). (American Airlines provided an in-kind contribution in the form of ten roundtrip tickets to be used by Carib News in any manner they chose with the exception that no ticket could be used for an elected official or the official's staff or family. The estimated value of their contribution was between \$6,200 and \$7,500).

³² House Ethics Manual at 92-93 (citing House Rule 25, clause 5(b)(1)(C)) (emphasis in original).

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42. Citigroup, IBM and Verizon employ or retain lobbyists.³³
43. Congressman Rangel spent four days at the conference at the expense of the third-party.³⁴
44. There is substantial reason to believe that Congressman Rangel violated *House Rule 25, clause 5(b)(1)(C)* by accepting trip-related reimbursements from entities that employ or retain lobbyists for participating in an event for more than one day.

E. The Government of St. Maarten Made In-Kind Donations to the 2008 Conference and Played a Significant Role in Planning, Organizing and Conducting the 2008 Conference

45. The Government of St. Maarten was the host of the 2008 conference.
46. In that capacity, the Government of St. Maarten provided in-kind donations to support the conference and also had a substantial role in planning the conference. As a result, it was effectively a sponsor of the 2008 conference.³⁵
47. Rodney provided the Leader of Government of St. Maarten, Commissioner Sarah Wescott-Williams, with a list of "Basic Requirements to Host Caribbean Multi-National Business Conference."³⁶ These included:

³³ Clerk of the House of Representatives Lobbying Disclosure Report 2008 (excerpt) (Exhibit 15 09-6333 0148-0153).

³⁴ Member/Officer Post-Travel Disclosure Form signed by Representative Charles B. Rangel (Nov. 24, 2008) (Exhibit 1 at 09-6333 0002-0003).

³⁵ In 2007, the "host" of the conference was the Government of Antigua and Barbuda. In the event that the Government of Antigua and Barbuda provided the same support as St. Maarten did in 2008, then the members may have accepted gifts from a foreign government which would have been reportable under the Foreign Gift and Decorations Act. In addition, if the Government of Antigua provided these gifts to the Members, and if they were more than \$335 in value, then the Members should have disclosed them on their financial disclosure form for 2007, which was filed in May 2008.

³⁶ Letter from Karl Rodney to Commissioner Sarah Wescott-Williams (Aug. 13, 2008) (Exhibit 16 at 09-6333 0155).

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- To provide the care and comfort for the VIP's attending i.e.
 - To provide 25 all-inclusive rooms for the VIP's and members of Congress attending;
 - To provide appropriate mode of transportation for VIP's and members of Congress - cars or specialized vans - not buses;
- To be a designated sponsor in one of the categories: *Title, Main or Regular Sponsor* (See Attachment);
- To organize a "Host Country Evening" - The Friday evening, the host country will host at an appropriate location an evening of food, refreshment, cultural presentation and entertainment.
- Provide for local entertainment for the various functions:
 - The Opening Ceremony
 - The Reception
 - The Gala Dinner
- To assist in Promotion and Publicity of the conference both locally and through international offices and official publications;
- Assist in securing competitive hotel rates;
- Equip and staff conference secretariat.
- Prime Minister to issue invitations along with CARIB NEWS to members of the US Congress, other Prime Ministers and Ministers of Governments
- Participate in the Forum with Members of Congress.
- Arrange tours and activities of interest for VIP's and/or their spouse.

48. According to a statement from Mr. Rodney submitted to the OCE, the Government of St. Maarten, (1) developed local Host Committees to facilitate local planning; (2) helped negotiate the rate with the hotel, (3) assisted in developing the theme of the Conference, (4) assisted in identifying local speakers, including the Leader of Government, (5) assisted in local publicity, (6) provided local entertainment, including a school choir and cultural items, (7) arranged Host Country Evening on Friday, November 8 (the whole group was transported to another property for refreshments and entertainment), (8) helped with local transportation for some CARIB NEWS employees and helped arrange accommodations for some guests (not paying for such accommodations), (9) participated as event speakers, and (10) issued invitations to attend to specially invited guests.³⁷

³⁷ Letter from Joel Cohen, Counsel for Karl Rodney (May 18, 2009) (Exhibit 6 at 09-6333 0068-0069).

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These contributions to the conference were all in-kind, according to Mr. Rodney, and he did not provide an estimated value for them.³⁸

49. In addition, because these donations to the conference are from a foreign government, Members are required to take certain actions under the Foreign Gifts and Decorations Act.³⁹

50. Representative Rangel told the OCE that he was unaware of what role the Government of St. Maarten played in the conference. He only knew that they provided protection for him – to include the use of a car and a police escort while he attended the conference.⁴⁰

III. Role of the Chair's Counsel in the Approval of the 12th Annual Caribbean Multi-National Business Conference in 2007

51. Congressman Rangel told the OCE that he relied on the Standards Committee to determine if he could attend the conference.⁴¹ He also stated that he believed the Carib News Foundation was the conference and that the foundation put the program together and invited him to attend.⁴² In the course of the Board's review, the OCE requested documents from Mr. Karl Rodney, the CEO of the Carib News Foundation. Mr Rodney voluntarily submitted documents to the OCE that included, among other things, communication with certain members of the staff of the Committee on Standards of Official Conduct in 2007. The contents of these documents from 2007 are relevant because they indicate the role of the corporations was concealed from the Committee in 2008.

52. The Board requested that the Committee provide the information submitted to it for 2008 and the Committee declined to do so.

53. The documents submitted by Mr. Rodney show that in 2007 the CARIB NEWS initially identified, on several occasions, other corporations as sponsors of the Conference. The designation of these corporate entities as sponsors presented a problem for CARIB NEWS and the Members who wished to attend the conference insofar as their attendance would be limited to one day. In 2007, as a result of changes in the travel rules, a Member could not, in most instances, participate in an event (such as a conference) for more than

³⁸ *Id.*

³⁹ 5 U.S.C. § 7342.

⁴⁰ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0021).

⁴¹ *Id.* at 09-6333 0022.

⁴² *Id.* at 09-6333 0021.

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one day if their travel to and participation in the event was paid for by an entity that employed or retained a lobbyist.⁴³ Many of the corporate entities who sponsored the 2007, as well as the 2008 conference, employed or retained lobbyists. Although CARIB NEWS initially identified these entities as sponsors, following interactions with the counsel to the then-chair of the Committee, apparently without the knowledge of the Standards Committee staff attorney assigned to review the trip, the documents identifying these organizations as sponsors were changed.

54. Subsequently, in 2008, the Carib News Foundation submitted documents that omitted the role of these other corporations as sponsors of the conference. Further, the staff attorney assigned to review the trip in 2008 was not the same staff attorney that reviewed the trip in 2007.
55. The earliest communication between CARIB NEWS or the Carib News Foundation and anyone associated with the Ethics Committee in the documents submitted to the OCE from Mr. Rodney is an e-mail dated September 17, 2007 from Patricia Louis, an Executive Assistant at CARIB NEWS, to Dawn Kelly Mobley, Counsel to the then-Chair of the Ethics Committee.⁴⁴
56. The next day, on September 18, 2007, Ms. Mobley responded and asked for "any information on your sponsor's status."⁴⁵
57. That same day Ms. Louis responded, indentifying multiple corporations as "sponsors" of the trip.⁴⁶

⁴³ House Ethics Manual (2008) at 92-93 (citing House Rule 25, clause 5(b)(1)(C)).

⁴⁴ E-mail from Patricia Louis to Dawn Kelly Mobley (Sept. 17, 2007, 3:47 PM EST) (Exhibit 17 at 09-6333 0204) (The Board elected not to interview Ms. Mobley and Ms. Olson because the Standards Committee voted against disclosing information to the OCE. The Board determined that it would have been an act of bad faith on the part of the OCE to attempt to interview staff and former staff of the Standards Committee in light of the Committee's refusal to grant OCE access to their records.)

⁴⁵ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 18, 2007, 12:57 PM EST) (Exhibit 17 at 09-6333 0204).

⁴⁶ E-mail from Patricia Louis to Dawn Kelly Mobley (Sept. 18, 2007) (Exhibit 17 at 09-6333 0204) (The Board notes that while the document that contains the e-mail does not have a header which indicates a date and time, both the e-mail before it and responding to it are dated September 18, 2007).

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We had received the forms from Shelley.
 The sponsors to date are : MACYS, PFIZER, AMERICAN AIRLINES, GOLDEN KRUST
 BAKERY, CARIBBEAN FOOD DELIGHTS, PREFERRED HEALTH PARTNERS, IBM,
 AT&T/CINGULAR

Regards

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

58. In response that same day, Ms. Mobley asked, “[d]o any of these organizations have or retain a lobbyist?”⁴⁷
59. The next day, Ms. Mobley further responded asking Ms. Louis whether she, Ms. Mobley, should “assume” the sponsor was CARIB NEWS, despite the fact that Ms. Louis had not identified CARIB NEWS as a sponsor.⁴⁸ The Board notes that in her e-mail, Ms. Louis did not identify CARIB NEWS as a sponsor. Further, Ms. Mobley acknowledged that she had been told corporations identified by Ms. Louis were “sponsoring food and other parts of the Antigua travel.” Under House rules in place at the time, and under current rules, sponsoring a meal makes these corporations sponsors of a Member’s travel.⁴⁹ The Board also notes Ms. Mobley’s use of the phrase “safe review,” which the Board takes to mean a review which results in approval of the trip.

⁴⁷ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 18, 2007, 7:03 PM EST) (Exhibit 17 at 09-6333 0203).

⁴⁸ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 19, 2007, 2:16 PM EST) (Exhibit 17 at 09-6333 0203).

⁴⁹ House Ethics Manual (2008) at 97-98.

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From: Kelly Mobley, Dawn
 Sent: Wednesday, September 19, 2007 2:16 PM
 To: 'plouis'
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

Patricia:

I understand that several organizations will take part in sponsoring food and other parts of the Antigua travel. However, I need clarification on the *official* sponsor; that organization providing travel, lodging, and organizing the trip? Am I to assume it is CARIB NEWS? The official sponsoring organization must not retain or employ a registered lobbyist or registered foreign agent (and the sponsor must have a direct and immediate relationship with the event or location being visited). To assist with this, I am scanning information/guidelines and emailing in just a moment. Please provide a fax number if that method of submittal will be more helpful. If there is someone handling this matter, please provide me their direct contact information as I must ensure adherence to these new guidelines pre-travel.

In any event, I do need to know who the official sponsor will be. I have assigned a staff attorney to review your documents and will remain in contact with you until this matter is finalized.

Please respond today or tomorrow at the latest. I will be travelling for a while thereafter and must ensure that my staff attorney has all that is necessary for a safe review.

Dawn Kelly Mobley
 Ethics Counsel for Congresswoman Stephanie Tubbs Jones
 Chairwoman, Committee on Standards of Official Conduct
 U.S. of Representatives
 Washington, DC 20515
 202/225-7103

60. Later in the afternoon on September 19, 2007, Ms. Mobley sent Ms. Louis another e-mail where she discussed the limitations posed by the new travel rules the House had adopted in 2007. Ms. Mobley told Ms. Louis, "the new rules might prohibit some things you were able to do with so many different sponsors in the past." Thus, Ms. Mobley again acknowledged that these corporations were sponsors and that the new travel rules affected the nature of their participation in the trip.⁵⁰

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
 Sent: Wednesday, September 19, 2007 3:23 PM
 To: plouis
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

Lastly, just send the sponsor form asap for review. The new rules might prohibit some things you were able to do with so many different sponsors in the past, but until we get the form, we can't make the most informed decision. I have let the Congresswoman know we are awaiting your sponsor form. Just as you do, they would also like assurance. Thank you.

61. On September 21, 2007, Ms. Louis responded.⁵¹

⁵⁰ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 19, 2007, 3:23 PM EST) (Exhibit 17 at 09-6333 0203).

⁵¹ E-mail from Patricia Louis to Dawn Kelly Mobley (Sept. 21, 2007, 1:56 PM EST) (Exhibit 17 at 09-6333 0201).

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Registration
<p>From: plouis [plouis@nycaribnews.com] Sent: Friday, September 21, 2007 1:66 PM To: 'Dawn.KellyMobley@mail.house.gov' Subject: Re Sponsorship Information</p> <p>The official sponsor of the conference is the CARIB NEWS FOUNDATION, a not-for-profit 501C3 Organization that provides educational information about the Caribbean Region and its relationship with the US in doing business and developing good neighborly ties with the United States, security, health, education etc.</p> <p>The CARIB NEWS FOUNDATION does not retain or employ a registered lobbyist or registered foreign agent.</p> <p>Please use me as your contact for the purposes of this Conference.</p> <p>The form will be emailed to you this afternoon.</p> <p>Regards <i>Patricia Louis</i></p> <p>Executive Assistant CARIB NEWS 7 West 38th Street, 8th Floor New York, NY 10018</p>

62. That same day, on September 21, 2007, Ms. Louis faxed Ms. Mobley a Private Sponsor Travel Certification Form. On the form Carib News Foundation is listed as the sponsor of the trip in question 1.⁵²

⁵² Private Sponsor Travel Certification Form (Sept. 21, 2007) (Exhibit 17 at 09-6333 0191).

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U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. *Please type form.*

Carib News Foundation

1. Sponsor(s) (who will be paying for the trip): _____

63. However, the Carib News Foundation listed a number of corporations as private sponsors, each with responsibility for planning and conducting the trip, in question 12.⁵³ This is at least the second instance where the Carib News Foundation identified these corporations as the trip's sponsors.

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: Pfizer-Education/Health AT&T and IBM - provide technology to the region. MACY's - seeks new vendor sources, Citibank- opportunities in the region.

64. This prompted the following e-mail from Ms. Mobley to Ms. Louis.⁵⁴ The Board notes that Ms. Mobley stated she was "working through" the Carib News Foundation's forms "before leaving them with our staff attorney."

⁵³ *Id.*

⁵⁴ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 25, 2007, 12:42 PM EST) (Exhibit 17 at 09-6333 0188).

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From: Kelly Mobley, Dawn
 Sent: Tuesday, September 25, 2007 12:42 PM
 To: plouis
 Subject: Query

I am working through your forms before leaving them with our staff attorney and have a question. Query: Why did you not list CARIB NEWS as the sponsor as you'd indicated instead of Macy's, etc. The official sponsor for travel, lodging, and planning is still Carib News, correct?

65. Later that same day, Ms. Mobley queried further.⁵⁵ At least as of this date, following at least two instances where Ms. Louis identified these corporations as sponsors, Ms. Mobley appeared to treat these entities as "co-sponsors."

From: Kelly Mobley, Dawn
 Sent: Monday, October 01, 2007 5:08 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Query

We are trying to work through a problem in approving the co-sponsors piece. Are they each paying for a one-day, widely attended event or more days? Please read the Gift Rules I forwarded you on this matter.

66. On October 3, 2007, Ms. Mobley informed Ms. Louis that Susan Olson was the staff attorney assigned to review the trip.⁵⁶
67. A copy of the Private Sponsor Travel Certification Form provided to a Member's office on October 5, 2007, shows that by October 5, 2007, following its interaction with Ms. Mobley, Carib News Foundation changed its answer to question 12 regarding other private sponsors. As previously highlighted, as of September 21, 2007, Carib News Foundation provided the following answer to question 12.⁵⁷

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip. Pfizer-Education/Health AT&T and IBM - provide technology to the region. MACY's - seeks new vendor sources, Citibank opportunities in the region.

68. However, as of October 5, 2007, it provided a different answer to question 12.⁵⁸

⁵⁵ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 25, 2007, 5:08 PM EST) (Exhibit 17 at 09-6333 0188).

⁵⁶ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 3, 2007, 12:39 PM EST) (Exhibit 17 at 09-6333 0185).

⁵⁷ Private Sponsor Travel Certification Form (Sept. 21, 2007) (Exhibit 17 at 09-6333 0192).

⁵⁸ Private Sponsor Travel Certification Form (Oct. 5, 2007) (Exhibit 18 at 09-6333 0207).

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12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip:
 CARIB NEWS FOUNDATION (SEE ATTACHED SHEET)

And the attached sheet provided the following information.⁵⁹

Item #12
 CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

On October 10, 2007, Susan Olson, the staff attorney on the Standards Committee assigned to review the trip e-mailed Ms. Louis the following questions⁶⁰ (and copied Ms. Mobley and the Chief Counsel of the Standards Committee at that time, Bill O'Reilly). While the Private Sponsor Travel Certification Form for the trip had been changed by this date, the draft agenda for the conference apparently had not and still showed corporate sponsors for various events. What Ms. Olson does not appear to be aware of is the fact that Carib News Foundation had previously identified the same corporations she is raising questions about as private sponsors on its original Private Sponsor Travel Certification form.

Original Message
 From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
 Sent: Wednesday, October 10, 2007 4:01 PM
 To: plouis@ny.caribnews.com
 Cc: Dawn.KellyMobley@mail.house.gov; O'Reilly, Bill
 Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Louis:
 With regard to the pre-trip approval requests for the Carib News Foundation's

⁵⁹ *Id.* at 09-6333 0211

⁶⁰ E-mail from Susan Olson to Patricia Louis (Oct. 10, 2007, 4:01 PM EST) (Exhibit 17 at 09-6333 0174).

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(Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are sponsoring sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for this Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the Foundation," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

69. The Committee's Advisory Opinion dated October 27, 2006 indicates, as Ms. Olson wrote, that Carib News Foundation told the Standards Committee in 2006 that there were several corporate entities that sponsored the conference.⁶¹ The "Advisory Opinion" did not conclude that these entities were, in fact, the trip's sponsors, as the e-mail seems to indicate.

⁶¹ Committee on Standards of Official Conduct, Advisory Opinion (Oct. 27, 2006) (Exhibit 17 at 09-6333 0197-0199).

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70. A draft agenda for the conference dated October 3, 2007, identified a “sponsor” for each event listed.⁶² This appears to be the version of the agenda that Olson is referring to in her e-mail. For example, “Preferred Health Partners” is identified as the sponsor of this event on “Port & Airport Security—The Mandates.”⁶³

<u>PORT & AIRPORT SECURITY - THE MANDATES</u>	
Moderator	Lance Ogilvie Hon. Bennie Thompson
Dr. Basil Wilson	
Menu	
Sponsor	Preferred Health Partners

And Citi is identified as the sponsor of this event on “Community Outreach – Education – Access and Collaboration.”⁶⁴

4:00pm - 5:30pm	COMMUNITY OUTREACH - <u>EDUCATION - ACCESS AND COLLABORATION</u>
Venue	Off Site
Sponsor	CITI
Moderator	Michael Flanigan
Speakers	Dr. Edison Jackson - President Medgar Evers College Hon. Danny Davis - Member of Congress Hon. David M. Dinkins - Former Mayor New York City Hon. Eddie Bernice Johnson - Member of Congress Hon. Bertrand Joseph

⁶² Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 3, 2007) (Exhibit 19 at 09-6333 0213-0229).

⁶³ *Id.* at 09-6333 0219

⁶⁴ *Id.* at 09-6333 0225

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71. Ms. Louis forwarded Ms. Olson's e-mail to Ms. Mobley, Congressman Charles Rangel's Chief of Staff George Dalley and Delegate Donna Christensen's scheduler Shelley Thomas, and asked them to advise her on a response.⁶⁵

Original Message
 From: plouis@nyearibnews.com
 To: Kelly Mobley, Dawn Thomas, Shelley Dalley, George
 Sent: Wed, Oct 10, 17:56:25 2007
 Subject: FW: Carib News - Pre-trip Approval issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct.

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Ms. Louis appears to be pre-clearing her response to questions posed by the Standards Committee staff attorney. There is no indication that the staff attorney knew that the answer to her question had already been discussed with the counsel to the then-chair of the Standards Committee. Substantively, based on documents obtained by the OCE and interviews with sponsors, the statement "the sponsors contribute to the overall expense of the trip" appears to be true. However, the statement "and the general work of the Foundation," does not appear to be true. All of the sponsors OCE interviewed stated unequivocally that the contribution was intended for the conference.⁶⁶

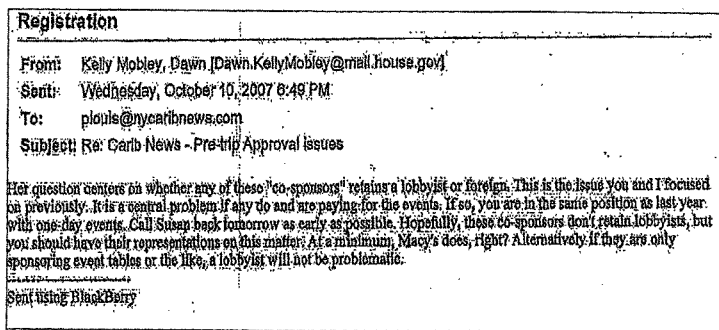
⁶⁵ E-mail from Patricia Louis to Dawn Kelly Mobley, George Dalley, and Shelley Thomas (Oct. 10, 2007, 5:56 PM EST) (Exhibit 17 at 09-6333 0177).

⁶⁶ Memorandum of Interview of Representative of IBM (May 8, 2009) (Exhibit 9); Memorandum of Interview of Representative 1 of the Verizon Foundation (Mar. 23, 2009) (Exhibit 11); Memorandum of Interview of Representative of Citi (Mar. 17, 2009) (Exhibit 4); Memorandum of Interview of Representative 1 of Macy's (May 28, 2009) (Exhibit 20).

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72. Less than an hour later Ms. Mobley responded.⁶⁷ The Board notes Ms. Mobley's concern with whether any of the corporate sponsors paid for an event and also retained a lobbyist" and her conclusion that if they did, Members of Congress could only attend for one day.




73. Ms. Louis then faxed Ms. Mobley.⁶⁸ The Board notes that Ms. Louis again appears to be pre-clearing her responses to the staff attorney, Ms. Olson, with Ms. Mobley ("Kindly review and advise before I send to Ms. Olson.").

⁶⁷ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 10, 2007, 6:49 PM EST) (Exhibit 17 at 09-6333 0179).

⁶⁸ Fax from Patricia Louis to Dawn Kelly Mobley (Oct. 11, 2007) (Exhibit 17 at 09-6333 0176).

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THE NEW YORK CARIB NEWS
 THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
 7 WEST 59TH STREET, 48 FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1991; FAX: (212) 944-2089
 E-MAIL ADDRESS: NYCARIB@AOL.COM;
 CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: Dawn Kelly Mobley	FROM: P. Louis <i>[Signature]</i>
COMPANY: Ethics Counsel for Cong. Stephanie Tubbs Jones	DATE: 10/11/07
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: Travel Form	

URGENT
 FOR REVIEW
 PLEASE COMMENT
 PLEASE REPLY
 YOUR INFORMATION

Further to our conversations of this morning, following is the Travel Certification Form ..

The Conference is paid for by the Carib News Foundation. The companies are participants, are not sponsors or co-sponsors for any part of the trip and play no role in the planning or content.

The program has been amended to reflect the companies as Participants.

I regret the mis-understanding and thank you for holding my hand as I go through and grow through this process which is new to me.

Kindly review and advise before I send to Ms. Olsen.

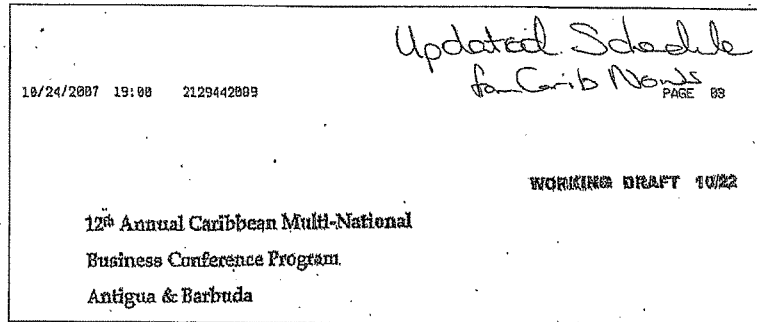
I look forward to hearing from you.

74. The Board further notes that Ms. Louis represented that, "the program has been amended to reflect the companies as Participants," an action taken following Ms. Louis' interactions with Ms. Mobley. A copy of the revised agenda was submitted by another

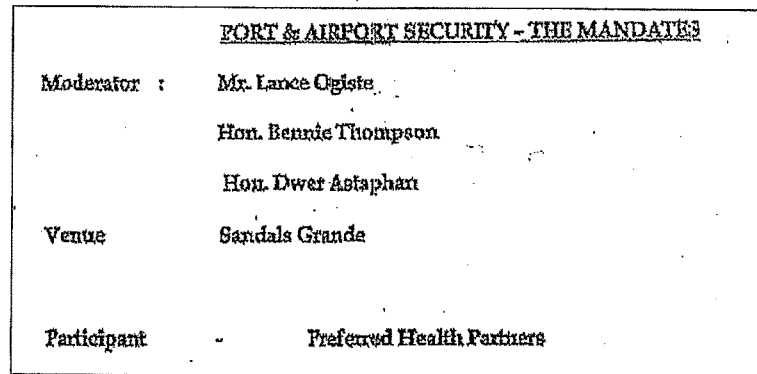
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Member of Congress who attended the conference.⁶⁹ The Board notes that this “updated” schedule changed the label applied to “sponsors” to “participants” and in some cases deleted any mention of the corporate entity that had previously been identified as a “sponsor.”



75. This event was previously identified in the earlier draft as being “sponsored” by Preferred Health Partners.⁷⁰ Now it identifies them as merely a “participant.”⁷¹



⁶⁹ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 22, 2007) (Exhibit 21 at 09-6333 0235-0246).

⁷⁰ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 3, 2007) (Exhibit 19 at 09-6333 0219).

⁷¹ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 22, 2007) (Exhibit 21 at 09-6333 0239).

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76. In another example, the Board notes that the following event was previously identified as being sponsored by CITI.⁷² Now CITI is not even mentioned.⁷³ Michael Flanigan, the moderator, was an executive at CITI at the time and represented the company at the conference.⁷⁴

5:00pm - 6:30pm	-	COMMUNITY OUTREACH - <u>EDUCATION & YOUTH DIRECTION - ACCESS AND COLLABORATION</u>
Venue:	-	Multipurpose Cultural & Exhibition Centre
Moderator:	-	Michael Flanigan
Speakers:	-	Dr. Edison Jackson - President Medgar Evers College Hon. David M. Dinkins - Former Mayor New York City Hon. Eddie Bernice Johnson - Member of Congress Hon. Bertrand Joseph

77. These changes were entirely cosmetic. There is no indication that the corporations' substantive role in the conference changed in any way.

78. That same day, October 11, 2007, Ms. Louis responded to Ms. Olson, stating that "[t]he sponsors contribute to the overall expense of the trip."⁷⁵ The Board notes that this is precisely the answer she had pre-cleared with Mobley.

⁷² Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 3, 2007) (Exhibit 19 at 09-6333 0225).

⁷³ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 22, 2007) (Exhibit 21 at 09-6333 0245).

⁷⁴ Memorandum of Interview of Representative of Citi (Mar. 17, 2009) (Exhibit 4 at 09-6333 0053).

⁷⁵ E-mail from Patricia Louis to Susan Olson (Oct. 10, 2007, 10:33 AM EST) (Exhibit 17 at 09-6333 0173).

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From: plouis [mailto:plouis@nycaribnews.com]
 Sent: Thursday, October 11, 2007 10:33 AM
 To: Olson, Susan
 Subject: Re: Carib News - Pre-trip Approval Issues

Ms. Olson,
 In response to your e-mail:
 The sponsors contribute to the overall expense of the trip and the general work of the Foundation.
 As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.
 No federally registered lobbyist or foreign agent will be accompanying the Members of participating in the program.

Regards

 Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

79. Ms. Olson then forwarded her exchange with Ms. Louis to Bill O'Reilly, the Staff Director and Chief Counsel of the Ethics Committee at that time, and to Dawn Mobley.⁷⁶ Based on this e-mail, it appears that Ms. Olson was unaware that Mobley had been communicating directly with the Carib News Foundation. There is also no evidence that Ms. Mobley told her she had been involved in this manner. Witnesses for the various sponsors told the OCE that they did contribute funding directly to the trip (the conference) and in no case did they simply make donations to the "general funds" of the CARIB NEWS or the Carib News Foundation.⁷⁷

⁷⁶ E-mail from Susan Olson to Bill O'Reilly and Dawn Kelly Mobley (Oct. 12, 2007 9:29 AM) (Exhibit 17 at 09-6333 0172).

⁷⁷ Memorandum of Interview of Representative of IBM (May 8, 2009) (Exhibit 9); Memorandum of Interview of Representative 1 of the Verizon Foundation (Mar. 23, 2009) (Exhibit 11); Memorandum of Interview of Representative of Citi (Mar. 17, 2009) (Exhibit 4); Memorandum of Interview of Representative 1 of Macy's (May 28, 2009) (Exhibit 20).

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From: Olson, Susan
 Sent: Friday, October 12, 2007 9:29 AM
 To: O'Reilly, Bill; Kelly Mobley, Dawn
 Subject: FW: Carib News - Pre-trip Approval Issues

Bill and Dawn,

Please note the following response from Ms. Louis at Carib News: Unfortunately, it appears that if each sponsor contributes funding directly to the trip (an earmark of funds) and not simply to the general funds of Carib News, then the assumption is that all of these entities are co-sponsors of the trip for purposes of the House travel rules. If that is the case and since it is likely that at least one of these co-sponsors hires or retains a federally registered lobbyist, the trip could only be a one-day event trip. Thus the Committee can't approve the trip as it currently exists.

But, if you have a different interpretation of the below information from Ms. Louis, please do let me know. Thanks! Susan

80. Ms. Mobley then forwarded Ms. Olson's internal e-mail to Ms. Louis.⁷⁸ The Board notes that Ms. Mobley counseled that these "other organizations" can "participate" in the program but "should not help pay for the trip."

From: Kelly Mobley, Dawn
 Sent: Friday, October 12, 2007 9:59 AM
 To: Louis
 Subject: FW: Confidential

Please read both emails below. Give Susan a call as soon as you work through the link, let her know you are following up. Please make yourself available to work through this matter today. Thank you Patricia. (These other organizations can participate in the program but should not help pay for the trip)

81. Later that day, Ms. Mobley sent Ms. Louis an additional e-mail.⁷⁹ As previously stated, the sponsors whom the OCE interviewed all stated that they did not "support [the] foundation annually" and did specifically designate their donations for the 2007 conference. Either Ms. Mobley was repeating inaccurate information Ms. Louis had given her, or she was giving inaccurate information to Ms. Olson without prompting.

⁷⁸ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 12, 2007 9:59 AM EST) (Exhibit 17 at 09-6333 0172).

⁷⁹ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 12, 2007 1:55 PM EST) (Exhibit 17 at 09-6333 0172).

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Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Friday, October 12, 2007 1:55 PM
To: plouis
Subject: RE: Confidential

The fax did not come through. I will be stepping out of the office for the remainder of the day, but will be in early Monday. Please follow up again with Susan. I have explained that the organizations you labeled as co-sponsors are not. They support your foundation annually, but have not and will not pay for the Members trip. This is simply a misunderstanding of the terms "sponsor", "contributor", and "participant" as used in the Ethics rules which is why I sent you the Rules book for preparation.

Once you are able to clarify these issues and make sure your itinerary passes too, you should be fine. An Ethics Committee Staff Attorney must review and approve as well. Your Staff Attorney is Susan.

82. Ms. Olson then sent Ms. Louis additional questions about the corporate entities previously identified as "sponsors."⁸⁰

From: Olson, Susan
Sent: Friday, October 12, 2007 2:41 PM
To: plouis
cc: Kelly Mobley, Dawn; O'Reilly, Bill
Subject: RE: Carla News - Pre-trip Approval Issues

Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM

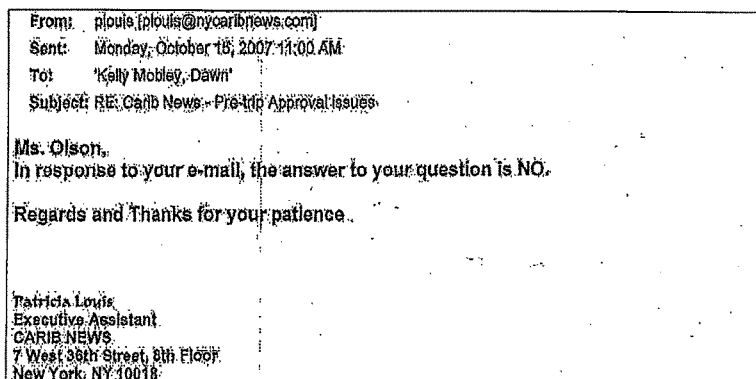
⁸⁰ E-mail from Susan Olson to Patricia Louis (Oct. 12, 2007 2:41 PM EST) (Exhibit 17 at 09-6333 0167-0168).

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83. Three days later, Ms. Louis sent an e-mail to Ms. Mobley, but addressed to Ms. Olson.⁸¹ This may have been inadvertent or, based on their previous practice, Ms. Louis may have done this before she then sent it to Ms. Olson. This is the final communication regarding the 2007 conference provided to the OCE.



84. In an interview with the OCE, a staff member of Representative Rangel's office described discussions with Ms. Louis and Ms. Mobley in 2007 on the topic of how the new travel rules would affect the Members' participation in the 2007 conference.⁸² The staff member said that Ms. Louis, and Mr. and Mrs. Rodney told him that the then Chair of the Standards Committee, had assigned her counsel on the Committee, Ms. Mobley, to

⁸¹ E-mail from Patricia Louis to Dawn Kelly Mobley (Oct. 15, 2007 11:00 AM EST) (Exhibit 17 at 09-6333 0167).

⁸² Memorandum of Interview of Staff Member 1 of Congressman Rangel's Office (May 27-28, 2009) (Exhibit 22 at 09-6333 0249).

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“find a way” to allow Members of Congress to participate in the conference under the new travel rules passed by the House in 2007.⁸³ The staff member told the OCE that Congressman Rangel told him that the then-Chair had made similar statements to him.⁸⁴

85. The staff member said the “framework” that was decided upon was that the Carib News Foundation would raise money from corporate donors who had previously sponsored the conference and that this money in turn would be deposited in a “common fund” that would then be used to pay for the conference.⁸⁵ It was the staff member’s understanding that if this was done, then Members of Congress could participate in the conference without any restrictions imposed by the new rules. The staff member said that it was important to break the link between the corporations and the conference under the new rules and that using the Foundation in this way would enable that to happen. The staff member said he learned of this new framework for the conference from Mr. and Mrs. Rodney and that they represented this approach had come from Ms. Mobley on the Standards Committee.⁸⁶

86. This conduct in 2007 is relevant to the present reviews for a number of reasons. First, in and of itself, the intervention of the Chair’s counsel in this matter is troubling and more likely constituted an improper manipulation of the clearance process. Following Ms. Mobley’s intervention, Carib News Foundation changed the Private Sponsor Travel Certification Form to delete any mention of private sponsors before it was sent to the Standards Committee’s staff attorney assigned to review the matter. This occurred after Carib News Foundation had told Ms. Mobley that these corporations were sponsors of the conference on several occasions. Ms. Louis’s initial, and presumably truthful, submissions regarding the conference described the corporate entities as sponsors. However, if the corporations were sponsors of the conference, as opposed to Carib News Foundation, then the Members of Congress ability to attend would have been limited because, the corporations, unlike the Carib News Foundation, employed or retained lobbyists. It appears from the correspondence between Ms. Mobley and Ms. Louis, and Ms. Olson’s apparent ignorance of the correspondence, that Ms. Mobley assisted Ms. Louis in preparing inaccurate answers for Ms. Olson in order to ensure that Ms. Olson would approve the trip in a manner that would not limit the attendance of the Members of Congress. Ms. Mobley’s involvement in the process seems to have prevented the Standards Committee and its staff from correctly performing its responsibilities. Ms. Olson’s approval of the trip was predicated on an incomplete or inaccurate factual record,

⁸³ *Id.* at 09-6333 0250.

⁸⁴ *Id.* at 0250.

⁸⁵ *Id.* at 0249.

⁸⁶ *Id.*

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in which Ms. Mobley apparently was complicit, putting at risk those Members of Congress who relied on that approval.

87. The 2007 conduct and attendant misrepresentations appear to have directly influenced what occurred in 2008. When the Carib News Foundation submitted materials to the Standards Committee for their approval of the 2008 conference, the Carib News Foundation took the same approach that they had been counseled to take in 2007 and did not disclose the role of the corporate sponsors on the Private Sponsor Travel Certification Form or the conference agenda.
88. In fact, in 2008, Carib News Foundation again first submitted its document to Ms. Mobley.⁸⁷ The Board notes that while Ms. Mobley remains an employee of the U.S. House of Representatives as of the date of this report, the above described conduct did not occur after the day the House passed the resolution creating the OCE, and therefore, under the terms of that resolution, the OCE does not have jurisdiction to open a preliminary review into Ms. Mobley's conduct.

⁸⁷ Fax from Patricia Louis to Dawn Kelly Mobley (Aug. 20, 2008) (Exhibit 23 at 09-6333 0255).

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 THE NEW YORK CARIBNEWS <small>THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY 7 WEST 96TH STREET, 8th FLOOR, NEW YORK, NY 10018</small>	
TEL: (212) 944-1991; FAX: (212) 944-2089 E-MAIL ADDRESS: <u>NYCARIB@AOL.COM</u> ; <u>CARIBNEWS@ATT.NET</u>	
FACSIMILE TRANSMITTAL SHEET	
TO: Kelly Mobley	FROM: Patricia Louis
COMPANY: Committee on Standards of official conduct	DATE: 8/20/08
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: 2008 MULTINATIONAL BUSINESS CONFERENCE	
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION	
Following is the completed form and attachments re travel for Members of Congress to attend the 2008 Multinational Business Conference scheduled for November 6-9, 2008 in St. Maarten.	
Regards	

IV. The Value of the Trip Reported on the Member's Post-Travel Disclosure Form

89. Under House Rule 25 clause 5(d), Members are required to obtain prior approval of the Standards Committee for trips where the Member's travel expenses will be reimbursed by a private source. The Standards Committee has adopted regulations, including a pre- and post-travel form Members must complete, pursuant to House Rule 25 clause 5(h)(i)(1)(B)⁸⁸ that requires Members to publicly disclose "trip expenses."

90. According to the House Ethics Manual, "[t]he rule and implementing regulations are concerned with the organization(s) or individual(s) that actually pay for travel." House Ethics Manual (2008) at 97.

⁸⁸ House Rule 25(5)(h)(i)(1)(B) directs the Standards Committee to establish, "regulations describing the information it will require individuals subject to this clause to submit to the committee in order to obtain the prior approval of the committee for any travel covered by this clause . . ." On February 20, 2007, the Committee issued guidelines and regulations concerning the travel restrictions and requirements.

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91. There is substantial reason to believe that Congressman Rangel violated House Rule 25 clause 5(h)(i)(1)(B) by underreporting the value of the travel reimbursements he received to attend the 13th Annual Caribbean Multi-National Business Conference.

A. Representations from Carib News Foundation

92. Carib News Foundation submitted a Private Sponsor Travel Certification Form to each Member it sponsored for the 2008 trip—Representatives Christensen, Kilpatrick, Payne, Rangel and Thompson.⁸⁹ This form was provided to each Member Office and then submitted by the Member Office to the Standards Committee as part of the pre-travel approval process.

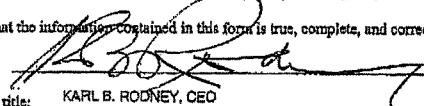
21. **TOTAL EXPENSES FOR EACH PARTICIPANT:**

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	260	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150 \$ 25	Conference Registration Local transportation
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL B. RODNEY, CEO

Organization: CARIB NEWS FOUNDATION

⁸⁹ Private Sponsor Travel Certification Form signed by Karl Rodney (Oct. 3, 2008) (Exhibit 1 at 09-6333 0004-0013).

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93. The Board notes that the Carib News Foundation provided the same exact dollar figure with very minor variations for each Member of Congress that attended the conference despite the fact that Carib News Foundation recognized on the same form that the Congressional travelers were travelling to and from different cities. In fact, the Congressional travelers came from St. Croix, U.S. Virgin Islands; Detroit, Michigan; Newark, New Jersey; New York, New York; and Jackson, Mississippi.
94. The Board notes that the Carib News Foundation provided the same "total transportation" figure to the Congressional travelers despite the fact that Carib News Foundation had, in its own files, airline tickets and receipts for each Congressional traveler that showed, with the exception of Congressman Payne, that the actual cost of the ticket was significantly higher.
95. In addition, according to Mr. Rodney, the cost charged to "the public" to attend the conference for four days and three nights was \$840 for double occupancy or \$1085 for single occupancy. The cost of attendance included "room accommodations, meals, and admission to the Conference." However, these figures were not disclosed pre-travel to the Members or the Standards Committee, nor were these figures disclosed to the Members after the conference.

B. Information Required from the Congressional Traveler

96. On his Member/Officer Post-Travel Disclosure Form,⁹⁰ Representative Rangel certified his travel cost as the following:

⁹⁰ Member/Officer Post-Travel Disclosure Form signed by Representative Charles B. Rangel (Nov. 24, 2008) (Exhibit 1 at 09-6333 0003).

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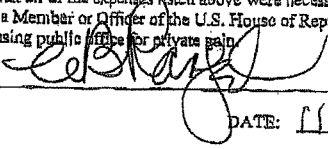
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9. TRIP EXPENSES: Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$410.00	\$260.00	\$145.00
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	\$180.00; \$25.00	Conference Registration, Local Transportation
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: 

DATE: 11-21-08

Version date 9/2008 by Committee on Standards of Official Conduct.

97. Unlike the other Members of Congress who attended the conference, who apparently used the November 18, 2008 memo to complete the post-travel disclosure, it appears that the figures that Congressman Rangel certified are identical to the estimates Mr. Rodney provided in the Private Sponsor Travel Certification Form submitted for pre-travel approval provide to Congressman Rangel from Mr. Rodney on November 18, 2008.⁹¹

98. Congressman Rangel stated he never saw a plane ticket or anything bearing the cost of his flight. The airline would have given him something with which to locate his seat, but that was the extent of what he may have seen.⁹²

⁹¹ Private Sponsor Travel Certification Form signed by Karl Rodney (Oct. 3, 2008) (Exhibit 1 at 09-6333 0006).
⁹² Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0020).

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99. Documents obtained from Mr. Rodney indicate Congressman Rangel's transportation costs were actually \$752.15.⁹³

FOR: RANGEL/CHARLES

07 NOV 08 - FRIDAY
 AIR AMERICAN AIRLINES FLT1607 ECONOMY FOOD FOR PURCHASE
 LV NEW YORK JFK 748R EQP: BOEING 757
 DEPART: TERMINAL 8 04RR 08MIN
 AR ST MAARTEN 1250P NON-STOP
 RANGEL/CHARLES BERN-17D AR-HAD6146

08 NOV 08 - SUNDAY
 AIR AMERICAN AIRLINES FLT1660 ECONOMY FOOD FOR PURCHASE
 LV ST MAARTEN 200P EQP: BOEING 757
 AR NEW YORK JFK 540P NON-STOP
 RANGEL/CHARLES BERN-18P AR-HAD6146

*****ATTN AAD *****
 AIRFARE 752.15 ROUNDTRIP CORN. THE 5 PERCENT DISCOUNT FROM THE GROUP BOOKING CONTRACT HAS BEEN APPLIED. THIS IS A NON-REFUNDABLE FARE.
 I GUARANTEE THE PRICE THE TICKET MUST BE ISSUED BY JUNE 27TH. IF THE TICKET IS PURCHASED ON A LATER DATE THE RESERVATION WILL BE RE-PRICED ON THE DAY THE TICKET IS ISSUED.
 AFTER THE TICKET IS ISSUED ** CHANGES ** 150.00 FEE /EACH CHANGE/ AND A POSSIBLE FARE DIFFERENCE.
 THANKS,
 DONNA
 ELECTRONIC TICKET

STAPLE HERE
 INSERT

PASSENGER TICKET AND BAGGAGE CHECK
 ELECTRONIC TICKET
 PASSENGER RECEIPT
 2 TICKETS, 0012184722104, 001 2190158847 6

AMERICAN AIRLINES
 NEW YORK
 ST MAARTEN

0858.00
 00.00
 2.00
 00.00
 0012184722104 001 2190158847 6

100. In his interview with OCE, Congressman Rangel stated that he did not see any documented dollar amounts for airline ticket, the registration fee or for the cost of meals. He stated that, although he signed the certification, he had no idea if the numbers on the forms were accurate. His Chief of Staff filled out all the paperwork.⁹⁴ Representative Rangel did not know how much his meals cost or what "transportation" referred to other than his flight.⁹⁵ In an interview with the OCE, a staff member of Representative Rangel's office stated that while he did not remember whether the itinerary, above, was given to the Member, it was the kind of document that was typically placed in his briefing book so that he could travel. The staff member also pointed out that the receipt

⁹³ Flight Reservation for Representative Charles Rangel (Oct. 27, 2008) (Exhibit 24 at 09-6333 0279).

⁹⁴ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0020).

⁹⁵ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0020).

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for the electronic ticket, also above, was a document that only would be printed after the Member checked in at the airport for his flight.⁹⁶

V. Carib News Foundation, as a Private Foundation, Was Prohibited From Paying for Congressman Rangel's Travel

101. The Carib News Foundation is identified as the "sponsor" of this conference on at least three forms filed with the Committee on Standards of Official Conduct: (1) the "Private Sponsor Travel Certification Form,"; (2) the "Privately Sponsored Travel: Travel Form," and; (3) the "Member/Officer Post-Travel Disclosure Form."⁹⁷

102. According to a query of the New York State's Office of the Secretary of State, Mr. Rodney appears to operate two organizations – the "Carib News, Inc" which operates a newspaper and the "Carib News Charities, Inc" which also may operate under the name "Carib News Foundation." The OCE has not been able to identify any additional activity, other than the annual Caribbean Multi-National Business Conference, in which the latter organization is involved. Furthermore, while "Carib News Charities, Inc" / "Carib News Foundation" is listed with New York's Office of the Secretary of State as a domestic not-for-profit corporation, it is not listed, as required by law, with the New York State Charities Bureau. In addition, there is no evidence that the organization has filed a tax return with the IRS, under either name, since 2005.⁹⁸

103. Every organization that qualifies for tax exemption described in section 501(c)(3) of the Internal Revenue Code is defined as a private foundation unless the organization falls into one of the categories specifically excluded from the definition under section 509(a).⁹⁹ In effect, the definition divides 501(c)(3) organizations into two classes: private foundations and public charities. Private foundations are generally funded through a single donor, family or corporation and operate through investment income; whereas, public charities are typically funded and operated through diversified support from governmental sources and the general public.

104. There are several restrictions that are placed on private foundations. At issue here is the restriction on "self-dealing" between a disqualified person (the definition of which

⁹⁶ Memorandum of Interview of Staff Member 1 of Congressman's Rangel's Office (May 27-28) (Exhibit 22 at 09-6333 0253).

⁹⁷ Member/Officer Post-Travel Disclosure Form signed by Representative Charles B. Rangel (Nov. 24, 2008) (Exhibit 1 at 09-6333 0002).

⁹⁸ Letter from IRS to OCE (Apr. 17, 2009) (Exhibit 8 at 09-6333 0095).

⁹⁹ 26 U.S.C. §§ 501 and 509.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

includes Members of Congress) and a private foundation.¹⁰⁰ The Code defines the act of “self-dealing” to include any direct or indirect agreement to make any payment of money (including reimbursement of expenses) or other property by a private foundation to a government official.¹⁰¹ Although framed as a tax provision, the statute essentially bars certain federal employees and federally elected officials from receiving compensation, including reimbursements for international travel, from a private foundation.¹⁰²

105. According to Publication 78 of the IRS, a list of all charities claiming 501(c) status, Carib Charities, Inc is a private foundation.¹⁰³

106. As such, it appears that the Carib News Foundation’s payment of travel expenses for Representative Rangel and his acceptance of payment potentially violated the Internal Revenue Code.

107. The Board infers from the fact that the Standards Committee approved the reimbursement that the Standards Committee is unaware of this restriction. The Board notes, however, that its own research revealed that numerous other ethics-related entities in the federal government are aware of this restriction, and enforced it for some time.¹⁰⁴

108. Congressman Rangel told the OCE that he was unaware of any limitations on the ability of private foundations to pay for overseas travel by government officials.¹⁰⁵

109. The Board notes that these facts show failures at multiple points by multiple parties in complying with and enforcing the travel rules. Inaccurate information was provided by the Carib News Foundation to Members and the Standards Committee. Congressman Rangel certified inaccurate information to the Standards Committee. The counsel to the former Chair of the Standards Committee intervened in the review process in a way that both frustrated the process in 2007 and set a pattern of obfuscation that continued in

¹⁰⁰ 26 U.S.C. §§ 4941 and 4946.

¹⁰¹ 26 U.S.C. § 4941(d)(1)(F). The Code imposes a tax (currently 10 percent of the amount involved) on the illegal payments, with later penalties (up to \$20,000 per act of self-dealing) for failure to pay back the foundation. The tax is imposed on the disqualified person, including a government official if the official participates in the act of self-dealing knowingly.

¹⁰² The payment or reimbursement of travel expenses for travel solely from one point in the United States to another point in the United States is excepted from the definition of self-dealing provided that the payment or reimbursement does not exceed the actual cost of the transportation involved and provided the amount for all other traveling expenses does not exceed 125 percent of the maximum amount payable under section 5702 of title 5, United States Code, for like travel by employees of the United States. 26 U.S.C. § 4941(d)(2)(G)(vii).

¹⁰³ Online Version of Publication 78 (Accessed online on June 5, 2009 at <http://www.irs.gov/app/pub-78/>) (Exhibit 8 at 09-6333 0107.1-0107.2).

¹⁰⁴ Memorandum from Ethics Counsel at the National Institutes of Health to Deputy Ethics Counsels at NIH (Jun. 2, 2000) (Exhibit 25 at 09-6333 0281-0282).

¹⁰⁵ Memorandum of Interview of Representative Charles Rangel (May 28, 2009) (Exhibit 2 at 09-6333 0022).

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2008. Finally, the Standards Committee appears unaware of limitations on the ability of private foundations to pay for overseas travel by Members.

110. For all these reasons, the Board concludes further review of this matter by the Standards Committee is appropriate.

1263

EXHIBIT 1

09-6333 0001

Original Amendment

U.S. House of Representatives
110th Congress

NOV 24 PM 3:05

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

1. Name of Traveler: Representative Charles B. Rangel
2. a. Name of Accompanying Family Member (if any): N/A
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
3. a. Date of Departure and Date of Return: 11/7/08 - 11/9/08
 b. Dates at personal expense (if any): N/A
4. Itinerary (cities of departure - destination - return): NY (JFK) - St. Maarten - NY (JFK)
5. Sponsor(s) (who paid for the trip): Carib News Foundation
6. Describe meetings and events attended (attach additional pages if necessary): The conference focused on advancing trade and commercial relations between the City of New York and the nations of the Caribbean which are governed by U.S. trade law under the jurisdiction of the Committee on Ways and Means which I Chair.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; and
 - c. the Committee on Standards' letter approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: I did not depart for the conference until 11/7/08 but I participated until the end when I left on 11/9/08.

RANGOL.

1265

11/21/08 13:57 FAX 212 663 4277
Nov-21-2008 01:09pm From-Congressman Rangel

HOUSE OF REPRESENTATIVES

202 2250810

004
7-834 P.002/005 F-501

9. TRIP EXPENSES: Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$410.00	\$286.00	\$145.00
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	\$180.00; \$25.00	Conference Registration; Local Transportation
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER:

DATE: 11-21-08

Version date 07/2008 by Committee on Standards of Official Conduct

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES,
6. Dates of travel: NOVEMBER 8-9, 2008
7. Cities of departure -- destination -- return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

- 11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
- 12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (* SEE ATTACHED)
- 13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN
- 14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS
- 15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
- 16. I represent that either (check one of the following):
 - a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or prohibited by other event attendees: or
 - b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
 If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____
- 17. Reason for selecting the location of the event or trip: SEE ATTACHED
- 18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT
- 19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00
- 20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

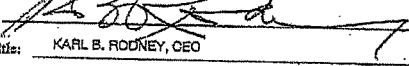
21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	280	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150	Conference Registration
For each accompanying family member	\$ 25	Local transportation

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information obtained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL E. ROONEY, CEO

Organization: CARIB NEWS FOUNDATION

Address: 7 WEST 36th STREET, 8th FLOOR, NEW YORK, NY 10018

Telephone number: (212) 944-1991

Fax number: (212) 944-2089

Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
Congressman Charles Rangel
Congressman Donald Payne
Congresswoman Donna M. Christensen
Congressman Edolphus Towns
Congressman G. K. Butterfield
Congressman Gregory Meeks
Congressman Jesse Jackson Jr.
Congressman Keith Ellison
Congressman Kendrick Meek
Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1999, Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Item#17

A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE

2008 BUSINESS TOGETHER

REGISTER AGENDA ABOUT THE CONFERENCE HOTEL FAQ PARTICIPANTS PRESS CONTACT US HOME

The 2008 Program Agenda

[View 2007 Agenda]

Theme : A Region In Transition - Strategy For Change - Building Alliances

THURSDAY NOVEMBER 6TH 2008

13TH ANNUAL CARIBBEAN MULTI NATIONAL BUSINESS CONFERENCE REGISTRATION
Time - 12:00 Noon to 6:00 PM

TOWN HALL FORUM - HEALTH
Time - 5:00 PM to 6:30 PM

- Healthy Living - 'The Worldwide Challenge'
- Innovative Solutions For Life Styles
- Diseases - A Collaborative Approach - Diabetes, Hypertension, Obesity, Oral Health

Venue - Royal Islander
Moderator - Dr. Marcia Bayne Smith

Speakers - Mrs. Karen Boykin-Towns, Congress Member Dr. Donna Christian Christensen, Commissioner Maria Buncamper Molanus, Health Commissioner, St Maarten, Dr. John Palmer, Harlem Hospital Center Dr. Jay Cowan, National Medical Association, Dr. Leon Merrick - Merrick's Dental Studio, First Lady Michelle Paterson, Health Advocate

MULTI-NATIONAL BUSINESS CONFERENCE OPENING RECEPTION
Time - 6:30 PM to 7:30 PM

Venue - Upper Pool
Theme - A Region In Transition

MC - Sabrina Hosang - Royal Caribbean Bakery

Speakers - Faye A. Rodney, Hon. Roy Marlin - Commissioner For Tourism & Finance Of St Maarten

MULTI-NATIONAL BUSINESS CONFERENCE OPENING DINNER

Time - 7:30 PM to 9:30 PM
Venue - The Maho Room
Theme - A Strategy For Change

MC - Judge Sylvia Hinds-Radix

Speakers - Susan Taylor & Hon. Donald Payne, Member Of The United States Congress

HOSPITALITY AREA
Time - 10:00 PM to 1:00 AM

FRIDAY NOVEMBER 7TH 2008

BREAKFAST - OCEAN TERRACE

Time - 8:00 AM to 8:45 AM

CONFERENCE REGISTRATION (OCEAN TERRACE LOBBY) * CONFERENCE SECRETARIAT (SABA ROOM)

Time - 9:00 AM to 6:00 PM

BUSINESS CONFERENCE OPENING CEREMONIES

Time - 9:00 AM to 10:15 AM

Venue - Main Room

Theme - Building Alliances For Progress

Invocation - Rev. Susan Johnson-Cook
Anthems - Saint Maarten 'Lift Every Voice'

Remarks - Eric Eve, Karen Boykin-Towns, Marie Long, Ed Goldberg, Patrick Gaston, Hazel Dukés

Speakers - Hon. Carolyn Cheeka Kilpatrick, Member US Congress * Commissioner Sarah Wescot Williams, Leader of Government, St. Maarten * Harry Belafonte

RIBBON CUTTING CEREMONIES

Time - 10:15 AM to 10:30 AM Coffee Break * 10:30 AM to 11:45 AM Presentation

EDUCATION - TECHNOLOGICAL ADVANCES COLLABORATION

Moderator - Dr. Emmanuel Gonsalves

Greetings - Marie Long

Speakers - Dr. Edson Jackson, Patrick Gaston, Dr. Marcia Kelza, Congresswoman Eddie Bernice Johnson, Professor Rex Nettieford

PRESENTATION - INTERNATIONAL TRAVEL & TOURISM TODAY'S ECONOMIC ENGINE'

Time - 12:00 Noon to 1:15 PM

Moderator - Lloyd A Williams, President & CEO of The Greater Harlem Chamber Of Commerce

Greeting - Wallace Ford

Speakers - Commissioner Roy Marlin, Howard Dodson, Congressman Gregory Meeks, Professor Rex Nettieford, Dr. Martin Valdes

PRESENTATION - HEALTH AN ECONOMIC DEVELOPMENT STRATEGY FOR THE REGION

Time - 12:00 Noon to 1:15 PM

Moderator - Yvonne Graham

Greeting - Karen Boykin Towns

Speakers - Dr. Denzil Douglas, Dr. Carl Kenel Pierre, Commissioner Maria Buncamper Molanus, Health Commissioner of St Maarten, Dr Dexter McKenzie

LUNCHEON

Time - 1:30 PM to 2:30 PM

Venue - Main Ballroom

Theme - Building A Winning Strategy - Partnerships That Works

Moderator - Hon. Nick Perry, NYS Assembly

Speaker - Eric Eve * Keynote Speaker - Hon. David Paterson, Governor New York State

ROUND TABLE ONE - EDUCATION - THINKPAINITY PRESENTATION & DEMONSTRATION

Time - 3:00 PM to 4:00 PM

Venue - Royal Islander A

MC - Dr. Patricia Hazlewood

Speakers - Patrick Gaston & Albert Browne

ROUND TABLE TWO - LOCAL BUSINESS INITIATIVES

Time - 3:00 PM to 4:00 PM

Venue - Royal Islander B

Speaker - Ed Goldberg, Eric Eve, Albert Browne

TOWN HALL FORUM - EDUCATION SHARING WORKING SOLUTIONS

Time - 4:30 PM to 6:00 PM

Venue - Royal Islander A

Greetings - Michael Flanigan

Moderator - Dr. Marcella Maxwell

Speakers - Hon David M. Dinkins (Former Mayor, NYC), Dr. Edson O. Jackson, Dr. Marcia Kelez, Professor Rex Nettelford, UWI and William Payne, Assemblyman NJ

HOST COUNTRY EVENING - CULTURAL PRESENTATION

Time - 6:30 PM to 7:30 PM

HOSPITALITY AREA

Time - 10:00 PM to 1:00 AM

SATURDAY NOVEMBER 8 2008

BREAKFAST - OCEAN TERRACE

Time - 7:45 AM to 8:15 AM

PRESENTATION - WOMEN OF INFLUENCE AND POWER

Time - 8:30 AM to 10:00 AM

Venue - Maho Beach

Greeting & Opening Remarks - Brenda Scott

Moderator - Marilyn Johnson

Speakers - Commissioner Sarah Wescot Williams, Leader of Government Business, Dame Billie Miller, Former Deputy Prime Minister of Barbados, Dr. Marcia Kelez, President of York College, Michelle Paterson, First Lady New York State, Congresswoman Eddie Bernice Johnson, Rev. Dr. Susan Johnson Cook

PRESENTATION - THE CARIBBEAN, ITS VALUE & POTENTIAL IN TIMES OF CRISIS

Time - 10:15 AM to 11:45 AM

Venue - Royal Islander A

Greeting - Wallace Ford

Moderator - Dame Billie Miller

Speakers - Hon. P.J. Patterson, former Prime Minister, Jamaica, Hon. Dr. Ralph Gonsalves, Prime Minister St. Vincent and the Grenadines, Hon. Dr. Denzil Douglas, Prime Minister of St. Kitt-Nevis, Congressman Charles Rangel

PRIVATE MEETING CLOSED DOOR SESSION With Members Of Congress & Minister Of Government

Time - 12:00 Noon to 1:30 PM

PRESENTATION - TRADE & INVESTMENT - CREATIVE SOLUTIONS RETOOLING FOR GROWTH - PARTNERSHIP AT WORK

Time - 12:00 Noon to 1:15 PM

Venue - Royal Islander A

Greeting - Michael Flanigan

Moderator - Ivanhoe Friend

Speakers - Hon William Thompson, Comptroller, NYC, Adolfo Carrion, Borough President, Bronx, NY,

Congressman Edolphus Towns, Eric Eve

PRESENTATION - HEMISPHERIC SECURITY - PORTS/AIRPORTS - CRIME, DRUGS, DISASTER IN CHALLENGING TIMES
 Time - 12:00 Noon to 1:15 PM
 Venue - Royal Islander B

Greeting - Earl Brown, Deputy Borough President, Bronx, Judge Sylvia Ash, Tony Best, Congressman Bennie Thompson, Commissioner Theo Heyliger, St Maarten, Professor Ivelaw Griffith, Hon Lance Ogiste, Brooklyn District Attorney

LUNCHEON - AN AGENDA FOR PARTNERSHIP
 Time - 1:30 PM to 2:30 PM
 Venue - Maho Room

Greeting - Lloyd Brown
 Speaker - Most Hon. P.J. Patterson, former Prime Minister of Jamaica & President of H&S Consults, Dr. Compton Bourne, President CDB

ROUND TABLE ONE - IMMIGRATION & DEPORTEES
 Venue - Royal Islander A

Moderator - Dr. Marco Mason

Speakers - Congressman Bennie Thompson, Hon. Eddie Bernice Johnson, Member US Congress, Attorney Stanford Rubenstein, NYC Councilmember Dr. Kendall Stewart

ROUND TABLE TWO - HAITI BOLD INITIATIVES - THE DIASPORA - CBC & CARICOM
 Venue - Royal Islander B

Moderator - Hon. Yvonne Graham

Speakers - Hon. Charles Rangai, Hon. Gregory Meeks, Hon. Ralph Gonsalves, Dr. Lesly Kernisant

TOWN HALL FORUM - TECHNOLOGY
 Venue - Royal Islander A

Moderator - Colin Ward
 Greeting & Opening - Marie Long

Speakers - Congressman Edolphus Towns, Albert Browne, Commissioner of Technology, Congresswoman Eddie Bernice Johnson

GALA RECEPTION
 Time - 6:30 PM to 7:30 PM

Speaker - Susan Taylor

GALA DINNER & AWARDS PRESENTATION
 Time - 7:30 PM to 10:00 PM

Speakers - Dr. Harry Belafonte, Hon. Sarah Wescot Williams, Leader of Government Business

HOSPITALITY AREA
 Time - 10:30 PM to 1:00 AM
 Venue - Hotel Conference Room

SUNDAY NOVEMBER 9TH 2008

POWER BREAKFAST - SPIRITUALITY THE BASICS IN BUSINESS
Time - 8:30 AM to 10:00 AM

Greeting - Lowell Hawthorne
Speakers - Ed Goldberg Local Minister

Selection - Local Choir

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POWERED BY JURAWAN TECHNOLOGY

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification Form and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Charles B. Rangel

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

X Signature: CBRangel

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: _____

Office address: 2537 Radburn HOB, U.S. House of Representatives, Washington, D.C.

Phone number: (202) 225-4365

Email address of contact person: reach at george.dallas@hmail.house.gov

Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7592 (fax)

Revised date 9/2008 by Committee on Standards of Official Conduct

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

- 1. Name of Traveler: Congressman Charles B. Rangel
- 2. Sponsor(s) (who will be paying for the trip): New York Caribbean News Foundation
- 3. Travel destination(s): St. Maarten
- 4. a. Date of Departure and Date of Return: November 6 - 9, 2008
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
- 5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No
 b. If yes, name of accompanying family member: _____
 c. Relationship to traveler: Spouse Child Other (specify): _____
- 6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following: N/A - Sponsor checked 9(a) or 9(b)
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____

7. Private Sponsor Travel Certification Form is attached, including agenda, invites list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your individual official or representational duties:

This conference advances trade and commercial relations between the Caribbean and the nation of the Caribbean which are integral to U.S. trade policy. The
FOR STAFF: Director of the Committee on Ways and Means which is

9. FOR STAFF: TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: _____
Charles B. Rangel
Signature of Employing Member

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

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U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

October 23, 2008

The Honorable Charles B. Rangel
U.S. House of Representatives
2354 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

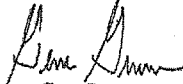
09-6333 0016

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The Honorable Charles B. Rangel
October 23, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,


Gene Green
Acting Chairman


Doc Hastings
Ranking Republican Member

GG/DH:pgp

09-6333 0017

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EXHIBIT 2

09-6333 0018

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative Charles Rangel
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 28, 2009
Location: 163 West 125th St.
New York, NY 10027
Time: 2:15pm to 3:30 p.m. (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Representative Charles Rangel is a Member of the United States House of Representatives representing the Fifteenth District of New York. He was interviewed pursuant to Review 09-6333; 09-2567; 09-4403; 09-3698; and 09-2222. We requested an interview with Representative Rangel and he consented to an interview. Representative Rangel's counsel was present via telephone. Representative Rangel made the following statements in response to our questioning:

1. Representative Rangel was given an 18 U.S.C. § 1001 warning and consented to an interview. Representative Rangel signed a written acknowledgement of the warning. [attached]
2. Representative Rangel attended the 13th Annual Caribbean Multi-National Business Conference in St. Maarten. While attending the conference he stayed at a hotel on site. His room was an ordinary, single room. He arrived at the conference on Friday and left on Sunday. He did not attend the off-site reception.
3. He was invited to the conference because of his interest in the region and trade with the region. He was the original sponsor of Caribbean trade initiatives and officials in the Caribbean governments know of his interest in the region. The conference is a good place for Representative Rangel to build relationships with both local New York officials and officials in the Caribbean.

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4. While attending the conference, Representative Rangel did not eat separate from the conference sessions.
5. When asked who invited him to the conference, Representative Rangel replied that his chief of staff, George Dalley, invited him. Mr. Dalley has known the Rodneys longer than Representative Rangel has known them. The Rodneys deal with Mr. Dalley and Mr. Dalley does all the paperwork.
6. Representative Rangel met the Rodneys about 20 years ago during a trip to Jamaica. The Rodneys were part of the host/welcoming committee that greeted him. Afterwards, Representative Rangel learned that they published the CaribNews newspaper. Other than at his fundraisers, the only time Representative Rangel sees the Rodneys is at the conference or at a fundraiser for the University of the West Indies.
7. The relationship between the Rodneys and the conference is that the Rodneys are the conference.
8. Representative Rangel was shown the pre-travel forms for the 2008 trip. He identified his signature and remembered signing the forms.
9. Representative Rangel assumed his travel arrangements were made by Mr. Dalley as he was in charge of making the arrangements. When asked for more details, Representative Rangel said that there were no tickets or anything else. His driver knows where to go, the Member shows up, shows his identification at the counter and is asked where he is going and then he is on a plane and at his destination.
10. The Member was shown a copy of his tickets for the trip in question and stated that he had not seen them before. The airline would have given him something to find his seat but that was it. He did not know if he had an electronic ticket. Representative Rangel did remember having something that got him to the plane, but did not remember ever seeing plane tickets.
11. When asked about paperwork, the Member stated that there was no paperwork except for what went to the Standards Committee and that was just him signing documents.
12. The Member was shown the post-travel forms and identified his signature. Both the post and pre-travel form were filled out by Mr. Dalley. Mr. Dalley did not show him any documents supporting the amounts shown on the forms. Representative Rangel had no idea if the numbers on the forms were accurate. He did not know how much his meals cost or even what "transportation" means other than his flight. Representative Rangel

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- stated that Mr. Dalley did not make up the numbers and assumed Mr. Dalley was fulfilling his obligation to the Standards Committee and to Representative Rangel.
13. Representative Rangel was not aware of the host government's participation in the conference. He knew that they provided protection for him, but other than travel from the airport to the hotel and back he did not use it. He was given a number to call if he wished a car and police escort, but did not utilize the number.
 14. When asked: Other than host, did you know what St. Maarten provided to the conference? Representative Rangel stated he did not know, and asked how he would know and that he had no idea.
 15. The Rodneys attend the conference as part of the CaribNews Foundation. The foundation was the conference. They put the program together and invited him.
 16. Other than the conference, the Member has not participated in any other event or function conducted by the foundation. He was not aware of anything the foundation does other than the conference nor has he been involved in any other program sponsored by or planned by the foundation.
 17. Representative Rangel did not remember any corporations identified as sponsors. He stated he knew the fact he did not remember was a problem, but he simply did not remember.
 18. With the exception of Golden Krust, he did not remember any business people or sponsors identifying themselves as representatives of business.
 19. Representative Rangel did not participate in the conference except where he was asked to speak. If his name was not on the agenda for a particular program then he was not there. He only went to one breakout panel because it was hosted by David Dinkins and Mr. Dinkins had attended the panel hosted by Representative Rangel. When he was not participating in the conference the Member walked around, went to town for a brief trip, did some reading, and spoke to Mr. Dinkins. He did not go to the beach or anywhere else.
 20. The only people he recognized at the conference were other Members of Congress and people he knew from the district. He never recognized anyone from any corporation. He did not see them and they did not identify themselves as sponsors.

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21. Representative Rangel would not know Mr. Michael Flannigan if his life depended on it. Neither did the Member recognize the names Marilyn Johnson, Ed Goldberg, Patrick Gaston or Albert Browne.
22. The Member did not know how the CaribNews Foundation put on the conference. It has never been an issue and he has never discussed the matter with the Rodneys. Representative Rangel did not know how they function.
23. Representative Rangel did not know the nature of the foundations 501(C)(3) status. He just knew they were charitable. He specifically did not know if they were a private foundation or a public charity. Neither was he aware of any limitations on travel paid by a private foundation. The only thing Representative Rangel knew was that if the Standards Committee told him he could go, then he could go. He was not aware of any IRS restrictions on private foundations, but assumed the Standards Committee would know.
24. The event identified in the agenda as a closed door meeting with Members of Congress was a meeting between the Members and foreigners and those concerned with the politics of America. The meeting was for off the record issues. Representative Rangel was adamant that corporate sponsors of the conference did not attend the meeting. There was no one who was in a room with him that identified themselves as corporate sponsors, especially in the closed door session as it would have been offensive for corporations to have been present.
25. When the rules changed in 2007, the Member did not get any training on the changes, but he assumed that Mr. Dalley knew what he was doing. He assumed that Mr. Dalley did everything right especially in light of the Member's reluctance to attend the conference. Mr. Dalley gave the Member reason to believe he was having many conversations with the Standards Committee and that Mr. Dalley was following the rules.
26. Representative Rangel has not spoken to the Rodneys since the conference. He has spoken to Representative Carolyn Kilpatrick, but only about the fact she was contacted by OCE. He has not spoken to any other member of the Congressional Black Caucus. The Member has not even spoken to Mr. Dalley because Mr. Dalley said that it might not be a good idea given the fact that both men were going to be interviewed by OCE. Representative Rangel presumes that Mr. Dalley feels that he did not provide the Representative with the kind of service he should have.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

27. Representative Rangel then stated that he wished he had paid more attention when the OCE was being formed and that when this is over he will take a good look at the process. He stated that he was informing us as public servants, that if a newspaper reporter can do this, reputations can be damaged. The mere fact that this can get to stage two meant that he owed Congress and this process to make sure it is as fair as the people who sold it said it was.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Representative Charles Rangel on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Representative Rangel on May 27, 2009.

Omar Ashmawy
Investigative Counsel

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Exhibit 3

09-6333 0024

TRANSCRIPT OF AUDIO FILE:

11-7 AM SESSION - FIRST 30 MIN

Thank you for working with Accentance for your transcription needs. The text below represents a professional transcriptionist's understanding of the words spoken. No guarantee of complete accuracy is expressed or implied, particularly regarding spellings of names and other unfamiliar or hard-to-hear words and phrases. "(ph)" or "(sp?)" indicate phonetics or best guesses. To verify important quotes, we recommend listening to the corresponding audio. Timestamps throughout the transcript facilitate locating the desired quote, using software such as Windows Media or QuickTime players.

BEGIN TRANSCRIPT:

KARL RODNEY: ...Mike Flanigan how through the year his division has been supportive of the Mission and the Conference. And so we want to say thanks to Citi. You'll be hearing from Mike later but it's a great team to have working and great partners. We have Pfizer and a big supporter of (inaudible) aspect. Karen Boykin-Towns is not here, she has been able to in the past eight years and there's a death in her family and so she's not here to begin. Through the years work had done in the Caribbean circle in the United States and then a special touch to our work that can be done not only on the delivery of health care but overall awareness. [0:01:00.4]

And over from Macy's and its very fine team has been innovative in outreach to various locations we've gone to, finding new vendors, developing new contacts and really helping to make the conference a success by engagement of our local participants. So Ed and Brenda are tremendous supporters and we're so delighted that they're here with us.

IBM and Marilyn Johnson, Marilyn is Vice-President of Market Development, responsible for strategic marketing for Black, Asian and Hispanic, Native American and Women. Marilyn's a dynamic partner and you'll be hearing from Marilyn also. You should be alerted that between Brenda and Marilyn tomorrow is Women's sessions is going to be something to talk about. [0:02:09.5]

Marie Long is here from ATT but ATT has supported the Conference all 13 years. We started with them. They made sure that the Vision, the Mission of the Conference is supported through the many years.

We have with us Mr. Pat Gaston. Mr. Gaston is the President of Verizon Foundation, one of the largest private foundations in the United States. And Mr. Gaston has made his mark in moving that foundation to be a force in education in the United States. We are delighted he is here to be with us and to introduce a wonderful program that has been very effective in the United States (inaudible) to see if there is potential here in the Caribbean. So, Patrick, we are delighted you are here and we look forward to your presentation. [0:03:14.9]

Preferred Health and a wonderful group of doctors, Dr. Valdez, Dr. Carl Kenel-Pierre, Dr. Kernisant, a group of doctors who have a network of centers through the United States but they also see and want to have that expanded to the Caribbean and also to bring a new kind of emphasis with respect to health tourism to the Caribbean and so they're here today also.

Dale Morris from American. American has been a partner with us for several years. Dale is expected to come in later on today but we want to acknowledge American and want to say "thanks".

Lloyd Williams from the Harlem Chamber of Commerce enough of his members are around and Lloyd has been supportive of this Conference from its inception and the Chamber has always been here with us and so we want to say "thanks" to Lloyd. [0:04:20.9]

Medgar Evers College under the dynamic leadership of President Edison O. Jackson has not only brought to the Caribbean a new vision of education, a new initiative with respect to men and empowerment but also the team that you see moving around they're from Medgar through the instrumentality of President Jackson working with us to document the Conference. It will be available too for a widespread distribution afterwards. So Medgar has been a true partner in making this Conference and this Mission work. [0:05:07.0]

Turner Construction, we have had a working relationship with Rev. Hilton Smith, he has attended several conferences and now he's with his colleague John Pierce to be a partner in the Mission of the Conference.

And, of course, the government of St. Maarten's under the dynamic leadership of leader Sarah Westcott-Williams and her dynamic team. We have always been working with - we always work with the leader and her dynamic group and we are just delighted at her own creative vision, her own determination and dynamic way of dealing with the Mission and dealing with the situation at hand. And we met with Commissioner Westcott-Williams and her team and she had a vision to suggest the theme of the Conference, time of transition, stretching for change and building the land session. So it was with that kind of background that we're here and to St. Maarten's we say, "Thank you very, very much." [0:06:31.4]

We have so many great partners that we shall hear from throughout the morning. We have through the Conventional Black Caucus and their members a set of agendas that have been accomplished, policies that have been achieved and programs that have been enhanced. And through the years they have been very effective when they have attended the Conferences our own (inaudible).

It was here at St. Maarten's six years ago that a group of hoteliers and vendors approached the members of our caucus to suggest that the Caribbean had been treated unfairly with respect to the duty-free allowance going into the United States. And it was within six months that it was changed through the effort of the members of the Black Caucus and so the Caribbean is better. And the Caribbean has benefited through millions of dollars of duty-free items that you know come through to the Caribbean.

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It was also here in St. Maarten's that the issue of tax exemption for conferences held in the Caribbean was again brought to the attention of the members of the Congressional Black Caucus and again that was achieved within a year. [0:08:08.2]

And so we've seen that kind of work constantly throw it's really the conference. So we can try to serve real achievement in fact in this room there is a couple that came from Denver to Barbados for their first Conference and they have built a region wide distribution for ice cream and fast food. And this has grown considerably.

It was also through the Conference that a small technology company with the value of \$2 million came, got a contract and that company in two years became a \$12 million company. And we've seen that kind of connection throughout the Conference. We could point to Barbados where technology was enhanced with respect to ATT work with the local Barbadian telecommunication company. St. Croix the fiber optics center, Grenada an oil refinery, all coming up with the expertise of the Conference to the (inaudible). [0:09:30.7]

And so the Mission is there to continue, the Mission is there to enhance, the Mission is there for us to make it work particularly now when we see the change has come and we can now come together to pad the agenda and to look forward to this kind of strategy for change. And so we want to welcome you. We promise that our sessions are going to be interesting. We have some of the best speakers that you can find on the various topics. We're going to see more when we get together the support we can bring to each other. The support we can provide with respect to the met work and certainly when we go back in the Caribbean we can really see results. We can come together as a people. You've been a great Conference. Thanks so much for being here. God bless. [0:10:28.4]

REV. DR. SUZAN JOHNSON-COOK: Thank you Mr. Rodney and we also thank you Mrs. Rodney as well. With interest we're here on the wave of the man who campaigned about change and on this transition to change and also strategic alliances. And we hope that will happen for you while you're here. Mr. Vide mentioned all of the sponsors who were with us and the partners who helped to make this happen and we're going to hear from three of them at this time at this quarter, Michael Flanigan from Citibank (Citi) and Ed Goldberg, Senior Vice-President at Macy's and Community for the Relations, and Patrick Gaston the President of the Verizon Foundation. In that order we will receive them this morning as they come, please. (clapping) [0:11:15.6]

MICHAEL FLANIGAN: Good morning.

ALL: Good morning.

MICHAEL FLANIGAN: It's really a pleasure to be here. As you heard before, Eric is on his way so I have the great privilege and honor of putting in for Eric. But first just wanted to say "thank you" and again welcome to the 13th Annual Caribbean Business Conference. This year was significant for Citi. For the first time we are the lead sponsor of this premier event. But I would be remiss in not thanking the organizers and our hosts here at St. Maarten so to the

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government, to the Commissioner Sarah Westcott-Williams, Governor Franklin Richards and Commissioner Roy Marlin and all the other elected officials here in St. Maarten and elected Congress members, appointed officials from the United States it's a pleasure to be here with you. [0:12:15.6]

We also of course have to thank the Rodney's as being 13 years in ensuring that this vision is carried out and delivered all across the Caribbean and I don't call to talk about some successes but I think one of the most important success that I think that I've experienced in coming to the Conference for the last six or seven years is just reunited with his great family. It's all about developing our communities. About we're all about the same thing, I think. We're all on the same page. We're all about the same agenda. And we're all about making sure that we absolutely care about each other, yourself but we care about the broader community. So it's always a pleasure to be here. I get re-energized every time I come. And so it's - I look forward to it - so it's one conference that I will never miss.

This Conference is an important gallery that makes a tangible contribution to dialog on the issues of important to communities. From recognizing the untapped wealth for underserved communities to building property alliances so that together we can build healthy, robust, organic economies. Today and tomorrow and even into Sunday are very important to that conversation at least to building a winning strategy to succeed in these turbulent economic times. I trust that we will all take full advantage of the great minds and the leaders that we are privileged to spend time with. I know my Citi bank black (inaudible) are ready. Thank you so much for having us here at Conference. (clapping) [0:13:58.2]

ED GOLDBERG: Good morning everyone.

ALL: Good morning.

ED GOLDBERG: As Mike said it's really a pleasure to be back with family today. We look forward to this Conference very year. Obviously, we hope that there will be many, many more over the years to come. I learned something from David Dinkins several years ago when you have a whole list of dignitaries in the house, the best thing to say is, "Present, protocol observed." So, Mr. Mayor, thank you for those kind words. (laughter)

Now a week and a half ago, Macy's celebrated its 150th anniversary. (clapping) When you think about anniversaries of companies today to believe that somebody could be around in the retail industry in particular for 150 years is quite an amazing feat. I'm sure that when R. H. Macy opened his store in lower Manhattan on 14th Street on October 28, 1858, he did not have the vision that the company some day; number one would last for 150 years; or that it would employ 225,000 people; or that they would do \$27 billion a year in business; or for being in 46 of our 50 states; and also the opportunity of having a business in 64 or 65 major markets in the United States. [0:15:30.9]

But today I'm hear to talk more about the Caribbean contract. The fact that we have been supportive of Carolyn Fay and this particular venture for the number of years but more importantly the fact that we come here to look for that spark that will ignite an opportunity to

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find that next Martha Stewart; that next design; or that new product that's going to sell in our stores such as we've done with the Rwandan peace baskets and products like that. And I think it is really why our Mission is so important, it's not just a question of change, not just a question of transition but it's building those ties and looking for those opportunities. That I think will bring us closer together. [0:16:15.9]

There's no question that we all face difficult times but I believe that the Caribbean is our backdoor and the bottom line in opening for us for expansion. No, I don't think we can build 250,000 sq. ft. mall stores but Macy's has to learn in transition how do we conduct business in the Caribbean communities. And I think we're going to find that way and obviously this particular convention is one of those opportunities to do so.

You all know Brenda Scott, my wife, (laughter), Judy's back in the room sleeping so I can say that. But Brenda and I have been together for more than 20 years. We have obviously been very much involved in our communities where we do business and we still believe we have a long way to go. But our mission is being supported by a great Chairman, Terry Lundgren, and the people of Macy's, so obviously we look forward to developing these bridges and developing the relationships. And I hope that in the not too distant future, we will be talking about a Macy's store here in the Caribbean. So enjoy your convention. I hope I'll get a chance to talk to each and every one of you as the time goes by over the next couple of days. And again, thank you so much, Carolyn Fay for the great work that you do. (clapping) [0:17:32.9]

PATRICK GASTON: Good morning everyone.

ALL: Good morning.

PATRICK GASTON: Thank you, Karl, for the wonderful introduction. Thank you, Michael and Ed for these great remarks. I extend welcome from our CEO, Ivan Seidenberg, and our 225,000 employees, many of whom are from the Caribbean region including me. I want to acknowledge my colleagues and members of the public sector, members of the community, and I also, I guess, want to respect present protocol. Okay, but I have to single out a couple people, right? So thank you to the leader of government Sarah Westcott-Williams for hosting us today and the great people of St. Maarten. It's a delight to be back here. This is my eighth time in St. Maarten and I may stay. (laughter) It's wonderful. I want to acknowledge Karl Rodney for his exceptional ability to bring people together to recognize the collaborations that are important for business development. He does so with the grace that I admire and it's the first time I've had the chance to be here with you, Karl, and it's an honor to be here and a privilege so thank you for inviting me. [0:19:06.0]

I want you all to recognize Rex Nettleford. I don't know if he's in the audience still. But Rex and I have known each other for about nine years when I first joined the Foundation of the University of West Indies Board of Directors. And one of the things that attracted me to the University in addition to Karl, of course, was that Rex was the kind of leader that reflected a lot of commitment and enthusiasm about education; something that I care deeply about. And over the years has provided me with his counsel and friendship and I thank you for that, Rex. (clapping)

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You know, Verizon does not have a retail presence in the Caribbean and I hope some day we will. But we do provide global Internet networks that help to provide a lot of communications that you might experience here. So I think we're in the game and we realize the value of technology to ensure that folks have access to incubation and education. In my case at the Verizon Foundation, Karl mentioned that I head up the Verizon Foundation and our emphasis is on education. And what we're trying to do is deliver resources to teachers in the classroom that need it really badly. So we're developing contact and we're taking with our partners, we're taking that contact and making it available through our technology platforms so during the course of today in the discussions that we'll have, we'll talk about that resource. Which, by the way, is offered free of charge to teachers around this country and now around the globe, so we want to make sure that we present this resource as another thing that this community can use and use it in a way that's free. So I'm looking forward to this dialogue and am excited about this Conference and I thank you very much, Karl, for inviting us to hear quality. [0:21:06.2] (clapping)

REV. DR. SUZAN JOHNSON-COOK: Thank you very much. I loved language in school. I remember ottomotopa and phizz and those words and oxymoron, best of times, worst of times, homonyms, the words that sounded alike with different meanings but synonyms when you said the word you could understand what it meant. It was synonymous with something. So when we say the words, "front line", "civic leader", "activist", "Miss NAACP", "world leader". "you don't want to mess with her", you know it is synonymous with Hazel Duker and we want to hear from her, our God, mother, our community, don't you think I'm right? (clapping) Miss NAACP, Miss Leader, our dignitary, let us receive this morning, the Honorable Hazel Duker of New York City. Are there any New Yorkers in the house? (clapping) Let's get a little noise over here. All right.

HAZEL DUKER: Good morning.

ALL: Good morning.

HAZEL DUKER: This is my 12th Conference. Missed only one because I had a broken ankle and it was just like four weeks before the Conference, not even, be on the cast. But let me say to Fay and Karl that they have been the glue without the (inaudible). They are for us 24-7. Not only just in New York City but the Islands we have attempted. The NAACP will be celebrating its 100th anniversary in New York City where it was founded July 14 through July 17. Medgar Evers, the college, the university, the (inaudible) of the city of New York, when George Blackburn and I sit and watch TV and we hear all people go over their history, people forgot that it would not have been possible if it was not for those names, Medgar names known or so many others in Alabama for Rosie, decided to sit. So Maude could stand. Maude could not have stood if Rosie hadn't of sat. Rosie was the Secretary of the NAACP, the National Association for the Advancement of Colored People. The Colored People come in all colors, when you make your beautiful salad you just don't want all greenery in it. Even with your omelet this morning you could have a mixture, of beautiful flowers I have to have all colors. And so for a hundred years this old ship resigned, been around. I ord. I was reminiscing last night (inaudible) who we went to the (inaudible) (inaudible) Union there was only six. We used to be at the Hilton Hotel. And we held court. When we started in Albany there was no black and Puerto Rican caucus, there was the

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now, the next mayor for the city of New York, Bill Thompson's father, who was the regional director for the NAACP who went on to be now retired. [0:24:54.4]

It would not have been 106 mayor of New York City of Percy's son, a former President of the New York City branch of the NAACP, Balford Calison (sp), Secretary of State and now the government of the state of New York has his first job at the NAACP. So I want us to learn history so we can have our brothers and sisters in (inaudible) and those who are coming to the shore of these United States to understand the kitchen of our connectiveness to (inaudible). How soon you could forget the history that those from the Caribbean have played to make America what she is today. [0:25:44.8]

And so I join with Karl and Fay every year I trek here because they joined with me in New York City trying to break the (inaudible) of the two communities because Rolly Johnson said this morning, Cook said this morning, Johnson Cook said this morning. "When we join hands together, when we come and talk about the multi national conference, we're global now, we can't think we just in Brooklyn, we just can't think we in the Bronx, we just can't think we in Manhattan and in Queens and Staten Island. We are all over this country. And when we saw the crowd of people, black and white, Jew and Gentile, Muslim, Asians, all across this country who came out for Obama, it is a change in America. And then we African-Americans and the Caribbean's have to absolutely solidify our tie. Because if America is to be what she was born to be, it would be that so on behalf of our new President Ben Judds who I'll promise will be here next year, 35-year-old Rhodes scholar, ingratiating young brother who is so excited where we are going. And my sisters in the Congressional Black Caucus, and my brothers, we going to get it together. As our Congresswoman told us yesterday, "We're tired of talking about it. It's (audible) we are sick and tired of being the sick and tired." And so when we leave here, we will be better off because we came together to make new friendships, to re-energize and I would say to Karl and to Fay, our sincere gratitude to you for bringing us together as a family each year. Some of us even don't have enough time to sit. That's why Laura convinced me to come a day early. Even if I don't know what's happening with my 401K (laughter) I'm nervous, Mr. Comptroller man, I don't know about vacation but we thought it not right not to come here and be with our family. Thank you so much. [0:28:13.4] (clapping)

REV. DR. SUZAN JOHNSON-COOK: We thank you so, so much. He began his second term in January 2006 as the 42nd Comptroller of the City of New York. He's come from a distinguished parenthood, a famous judge and a mother as a public school teacher, but he has distinguished his own path. And he has become a wonderful Comptroller of New York City and he has responsibility for all the city's pension funds. He's just a good brother. He's here with us today, hope to be the next mayor of the city of New York. Let's put our hands together for William C. Thompson, Jr., the Comptroller of a great city of New York, New York. All right?

WILLIAM THOMPSON: Thank you Reverend for that very wonderful introduction and it's good to see you here as our Master, or Mistress of Ceremonies, this morning. And I go ahead and make a mistake. I should have had the Mayor open up for me because he always does it correctly. But to our leader of government, Commissioner Westcott-Williams, to the members of government here in St. Maarten, my colleagues in government all over the United States, friends, good morning.

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ALL: Good morning.

WILLIAM THOMPSON: It is definitely a pleasure to be back again even though following Hazel to the microphone is always an indubitable thing to do. [0:30:01.1]

END PART 1

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TRANSCRIPT OF AUDIO FILE:

11-7AMSESSION - 30 THRU 75 MIN

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BEGIN TRANSCRIPT:

(Part 2 -- beginning at 30:00 through 1:15)

WILLIAM C. THOMPSON, JR.: - It's good to be back again and let me first start out by thanking, and I always term them as I did last year and I have continued to do it over a period of time, termed them the "dynamic duo," Faye and Karl Rodney. [applause at 0:30:16.7] They happen to be, when it comes to vision - and that's exactly 13 years ago when putting this conference together, they had a vision. It is something that they have never taken a step back from. They continue to move forward, they continue to bring us together. And while we have the opportunity to meet, to have conferences in some wonderful places, just fabulous environments and that is the truth, but they work us, okay. Karl and Faye let us understand that we may meet in a wonderful environment, you walk outside and it's gorgeous, but we are here to accomplish things. [0:30:58.7]

Karl mentioned when we were here before, and I remember the discussions with the members of the Congressional Black Caucus and it wasn't just those who owned hotels, it was members of government who had indicated then that the (inaudible) \$200 dollar duty-free or the amount that you could bring in the country hadn't changed in decades, in years and years and years. And I remember that members of the Congressional Caucus, the Congressional Black Caucus led by Congressman Rangel, the Chairman, and now he wasn't the chairman at that point, he went back to Washington and in a period of months the amount had doubled from \$200 to \$400 dollars. It was the right thing to do but you saw the power of this Congress. As I said, we might come together in wonderful places, but it is about business. It is about increasing the ties. It is about the business between the Caribbean and the United States and increasing those business opportunities, helping to raise the standard of living for many, helping to build businesses and institutions, that's what it is about. [0:32:09.5]

Last year, Karl had me down here and - no I'm sorry, last year after our conference I worked. I must admit, Karl had me as a luncheon speaker, we were outside, it was about 85 degrees and I was in a tie okay, I was in a suit and tie and it took me a couple of hours afterwards to dry out. But the one point that was made then was Karl looked at me, and those of you who know me in the

introduction as New York City's Controller, I'm a member, a trustee of four of our five pension boards, chief investment advisor to all five. Our total assets in knowing, it might have shrunk a little, but our total assets are about \$95 billion dollars right now. We are the fifth largest public pension fund in America. [applause at 0:33:02.4] At that point Karl looked at me and said, by the way how much of that is invested in the Caribbean basin? How much of that money is going to create, to build infrastructure, to help with tourism, healthcare and other things? And it was an impressive question and I went back and the answer was not much. Not much, so we started to go at that point down the path of looking at investment possibilities in the Caribbean basin; obviously a very promising area. [0:33:42.0]

To go back to quote just a couple of numbers as we would look at - now it has been a tumultuous year on Wall Street, at the same point, many of the investments, as we were termed that we have made in the emerging markets around the world, have outperformed developed markets. For instance, one year, three year, five year performance of emerging market equities has been on par or better than the United States private equity, the United States venture capital, Western European private equity and U.S. public markets. Clearly it makes sense for institutional investors to begin to move further into those waters of emerging markets. [applause at 0:34:25.8]

So we went back and started to engage, particularly we looked at private equity, and the way we invest usually isn't directly it is in private equity funds, so we began and our private equity consultant, we asked them to take an initial look at the Caribbean basin for how much initially should we be investing. And the number that they came back with was \$80 million dollars. That would be our first step into investment in the Caribbean basin through a variety of investment vehicles. We started to move down that path and unless I am wrong, and if I am I will be short a few people or I will lose a few people in my investment side, but I believe that yesterday back in the office, back in front of one of our pension boards, we approved the first application of \$30 million dollars to be invested in the Caribbean basin. [applause at 0:35:25.8] And, we are going to continue to move forward through other investment vehicles to invest and commit, or really to commit and invest, the additional \$50 million dollars and then we will take a step back and look for other possibilities. [0:35:46.8]

So I just wanted those to know that conversation, that investment of money, and as I pointed out it will range in everything from real estate to infrastructure, industries ranging from healthcare, financial services, energy, telecom, tourism and other areas, but that started last year at this conference. [applause at 0:36:09.8] So to those who say, "Jeeze you are going to St. Maarten? Have a wonderful time. I know you are going to spend a lot of time on the beach," Karl and Faye make sure that we are working hard and that we are getting things done. [0:36:28.6]

And at this conference, while it is great to see friends and colleagues and some people I don't get to see for a year, we are here working and we are here making sure that we increase and bring closer those ties that we have had in the past and that we are helping to grow business, that we are helping to improve quality of life both here in the Caribbean and back in the United States.

So again, it is a pleasure and let me say again to Karl and Faye, thank you so much for the leadership that you have shown. We look forward to your continued leadership for years to come. Thank you so much. [applause at 0:37:04.2]

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REV. DR. SUZAN JOHNSON-COOK: Thank you for working hard while you are here and even back at home. Thank you. It is so good to be together. We do get to sit down and talk to each other here. We try to get on each other's calendars in the City and we never do, but here we are able to make and transact business and it's wonderful.

As a point of personal privilege, it is not everyday that you have a city world leader that you know very well is a man of faith first of all, who reads the Holy Scripture at your wedding. It's not everyday that you go into (inaudible) flavor at a press conference that he has called and then he comes to the hospital to see the birth of your first child. It's not everyday that you have someone who is there at the blessing of that child who's named after him. It's not everyday that you have someone who hosts Whitehouse Fellows when they run, coming to New York City and gives them the key to New York. And, it's not everyday that we have the first African American Mayor of the City of New York in our midst. We are in the midst of history here. He was the 106th Mayor for the City, but he is our number one Mayor, the Honorable Mayor David Dinkins, Professor in the Practice of Public Affairs at Columbia University [applause at 0:38:16.8], and our wonderful, wonderful leader who's shoulders we stand on and for who we cheer for in honor this day. Let's hear it for the Honorable David Dinkins. [0:38:28.4]

DAVID DINKINS: Well one thing is clear, if I had had the good fortune of having the Reverend introduce me more often I might have gotten re-elected. [laughter at 0:38:48.4] I am delighted to be here, but I want to clear one thing up from the start. I think it should be acknowledged that Faye Rodney has been such a wonderful person and to think that she permits her husband to come along with her the way he does [laughter at 0:39:10.1]. It's really nice. She even lets him think he's in charge every now and then. [laughter at 0:39:16.6] But they are a dynamic duo.

I am delighted to be here with you, I truly am and each year, I guess I've been here ten out of - at least ten times I think - and each year I try to get myself on the committee that will go and do the, make the decisions on where we are going to go next year so I can visit the islands but they have not yet let me come. But each year though, I insist that the tennis facilities be adequate, but I am not playing this time. But I want you to know my friends (inaudible) that they were out on the court this morning and I will get a report and it better be good. [laughter at 0:40:14.3]

I am delighted to be here with you, I truly am and we are taking a role and those folks who are not here now, but are still having breakfast or are out on the beach, we've got their names: [laughter at 0:40:33.0] and we are going to chastise them severely. But it really is a wonderful, wonderful opportunity to come together with friends. For me personally it gives me an opportunity to say thank you to so many of you who helped me become the 106th Mayor of New York. [0:40:51.2]

We say often that everybody stands on somebody's shoulders, and by that we mean Malcolm X and Martin Luther King and (inaudible) and Harriet Tubman and Rosa Parks and for me, for me personally, it also means Percy Ellis Sutton. [applause at 0:41:13.0] who ran in 1977 with such class and distinction that nobody laughed at me when I dared try in 1989. And we were successful and it is a broad leap. [0:41:33.6]

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Certainly, I was simply privileged to be the stand bearer, but it was many of you here gathered, many of you who worked with me, worked with me in our administration like Laura and Hazel and so many of you, and I know that we wouldn't have gotten nothing done had it not been for the man called the Rumble Genius by the New York Times, Bill Lynch. [0:42:02.3]

Bill used to say, good government is good politics. And you will note on his literature, he's got a consulting firm now and a whole heap of clients and is doing very, very well, you will note that he uses that slogan and it is certainly true. If you do that which is right in the first instance, it will inure to your benefit politically as well. [0:42:34.5]

So we didn't make judgments politically and then say well let's see how that will play out, we did it the other way around. We did what we thought was right and then it inured to our political benefit and I am so proud of so many of the people who helped us succeed as they go forth now. And I can't believe how young they were, some of them are still young even now and maybe it's because I am so old that it looks that way. But it really is an example of what can happen when good people come together and work hard. [0:43:11.7]

And I know that President-elect Obama is going to do well because he is going to surround himself with bright, intelligent, trustworthy people on whom he can rely on. Because no executive, no executive, not even Ed Goldberg, can get it done alone. You've got to have help and you've got to have people to whom you can delegate and you have to have people who will make a triage. You can't look at the thousands upon thousands of things that will come before you. They have to do a triage and bring to you those that they deem to be of significance for you to make a judgment, and then you will make a decision among them. [0:44:00.6]

I am confident that President-elect Obama will make the correct decision once he knows all the options, but he can't know all of the options if they aren't brought to him in the first instance. And the reason that I have such faith that he will be surrounded by good, bright people is the kind of campaign he ran. [applause at 0:44:26.1] He ran one hell of a campaign so it sort of suggests that he will do as well as our President. That is not easily done, particularly in these difficult times, but when Alan Greenspan said, "By God I didn't know it was this bad," or never (inaudible) how the hell he doesn't know, how am I supposed to know." So it is difficult for sure, but we are going to survive this. They say that that doesn't kill you makes you stronger, so we are going to survive this. [0:45:01.0]

Ed Goldberg made reference to my custom, my habit that I got from African diplomats of instead of acknowledging everyone in the audience, which I would want to do and my staff would go absolutely crazy because I would acknowledge all of my friends and they - I did that once and my wife was standing right next to me and I acknowledged everybody in the house except her. Hell of a ride home [laughter at 0:45:29.6]. But, the African diplomats have this custom, they say, "Usual protocols observed." So, President Jackson that means that you have been acknowledged. [laughter at 0:45:45.2] See, that's the way they do it because if you do otherwise, if I go on to describe how Hazel is my assistant and how - let me tell you, you don't want Hazel against you ever, but by God if she is on your side, nothing can stop you; you will win. [applause at 0:46:12.5] And we are all going to turn out as she leaves us in celebration of NAACP's on it's anniversary it is going to be a

monster, it's going to be a gas to be right in New York and I hope everyone here comes. It is really going to be something. [0:46:34.5]

I say to audiences, the media in particular has been asking me, "Well what's it like for President-elect Obama the first African American President of the United States?" And their theory is they are asking me because I was the first black Mayor and I try to explain that there is a world of difference. While I got to be the head of a city of 8 million plus people and that's terrific, and we have more separate ethnic identities than the United Nations has member nations, so we are truly a magnificent city, but Obama is not only the President of the United States, he is the leader of the free world. So you really can't make a comparison, you can't. Although, I am sure that he's got to feel wonderful because as he said as his grandmother wasn't there at the very end, but fortunately he got to see her, imagine how the family feels, his wife, his children, so I do understand that part and it is a hell of an accomplishment that he has done, but even he could not have done it without you. And he knows that and that is the beauty of it. [0:48:02.8]

I recognize that those of us who have been privileged to serve, it is a privilege and you have to see it that way and recognize it that way and do the best that you can under the circumstances, but never, ever believe that you got there alone, because that's just not true. I am almost amused, I say almost because I feel a little sorry for the distinguished Governor of Alaska, and it's coming out now how they are swallowing in house. I don't know whether you have seen the current press and how they talk about the poor lady and she is trying to defend herself and I almost, underline almost, feel sorry for her, but, she made it up there. [0:48:54.1]

So to my friend, Suzan, the Reverend Doctor Suzan Johnson-Cook, you-all - many in this audience knows that she took a congregation of about 11 or 12 people, meeting at lunchtime down near City Hall, and built it into a congregation in excess of 1,000. She did that. [applause at 0:49:25.9] She is a very, very special, gifted woman. And I say that she is here with us at this 13th annual meeting, notwithstanding the loss of her dear brother, my friend, our friend, Charles, very recently. A young man who has gone to his reward and she is with us nonetheless and we appreciate it. [0:49:59.4]

Charles was quite a guy. He didn't like to be called Charlie incidentally; he was Charles. It's sort of like if somebody says they know Joe Hines who's name is Charles J. Hines and they'll say, "Oh, I know Charlie" and you know that they don't know him worth a damn because he is Joe Hines. [0:50:24.2]

So, to be here in the company of Carolyn Kilpatrick, the Representative in the Congress who takes care of our brother Charlie Rangel; that is special. That is special. You know one year we had something like 35 members of the Congressional Black Caucus here, I think it was 35, it was over 30 certainly, and that got the attention of a whole lot of people. It got the attention I'm confident of some sponsors who said well next year maybe we ought to up ours a bit and they did and that's the genius of Faye that she let's Karl come along with her here. [laughter at 0:51:15.3]

It's true that international black heads of states have prevailed in nations with majority black populations, but never before, never, ever in the history of the United States has the Chief of State, the Commander in Chief been other than a white male. And many of us grew up in the days of Jim Crow and dozens of African Americans were still being lynched; 24 in 1933 when I was six years

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old. They were still lynching folks and as a matter of fact I can recall some years later as we started to make (we meaning blacks) started to make some progress, we were told things like, "You Negroes (that's what they called us then) you Negroes shouldn't be so pushy, they only lynched five last year." We were supposed to be grateful that so few of us had died. [0:52:15.2]

Then there came World War II; segregation, as we went off to fight and die for our country. And when I got out of the Marine Corps in 1945-1946 and some of my classmates had shrapnel in their bodies, and we could not go to the movies downtown in Washington, D.C., the Nation's Capital, couldn't shop on F Street is you had to try something on, and this is after the war; the war that ended all wars. So we have come a long way since those days, but things are not perfect even now because I maintain that we live in a racist society that is the legacy of slavery, but that does not mean that we have not made great progress. Imagine a black man, President of the United States of America. [applause at 0:53:20.2]

I can remember when there were certain jobs you couldn't even apply for, couldn't even think about it. We got the right to vote by the 15th Amendment in 1870. but we weren't able to exercise that right to vote until 1965 when the Voting Rights Act was passed. As a matter of fact, if you think back on some of the games made during the Civil Rights Days by Dr. King and so many really heroic women and men. And I have to tell you I ain't to sure that I could have followed John Lewis getting beaten down into the ground and he kept coming back, I'm not sure that I could have done that. [0:54:18.7]

I'm not sure that I could have done what the Tuskegee Airmen did. You know about the Tuskegee Airmen, everybody does. they said blacks weren't intelligent enough to learn to fly. And then B.O. Davis who in four years at West Point nobody every spoke to him. they didn't talk to him, and he came through all of that and won the fight for black men to learn to fly and then they said, well you can't go into combat because you will be cowards and turn in the face of the enemy. And then they won that battle and they did it so well that people like Roscoe Brown and Lee Archer and Percy Sutton and so many others. they fly in cover for bombers and never lost a single bomber. not one. [applause 0:55:19.1] So much so that the white bomber pilots would ask for them and I can remember, while I wasn't personally there. the black Marines who were trained in Camp Lejeune in North Carolina. white troops went to Parris Island in South Carolina and they thought that the black Marines were somehow not quite as good as the white Marines, but when the black Marines hit the beach carrying ammo for the guys that were pinned down and running out of bullets, they loved them to death. It's funny what need will do; it's funny. [0:56:16.1]

This election has at last put to rest, I think, any doubt that Americans as a whole oppose the failed economic and social policies of the Bush administration, with its record deficits and recession. Billy Thompson knows about that. Phil used to properly regulate financial industries that led to the loss of retirement pensions and the sub-prime mortgage crisis, outsourcing of American jobs, increased unemployment and refusal to work toward a living wage for job offers, failure to support programs that curtail practices that harm the environment and damage or destroy natural resources, failure to end the war in Iraq and approve any meaningful plan to withdraw troops. [0:57:12.3]

Speaking of Iraq, don't it just get you right here when the Bush people lied to Colin Powell and sent him to the United Nations to tell those lies, and didn't it make you feel good when he came out

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for Obama recently; I mean you know [applause at 0:57:38.5] by God, and he is a guy, you know, he is one of our heroes. He truly is, he truly is. [0:57:52.2]

The American people have spoken across the party lines, social classes and racial divide in support of programs to provide affordable and accessible healthcare, to stimulate economic growth and provide a living wage for American workers, to transform energy consumption and reduce American dependence on foreign oil to improve schools by ending No Child Left Behind, and end the war in Iraq and bring the troops home. Some of you will recall that when the troops came home after Vietnam, our country treated them terribly. They spit at them. They didn't ask to go, but they were treated awful. Korea was a little different. And as Charlie Rangle says, he hasn't seen a bad day since. Charlie was pinned down in a foxhole and came out of there with a Purple Heart and Bronze Star and he hasn't seen a bad day since. [0:58:57.2]

But, even though Americans, whether Democrats, Republicans or Independents, have responded overwhelmingly in support of measure that the new President may be compromised I say, at the outset by the actions of the outgoing administration. Keep in mind that he doesn't take office until January 20th and I'm counting on our friends in the Congress to do whatever they can to see to it that he doesn't start off in a whole, because that is what can happen. It is possible: I hope it doesn't happen. [0:59:41.9]

Earlier this year in February of 2008 I participated in a panel at a meeting of the Independent Newspapers International Advisory Board in Cape Town, South Africa on the topic "End of the Bush Era in the United States." And our discussion, neither prophetic or profound, merely reflected the ground swell for change that has this week resulted in one of the most historic moments in American history: the landslide election of the first African American to the Presidency of the United States of America. [1:00:20.7]

The majority of the American public, irrespective of political party affiliation welcomes the end of the Bush administration, by whatever measure we choose to evaluate its impact, domestic and global, this has been a failed presidency that has brought the United States to the brink of disaster and some say beyond the brink. We could recite the long list of offenses perpetrated or orchestrated by that administration that have passed in the name of foreign policy and global economy. [1:00:57.0]

While the United States has now emerged from unprecedented two-year campaigns, some like the New York Times editorial staff have cautioned that we should pay close attention to the efforts underway to perpetrate the policies of the past seven years with Bush. And at the risk of putting a damper on the celebration of Tuesday's victory, I do feel compelled to call attention to a few of the concerns that lay immediately ahead. [1:01:31.7]

True the Congress has a Democratic majority and the political capital of the sitting administration has been all but spent by the wars in Iraq and Afghanistan, and the worst economy since the Great Depression, but even with the lowest approval rating of any president in the history of our country, he has pressed on to do everything possible within his remaining days to compromise the new president, who will take office with the greatest mandate from the people in a century. [1:02:05.6]

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He has abused the political process more than any of his predecessors, undermining the power of Congress through the use of Signing Statements. Over the last seven years he has issued hundreds of these documents, devices showing us that he had no intention of obeying laws, that he has claimed impinged on the constitutional powers of the Chief Executive. Examples of such Signing Statements are those that authorize domestic surveillance without warrants, torture of prisoners in violation of the Geneva Convention, and firing U.S. Attorneys for political reasons. [1:02:50.5]

Others include a host of executive actions designed to impede congressional programs to expand health insurance and prevent further pollution of the environment. The disastrous Bush Lame Duck Budget proposal announced at his last State of the Union Address contained provisions for permanent tax cuts for the wealthy and the highest level of military spending since World War II and reductions in spending for medical research, environmental protection and education. [1:03:26.9]

President Obama will have to contend with this and a series of other eleventh hour measures. We are confident that Congress will reach across the aisle to combat the resultant stranglehold from President Obama's ability and move forward our country. We have along way to go in repairing the damage done over the past seven years. And although we deserve to relish the moment of victory, we do understand that we have more battles to fight and miles to go before we sleep. [1:04:00.1]

We should congratulate those other political leaders, some of whom are with us here today in St. Maarten, for their election and reelection victories as well. [applause at 1:04:11.2] And as one of these, the Dean of the New York City Delegation of Democrats, my brother Charlie Rangle. And this is a quote from my brother. Charlie says, "The world we look at is a world of many colors, many cultures, many faiths and what we as Americans have to offer is our experience in creating a diverse society as we show the world all of the diversity that is the strength of America. We reflect our values and present an example to the world. With our nation growing international involvement there could be no better time to attract the best and most diverse (inaudible) to represent the American people in every corner of the globe." so says Charlie Rangle. [1:05:05.3]

When we break for lunch we will hear the distinguished Governor of my home state of New York, the Honorable David A. Paterson. He will talk about the importance of building upon the partnerships necessary to translate the watershed victory of democracy in the United States into lasting change. I can't resist remind us that the governor's father, Basil Paterson, once won 61 out of 62 counties in New York State in a Democratic Primary for Lieutenant Governor. And then he joined the of (inaudible) of Goldberg. He was a former United States Secretary of Labor, former United States Supreme Court Justice, former United States United Nations Ambassador, the lousiest damn candidate you ever saw. So Basil did not win, but he would have become the first black Lieutenant Governor of New York State and eyes on the first black governor. So imagine, as I sat in the front row at the state committee meeting and Basil placed David's name in nomination for Lieutenant Governor. I had tears in my eyes. It had come full circle and now that same David is Governor of the State of New York and you will hear from him later. [applause at 1:06:41.3]

Later this afternoon we will meet to share our thoughts and plans for sharing working solutions to education. There are challenges is business and political leaders will be to craft strategies for keeping the doors of the international education open to our future leaders. The world will be a

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dimmer place if we let the lamp of knowledge go out for a generation of our young people. It is within our power to turn up the flame and keep it burning bright, and in the words of President-elect Barack Obama, "Yes we can." [applause at 1:07:24.8]

REV. DR. SUZAN JOHNSON-COOK: Thank you Mr. Mayor, and thank you for looking so wonderful. He's dapper, Amen! Doesn't he look good? [laughter, and applause at 1:07:42.4] And Barack Obama stands on your shoulders because you took the blows that shows what a man of faith could do and we thank you for representing us well. [1:07:52.3]

We also are joined by many a (inaudible) joints throughout this conference by many elected officials and some are here this morning; elected officials from the State of New York. You will hear later from the Honorable David Paterson, you heard from our City Controller Honorable William C. Thompson, Jr., Honorable Adolfo Carrion, Jr., President of the Borough of the Bronx; Honorable Nick Perry the Assemblyman for New York State, The Honorable Ruth Hassle-Thompson, State Senator New York State, the Honorable Keith Wright is with us this morning, Assemblyman for the New York State the Honorable Inez Dickens, Council Whip, Member of the New York City Council, and Honorable Dr. Kendall Stewart, Member of the New York City Council. Can we applaud for all of the elected officials from the State of New York? [applause at 1:08:34.3]

And then members of Congress who will be joining us throughout, some of whom you heard last night and today, the Honorable Donald Payne, the Chair of the Subcommittee on Western Hemisphere, the Honorable Bennie Thompson, Chair of Homeland Security, Honorable Donna Christensen, the Honorable Chair of the Subcommittee on National Resources, Honorable Sheila Jackson Lee and now we are also going to hear from one of our Presidents of the Congressional Black Caucus, the Honorable Carolyn Cheeks-Kilpatrick. We are going to the federal level y'all [applause at 1:09:09.6] who is our President, Madam President and will be working with Mr. President would you please come and let us receive her this morning for what a wonderful job you have done and you have served with distinction as well and we celebrate and salute you this morning. Can we applaud the other members of the Congress who are with us? [applause at 1:09:29.1]

REP. CAROLYN CHEEKS-KILPATRICK: Giving honor to God who is the head of my life, present protocol being established [laughter at 1:09:46.3], but I've got to say a couple even given that. To Faye and Karl, its really thoroughly been said but thank you from the bottom of all of our hearts. We could not do this without you. Thank you very, very much. [applause 1:10:00.4] We could (inaudible) every week in our Washington offices, but that's how we keep up with the Caribbean if not for the newspaper, there would be no association that we could actually see and know what is going on and how we (inaudible) so thank you very much from the bottom of our heart. Thank you very much. [applause at 1:10:18.6] Commissioner Wescot-Williams as well as all the commissioners over here. St. Maarten, one more time, thank you for hosting us one more time, we love you, thank you very much. [1:10:29.4]

Our key ingredients for the Congressional Black Caucus where I Chair 43 members, we come from 21 states, we present over 40 million Americans; [applause at 1:10:40.8] five full Committee Chairs, 17 Subcommittee Chairs and others like the Majority Whip and Jim Clyburne from South

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Carolina and others who help to control the Congress. We have the first African doctor in the house, a member of our Caucus who chairs our health branches and very instrumental in us being here, why don't you welcome my sister. Dr. Congresswoman Donna Christensen. [applause 1:11:11.7] Thank you, we love you.

It was mentioned earlier that, and I'm going to say who else is here, you heard from Dr. Payne and I know if he is here in this room you will hear from him again, you did last night, who chairs our Western Hemisphere Committee. Bennie Thompson who is here and I think he came through last night, he chairs our Homeland Security Committee also will be here. Sheila Jackson Lee from Houston, Texas and I know many of you know her, she is en route and will be here just shortly. Our number uno Congressman, second only to John Conyers in seniority, from the great state of New York, Chairman of the House Ways and Means Committee, our big brother Charlie Rangel will be here this afternoon. [applause at 1:11:55.3]

And what you probably don't know is the federal budget is \$3 trillion dollars. Charlie's committee handles \$2 trillion of that for Medicare, Medicaid, for veterans programs; his committee is the committee that produces the revenue, \$2 trillion of it, giving it out in what we call entitlements to those programs. I served on Appropriations where the committee spends the money, and I like that too. We spend a million and Charlie generates it and gives it all by formula to the entire committee and our committee handles the appropriations in sending it out. [1:12:33.0]

Together we make up the Congressional Black Caucus and it was said earlier, many more members will be coming and will have come over the years, but I just wanted to say a point of personal privilege, this last six months, the last two months and the last two weeks, members of the Congressional Black Caucus have been crisscrossing America for our now President, Senator Barack Obama. [applause at 1:13:00.1] Even as we had our own elections [applause 1:13:02.4] Tuesday we were all on the ballot as well but (inaudible) just thinking as it relates to it's is major, but most of us went to many states all over this country. [1:13:12.4]

You see 18 of our members represent less than 50% of the African Americans; three of our members represent less than 15% African Americans, the most that any of us represent is a 62% African American so we represent all of America, Asians, Africans, Latinos, Europeans, Native Americans, you name it and we are called the conscious of the Congress and we really are that you know. [1:13:38.5]

God built us from a certain kind of way and we got some of this stuff in us that you do, you cross ethnic lines and it makes us stand up for righteousness, speak up on injustices and when we see something wrong you have to hear it because, of course, we are the conscious of the Congress. So in the spirit of (inaudible) is that Congresswoman Christensen talks about healthcare it's real. They have more because we have less. [1:14:03.2]

We hope and we know that with President Obama yes we had some difficult times in the Mayor laid them out well. And to the sponsors by the way, all of you, we couldn't do this, be with you, help Karl if you weren't here with us so we say thank you very much, you are so important. To all of the sponsors, thank you very much. [applause at 1:14:23.9]

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So as we move to these next four years, two months, 32 days. - talk about the short period between November 5th and August 20th, [sic] President Bush is still the President. Our Constitution says that if there is unrest or malice or whatever, the sitting President can hold off and not let happen the January 20th date. There isn't many people that know about that, so we don't want any - we were kind of scared about November 4th when we won. We wanted everybody to be prayerful, be (inaudible) and have fun and all that, don't burn [1:15:00.5]

END PART 2

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TRANSCRIPT OF AUDIO FILE:

11-7AMSESSION - LAST 20 MIN

Thank you for working with Accentance for your transcription needs. The text below represents a professional transcriptionist's understanding of the words spoken. No guarantee of complete accuracy is expressed or implied, particularly regarding spellings of names and other unfamiliar or hard-to-hear words and phrases. "(ph)" or "(sp?)" indicate phonetics or best guesses. To verify important quotes, we recommend listening to the corresponding audio. Timestamps throughout the transcript facilitate locating the desired quote, using software such as Windows Media or QuickTime players.

BEGIN PART 3:

REV. DR. SUZAN JOHNSON COOK: Thank you, Mr. Mayor. Thank you for looking so wonderful. He's dapper. Amen. Doesn't he look good? (clapping). Barack stands on your shoulders because you took the blows and showed what a man of faith could do and we thank you for representing us well.

We also are joined by many and will be joined throughout this conference by many elected officials. Some are here this morning; elected officials from the State of New York. You will hear later from the Honorable David Patterson. You've heard from our city controller. William C. Thompson, Jr., Honorable Adolpho Carrion, Jr., President of the Burroughs of the Bronx. Honorable Nick Hardy, the assemblyman from New York State, the Honorable Ruth Hassell Thompson, State Senator of New York State, the Honorable Keith Wright is with us this morning. assemblyman from New York State, the Honorable Inez Dickens, council whip member of the New York City Council, and Honorable Dr. Kendall Stuart, member of the New York City Council. Can we applaud for all of the elected officials of the State of New York? (clapping).

Members of Congress who will be joining us throughout. Some of whom you have heard from them last night and today, the Honorable Donald Payne, Chair of Subcommittee on Western Hemisphere, the Honorable Bennie Thompson, Chair of Homeland Security, Honorable Donna Christensen, the Honorable Chair of Subcommittee on National Resources, Honorable Sheila Jackson-Lee, and now we hope to also hear from one of our presidents of the Congressional Black Caucus, the Honorable Carolyn Cheeks-Kilpatrick. We're going to the federal level you all; who is our (inaudible) president. We're only working with Mr. President. Will you please come and let us receive her this morning for what a wonderful job you have done and you have served with distinction as well, and we celebrate and salute you this morning. Can we applaud the other members of Congress (inaudible) (clapping).

REP. CAROLYN CHEEKS-KILPATRICK: Giving honor to God who's the head of my life, present protocol being established, but I've got to say in comfort even given that. To Fay and Karl, really (inaudible) really from the bottom of all of our hearts. I cannot be this without you. Thank

you very much. We could (inaudible) ever week in our Washington offices and that's how we keep up with the Caribbean. If not for the newspaper, there would be no association that we could actually see and know what's going on and how we plug in. So thank you very much from the bottom of my heart. Thank you very much.

Commissioner Wescott-Williams, as well as all commissioner's who are here, St. Maarten, one more time. Thanks for hosting us. We love you. Thank you very much.

I bring you greetings from the Congressional Black Caucus, where I chair 43 members. We come from 21 states. We represent over 40 million Americans, 5 full mini chairs, 17 subcommittee chairs, and others like the majority whip and Jim Clyburn from South Carolina, and others who helped to control the Congress. We have the first African doctor in the house, a member of our caucus, who chairs our health brain trust and very instrumental in being here. As being here, won't you welcome my sister back, your Congresswoman Donna Christensen? Thank you, Donna. We love you.

It was mentioned earlier that, I'm going to say who else is here, you heard from Donald Payne, and I know if he's hear in this room, you will hear from him again. You did last night. He chairs our Western Hemisphere Committee. Bennie Thompson, who's here, and I think he came through last night, he chairs our Homeland Security Committee. Also will be here, Sheila Jackson-Lee from Houston, Texas. I know many of you know her. En route, she will be here just shortly. Our number uno congressman, second only to John Conyers in seniority, from the great State of New York. Chairman of the House Ways and Means Committee, our big brother. Charlie Rangel, will be here this afternoon.

What you probably don't know is that the federal budget is \$3 trillion dollars. Charlie's committee handles \$2 trillion of that for Medicare, Medicaid, for veteran's programs. His committee is the committee that produces the revenue, \$2 trillion of that given out in what we call, "entitlements" to those programs. I serve on appropriations, where the committee spends the money. I like that too. We spend a million. Charlie generates it and gives it out by formula to the entitlements. Our committee handles the appropriations and sends them out. Together we make up the Congressional Black Caucus.

As it was said earlier, many more members will be coming and have come over the years, but I just wanted to say, a point of personal privilege. This last 6 months, the last 2 months, and the last 2 weeks. Members of the Congressional Black Caucus have been criss-crossing America for our now president, Senator Barack Obama. Even as we had our own election, Tuesday, August 4th, we were all on the ballot as well, but ours wasn't as significant as relates to us as major, but most of us went to many states all over this country. You see, 18 of our members represent less than 50% African-American. Three of our members represent less than 15% African-American. The most that any of us represent is 62% African-American. So we represent all of America: Asians, Africans, Latinos, Europeans, Native Americans. You name it.

We're called the Conscience of the Congress and we really are that. You know, God built us in a certain kind of way. We got some of this stuff in us, as you do with you. Across ethnic lines, it makes us stand up for righteousness, speak up on injustices, and when we see something wrong.

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you have to hear it because, of course, we're the Conscience of the Congress. So the disparities that Donna, Congresswoman Christensen, talks about in health care, it's real. They have more because we have less. We hope and we know that with President Obama, yes we have some difficult times and the Mayor laid them out well. And to the sponsors by the way, all of you all. We couldn't do this, be with you, help Karl if you weren't here with us. We say, "thank you very much. You are so important". All the sponsors. Thank you very much.

So as we move through these next 4 years, 2 months, 32 days, talk about the short period between November 5th and August 20th. President Bush is still the president. Our constitution says that if there is unrest or malice or whatever, the sitting president can hold off and not let happen the 20th day. There are few people that know about that. So we don't want any, we were kind of scared about November 4th when we won. We wanted everybody to do prayer. There will be a tone-in and have fun, and all that. Don't burn nothing down. Don't burn up a car and all that because they're waiting. Some people are waiting. Some people are very angry. We are euphoric. God is euphoric and people across ethnic lines, you saw them. The multi-cultural facets of America happy because it was a perfect storm. Financial markets crashing. People losing jobs. Health care unaffordable. Our schools in shambles. Big bad America and we still are: it's just this 8 years we have a man in the White House who knew not Joseph. In his leadership of our country, he not only decimated America, but caused havoc around the world. I know you know that.

So we've got a lot of building to do. What Karl and Fay has done is to energize each of us so we take our rightful places. All of you and all of us together. I'm going to bring into this room 2 of my sisters who we lost over the last year. Congresswoman Stephanie Stubb-Jones. Cleveland, Ohio. will be right here. Right over here, smiling and said 'Let's do this'. I want you to give a shout-out for Stephanie. She's up in here right now. Congresswoman Juanita Milligram-McDonald from Los Angeles, also with the ancestors are up in here right now. Both chair people I might add. Congresswoman Stephanie Stubb-Jones (sp) of our Ethics Committee and Congresswoman Juanita (inaudible) McDonald of our House Administration Committee. Finally, all during this tenure, 2 years is what Congress is, this is the 110th congress. So many historical things have happened that I mentioned to you already, including electing our first African-American president. Then finally Julia Carson from Indianapolis, Indiana, also (inaudible) has been to this conference many many times.

So my brothers and sisters across racial, ethnic gender, geographic, biological, (inaudible) we have much work to do, but it can be done. Because I believe there is a higher power who's directing all of this. We just have to know it and believe it, and do it, and be centered in a higher power.

So as members of the Congressional Black Caucus, as we go back next week to address the financial crisis. You all have been hearing about this \$700 billion, every time I say it, we handle a trillion, our committee, \$700 billion is what we just gave out or given out as we speak. The good thing about that, they were trying to give it all out, \$300 billion of it is gone, but the good news, the new president, anything they put in force, he can pull it back. So we have an opportunity to do some real comprehensive stuff.

The housing market? No more foreclosures. Those same people who got the \$700 billion, they were the ones who caused 80%, 85% of the foreclosing. Do you all know that? Predatory lending.

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Loss of jobs. Shifting our jobs over seas. You see, when the financial people do their thing, (inaudible) a billion here and here. It's paper. It's two clicks of the mouse. They were selling bad paper then and they were making big money. My sister, Susan. Let's give Susan a hand. Essence Magazine. Love you girl. (inaudible). We have to be smarter and better as we go forward. Senator Barak Obama, and you may have heard about his prudent campaign. Most of us in this room a 1-1/2 or 2 years, we thought it couldn't done, because we lived with the same people who had to do it. But the perfect storm. Don't forget that. The perfect storm caused by humans. Greedy humans in many cases.

I do a lot of work in the Middle East; Egypt, as well as Lebanon. I do (inaudible) and they're great people, but this president castigated Arabs. In Michigan, where I come from, and John Conyers, we have the largest population of Arabs outside of the Middle East. They've been in our community for decades. They go to our schools. Our children know them. They help and help to build the community. Yes, there are a few bad ones who blew up some (inaudible). God took care of them. They're going to be taking care of. But just like we don't want everybody to measure African-American by a few who do bad things, there are good things in all people. I believe that as President Obama comes into fruition, a member of the Congressional Black Caucus, his job, and he really does believe this, is to bring the races of God's people together. Whether you know God as God, or Allah, or Jehovah, or what have you, that if you really believed in a higher power, let's get busy and rebuild the world.

So, my brothers and sisters, we got a lot of work to do and you do as we go back to New York. Keep the (inaudible) operating. Keep your will strong and knowing that, as president takes his oath and pray that nothing happens between November 5th and January 20th. He can call marshal law. He can go around the country and lock up heathens and Christians, which isn't going to happen. Lock up anybody who might be subversive or such. Create an environment so that January 20th may not ever happen. That's the nightmare. Now snap back. Wake up. He won. It's going to be all right. Let's build a new America again. Let's make sure that our children can have adequate education because it's no longer Michigan against Indiana or Ohio. Michigan against China. It's US against the world and we can build those partnerships the way we do with Faye and Karl, and all of us who have been working for all of these years.

Thirteen is a significant number in the Bible. Thirteen is a number that renews us, gets us ready for the next state of our development. So as we meet here in beautiful St. Maarten, let us rededicate ourselves to a higher power to each other, to knowing that we can do anything but fail. God bless you. (clapping).

REV. DR. SUZAN JOHNSON COOK: Now that's a sister who's got it going on. Thank you so, so much, Congresswoman, Madam President of the Congressional Black Caucus. We can see why. Amen. We are looking forward to great things ahead and you have us as the wind beneath your wings. All of you Congressional Black Caucus members, we are with you so much. Let the church say, "Amen".

We just want to share with you before we present our next speaker. This evening, our host country is going to present us with a fantastic affair. It's going to be offsite and we hope you won't miss it. Transportation is going to be coordinated and we are going to ask that you meet in the lobby at 6:15

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tonight. The transportation will be coordinated by our local government. It's a casual event. It's a beach site affair tonight and we're asking all conference attendees to gather at 6:15 sharp in the lobby for departure. So that's our night affair tonight and we will repeat that announcement throughout the day. If you will just please make note of that as we go forward.

We've heard from the city level. We have heard from the federal government. You know, we are so excited about our first African-American president and many of us also wanted a woman in and it was not a woman's time. It was time for Barak Obama, but in this country, it is a woman's time. As the commissioner who is the leader of government for St. Maarten: Come on somebody. It is Commissioner Sarah Westcot-Williams, our host. She has been gracious. She is elegant. She is eloquent and we are excited about being received by her today. Commissioner Sarah Westcot-Williams. (clapping)

COMMISSONER SARAH WESTCOT-WILLIAMS: Thank you reverends and a very good morning. There's another way to deal with the selfish (sp) protocol and that is by saying, "Welcome friends to St. Maartens". I can say this notwithstanding the many luminaries in our midst because it is truly a welcome to old friends and new acquaintances.

This conference, at this time, on this island, would not have been possible if it was not for the resoluteness and conviction of Mr. and Mrs. Rodney. It was 6 years ago that many of you were here on St. Maarten for the conference as well. It is with a lot of confidence that last year, I threw out the idea of why not again St. Maarten. has been at that time five years ago. This year, it is six years ago. It was only after throwing it up as we sat down to plan, I realized that this conference would have been held two days following the most important election in modern times of the United States of America. As we, Commissioner Malven (sp) and myself visited Karl and Fay in New York, I said to him, "Karl, as much as I want this conference on St. Maarten, I don't know if the timing is right." He said without being presumptuous about the outcome of the election, he said, "Oh no. I believe the timing is right". And with a glee in his eyes. I suspected that he was counting on a victory and a big one. and felt that St. Maarten would be a place where we could celebrate that victory.

This small island of ours, and I'm aware of the clock just in case I was to get any sign from the back. but this small island of ours, only 37 square miles and that is both sides of the island, tonight you probably would understand. You will get to know how we share the island, but I can tell you that St. Maarten is the smallest side of the two. Nevertheless, we accommodate close to two million tourists annually on this island. Approximately 1.3 million visit our island by cruise and the others come here to stay over with us, relax and enjoy our island. And yes it is so opportune and truly a region in transition.

Throughout this region, every island, every island nation, every country, is going through some sort of transition. For St. Maarten itself, we are going through a major constitutional transition. Moving from what others term as called an island-territory, a remnant of a colonial system, to that of a country within the kingdom of the Netherlands. The French side, just last year, moved from a French municipality to what is called in their terms, "a collectivity". So yes, this region is truly in transition and change is all around us. This side is so important for the relationships between the

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United States of America and the Caribbean region. I am again so thrilled to hear so many of you speak of kinship, relationships, and ancestry as you recognize this region for what it is.

Term water (sp)? Yes. Maybe, but definitely a part of the United States of America. However you take it or twist it. The Diaspora of the West Indies and the United States of America. It's big. It is great and we need to continue to recognize that forging those relationships strengthen it year after year through this conference call is extremely important. As if it wasn't enough to realize that we were going to start a conference two days after such an important election, just barely three weeks ago, St. Maarten was brushed by Hurricane Omar, a category 3 hurricane. So if you were around and you noticed that the scenery is not exactly what you expected of it, if you feel a little in the roads as you go from place to place, recognize that that is what had happened. But Karl decided the conference was going to go on and the conference will go on, Karl, right here in St. Maarten.

So tonight it is my pleasure to host you at another side of the island because you are here two days after the election, two days after a historic election, and yes, notwithstanding the turmoil and the challenges, President Barak Obama is going to face, the party too will continue and the party on St. Maarten will continue this evening on the other side of the island hosted by the Government of St. Maarten. I encourage you and those who are part of the latest workshop this afternoon, to do it well, right, and try to get out as soon as possible so that we can move from here in a timely fashion and the police escort will only be something to show you how proud we are of having all of you here. But we will get to the other side of the island nicely, on time. A region in transition. Thank you for being here at this time in your history and in our history.

I welcome all of you and it is wonderful to be able to open this conference in a time like this because it is for such a time that you are here and we are here, and it is such a time that President Barak Obama was chosen to lead the United States of America and, with that leadership, to be the leader of the free world. If, just if, approximately 40,000 more persons voted could have given him even more votes, he would have had those from right here on St. Maarten, the friendly island of the early (inaudible). So today, we too rejoice with you and we too are hopeful that the change that is coming will be a good one for all of us. We just hope that some of that invigoration and renewed energy that we all will get from this conference will serve you well, when you go back and will serve us well as we continue to build our island nations from strength to strength and with God, all things are possible. Have we not seen that on November 4, 2008. What a wonderful way to celebrate and, Karl, what a wonderful thing to happen (inaudible) St. Maarten and deciding the conference welcomes the 13th Annual Multi-National Caribbean Business Conference. Thank you. Welcome. Enjoy it and may God continue to bless you richly. Thank you.

The question was how casual can you be tonight? You be as casual as you feel comfortable on an island that is your host. So men, absolutely no jacket and ties. I would not recommend that, but be as casual as you've been comfortable. Thank you.

REV. DR. SUZAN JOHNSON COOK: All right. This is where we break. Hold on. We have (inaudible) ceremony. If you will just wait for us please. We're going to invite all of the sponsors and the elective officials to please join the Rodneys as our host today. here up front for our ribbon cutting ceremony. All of our sponsors, all of our elected officials that are present, please come and

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join us up front. Those who are our speakers this morning, please right here in the front of the stage, and we will be lead. All rise. (singing)

END TRANSCRIPT

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Exhibit 4

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CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative of Citi
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: March 17, 2009
Location: AkinGump NYC - 399 Park Ave, New York, NY
Time: approximately 2:00 to 4:00 p.m.
Participants: Leo Wise
Omar Ashmawy

Summary: The Representative of Citi was the Citi employee who managed their donation to the Thirteenth Annual Multinational Business Conference. The OCE requested an interview with the Representative of Citi and he consented. The Representative of Citi made the following statements in response to questioning:

1. The Representative of Citi was given an 18 U.S.C. § 1001 warning and consented to an interview. The Representative of Citi signed a written acknowledgement of the warning [attached].
2. The Representative of Citi was laid off from Citibank on December 15, 2008. At the time he was laid off he was Community Relations Officer for Brooklyn and Staten Island
3. The Representative of Citi joined Citi in December 2003. He had previously worked for Chase in a similar capacity. Chase sponsored previous Caribbean Multinational Business Conferences. The Representative of Citi attended the conference when he was at Chase. Chase was the first financial institution to act as a sponsor at the conference.
4. When he came to Citi, he was told to "lead the charge" in terms of Citi's participation in the conference. His superiors made the decision that Citi should participate.

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5. The Representative of Citi's direct supervisor was Eileen Aulden, New York State Director; she reported to Kathy Ebanks, Regional Director. Eric Eve, Senior Vice President for Community Relations headed the Representative of Citi's department. Mr. Eve made the decision to support the conference and decided on the dollar amount. The Representative of Citi was in charge of managing Citi's participation.
6. Citi donated \$75,000 to support the conference. Citi was the only "senior" sponsor. The money was specifically for the conference. Citi did not put any specific restrictions on how the money could be used to support the conference. The check came from Citi's sponsorship budget and was either made out to the Carib News or the Carib News Foundation.
7. In previous years the amount Citi donated was less. In 2007 it was \$50,000.
8. Karl Rodney chose the location of the conference. Governments in the region made bids to host the conference.
9. The only person from the conference that the Representative of Citi dealt with was Rodney. The Representative of Citi met with Rodney on 5 occasions between May and November 2008. These meetings concerned the program at the conference and Citi's participation in it. These meetings were not about the amount of Citi's sponsorship or to negotiate that in any way. The Representative of Citi described this interaction as a "dialogue" with Rodney. Rodney was receptive to suggestions about the program.
10. At these meeting the Representative of Citi would tell Rodney how he would like to "position" Citi at the conference. Citi participated in the luncheon, with Eve as a keynote speaker, and in workshops that fit their business. The lunch topic was set by Rodney. The Representative of Citi then tried to "position" Eve in a complimentary way. The Representative of Citi recalls suggesting to Rodney that Citi could do a workshop on financial education.
11. Rodney did not present a written agenda for the conference before any of their 5 meetings. The first time the Representative of Citi saw a written agenda was a week or a week and a half before the conference began in early November. The Representative of Citi recalls seeing a draft agenda. He was shown the draft agenda submitted to the Committee on Standards of Official Conduct [attached as Exhibit B] and said he saw some version of the draft agenda but not this specific one. He did recall seeing the panel entitled, "Creative Financial Solutions" on page 9 of Exhibit B. He said when he saw

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

- that panel he thought he could participate in the panel and talk about foreclosures. He "vetted" that topic internally at Citi and then proposed it to Rodney and Rodney agreed.
12. The Representative of Citi attended the dinner on Thursday night. He does not recall Congressman Payne speaking.
 13. The Representative of Citi participated in the ribbon cutting with members of Congress, host government officials and major sponsors on the Friday morning of the conference. The Representative of Citi got sick on Friday and missed the evening event.
 14. Eve did not have a sit-down meeting with the members of Congress in attendance to the Representative of Citi's knowledge.
 15. The Representative of Citi did not have more than "cursory" conversations with the Members of Congress who attended. He saw all members that attended more than once. He saw most of them on Friday and had seen everyone by Saturday.
 - a. The Representative of Citi recalled Congressman Rangel was there. The Representative of Citi thought he first saw him on Friday and saw him on Saturday. The Representative of Citi thought he saw him at different events.
 - b. The Representative of Citi saw Congressman Payne on more than one occasion.
 - c. The Representative of Citi saw Representative Kilpatrick early on Friday. He does not recall her speaking.
 - d. The Representative of Citi saw Representative Thomson on Thursday; he saw him on more than one occasion.
 16. 300 to 350 people typically attended the event. It was open to anyone.
 17. The conference was billed as the Carib News conference. Rodney is the publisher and CEO of the Carib News. In May or June one would start seeing advertisements for the conference on the back page of the Carib News. The Representative of Citi thought Citi's check went to the Carib News Foundation but did not know what the Foundation did other than the conference. The Representative of Citi thought the conference was "totally" financed by the sponsors. He did not know if Carib News put up any money for the conference.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

18. The Representative of Citi said Rodney saw the conference as an opportunity to position the Carib News as the "voice of the Caribbean" which is how the paper markets itself in New York.
19. Citi saw the conference as offering Citi three benefits: (1) it sent a message to their partners; (2) it was a business opportunity; and (3) it was good for Citi's image.
20. The members of Congress lent the meeting "clout."
21. Sponsors also bought ad space in the Carib News. This was not part of their sponsorship of the conference. Citi bought ad space in the Carib News.
22. The Representative of Citi also knows Rodney from the Board of the University of the West Indies. Both he and Rodney are members and participate in telephone meetings and, occasionally, in in-person meetings.

We certify that this memorandum contains all pertinent matter discussed with the Representative of Citi on March 17, 2009.

Leo J. Wise
Staff Director & Chief Counsel

Omar Ashmawy
Investigative Counsel

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

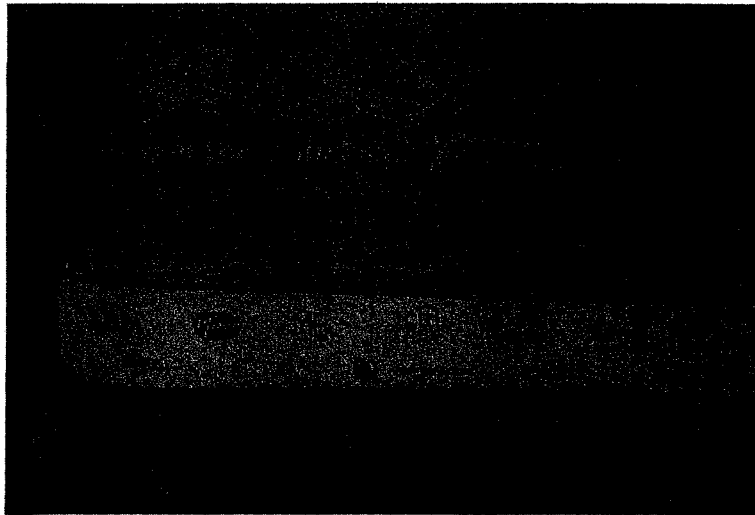
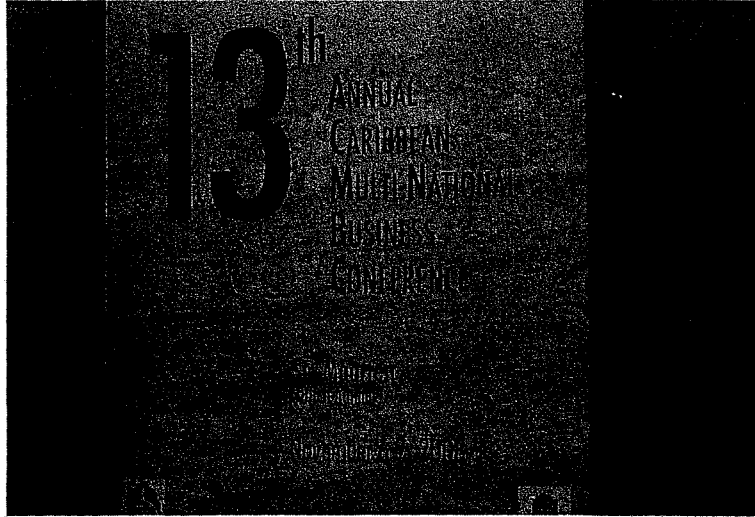
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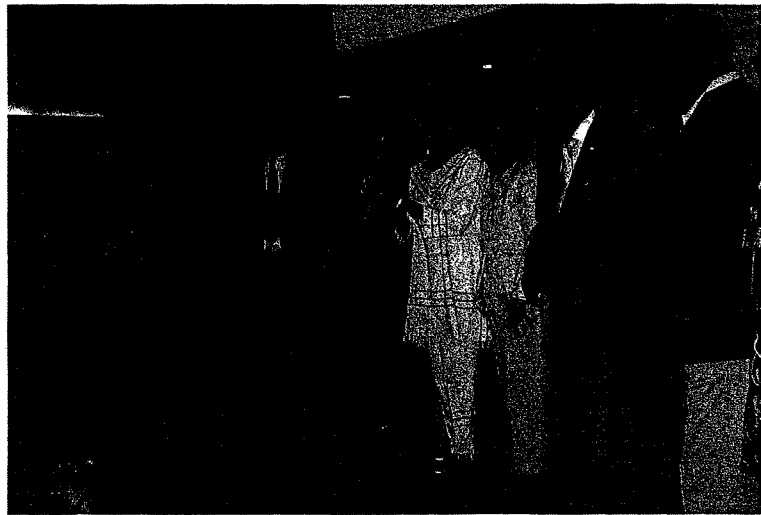
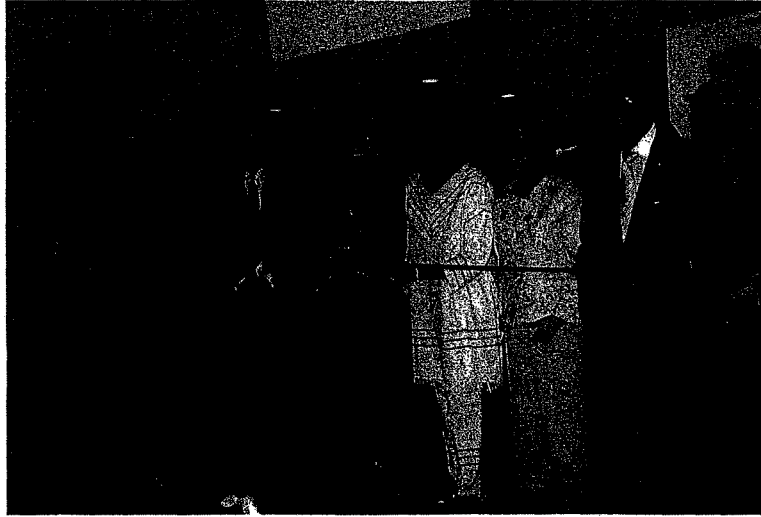
Date: MARCH 23, 2009 _____

For Office Use:
Review(s) No. _____

Exhibit 5

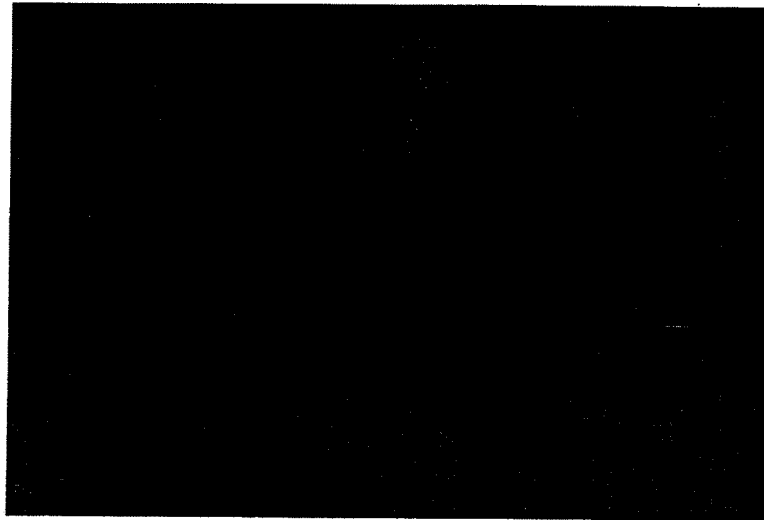
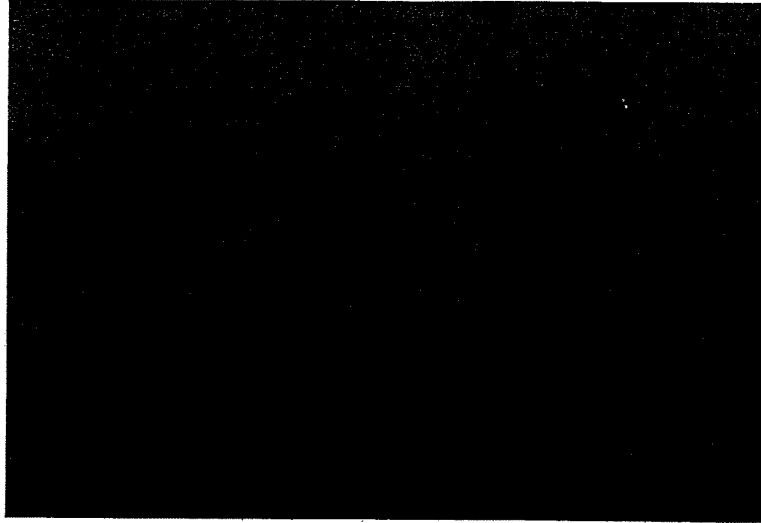


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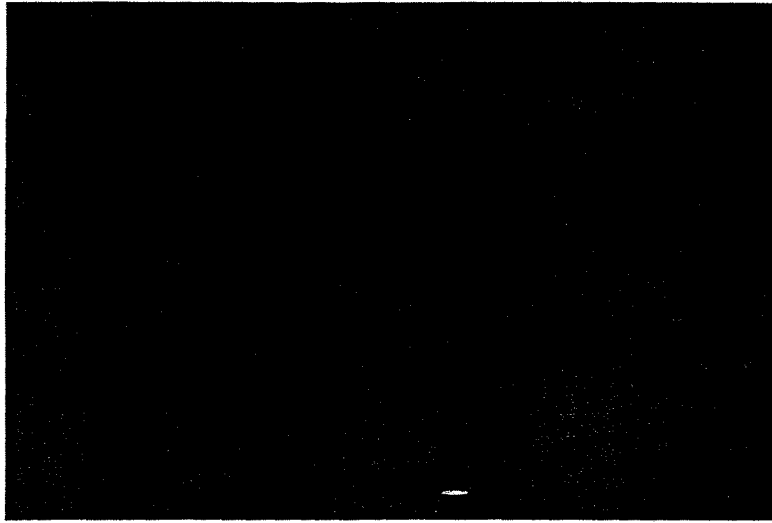
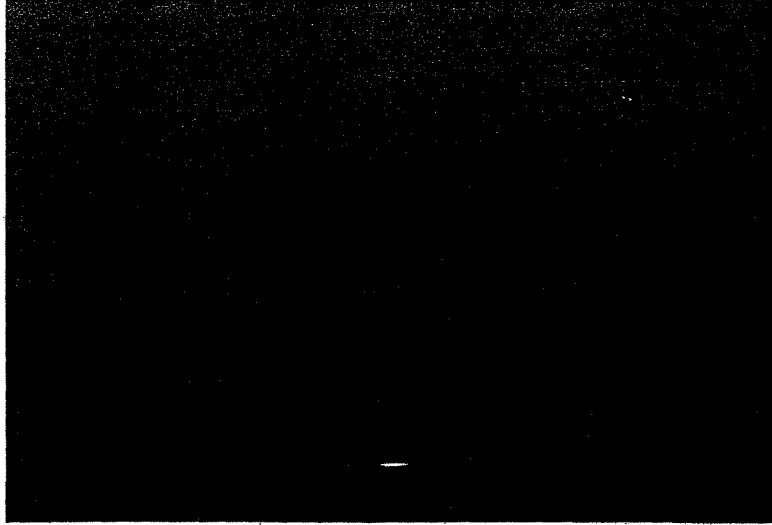
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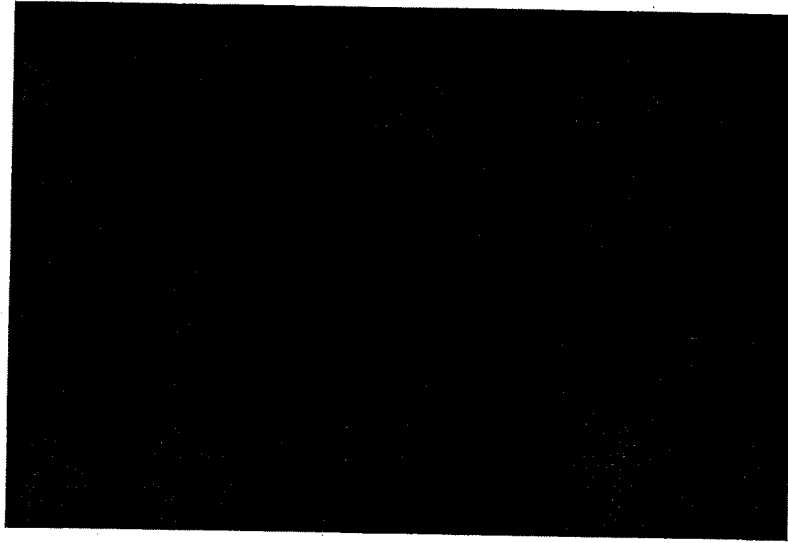
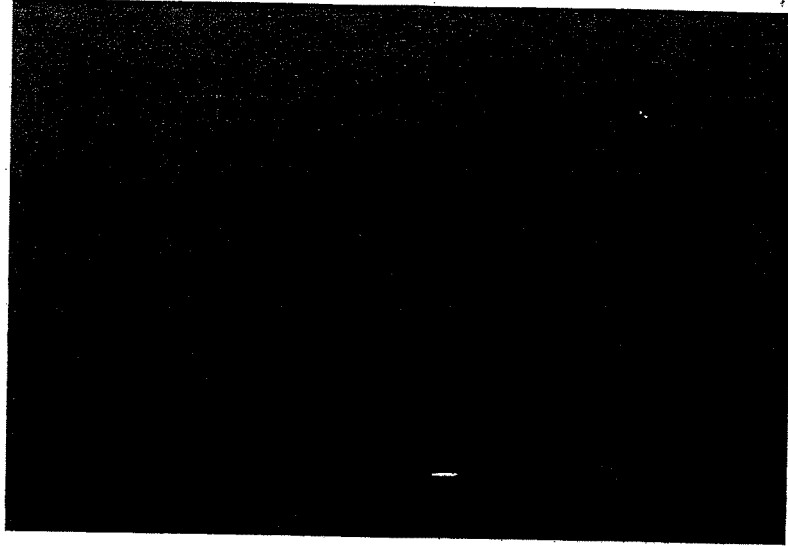
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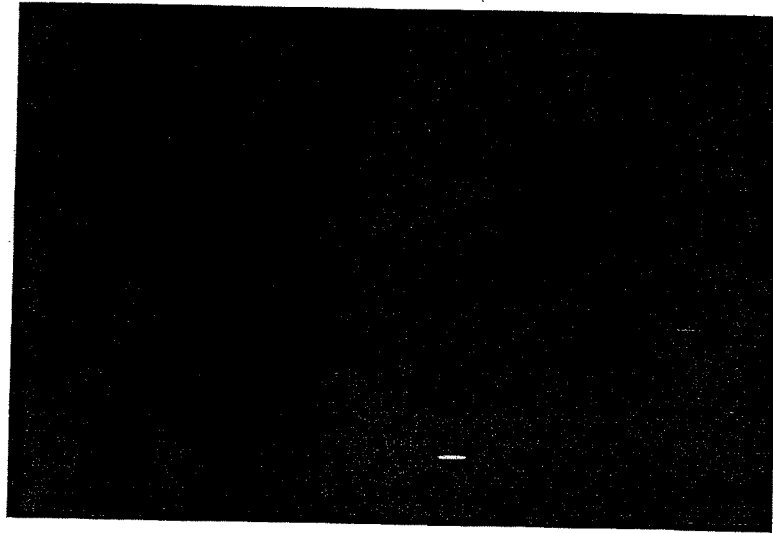
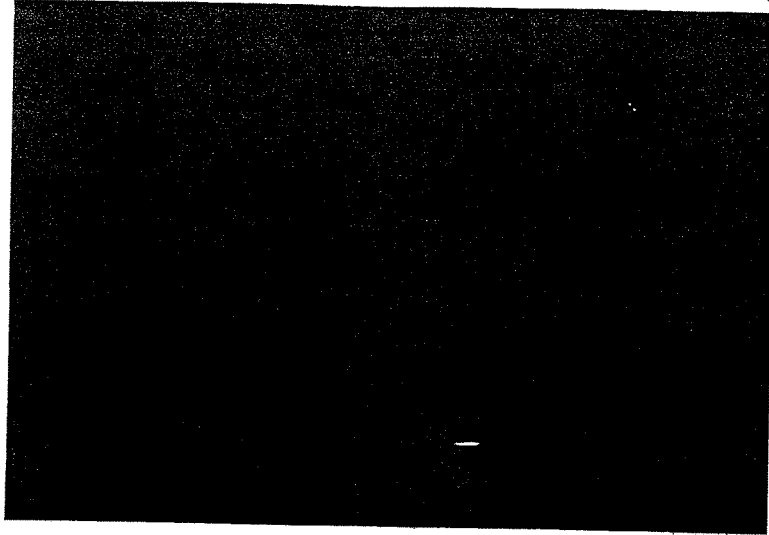
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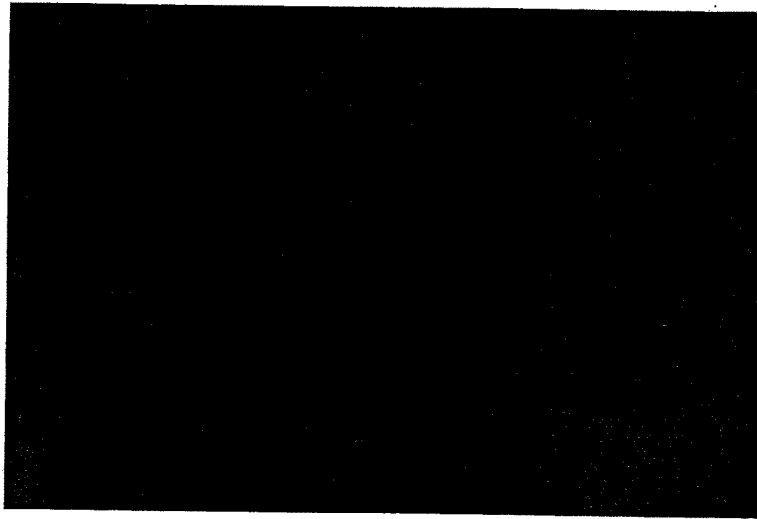
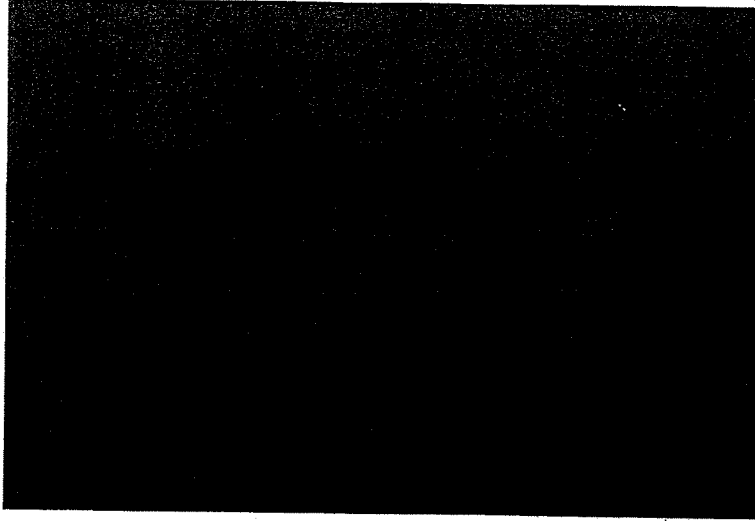
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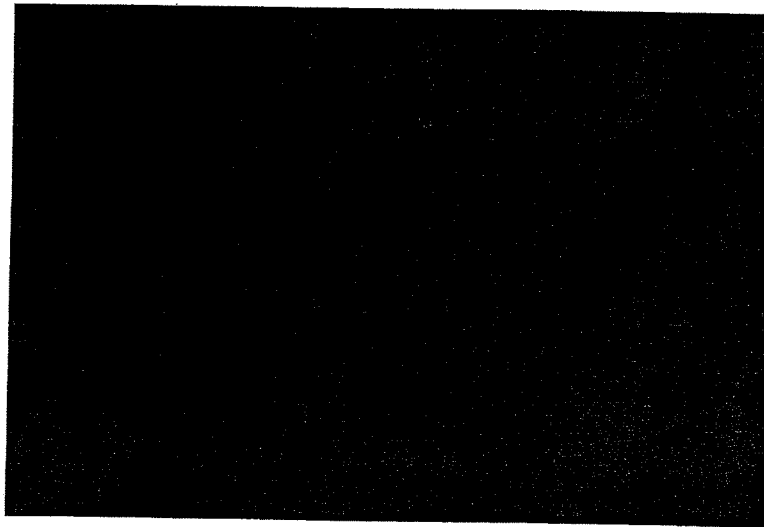
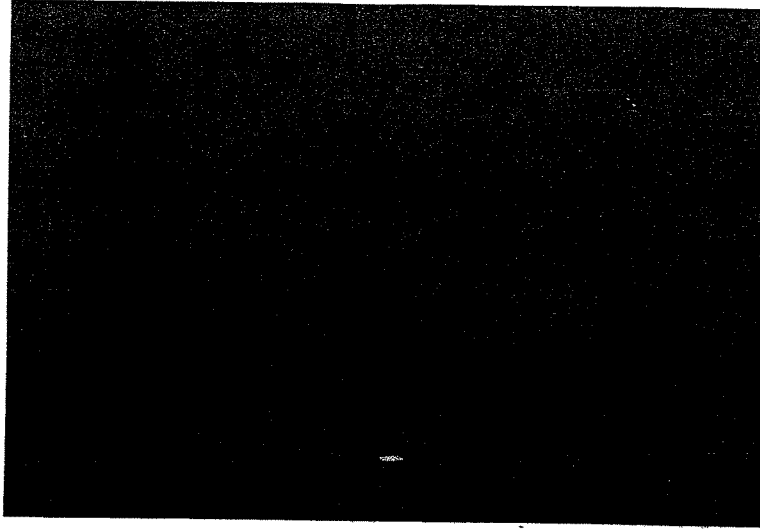
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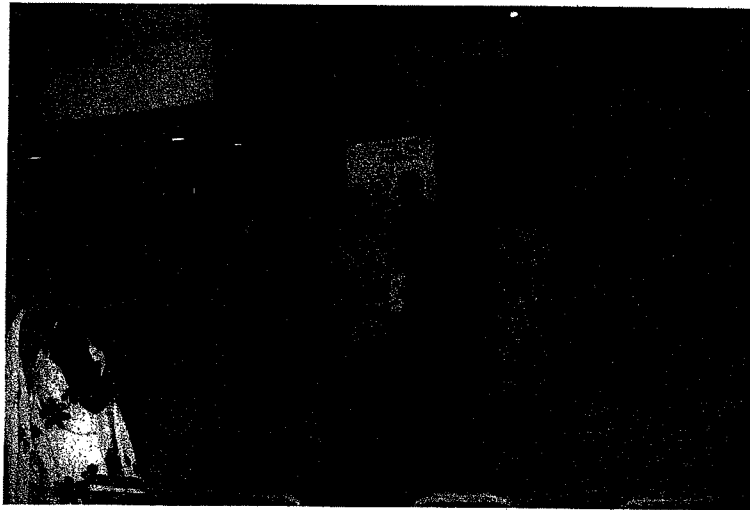
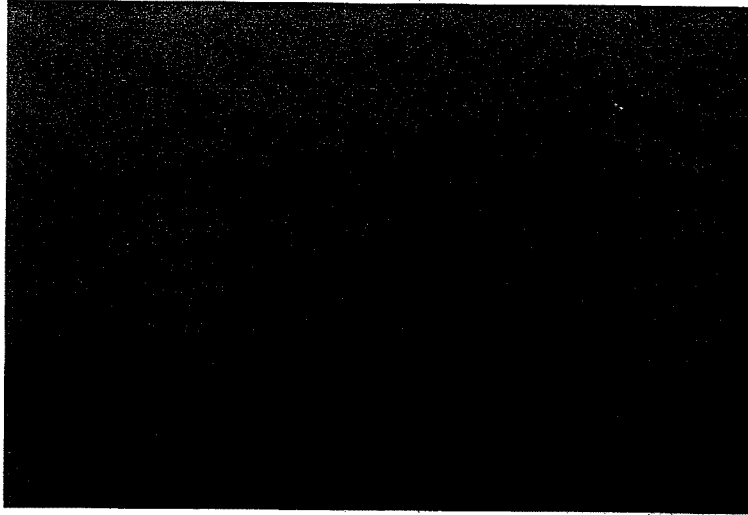
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Exhibit 6

1330

STROOCK

JÖEL COHEN
DIRECT DIAL: 212-806-5644
DIRECT FAX: 212-806-2644
JCOHEN@STROOCK.COM

DANIELLE ALFONZO WALSMAN
DIRECT DIAL: 212-806-5607
DIRECT FAX: 212-806-2607
DWALSMAN@STROOCK.COM

May 18, 2009

BY EMAIL AND REGULAR MAIL

Mr. Leo Wise
Staff Director & Chief Counsel
Office of Congressional Ethics
United States House of Representatives
1017 Longworth House Office Building
Washington, DC 20515

Re: Request for Information, Review No. 09-2567

Dear Mr. Wise:

In response to your request for our clients' voluntary cooperation regarding your office's questions pertaining to the Thirteenth Annual Caribbean Multi-National Business Conference (the "Conference"), we submit the following responses. Based upon our review of the questions and our multiple conversations with your office, we believe that these responses contain the information that you are seeking. As with our previous production, to the extent that the Freedom of Information Act is applicable to any submissions made to the Office of Congressional Ethics, our clients hereby request that the information provided be treated confidentially.

The Host country participated in the Conference as follows: (1) developed local Host Committees to facilitate local planning, (2) helped to negotiate the rate with the hotel, (3) assisted in developing the theme of the Conference, (4) assisted in identifying local speakers, including the Leader of Government, (5) assisted in local publicity, (6) provided local entertainment, including a school choir and cultural items, (7) arranged Host Country Evening on Friday, November 8 (the group was transported to another property for refreshments and entertainment), (8) helped with local transportation for some Carib News employees and helped arrange accommodations for some guests (not paying for such accommodations), (9) participated

09-6333 0068

STROOCK & STROOCK & LAVAN LLP • NEW YORK • LOS ANGELES • MIAMI
180 MAIDEN LANE, NEW YORK, NY 10018-4982 TEL 212.806.5400 FAX 212.806.6006 WWW.STROOCK.COM

Leo Wise, Esq.
May 18, 2009
Page 2

as event Speakers, and (10) issued invitations to attend to specially invited guests. The Host Country provided no funds towards the cost of the Conference.

The cost for members of the public to attend the conference for the four days and three nights was \$840.00 for double occupancy or \$1085.00 for single occupancy. The cost of attendance covered room accommodations, meals, and admission to the Conference presentations.

The attending Members of Congress participated in sessions relevant to their Committee assignments in Congress and demonstrated interest in a particular subject matter. For example, Congressman Bennie Thompson spoke at presentations on Hemispheric Security and Immigration/ Deportees, Congresswoman Donna Christensen spoke at a Town Hall Forum on Health, and Congressman Charles Rangel spoke at presentations on Haiti and the Caribbean, and the current crises facing them. In addition, all of the Members of Congress participated in a session with the local Ministers of Government to discuss issues of interest to the Caribbean region. Though correspondence with the Members of Congress indicated that a memo of the Closed Door Session would be distributed after the Conference, no such memo was ever drafted or distributed.

The total cost of the Conference approached \$300,000. These costs included direct expenses such as travel, event planner fees, local staffing, and hotel payments, as well as Carib News operating expenses for the purpose of the preparation and implementation of the Conference. The Conference costs were paid using (1) registration fees by the attending guests, (2) contributions from corporate participants, some to Carib News and others to Carib News Foundation, and (3) general funds of Carib News. Each of three sources of funding was pooled together to pay the various expenses.

If you have any questions regarding this information, please do not hesitate to call.

Very truly yours,



Joel Cohen

and



Danielle Alfonzo Walsman

09-6333 0069

Exhibit 7

1333

AKIN GUMP
STRAUSS HAUER & FELD LLP

Attorneys at Law

STEVEN R. ROSS
202.887.4343/fax: 202.887.4288
ross@akingump.com

March 31, 2009

Mr. Leo J. Wise
Staff Director and Chief Counsel
Office of Congressional Ethics
1017 Longworth Building
Washington D.C. 20515

Re: Citigroup, Inc. Production

Dear Leo:

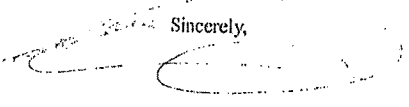
On behalf of our client, Citigroup Inc., we are providing you with two documents in response to your oral request of March 17, 2009. Please find a copy of a solicitation letter to support the 2008 Caribbean Multi-National Business Conference, dated April 4, 2008, from Carib News Foundation to our client. In addition, please find a copy of both the front and back of check number 5006611264, dated June 13, 2008, payable to Carib News Inc. in the amount of \$75,000.00. A search of our client's records has not uncovered any evidence of a receipt being provided for the above-mentioned check. We will produce this document should it be identified.

The documents we are producing today are confidential and proprietary in nature, and have been marked accordingly. We ask that these documents be kept confidential by your Office and your staff. Notwithstanding our request that these documents be kept confidential, due to their sensitivity, we ask that your office or staff provide us with notice and an opportunity to be heard before disclosure of any information from these documents to any third parties.

In addition, we are providing you with an original signed document of Michael Flanigan dated March 23, 2009, acknowledging the False Statements Act.

Please let me know if you have any questions.

Sincerely,


Steven R. Ross

09-6333 0071



Privacy CitiGroup.com

Citi Check Image Delivery

- Print
- Close Window
- Check Image Inquiry Results

Account #	Check #	Amount	Paid Date
0000038851877	5006611264	\$75,000.00	06/24/2008

	CREDIT CARD SERVICES CREDIT CARD SERVICES CREDIT CARD SERVICES	62-20 311	No. 5006611264 Date: 13-JUN-2008 Vendor No. 295613
	CARIB NEWS INC 5807 1833 07 052308. CHARGE 7 WEST 36TH STREET 8TH FLOOR NEW YORK NY 10018	\$75,000.00 NOT VALID AFTER 180 DAYS	

SEVENTY-FIVE THOUSAND AND 00/100 DOLLARS

CITIBANK NATIONAL ASSOCIATION MEMBER OF CITIGROUP
 ONE FINANCIAL CENTER CITY PLACE
 NEW YORK, NY 10048

MICR LINE: ⑆ 5006611264 ⑆ 4036600209⑆ 88853877⑆ ⑆0007500000⑆



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

April 04, 2008

Mr. Eric Eve
Senior Vice President
Community Relations
Global Consumer Group
Citigroup Inc.
850 Third Avenue, 12th floor
New York, NY 10022

Dear Mr. Eve: *Eric*

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of *CITI* went a long way to make the Conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate your encouragement, your personal participation, and the very stature your presence brings to the Conference. We do get concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of yours and *CITI'S* participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the *CITI* local, regional and global connections, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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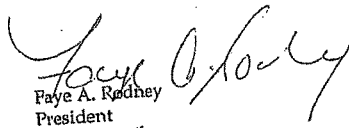
- 2 -

We would be happy to answer any questions you might have or to fill in any detail.
I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

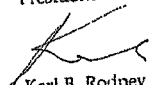
Because we know of your busy schedule, I will also follow up with your office to
ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

09-6333 0074



OPENING THE REGION
INVESTMENT & BUSINESS OPPORTUNITIES

BUILDING THE GLOBAL NETWORK
GOVERNMENT LEADERS - ELECTED OFFICIALS...
IMPACTING ECONOMIC POLICIES

PARTNERSHIPS
CORPORATE EXECUTIVES - SEEKING
EXPANSION & GROWTH



**DOING BUSINESS
TOGETHER**



“IMPACTFUL”

EXPERIENCE THE POWER OF THE



**THIRTEENTH
ANNUAL
CARIBBEAN
MULTI-NATIONAL
BUSINESS
CONFERENCE**

ST. MAARTEN

NOVEMBER 6 - 9, 2008

BUILDING KEY CONNECTIONS FOR GROWTH



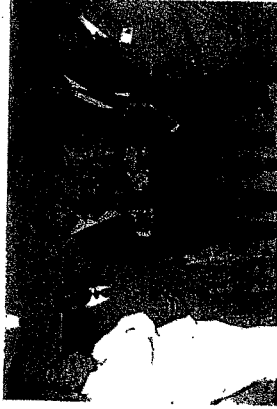
THE MISSION

- ◆ To bring together businesses, community, and government leaders interested in understanding the economic opportunities in the Caribbean marketplace locally and in the Caribbean.
- ◆ To make a global community among Diverse Business entities and The Caribbean.
- ◆ To Link the emerging markets of the U.S and the Developing Economics of the Caribbean and Latin America.

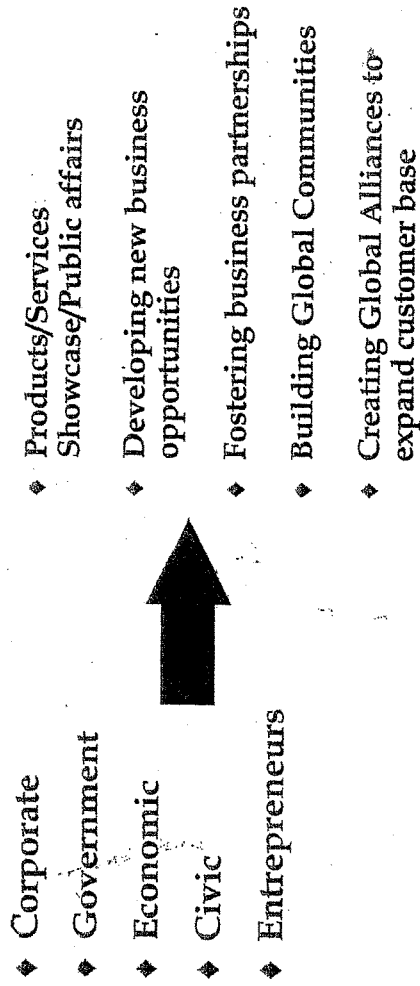


THE OPPORTUNITY

- ◆ **Marketing:** Understanding the market in the US and the Caribbean.
- ◆ **Business development:** Meeting potential customers and potential partners.
- ◆ **Strategic analysis:** Understanding the possibilities of emerging markets in the US and the Caribbean.
- ◆ **Corporate image:** Demonstrating your commitment to the Caribbean Community, and the program of diversity.
- ◆ **Community relations:** Establishing lasting relationships with key community leaders.
- ◆ **Government relations:** Meeting with and discussing topics of interest with significant elected officials from the US & the Caribbean.



STRATEGIC PARTNERSHIPS



THE FACTS

- ◆ 31.9 billion US dollars in foreign investment Came into the Caribbean, up 36 percent from 1996.
- ◆ Tourism is the key economic foundation in the Caribbean. Approximately 11 million cruise passengers a year visit the Caribbean, providing Import/Export Opportunities.
- ◆ The Caribbean, in aggregate, is the 10th largest export market for the U.S, surpassing France and other countries, and providing opportunities for marketplace products.
- ◆ The \$15 billion market of the Caribbean American community and overseas



THE LEGACY

- * Jamaica - 1996
- * Barbados - 1997
- * St. Lucia - 1998
- * St. Croix/USVI - 1999
- * Jamaica - 2000
- * Grenada - 2001
- * St. Maarten - 2002
- * The Bahamas-2003
- * St. Kitts-Nevis-2004
- * St. Thomas, USVI - 2005
- * Panama city, Panama - 2006
- * Antigua & Barbuda - 2007

- * Building Consumers Awareness
- * Developing Working Alliances
- * Reaching Defined Target Markets
- * Making the Right Connections
- * Expanding Diverse Market Places
- * Successful Joint/Ventures
- * Successful Regional Investments



THE OVERVIEW

"Some companies have discovered that the Caribbean offers a great deal more than beautiful beaches and sunshine. They have recognized its tactical importance in this era of globalization. We are proud to provide a useful platform for companies that are seeking to sharpen their international competitiveness.

For the past twelve years, the Annual Multinational Business Conference has been creating links between the Caribbean, Central America and the U.S. Usually, during four days of workshops, luncheons and dinners, Caribbean Political Heads of State and Ministers of Government, Members of the Congressional Black Caucus, Local and Regional Politicians, business persons and influential individuals from the U.S. and the Caribbean discuss, interact and network around issues of mutual concern. Relationships are built and business deals are made.

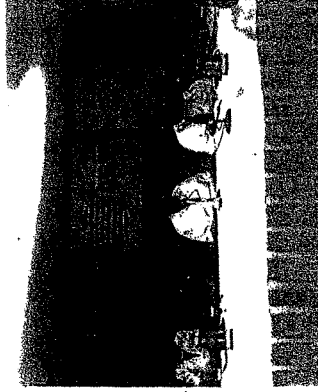
We hope that in 2008 you will join us"



PARTICIPATION

Platinum LEVEL - \$75,000

- ❖ Keynote Speaker at Main Event
- ❖ Sponsor Private VIP Reception and specially arranged forum
- ❖ Special Awards Presentation
- ❖ Display, Exhibition and signage
- ❖ Area of Conference-Reserved for exclusive sponsorship
- ❖ Full page Ad in program
- ❖ Air Transportation for 2 people
- ❖ Accommodations: One Suite and One Standard Room
- ❖ Included in all printed materials
- ❖ Included in Internet promotion
- ❖ Display company logo and link to our website
- ❖ Sponsor of a Gala Event
- ❖ Sponsor and Participate in a workshop
- ❖ Representative listed in program with bio and photo



PARTICIPATION

GOLD LEVEL - \$50,000

- ✦ Sponsor a Workshop
- ✦ Sponsor a Gala Event
- ✦ Display, Exhibition and signage
- ✦ Included in all Printed Material
- ✦ Included in all Internet Promotion
- ✦ Display of Company Logo and Link to Our Website
- ✦ Full Page Ad in Program
- ✦ Representation in the Brochure
- ✦ Air Transportation & room accommodations for 1



PARTICIPATION

SILVER LEVEL - \$25,000

- ◆ Sponsor & Participate in a Workshop
- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in all printed materials
- ◆ Included in Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Sponsor an event i.e. breakfast, lunch or dinner
- ◆ Full Page ad in program and recap
- ◆ Representatives listed in program with bio & photos
- ◆ Representatives listed in brochure



PARTICIPATION

SUPPORTER

\$15,000

- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in all printed materials
- ◆ Included in Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Full Page ad in program and recap
- ◆ Representatives listed in brochure
- ◆ Representatives listed in program with bio & photo

\$10,000

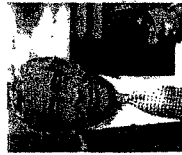
- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in some printed materials
- ◆ Included in some Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Full Page ad in program and 1/2 page in recap
- ◆ Representatives listed in program

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Government Participation



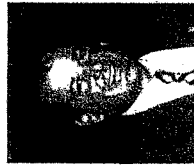
"This Conference is keeping us informed. There would never have been a banana problem had we (CBC) known about the issue before it went to the WTO."
Congressman Charles Rangel



"The Conference in Antigua with Members of the U.S. Congress was very important and extremely vital to both the U.S. and Caribbean interests. It highlighted the need for the Caribbean to pay greater attention to the role of Congress in the formulation of U.S. policy."
*Hon. Baldwin Spencer
Prime Minister of Antigua*



"The conference is central to the promotion of our mutual interests in the U.S. in general, New York City in particular, and the Caribbean. It is essential for all of us to work together to spur economic growth and social expansion at home and in our neighborhoods."
*William Thompson, Comptroller,
New York City*



"The results of the Conference went far beyond our expectations. The process of bringing families together, of sharing a vision for our countries, couldn't have been scripted. The sessions brought out ideas, options and other benefits which should be long lasting."
*Juan Carlos Navarro, Mayor, Panama
City, Republic of Panama*



CORPORATE PARTICIPANTS



Eric Eve - SVP Community Relations, CITIGROUP. - "The time is ripe for Caribbean nations to come together and get on "the road," to articulate the strength of their economies and stability of their business climates. The Conference is a great place to start to open clear lines of communication between the private and public sectors of the Caribbean and the U.S."



Marilyn Johnson, VP Market Development, IBM Corporation. - "IBM and its partner ENI Enterprise Network, through its presentation at the Conference, is taking the audience up through levels of technology, understanding the application of E-commerce. This conference makes a difference in the lives of the attendees."



Karen Boykin-Towns, Senior Director of Public Affairs. - "The conference provides an ideal opportunity for Pfizer - it demonstrates its commitment to health forums internationally, but also to network with key decision makers. Collaboration is necessary between the Caribbean to make improvements and adjustments to healthcare and its delivery."



Edward J. Goldberg, SVP Government & Consumer Affairs, MACYS. - "We have whole files of opportunities to build business partnerships in the current corporate environment. The conference is conducive to exploring new areas of business, and we have taken advantage of this to both the region and MACY's benefit."



Marie Long, VP Constituency Relations, AT&T Foundation. - "As many of you know, AT&T has changed in the last 5 years. Its growth, dynamism and change have created what some of us call the new AT&T. The real and driving force behind our success as a corporate entity is the culture of inclusion and collaboration. We recognize the great work being done at the conference to improve business and personal lives, exchange ideas, and assist alternative strategies that could lead to technology transfer and to growth."



Javier Eovais, FVP Human Resources, HSBC Bank. - "We provide financial services to a vast array of businesses and individuals, and this gives us a unique perspective on different cultures and ways of doing business. We want to engage our partners in mutually beneficial relationships within our communities and that is why we seize the opportunity to link with CARIB NEWS and the Conference. It is a tremendous value to us."

UNIQUE OPPORTUNITIES



Ambassador Auzair Young

"If only the sons and daughters of Africa will remember from whence they came, then our roots should be a constant reminder of who we are, and where our countries can go with our input. This is why the Carib News Conference is so important and that is why I support it."



Hon. Percy Sutton

"We badly needed a forum to address the need of us working together. This conference is an excellent idea and we should have been doing this long ago."



Hazel N. Dukes, President, New York State NAACP

"The conferences, presenters and participants have been extraordinary. I have gained tremendous knowledge on the Caribbean Diaspora. I continue to promote and foster relationships with communities here and at home."



Harry Edafonté, Entertainer

"The Conference is like a table set with a host of great ideas provided by some of the best minds. The table is set - it is for us to partake."



David Dunkins, Former Mayor of New York City

"Education is the very key for the development of our people. I have always held that position, that is why I am so pleased to participate in the Education Forum at the Conference. There is an eagerness on the part of the audience to know more about our system. The collaborative effort of CARIB NEWS and the Conference wins my support."



Roy Hastick, President/CEO Caribbean American Chamber of Commerce

"The work of the Conference is legendary in the Caribbean and the U.S. Where else do you find this level of leadership from all sectors coming together to advance doing business. It is the most effective way of building a Global Network."



Susan Taylor, Editor Emeritus, Essence Magazine

"It is always so wonderful to experience the drive, enthusiasm and determination of the Conference participants. It says something wonderful is happening and we all need to tap into it. It provides such outstanding opportunities to connect with real people who can make things happen."



Lloyd Williams, President/CEO Greater Harlem Chamber of Commerce

"I have always advocated cross-border business opportunities for the Chamber members, and that is why for the entire twelve years of the conference, the Chamber has made it a priority event. Our members have reaped the benefits and have also made great contributions."





INVEST IN SUCCESS



A WEEK-END THAT CHANGES
LIVES

1352

'EXPERIENCE THE POWER'



15

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1353

Exhibit 8

09-6333 0091

1354

Board
David Skaggs, Chair *Porter Goss, Co-Chair*
Yvonne Burke *Jay Eagen*
Karan English *William Frenzel*
Allison Hayward *Abner Mikva*



Leo J. Wise, Staff Director & Chief Counsel
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(202) 225-9739
(202) 225-0997 fax
email address: oce@mail.house.gov
website address: oce.house.gov

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515

Mr. Frank Weber
Internal Revenue Service
Freedom of Information Reading Room
1111 Constitution Avenue, NW
Washington, DC 20224

March 31, 2009

Dear Mr. Weber,

Thank you for talking with me this afternoon and for offering to help me with this request. I need to know if Carib News Charities filed a 990-PF for tax years 2006, 2007, and 2008 (I have included tax year 2008 in the event that the foundation filed before the filing deadline). I understand that the organization is not required to file if it did not receive donations in excess of \$25,000. If the foundation has filed a 990-PF for 2006, 2007, or 2008, we would like to have a copy of the tax form(s). In the event that it takes several days, weeks, or months to receive the requested copies, it would be helpful to have an answer to the question whether the organization has filed and for what year(s) tax form(s) exist.

It is our understanding that the organization also does business as Carib News Foundation; however, Carib News Foundation is not found in Publication 78.

My telephone number is 202-225-9739 and my email is Elizabeth.horton@mail.house.gov. Thank you again for your help with this matter.

Sincerely,

A handwritten signature in cursive script that reads "Elizabeth Horton".

Elizabeth Horton

Form **4506-A** Request for Public Inspection or Copy of Exempt or Political Organization IRS Form
 (Rev. January 2009)
 Department of the Treasury Internal Revenue Service
 OMB No. 1545-0495
 ▶ Type or print clearly. Request may be rejected if the form is incomplete or illegible.

TIP You may not have to complete Form 4506-A to get the copies you need.

- **Internet.** Form 8871, Political Organization Notice of Section 527 Status, and Form 8872, Political Organization Report of Contributions and Expenditures, are available for inspection and printing from the Internet. The website address for both forms is www.irs.gov/polorgs.
- **Public disclosure by the organization.** Exempt or political organizations must make their returns, reports, notices, and exempt applications available for public inspection. You can visit the organization to inspect the material instead of requesting it from the IRS. The organization may be able to mail the copies to you.

1 Exempt or political organization. If a multiple request, please attach list of names, forms, and tax years.

Name: CARIB NEWS CHARITIES Employer identification number (if known): 13-3632050
 Address: 7 WEST 36th STREET FLOOR 8
 City or town, state, and ZIP code: NEW YORK, NY 10018

2 Requester

Name: OFFICE OF CONGRESSIONAL ETHICS Contact person: ELIZABETH HORTON
 Address: 1017 LONGWORTH BUILDING Phone: (202) 225-9739
 City or town, state, and ZIP code: WASHINGTON, DC 20515 Date: 3/31/09

3 Category of requester: Commercial user Non-commercial scientific institution
 Educational institution Media All others

4 Reason for request. All requesters except for commercial users must provide an explanation of how the records will be used to avoid being charged the commercial rate. Attach additional sheets if necessary.

TO CONFIRM WHETHER FOUNDATION RECEIVED FUNDING IN TAX YEARS 2006, 2007, 2008

Lines 5-9. For each applicable form, check the box(es) for the item(s) you are requesting. You may request more than one form. For each form requested, check either the copy, inspection, or CD/DVD box and enter the specific tax year(s), as indicated. If ordering a partial set on CD/DVD, indicate the format, state(s), and month(s) requested.

5 Form 990, Form 990-EZ CD/DVD Format: Alchemy Raw
 Copy Inspection State(s): _____
 Tax year(s) requested: _____ Months: _____

6 Form 990-PF CD/DVD Format: Alchemy Raw
 Copy Inspection State(s): _____
 Tax year(s) requested: 2006, 2007, 2008 (if filed) Months: _____

7 Form 990-T (501(c)(3) organizations filed after 6/17/2006) CD/DVD Format: Alchemy Raw
 Copy Inspection State(s): _____
 Tax year(s) requested: _____ Months: _____

8 Form 5227 Copy Inspection **9 Form 1023, 1024 or Determination Letter**
 Copy Inspection Tax year(s) requested: _____

IRS Use Only

The form requested above was inspected by (name of requester) _____ IRS office where inspection was made _____
 Signature of employee present at inspection _____ Date _____

 **IRS** Department of the Treasury
Internal Revenue Service

OGDEN UT 84201-0058

OFFICE OF CONGRESSIONAL ETHICS
ATTN: ELIZABETH HORTON
1017 LONGWORTH BUILDING
WASHINGTON DC 20515

CUT OUT AND RETURN THE VOUCHER AT THE BOTTOM OF THIS PAGE IF YOU ARE MAKING A PAYMENT,
EVEN IF YOU ALSO HAVE AN INQUIRY.

The IRS address must appear in the window.

Use for payments

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0412606945

Letter Number: LTR3983C
Letter Date : 2009-04-17
Tax Period : 000000

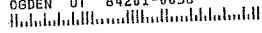


133632050

INTERNAL REVENUE SERVICE

OFFICE OF CONGRESSIONAL ETHICS
ATTN: ELIZABETH HORTON
1017 LONGWORTH BUILDING
WASHINGTON DC 20515

OGDEN UT 84201-0058



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09-6333 0094

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IRS Department of the Treasury
Internal Revenue Service

OGDEN UT 84201-0058

In reply refer to: 0412606945
Apr. 17, 2009 LTR 3983C E1
13-3632050 000000 00 000
00027842
BODC: #TE

OFFICE OF CONGRESSIONAL ETHICS
ATTN: ELIZABETH HORTON
1017 LONGWORTH BUILDING
WASHINGTON DC 20515

Taxpayer Identification Number: 13-3632050
Organization: Carib News Charities, Inc.

Form(s): 990PF

Tax Period(s): May 31, 2006 May 31, 2007
May 31, 2008

Dear Requester:

We received your Mar. 31, 2009, request for a copy of the Form(s) 990PF relating to the organization(s) identified above. Enclosed is a copy of the form(s) you requested. We are unable to provide a copy of the form(s) requested for the following tax period(s):

May 31, 2006

We regret that we can not provide a photocopy of return(s) for the organization(s) and year(s) you requested. There are a variety of reasons why these returns are not available, for example:

- the organization may not be required to file the requested return because its gross receipts are \$25,000 or less.
- the organization may be included in a group ruling and the parent may have filed a group return.
- the organization may have received an extension of time to file.
- processing of the organization's return may not be complete.
- return(s) are destroyed after a certain number of tax years.
- the organization may not be required to file.

If you have any questions, please write us at the address shown at the top of the first page of this letter. Or, you may call us toll free at 1-877-829-5500.

Whenever you write, please include this letter and, in the spaces below, give us your telephone number with the hours we can reach you. Please keep a copy of this letter for your records.

Telephone Number () _____ Hours _____

09-6333 009

THIS IS A COPY OF A LIVE RETURN FROM SMIPS. OFFICIAL USE ONLY.

Form **990-PF** Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0047
2005

Department of the Treasury Internal Revenue Service
Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.
For calendar year 2005, or tax year beginning June 1, 2005, and ending May 31, 20 06

G Check all that apply. Initial return Final return Amended return Address change Name change

Use the IRS label. **Cash News Charities Inc**
Number and street (or P.O. box number if mail is not delivered to street address) **15 West 39th Street**
City or town, state, and ZIP code **New York, NY 10018**
Room/suite **13**
Employer identification number **13:3632050**
Telephone number (see page 10 of the instructions)

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$**
J Accounting method: Cash Accrual Other (specify)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11				
13 Compensation of officers, directors, trustees, etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)				
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see page 14 of the instructions)				
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23				
25 Contributions, gifts, grants, paid				
26 Total expenses and disbursements. Add lines 24 and 25				
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

For Privacy Act and Paperwork Reduction Act Notice, see the instructions. Cat No 11269X Form 990-PF (2005)

09-6333 0096

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IRS - OSC -645
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OGDEN, UTAH
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SCANNED JAN 16 2008
Operating and Administrative Expenses
04232004220005

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Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	(d) Fair Market Value
Assets	1	Cash—non-interest-bearing	0		0	
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)				
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers—see page 16 of the instructions. Also, see page 1, item I)					
Liabilities	17	Accounts payable and accrued expenses	0		0	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances (see page 17 of the instructions)	0	0	0	0	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	0	0	0	0	

Part III	Analysis of Changes in Net Assets or Fund Balances	
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	0
2	Enter amount from Part I, line 27a	
3	Other increases not included in line 2 (itemize)	
4	Add lines 1, 2, and 3	
5	Decreases not included in line 2 (itemize)	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	0

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gain (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 **2**

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8 **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)
If section 4940(d)(2) applies, leave this part blank.
Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2004			
2003			
2002			
2001			
2000			

2 Total of line 1, column (d) **2**

3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years **3**

4 Enter the net value of noncharitable-use assets for 2005 from Part X, line 5 **4**

5 Multiply line 4 by line 3 **5**

6 Enter 1% of net investment income (1% of Part I, line 27b) **6**

7 Add lines 5 and 6 **7**

8 Enter qualifying distributions from Part XII, line 4
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18. **8**

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic organizations that meet the section 4940(e) requirements', 'Tax under section 511', 'Substitute A (income) tax', 'Credits/Payments', and 'Total credits and payments'.

Part VII-A Statements Regarding Activities

Table with 13 rows of activity statements. Includes questions like 'During the tax year, did the organization attempt to influence any national, state, or local legislation?', 'Did it spend more than \$100 during the year for political purposes?', 'Has the organization engaged in any activities that have not previously been reported to the IRS?', and 'Did the organization have at least \$5,000 in assets at any time during the year?'.

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the organization (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2005? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2005, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2005? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20..... 20..... 20..... 20.....		
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.) <input type="checkbox"/>	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20..... 20..... 20..... 20.....		
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2005 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(1)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2005.) <input type="checkbox"/>	3b	X
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2005? <input type="checkbox"/>	4b	X
5a During the year did the organization pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	5b	X
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945-5(c).		
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered "Yes" to 6b, also file Form 8870	6b	X

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 21 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

2 Compensation of five highest-paid employees (other than those included on line 1—see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

3 Five highest-paid independent contractors for professional services—(see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1. none	
2.	
3.	
4.	

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Part IX-B Summary of Program-Related Investments (see page 22 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See page 22 of the instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a
b	Average of monthly cash balances	1b
c	Fair market value of all other assets (see page 23 of the instructions)	1c
d	Total (add lines 1a, b, and c)	1d
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	2
2	Acquisition indebtedness applicable to line 1 assets	3
	Subtract line 2 from line 1d	
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5
6	Minimum investment return. Enter 5% of line 5	6

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(b)(3) and (b)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1
2a	Tax on investment income for 2005 from Part VI, line 5	2a
2b	Income tax for 2005. (This does not include the tax from Part VI.)	2b
	Add lines 2a and 2b	
2c		2c
3	Add lines 2a and 2b	3
4	Distributable amount before adjustments. Subtract line 2c from line 1	4
5	Recoveries of amounts treated as qualifying distributions	5
	Add lines 3 and 4	
6	Deduction from distributable amount (see page 24 of the instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7

Part XII Qualifying Distributions (see page 24 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a
b	Program-related investments—total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2004	(c) 2004	(d) 2005
1 Distributable amount for 2005 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2004:				
a Enter amount for 2004 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2005:				
a From 2000				
b From 2001				
c From 2002				
d From 2003				
e From 2004				
f Total of lines 3a through e				
4 Qualifying distributions for 2005 from Part XII, line 4: \$ _____				
a Applied to 2004, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 25 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 25 of the instructions)				
d Applied to 2005 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2005 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 25 of the instructions				
e Undistributed income for 2004. Subtract line 4a from line 2a. Taxable amount—see page 25 of the instructions				
f Undistributed income for 2005. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2006				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)				
8 Excess distributions carryover from 2000 not applied on line 5 or line 7 (see page 25 of the instructions)				
9 Excess distributions carryover to 2006. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2001				
b Excess from 2002				
c Excess from 2003				
d Excess from 2004				
e Excess from 2005				

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Form 990-PF (2005)

Page 9

Part XIV Private Operating Foundations (see page 26 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2005, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(6)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2005	(b) 2004	(c) 2003	(d) 2002	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon.				
a "Assets" alternative test—enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)				
b "Endowment" alternative test—enter % of minimum investment return shown in Part X, line 6 for each year listed				
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

100266972

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 26 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Form 990-PF (2005)

09-6333 0104

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Form 990-PF (2005)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Total				3a
<i>b Approved for future payment</i>				
Total				3b

100266972

09-6333 0105

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Form 990-PF (2005)

Page 12

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
a Transfers from the reporting organization to a noncharitable exempt organization of:
(1) Cash
(2) Other assets
b Other transactions:
(1) Sales of assets to a noncharitable exempt organization
(2) Purchases of assets from a noncharitable exempt organization
(3) Rental of facilities, equipment, or other assets
(4) Reimbursement arrangements
(5) Loans or loan guarantees
(6) Performance of services or membership or fundraising solicitations
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization...

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Includes handwritten number 100266972.

2a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?
b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.
Signature of officer or trustee: [Signature] Date: 8/29/07 Title: Chairman
Preparer's signature: [Signature] Date: 8/29/07
Firm's name (only for self-employed), address, and ZIP code: RAB Accounting Services, 545 Neptune Ave 190, Brooklyn NY 11224
EIN: 11-3598966
Phone no: ()

Form 990-PF (2005)

09-6333 0107

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Search for Charities, Online Version of Publication 78 Search Results



Search for Charities, Online Version of Publication 78 Search Results

Organization

Name Includes

At least one of the words
 All of the words

Location

City State All... Country USA

Deductibility Code

Deductibility Code All...

Show 25

Name ▲
Carib News Charities Inc.

City	State	Country	Code
New York	NY	USA	9



Deductibility Code

Publication 78 uses a coding system to identify each organization listed by type and limitation on deductibility of charitable contributions to the organization. The code(s) displayed depend(s) on the code for which the organization qualifies. In cases where an organization has multiple deductibility codes, only the definition for each applicable deductibility code is displayed.

Code	Meaning
4	A private foundation, generally with a 30% deductibility limitation.

Close

1372

Exhibit 9

09-6333 0108

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative of IBM, Vice President Market Development, IBM
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: May 8, 2009
Location: IBM Offices, Washington, D.C.
Participants: Leo Wise
Beth Horton
Lisa Palluconi, Counsel for Government Programs for IBM
Adrienne G. Rhone, Director Governmental Programs

Summary: The Representative of IBM is the Vice President of Market Development for IBM. She was interviewed pursuant to Reviews 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. The Representative of IBM helped arrange IBM's participation at the 13th Annual Caribbean Multinational Business Conference in 2008; she also participated at the event. We requested an interview with the Representative of IBM and she consented to an interview. The Representative of IBM made the following statements in response to our questioning:

1. The Representative of IBM was given an 18 U.S.C. § 1001 warning and she signed a written acknowledgement of the warning.
2. She attended the 13th Annual Caribbean Multinational Business Conference in 2008.
3. She attended the conference to extend IBM to areas that were not currently being served. She focuses on the Caribbean because of her division at IBM which is women and minorities. The Caribbean is an underdeveloped business unit for IBM.

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4. She first attended the conference in 2004, as a participant, to see if the conference would be a good fit for IMB to participate at as a sponsor.
5. IBM was an entry level sponsor in '05.
6. She dealt with Faye Rodney on conference matters.
7. After her attendance in '05, she received a thank you letter signed by the Rodneys that asked IBM to be a sponsor at the '06 conference.
8. IBM participated at the '05, '06, '07 and '08 conference.
9. The Rodneys continued their prior practice and sent a thank you letter with a request for sponsorship after the '07 conference for IBM to participate at the '08 conference.
10. The letter was sent to the Operations Executive and the decision to participate was decided as a team. Colin Ward, with ENI Systems, is one of IBM's business partners who also went to the conference.
11. The letter is the first communication regarding the conference.
12. After the letter is received, the team manager negotiates participation at the conference. Negotiations included timing of sessions (day/time). She requested Friday, right before or right after lunch, for the IBM session. It was important to have attendance by individuals interested in technology.
13. She also agreed to moderate the women's breakfast sponsored by Macy's.
14. The team manager would have worked with the Rodneys regarding the break-out sessions.
15. IBM controlled its own content for the session(s).
16. The first year IBM sponsored the conference, the sponsorship level was \$10,000. IBM sponsored the conference the following year at \$15,000, and in '08 the sponsorship went up to \$20,000 after IBM received a request from Faye Rodney for an increase. The Representative of IBM initially counter-offered \$18,000 but agreed to the \$20,000 at the urging of Ms. Rodney.
17. IBM has a methodical way of approving conference sponsorships. The letter from the Rodneys was received in July.

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18. She approved the check for the purchase order and released the check. Carib would have received the check in August or September.
19. After the check is released, she and the business partner collaborate on the content of session.
20. She and the business partner attended the conference.
21. She has only seen the Rodneys at the conference. She has been invited to other events but has not accepted the invitations.
22. She received a call from Mr. Rodney about the article on the conference.
23. She had no knowledge of the Foundation until this matter.
24. She understood Carib News to be the sponsor.
25. The \$20,000 level of sponsorship is low for IBM in general. However, it is worth the presence and branding for small the small business unit practice.
26. She thought IBM's sponsorship was of the conference, she did not know the Foundation existed until this issue arose. Her department does not give to non-profit organizations, only to businesses.
27. The only parameters placed on the money for the conference was with respect to time slots and length of the sessions.
28. She tells her team her preferences and the team works out the specifics with Carib.
29. She does not recall seeing a specific document that listed the various levels of sponsorship.
30. Carib News provides the framework for the conference. Then she typically tells Carib what she wants and what they will pay. She was aware from the signage that other companies were larger sponsors.
31. She arrived at the conference on Thursday and attended the opening reception. She attended a reception on Friday that was hosted by Citi and a breakout session.
32. She noticed names of entities on the agenda from the web that she knew were not at the conference.

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33. She noted that the agenda also listed her breakout session on Saturday which was inaccurate. Her session was on Friday, so she knew the agenda could not have been the final agenda.
34. She seemed to recall seeing the agenda that was submitted to Standards. She noted that the 12:00pm session was accurate, but that she did not believe that the breakout listed on Saturday was accurate.
35. She attended the Macy's breakfast on Saturday morning because it is a popular event. She noted who was on the breakfast panel and who was not (names were listed on agenda). Michelle Patterson did not speak, Burnice Johnson was not on the panel and she was not sure if she even attended the conference.
36. She saw several of the Members who attended the conference. She was on the same flight as Representative Payne. She spoke to Representative Rangel at the reception. Representative Kilpatrick was on a panel with her. And Representative Thompson road to an off-sight event with her. She was sure that she spoke to Delegate Christensen. And she spoke to Sheila Jackson Lee at the awards night.
37. The off-sight event was held at a separate hotel, it included local talent and a comedienne.
38. She stated that Members did not attend the breakout sessions.
39. She had a conversation with Rep. Thompson regarding the election.
40. She left the conference on Sunday morning by 7:00am.
41. She spoke with her team after the article regarding the conference came out.
42. She also called Mr. Rodney after she saw the article; she stated that he told her that there was an investigation and assured her that he had done all that he was supposed to do and that he wanted to keep her as a sponsor.
43. She understood sponsor to be financial sponsor of the conference. She assumed all of the companies whose logos appeared on the banner were sponsors. She assumed Carib News organized the conference.
44. She was not aware of how funding for the conference was handled. She assumed the payment from IBM went to pay for her breakout session and collateral expenses for the session.

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45. Her registration fee was part of the sponsorship. She paid for her room and all travel costs.
46. There were less than 300 people at the conference.
47. When she registered for the conference, she received a badge that allowed access to buffets during the meetings.
48. The registration fee for the conference in '05 was \$750.00.
49. She was not aware of the '08 registration fee.

Beth Horton
Investigative Counsel

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name: _____

Signature: _____

Date: _____

5/8/08

For Office Use: _____

Review(s) No. _____

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Exhibit 10

09-6333 0115

1380

Susan A. Sullivan
Verizon Foundation
Director - Strategic Planning & Partnerships



Verizon Foundation
One Verizon Way
Bldg 6, 3rd Flr South
Basking Ridge, NJ 07920

November 25, 2008

Mr. Karl B. Rodney
Chairman & CEO
Carib News Charities, Inc.
7 West 36th Street, 8th Floor
New York, NY 10018

Dear Mr. Rodney:

On behalf of the Verizon Foundation, it is a pleasure to present the enclosed check for \$35,000.00 to Carib News Charities, Inc. in support of the 13th Annual Carib News Multi-National Business Conference - November 6-9, 2008.

Under the Omnibus Budget Reconciliation Act of 1993, Verizon Communications must receive a written receipt from all organizations to which it makes a charitable contribution. Therefore, please complete and return the enclosed form acknowledging this gift within two weeks of receipt.

Verizon Foundation is delighted to support Carib News Charities, Inc. and we wish to thank you and the organization for your dedication and contribution to our communities.

Please recognize our contribution as coming from "Verizon Communications."

Sincerely,

A handwritten signature in cursive script that reads "Susan Sullivan".

SS/ah

Enclosures

cc: Patrick Gaston
Albert Browne

09-6333 0116

VZ-OCE 0001

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INVOICE NUMBER	INVOICE DATE	DESCRIPTION	GROSS	DISCOUNT	NET AMOUNT
00394421-00003084	11/17/2008	005-13TH ANNUAL MULTI-NATIONAL	35,000.00		35,000.00
Check No.	Check Date	Vendor No.	Handling Code	Total	
0002758	11/21/2008	0000011038	FN	\$35,000.00	0.00
				Questions? Call	916/357-3270
					BU APCRP

FOLD ALONG PERFORATED DOTTED LINE & REMOVE CHECK

THIS DOCUMENT CONTAINS MULTIPLE FRAUD DETERRENT SECURITY FEATURES - SEE REVERSE

verizon
P.O. Box 2200
Folsom, CA 95763-2200
916/857-9329

Wachovia Bank, National Association
Chapel Hill, NC 27514

Date 11/21/2008

0002758

66-158
531

Thirty five thousand and 00/100 Dollars

PAY CARIB NEWS CHARITIES, INC.
7 WEST 36TH STREET, 8TH FLR.
NEW YORK, New York 10018

\$ 35,000.00

Catherine J. Wilkerson
VOID SIX MONTHS AFTER ISSUE DATE

#0002758# 1053101561: 2079900572609#

SEE REVERSE SIDE FOR OPENING INSTRUCTIONS

verizon
P.O. Box 2200
Folsom, CA 95763-2200

PRESORTED
FIRST-CLASS MAIL
U.S. POSTAGE PAID
SACRAMENTO, CA
PERMIT NO. 38

CARIB NEWS CHARITIES, INC.
7 WEST 36TH STREET, 8TH FLR.
NEW YORK, New York 10018

09-6333 0117
VZ-OCE 0002

Acknowledgment of Charitable Contribution

IMPORTANT: Please acknowledge the enclosed charitable contribution by completing and returning this form. Donors cannot deduct contributions unless they are acknowledged in this manner and a failure to return this form in a timely manner may make you ineligible for future contributions by Verizon Foundation.

1. I hereby acknowledge the Verizon Foundation 2008 contribution of:
\$35,000.00
for 13th Annual Multi-National Business Conference - November 6-9, 2008.

(or describe property or services other than money received)

Received on (date): _____ Date of check: 11/21/08

2. Please indicate whether or not any goods or services were provided by your organization in consideration for this contribution (e.g., the value of food, beverage, ticket or entertainment at a fundraising event):
Yes _____ No _____

If YES, please describe what was provided and give a good faith estimate of the value to the goods or services, understanding that the amount of the contribution that is deductible as a charitable contribution is limited to the excess of money and the value of property contributed over the value of the goods and services provided by the charity.

Description: _____ Est. Value: \$ _____

3. The information above is provided for the purpose of complying with IRS reporting requirements and is accurate to the best of my knowledge. I understand that penalties may be imposed by the IRS on charities that fail to make the required disclosure or for making a disclosure that is inaccurate or incomplete.

Name: _____

Title: _____

Organization: Carib News Charities, Inc.

Organization Tax I.D.#: 133832050vz

Signature: _____

Date: _____

Please return to:

Anita Coleman-Hunt
Verizon Foundation One Verizon Way, VC83S427
Basking Ridge, NJ 07920

Telephone: 908-559-3516

Fax: 908-630-2660

09-6333 0118

VZ-OCE 0003

Acknowledgment of Charitable Contribution

IMPORTANT: Please acknowledge the enclosed charitable contribution by completing and returning this form. Donors cannot deduct contributions unless they are acknowledged in this manner and a failure to return this form in a timely manner may make you ineligible for future contributions by Verizon Foundation.

1. I hereby acknowledge the Verizon Foundation 2008 contribution of:
\$35,000.00
for 13th Annual Multi-National Business Conference - November 6-9, 2008.

(or describe property or services other than money received)

Received on (date): 11/26/08 Date of check: 11/21/08

2. Please indicate whether or not any goods or services were provided by your organization in consideration for this contribution (e.g., the value of food, beverage, ticket or entertainment at a fundraising event):
 Yes No

If YES, please describe what was provided and give a good faith estimate of the value of the goods or services, understanding that the amount of the contribution that is deductible as a charitable contribution is limited to the excess of money and the value of property contributed over the value of the goods and services provided by the charity.

Description: Registration + Conference Meal Plan Est. Value: \$ 1500.00

3. The information above is provided for the purpose of complying with IRS reporting requirements and is accurate to the best of my knowledge. I understand that penalties may be imposed by the IRS on charities that fail to make the required disclosure or for making a disclosure that is inaccurate or incomplete.

Name: KARL B. RODNEY
Title: PUBLISHER / CEO
Organization: Carib News Charities, Inc.

Organization Tax I.D.#: 133632850vz
Signature: [Signature] Date: 12/3/08

Please return to: Anita Colesman-Hunt
Verizon Foundation One Verizon Way, VC63S427
Basking Ridge, NJ 07920

Telephone: 908-559-3516
Fax: 908-630-2660

09-6333 0119

VZ-OCE 0004

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Exhibit 11

09-6333 0120

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 1 of the Verizon Foundation
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: March 23, 2009
Location: Verizon Offices, Washington, DC
Participants: Leo Wise
Omar Ashmawy
Beth Horton
David Kass, Assistant General Counsel Compliance
James C. Wilson, Vice President and Associate General Counsel Compliance

Summary: Representative 1 of the Verizon Foundation is the President and the Strategic Director for Philanthropy of the Verizon Foundation. He was interviewed pursuant to Reviews 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. Representative 1 of the Verizon Foundation participated at the 13th Annual Caribbean Multinational Business Conference in 2008. We requested an interview with Representative 1 of the Verizon Foundation and he consented to an interview. Representative 1 of the Verizon Foundation made the following statements in response to our questioning:

1. Representative 1 of the Verizon Foundation was given an 18 U.S.C. § 1001 warning, signed a written acknowledgement of the warning
2. Representative 1 of the Verizon Foundation is currently the President of the Verizon Foundation ("the Foundation") and Strategic Director for Philanthropy. His duties

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involve budget planning and implementation and governance for the foundation.

Representative 1 of the Verizon Foundation has significant decision-making authority over grants issued by the foundation. He approves all grants in excess of \$25,000 and all grants funded more than two years in a row. He has been with the foundation and acting as President for the past five years.

3. The Foundation is funded through a corpus donated by Verizon and through public donations under Verizon Reads, a program that is offered as part of customer billing statements. The Foundation approves approximately 2,900 grants (totaling 67 million) through a competitive loan application process. The Foundation's online loan application process has specific approval requirements, including a quarterly "impact report."
4. The Foundation funded the Carib News Foundation for the first time in 2008, the grant was issued to provide funding for the 13th Annual Caribbean Multinational Business Conference ("the Conference"). Representative 1 of the Verizon Foundation approved the Carib News Foundation grant. Representative 2 of the Verizon Foundation was the Foundation's contact person for the Conference. Representative 1 of the Verizon Foundation did not interact with Karl Rodney or anyone at Carib News regarding the Foundation's participation at the Conference. Both Representative 1 of the Verizon Foundation and Representative 2 of the Verizon Foundation attended the conference. Mr. Rodney invited Representative 1 of the Verizon Foundation to speak at the Conference and subsequently applied for a grant from the Foundation.
5. Representative 1 of the Verizon Foundation and Mr. Rodney are both members of the board of the Foundation for the University of the West Indies. The two men interacted at board meetings four times per year. Representative 1 of the Verizon Foundation stated that he may also have spoken to Mr. Rodney 1-2 other times prior to the Conference regarding how the Foundation could showcase its Thinkfinity program. He also stated that he may have spoken to Mr. Rodney once after receiving his letter of invitation for the Conference. He does not recall Mr. Rodney "pitching" the conference to him. Representative 1 of the Verizon Foundation stated that the Foundation would not have participated at the Conference unless he could speak on educational issues. Representative 1 of the Verizon Foundation believed the Conference would be a good opportunity to showcase the Foundation's Thinkfinity program.
6. Mr. Rodney was aware of the Foundation's educational work through his interaction with Representative 1 of the Verizon Foundation at board meetings for the Foundation of the University of West Indies. Representative 1 of the Verizon Foundation stated that while

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he had spoken with Mr. Rodney regarding the Thinkfinity program, he did not make any type of informal commitment to fund the Conference.

7. Representative 1 of the Verizon Foundation believed that there were three levels of sponsorship for the Conference, the highest being \$50,000 and the lowest being \$25,000. However, he does not recall seeing any specific document(s) setting out the different levels of sponsorship. He stated that he was not interested in sponsorship at the \$50,000 level but later decided on a \$35,000 grant donation. When asked what the Foundation received at the Conference for the \$35,000, Representative 1 of the Verizon Foundation stated signage and visibility. Representative 1 of the Verizon Foundation stated that the Foundation often provides such support for conferences, including the NAACP conference and National Urban League Conference.
8. Representative 1 of the Verizon Foundation was provided 3 venues at the Conference to speak about the Thinkfinity program. The Foundation believed participation at the Conference would provide exposure to English speaking Caribbean individuals who have connections to universities in New York.
9. The Foundation is required to issue grants only to 501(c)(3) entities. The Foundation could not make a donation to a corporation. Representative 1 of the Verizon Foundation was somewhat familiar with the Carib News paper; he has been featured in the paper and Verizon has advertised in the paper. Representative 1 of the Verizon Foundation was not aware of Carib News Charities until the entity applied for a grant. He believed Carib News Charities was the same entity as Carib News Foundation. He is not aware of any other work the Carib News Charities/Foundation is involved in other than the conference. Mr. Rodney did not talk about the Carib News Charities/Foundation at board meetings.
10. Representative 1 of the Verizon Foundation arrived at the Conference on Thursday afternoon and departed on Saturday morning. Representative 1 of the Verizon Foundation stated that he went to make his speech and promptly left the event. Representative 1 of the Verizon Foundation spoke 3 times on Friday; he was acknowledge at the welcome event on Friday morning and he participated in a demonstration on Thinkfinity in the afternoon. It is not clear from Representative 1 of the Verizon Foundation's remarks what other time he spoke at the Conference. He was invited to speak on Saturday but he did not feel that an extra day was necessary.
11. Representative 1 of the Verizon Foundation recalled seeing and speaking to Congressman Rangel. The Congressman mentioned Alltel and told him to remind his CEO to keep in mind the needs of the minority community. Representative 1 of the Verizon Foundation

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stated that he had no other contact with Members at the Conference. He did not know Delegate Christenson, Congressman Thompson, Congressman Payne and was not sure whether he saw Congresswoman-Kilpatrick (he was not sure who she is). He does recall that one lady stated that she would love to see the Thinkfinity program in her state. Representative 1 of the Verizon Foundation was accompanied by Representative 2 of the Verizon Foundation 90% of the time he was at the Conference; he was not aware of any contact between Representative 2 of the Verizon Foundation and any Members.

12. Representative 1 of the Verizon Foundation received an advance copy of the agenda for the conference. The copy indicated that the Foundation was scheduled for two days of activities. He requested that the Saturday meetings be canceled or moved to Friday. He had not seen the agenda that Mr. Rodney submitted to the Ethics Committee for travel approval. He stated that advance copies of the agenda were common, most organizations provided the same level of detail with other conferences. He believed that he had seen more than one copy of the agenda. The agendas that were sent to him focused on the Foundation's participation and not the entire conference.
13. Representative 1 of the Verizon Foundation was asked if it was typical to have an agenda that listed speakers without identifying their employer/affiliations. He was not sure and didn't remember if the drafts he had seen included his title; he was more concerned with having a sense of what he would be doing at the Conference.
14. Representative 1 of the Verizon Foundation spoke with Mr. Rodney prior to the Conference to cancel the programs he was slated to speak at on Saturday (this was his 3rd call regarding the Conference - 1st call was regarding invitation, 2nd call was to discuss his participation, 3rd was to cancel Saturday programs). Mr. Rodney was receptive to Representative 1 of the Verizon Foundation's request and the Saturday program was cancelled.
15. The Foundation paid all travel expenses for Representative 1 of the Verizon Foundation. He did not see the check the Foundation issued for the Conference or the confirmation letter from Mr. Rodney. Representative 1 of the Verizon Foundation met with Mr. Rodney following the conference at a board meeting for the Foundation for the University of West Indies. He also had breakfast with Mr. Rodney at which time they briefly discussed the Conference. According to Representative 1 of the Verizon Foundation, Mr. Rodney was upset over the New York Post article regarding the Conference. Representative 1 of the Verizon Foundation stated that Mr. Rodney felt the article was misleading and that he had done everything that he was supposed to do

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regarding receiving approval from the Ethics Committee. Representative 1 of the Verizon Foundation stated that he and Mr. Rodney did not spend much time speaking about the matter. He felt that Mr. Rodney wanted him to know that he was diligent about following rules. When asked if he knew what rules Mr. Rodney was speaking about, Representative 1 of the Verizon Foundation stated that he did not know which rules he was referring to.

16. Prior to his work with the Foundation, Representative 1 of the Verizon Foundation was with Government Affairs with Verizon, his former position did not involve lobbying. His position involved Strategic Alliance, he was responsible for working with non-profits across the country. He dealt with policy issues regarding universal service. He did not interact with regulators.
17. Representative 1 of the Verizon Foundation met with Eric Eve with Citi 2-3 weeks prior to our interview, they were both attending a meeting with the head of the NAACP. He did not speak to Mr. Eve about the Conference although they did speak about scrutiny on Citi related to TARP funding. He has not had any contact with the Representative of Citi from Citi.

Beth Horton
Investigative Counsel

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

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1391

Exhibit 12

09-6333 0127

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OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 2 of the Verizon Foundation
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: March 23, 2009
Location: Verizon Offices, Washington, DC
Participants: Leo Wise
Omar Ashmawy
Beth Horton
David Kass, Assistant General Counsel Compliance
James C. Wilson, Vice President and Associate General Counsel Compliance

Summary: Representative 2 of the Verizon Foundation is the National Program Director for the Verizon Foundation and Vice President for Education & Technology for Verizon. He was interviewed pursuant to Reviews 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. Representative 2 of the Verizon Foundation helped arrange the Verizon Foundation's participation at the 13th Annual Caribbean Multinational Business Conference in 2008; he also accompanied Representative 1 of the Verizon Foundation to the event. We requested an interview with Representative 2 of the Verizon Foundation and he consented to an interview. Representative 2 of the Verizon Foundation made the following statements in response to our questioning:

1. Representative 2 of the Verizon Foundation was given an 18 U.S.C. § 1001 warning, signed a written acknowledgement of the warning

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2. Representative 2 of the Verizon Foundation is currently the National Program Director for the Verizon Foundation ("the Foundation") and Vice President for Education & Training for Verizon. He works on educational literacy and technology innovations for the Foundation, 80% of his time is spent on Thinkfinity. He is the only person with an operational role in the program.
3. Representative 2 of the Verizon Foundation helped arrange the Verizon Foundation's participation at 13th Annual Caribbean Multinational Business Conference ("the Conference") in 2008; he also accompanied Representative 1 of the Verizon Foundation to the event.
4. Representative 2 of the Verizon Foundation reviews repeat grant requests for the Foundation. When asked how the Carib News Foundation request came to his desk he stated that it was a result of conversations with Karl Rodney and Representative 1 of the Verizon Foundation. He was not sure which conversation occurred first. Representative 1 of the Verizon Foundation was invited to speak at the Conference and either simultaneously or immediately after Representative 1 of the Verizon Foundation received the invitation, Representative 2 of the Verizon Foundation spoke with Mr. Rodney.
5. Representative 2 of the Verizon Foundation believes that he spoke with Mr. Rodney in person at a meeting for the Foundation for the University of the West Indies. Mr. Rodney inquired about the application for the grant for the Conference. Representative 2 of the Verizon Foundation recalled Mr. Rodney stating that he had spoke with Representative 1 of the Verizon Foundation and that he believed the Conference would be a good fit for the Foundation and would provide a good opportunity to communicate the Foundation's program Thinkfinity.
6. The Verizon Foundation also supports the Foundation for the University of the West Indies. Representative 2 of the Verizon Foundation has interacted with Mr. Rodney regarding the Foundation for the University of the West Indies.
7. Representative 2 of the Verizon Foundation also had previous interactions with Mr. Rodney at dinner and business meetings related the American Fund and to the publication of articles on the Foundation's Thinkfinity program.
8. Representative 2 of the Verizon Foundation will have been with the Foundation 3 years in October.

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9. Representative 2 of the Verizon Foundation believes he began talking with Mr. Rodney about the Conference sometime in the summer. He spoke with Mr. Rodney about the grant application. He described to Mr. Rodney the cyber grant process and explained what needed to be provided in the grant application. The system requires applicants to be 501 (c)(3) entities.
10. The head of Governance and Compliance for Verizon verifies whether applicant is a registered 501(c)(3). A grant request from a non 501 (c)(3) would be removed from the system; the request would not be forwarded to Representative 2 of the Verizon Foundation. The Foundation's grant approval process is formal. Representative 2 of the Verizon Foundation stated that he could show a specific interest in a proposal but could not give any type of commitment to an applicant.
11. Mr. Rodney would be required to provide a deck of the '07 conference, a description of the purpose of the conference and a tentative agenda for the cyber application.
12. Representative 2 of the Verizon Foundation spoke with Mr. Rodney approximately 8-10 weeks prior to the conference at which time they discussed the level of sponsorship the Foundation was interested in funding.
13. Mr. Rodney described 3 levels of sponsorship to Representative 2 of the Verizon Foundation. Representative 2 of the Verizon Foundation stated that this was typical of corporate sponsorships at other conferences.
14. Mr. Rodney offered the Foundation a \$50,000 sponsorship, which was the gold level. Representative 2 of the Verizon Foundation believes there was also a platinum level at \$75,000. He believes that Mr. Rodney showed him a 2007 deck with 3 levels (platinum at \$75,000, gold at \$50,000, and silver at \$25,000) prior to a scheduled interview of Representative 1 of the Verizon Foundation.
15. Representative 2 of the Verizon Foundation explained to Mr. Rodney that the Foundation wished to negotiate a level of funding other than what was being offered. The amount of \$35,000 was decided upon by the Foundation.
16. When asked what the Foundation would receive for its funding, Representative 2 of the Verizon Foundation stated that they would receive signage but that the Foundation was not specifically interested in a "quid pro quo" reciprocation, the Foundation merely wanted to disseminate information about its Thinkfinity program. Representative 2 of the Verizon Foundation stated that the Foundation does not do business in the Caribbean.

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17. Representative 2 of the Verizon Foundation stated that Representative 1 of the Verizon Foundation made an introductory speech but that he was not sure whether the speech was included in the Foundation's sponsorship level; the speech was not pre-set in the 2007 sponsorship deck.
18. Representative 2 of the Verizon Foundation spoke with Mr. Rodney approximately once a week for 6-7 weeks prior to the conference to discuss Verizon's participation/logistics/capacity for web casts at the conference – contact included phone calls and emails. Contact increased as conference approached.
19. When asked how Verizon decided on \$35,000 sponsorship, Representative 2 of the Verizon Foundation stated that he received a call from the Carib staff regarding the conference. The Foundation was asked to sponsor at the \$50,000 level, a \$35,000 counter-offer was made to Mr. Rodney, which he accepted.
20. Conversations regarding sponsorship levels began about 8-10 weeks prior to the conference with the final dollar figure being determined approximately 2 weeks prior to the conference.
21. Representative 2 of the Verizon Foundation spoke with Patricia with Carib regarding sponsorship, she thought the Foundation was sponsoring at the \$50,000, Representative 2 of the Verizon Foundation explained that the amount was \$35,000. When asked if Karl Rodney was upset with the sponsorship level, Representative 2 of the Verizon Foundation answered that he was not upset.
22. Representative 2 of the Verizon Foundation worked on the conference before Carib submitted application for funding which he stated was not unusual.
23. Representative 2 of the Verizon Foundation stated that he saw early drafts of the agenda prior to the conference, and then saw final draft at conference.
24. An early draft was shared with him in order for him to staff and notify Representative 1 of the Verizon Foundation of his speaking times.
25. He did not recall seeing any affiliation information on the early draft, he believes affiliations might have appeared on final draft. He did ask that any information be included on final draft after reviewing the earlier version.
26. Representative 2 of the Verizon Foundation prepared 2 versions of the Foundation's role at the conference.

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27. The topics for the conference were decided through dialog between himself and Karl Rodney with some prior comments from Representative 1 of the Verizon Foundation. Mr. Rodney was aware of the Thinkfinity program. Representative 2 of the Verizon Foundation suggested web casts.
28. Representative 2 of the Verizon Foundation thought that Representative 1 of the Verizon Foundation and Mr. Rodney framed the Foundation's participation at the conference.
29. Town Hall event was Mr. Rodney's idea.
30. Representative 2 of the Verizon Foundation believes that Mr. Rodney was trying to bring together various corporate interests to create a dialogue about social and economic issues that would benefit both the Caribbean and the U.S.
31. Representative 2 of the Verizon Foundation stated that Carib News Foundation was the only charitable entity that he was aware of, it was the listed entity in the grant application.
32. The question arose as to who sponsored conference, Representative 2 of the Verizon Foundation stated that he was aware that Carib News was a for-profit entity, so he asked who was the sponsor. If the sponsor was Carib News, the Foundation could not participate as a sponsor.
33. Representative 2 of the Verizon Foundation stated that he did not see the check that went to the Conference, it would have been generated and sent through the internal system.
34. Representative 2 of the Verizon Foundation did not recall seeing the name Carib News Charities Inc.
35. Representative 2 of the Verizon Foundation saw an early draft of the grant application but does not recall seeing the final version. He was not responsible for final approval.
36. Kathy Browne, Senior VP for Corporate Responsibility would have approved the grant.
37. Representative 2 of the Verizon Foundation spent 2.5 days at the conference, he arrived on Thursday evening, participated at the conference on Friday and departed on Sunday.
38. Representative 2 of the Verizon Foundation and Representative 1 of the Verizon Foundation participated in the welcome remarks on Friday morning, the plenary session, and in a roundtable meeting with educational ministers.
39. Mr. Rodney arranged these meetings, the webcast did not take place.

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40. Representative 2 of the Verizon Foundation did not attend the conference on Saturday or Sunday. He also left the hotel after the Foundation completed its presentations.
41. When asked about the Town Hall meeting, Representative 2 of the Verizon Foundation stated that Karl Rodney suggested that the Foundation speak about the Thinkfinity program, the opening remarks framework was also suggested by Mr. Rodney, Mr. Eve was to give introductory remarks and then Representative 2 of the Verizon Foundation was to speak.
42. There was a back and forth discussion about the plenary session between Representative 2 of the Verizon Foundation and Mr. Rodney to determine what role the Foundation would play in conjunction with the President of Medgar Evers College. The topic was technology supporting education.
43. There was no discussion regarding scheduling sessions to benefit US companies.
44. Representative 2 of the Verizon Foundation stated that he did not have any contact with Members of Congress other than a possible introductory handshake.
45. Representative 2 of the Verizon Foundation saw Representatives Kilpatrick, Rangel and the former head of the NAACP at the conference, along with other governmental officials from other countries. He also recalled seeing Delegate Christensen and Representative Payne. Representative 2 of the Verizon Foundation did not know Representative Thompson.
46. Representative 2 of the Verizon Foundation interacted with Mr. Rodney after the conference to discuss the American Fund and the University of the West Indies. He did not discuss the conference with Mr. Rodney.
47. Representative 2 of the Verizon Foundation believes that he may have received a thank you letter from Mr. Rodney for participating at the conference.
48. He did not see the contribution-sponsorship letter.
49. Representative 2 of the Verizon Foundation was aware of Mr. Rodney's Foundation, and the fact that it sponsored the conference. He also believed Mr. Rodney's Foundation performed social work for boys and girls club events and that it worked with the American Fund for the University of the West Indies. He was not sure why he felt that Rodney's Foundation was involved with these other events.

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50. Representative 2 of the Verizon Foundation stated that the Foundation did not place any restrictions on the funding it provided to the conference through its grant, the use would have been specified in the grant application/guidelines

Beth Horton
Investigative Counsel

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

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Signature: _____

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1400

Exhibit 13

09-6333 0136



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

*Copy of memo
- copy of letter
- copy of photo*

April 04, 2008

Ms. Marilyn Johnson
VP Marketing Development
IBM Corporation
1133 Westchester Avenue
White Plains, NY 10604

Dear Ms. Johnson:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of IBM went a long way to make the conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate very much the professionalism and enthusiasm you bring to the Conference with your keen participation. The presence and demonstration of real live "IBM Partner" as a result of the conference is a powerful testimony. We do get concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of IBM's participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the IBM local, regional and global connection, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

3

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

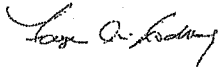
- 2 -

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@mycaribbean.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

4

WBR 10 09 09:39P W.L. MCKENZIE

11/07/2000

100

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
7/16/2008	45122

Bill To
Wanda McKenzie IDMA 2607 Jesters Court Marietta, GA 30068

Description	Amount
Sponsorship Multi-National Business Conference 2008 TAX ID 13-362060	20,000.00
6	
Total	\$20,000.00

1405

Exhibit 14

09-6333 0141

1406

05/15/2009 17:20 FAX

002

May 15, 2009

MEMORANDUM

VIA FAX TO: 202-226-0997

TO: Omar Ashmawy
FROM: Will Ris
RE: Materials related to Carib News Foundation Conference

At your request, I am faxing you the following documents:

1. The original letter of request for support from Carib News Foundation sent to American Airlines. I assume that they sent the identical letter to other airlines because in paragraph 3, they refer to "Continental" when it should be "American". In any case, they requested a total of 95 tickets for this event. We responded orally and told them the maximum we could provide would be 10.
2. A listing of the 10 tickets that we provided. If you need more detailed records of each individual ticket, I am happy to provide it. But this shows the names and itineraries of all 10 travelers. We provided no other support for the conference except that our group sales office authorized a standard 5 percent discount on tickets for those travelling to the conference - - this is universally available to any group of 10 or more travelling to the same location.

If there is anything else I can help you with, please let me know.

09-6333 0142

05/15/2009 17:20 FAX

003



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10018
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

June 6, 2008

Mr. Will Ris
 Senior Vice President
 Government Affairs
American Airlines
 1101 17th Street, Suite 600
 Washington, DC 20036

(10 First)

Dear Mr. Ris:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

This year, the Thirtieth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Since Air Transportation is such a vital issue for the Caribbean Region, it is going to be one of the Agenda items in the travel & Tourism sector. Continental's success is vital to the Caribbean region and the US role in world travel; we would expect significant input into any initiatives discussed.

We expect to have elected officials of the US and the Caribbean, Civic Leaders and Business- persons who can influence policies and encourage worthwhile initiatives to be at the Conference. We would welcome American Airlines' participation.

The Host Committee in the Caribbean Region and the Planning Committee in the US have all agreed to honor you with the Conference's prestigious **Marcus Garvey Bridge Builders Award**. Should you agree to accept, we would ask Congressman Charles Rangel and Congresswoman Eddie Bernice Johnson to make the presentation to you.

We are open to our customary barter of tickets for sponsorship levels, and have enclosed a proposed arrangement guide similar to last year.

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09-6333 0143

05/15/2009 17:20 FAX

004

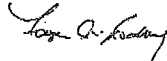
Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. It is our sincere wish that this presentation motivates your sponsorship.

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

05/15/2009 17:20 FAX

005

We are expecting about 20 Members of Congress or more who will be attending along with other Civic Leaders and VIP's. Again, we will position American Airlines as one of the main carriers to the Caribbean transporting the elected officials and celebrities. To help us move the process along we would like to propose the following, that American Airlines participate as a Gold Sponsor of the conference in consideration for which American Airlines would receive the following:

- American Airlines will be recognized as a main sponsor.
- American Airlines name and logo will be included on all printed material.
- American Airlines will be included in all radio and television promotions.
- American Airlines will be in all Internet promotions.
- American Airlines will be a designated sponsor of a main event and/or a workshop with speaking opportunities.
- American Airlines will receive prominent space for display or exhibition.
- American Airlines banners will be displayed in the main conference area
- American Airlines can develop specific promotional materials.

In return for the above, American Airlines will provide *Carib News* with:

- Maximum of fifty (50) roundtrip coach class tickets from the 48 contiguous United States to Antigua. Reservations to be done by *Carib News* and ticketing to be by American Airlines.
- Maximum of thirty-five (35) first-class roundtrip tickets from the 48 contiguous United States to Antigua.
- Maximum of five (5) coach class tickets and five (5) first class tickets to be used for site inspection and conference planning prior to the conference.
- American Airlines will provide group fares for registered attendees. Fare and reservations & ticketing to be negotiated by American Airlines.

Site Inspection is part of the sponsorship package. We do plan to do site inspections in St. Maarten when we will officially announce the Conference and work out broad issues.

1411

Exhibit 15

09-6333 0147

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311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2006	Year-End	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2006	Year-End	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2006	Year-End	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2007	Mid-Year	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION	INTERNATIONAL BUSINESS MACHINES CORPORATION	2007	Year-End	Caine, Christopher

09-6333 0148

311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2007	Mid- Year	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2007	Mid- Year	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	1st Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	2nd Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	3rd Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	4th Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2009	1st Quarter	Edington, PJ
311230000	(IBM) International Business Machines Corporation (IBM)	(IBM) International Business Machines Corporation (IBM)	2005	Year- End	Caine, Christopher
401290000	QA Internal Test - New Database IBM	QA Internal Test - New Database IBM	2008	1st Quarter	None
401290000	QA Internal Test - New Database IBM	QA Internal Test - New Database IBM	2008		Last Name 01, First Name 01
401290000	QA Internal Test - New Database IBM	QA Internal Test - New Database IBM	2008	3rd Quarter	None

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310520000	CITIGROUP, INC	CITIGROUP, INC	2007	Mid-Year	Burgeson, Christine
310520000	CITIGROUP, INC	CITIGROUP, INC	2007	Year-End	Burgeson, Christine
310520000	CITIGROUP, INC	CITIGROUP, INC	2007	Year-End	Burgeson, Christine
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2007	Mid-Year	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2007	Year-End	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	1st Quarter	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	2nd Quarter	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	3rd Quarter	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	4th Quarter	None
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2006	Year-End	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2007	Mid-Year	Snyder, John

09-6333 0150

316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2007	Year-End	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	1st Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	2nd Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	3rd Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	4th Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2009	1st Quarter	Snyder, John
373770000	CITIZENS FINANCIAL GROUP, INC.	CITIZENS FINANCIAL GROUP, INC.	2007	Mid-Year	Robinson, Ken
373770000	CITIZENS FINANCIAL GROUP, INC.	CITIZENS FINANCIAL GROUP, INC.	2007	Year-End	Robinson, Kenneth

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315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2006	Year-End	Davidson, Peter B.
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2007	Mid-Year	Davidson, Peter B.
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2007	Year-End	Davidson, Peter
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	1st Quarter	Davidson, Peter
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	2nd Quarter	Cantrel, Frank
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	3rd Quarter	Cantrel, Frank
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	4th Quarter	Davidson, Peter
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2009	1st Quarter	Cantrel, Frank
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2006	Year-End	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2007	Mid-Year	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2007	Year-End	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008	1st	

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			Quarter	
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008 2nd Quarter	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008 3rd Quarter	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008 4th Quarter	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2009 1st Quarter	Brittingham, Donald
315720000	Verizon & its affiliates, except Verizon Wireless	Verizon & its affiliates, except Verizon Wireless	2005 Year-End	Davidson, Peter
315720000	Verizon & its affiliates, except Verizon Wireless	Verizon & its affiliates, except Verizon Wireless	2006 Mid-Year	Davidson, Peter
352050000	Verizon Wireless	Verizon Wireless	2005 Year-End	Azara, Monica
352050000	Verizon Wireless	Verizon Wireless	2006 Mid-Year	Azara, Monica

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Exhibit 16



BASIC REQUIREMENTS

TO HOST

CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE

- * Invitation to host conference to be issued by Prime Minister (Head of Government). This is to facilitate the attendance of several elected officials from the United States, United Kingdom and other countries.
- * Prime Minister to be keynote speaker at one of the major events of the conference and participate in the closed door session with attending elected officials.
- * Local host committee to be organized with all interested ministers, agencies and associations. The chairman of this committee would be someone designated by the Prime Minister to coordinate all the activities.
- * To provide the care and comfort for the VIP's attending i.e.
 - o To provide 25 all-inclusive rooms for the VIP's and members of Congress attending.
 - o To provide appropriate mode of transportation for VIP's and members of Congress - cars or specialized vans - not buses.
- * To be a designated sponsor in one of the categories - *Title, Main or Regular Sponsor* (See Attachment)
- * To organize a "Host Country Evening" - The Friday evening, the host country will host at an appropriate location an evening of food, refreshment, cultural presentation and entertainment.
- * Provide for local entertainment for the various functions -
 - o The Opening Ceremonies
 - o The Reception
 - o The Gala Dinner
- * To assist in Promotion and Publicity of the conference both locally and through international offices and official publications.
- * Assist in securing competitive hotel rates.
- * Equip and staff conference secretariat.
- * Prime Minister to issue invitations along with CARIB-NEWS to members of the US Congress, other Prime Ministers and Ministers of Government.
- * Participate in the Forum with Members of Congress.
- * Arrange tours and activities of interest for VIP's and/or their spouse.

THE NEW YORK
CARIBNEWS
 THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
 7 WEST 36TH STREET, NEW YORK, NY 10018 (212) 244-1991

August 13, 2008

The Hon. Sarah A. Wescott-Williams
 Leader of Government
 Government Administration Building
 Clam Lábega Square, Philipsburg
 St. Maarten, N.A.

Hon. Commissioners:

Plans are moving ahead for the Thirteenth Annual Caribbean Multinational Business Conference, November 6-9, in your beautiful country. We have sent out invitations to several Members of Congress, Prime Ministers, Presidents and Premiers, to attend the Conference. A list of these invitees is attached. Senator Hillary Clinton has expressed an interest and her invitation will be forwarded soon. Also see Congressman Rangel's personal note of acceptance.


We wish for you now to send out your invitation to these individuals as previously discussed. A copy of past invitation letter is included. We will continue to do all the follow-up as planning and implementation as agreed to.

We are working on the draft of the program and will be sharing it with you and the Host Committee shortly. We would like to schedule our first Conference meeting by telephone with the Host Committee within the next 10 days, to start going over each area of the Basic requirements list, and to develop some time-line and contact person for each area.

We need to know immediately who on the Host Committee will be handling press and publicity, we can start sending Press Releases and Press updates.

We will be sending regular updates.

Kindest Regards



Karl B. Rodney
 Publisher/CEO

cc. Commissioner Roy Madip
 Director of Tourism, Regina LaBega
 Marla Chénont



Taking Our Rightful Place In The Sun

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09-6333 0156

CN-000040



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1997; Fax: (212) 944-2089
 E-mail:

June 25, 2008

Commissioner Sarah Wescot-Williams
 Leader of Government Business
 Government Administration Building
 Clara Labega Square
 Philipsburg, St. Maarten, NA

Dear Commissioner Wescot-Williams:

Plans are coming in place for the Thirteenth Annual Caribbean Multi-national Business Conference scheduled for November 6-9, at your invitation to the beautiful country of St. Maarten.

We were very involved with the Conference on the Caribbean held in New York last week with some fifteen Heads of CARICOM Governments, which was a success, but took a large part of our time. All attention is now set for St. Maarten.

We have signed the contract with the Malio Resort and we are in conversation with many of our sponsors. Already we have some important names agreeing to come, including Congressman Charles Rangel and Governor of New York, David Paterson. We are mindful of the issues of interest to St. Maarten and so we have issued invitations to several Members of Congress, Heads of Education and Health Institutions. A strong financial sector is expected; already Citibank and HSBC are on board.

There is very strong interest in the conference and all the information about the conference as St. Maarten is now on the website, in print and on radio. The promotion has begun in earnest.

We would now wish to start liaison with the local host committee to coordinate our planning with yours. Could you be kind enough to please identify the committee and the contact person and other information. We would wish that the person would report to you directly or Commissioner Marlin to expedite any matter.

Please find attached ideas for the Theme and some areas of concentration from which we can develop the program. The Host Committee should use the basic requirement as the basis for their planning. We will send a matrix of some time line and arrange for our next planning visit.

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2008 CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCETHEMES

We are suggesting as possible themes, the following:

Please feel free to change, adjust or even new approaches.

CHANGING STRATEGIES TO EMBRACE NEW POSSIBILITIES - THE GLOBAL MARKET

MOVING BEYOND BARRIERS TO A STRATEGY FOR GLOBAL COMPETITIVENESS

CHANGING STRATEGIES, BUILDING ALLIANCES, FACING NEW REALITIES

A REGION IN TRANSITION - STRATEGY FOR CHANGE, ALLIANCE FOR PROGRESS

AREAS OF CONCENTRATION

We are also now shaping the presentations and the workshops and the areas of concentration are some of which were suggested by yourself and Commissioner Martin.

1) TOURISM

- Pre clearance
- Unfunded mandate by the US
- Investment in Tourism
- Health tourism
- Regional co-operation

2) HEALTH

- St. Maarten, ideal location for Regional Health Center
- Delivery of health care
- Lifestyle diseases - diabetes, hypertension, obesity
- Health investment
- Collaboration with US institutions

3) EDUCATION

- Collaboration with US institutions
- Curriculum development
- Presentation of Thinkfinity - high tech tool for teaching
- Initiative between the University of the West Indies and Medgar Evers College

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Carib News Foundation
 7 West 26th Street, 8th floor, New York, NY 10001
 Tel: (212) 944-3991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

To : Host Committee
 Caribbean Multi-National Business Conference
 cc: Bernhard Hunt; Sonesta Maho Beach Resort

From : Karl E. Rodney
 CARIB NEWS

Date : October 15, 2008

SUBJECT : Town Hall Meetings

In the interest of organizing successful Town Hall Meetings, and to make program print deadline, we suggest the use of the space available at the Sonesta Maho for those meetings.

We think the participation of the local groups and individuals in these meetings are necessary and productive because of the subject matter. We would therefore urge a strong outreach media program and direct contact to interest groups to ensure success of the FOIA.

Thursday 5:00 - 6:30pm : Healthy Eating and Living

Friday 4:30 - 6:00pm : Education - Sharing Working Solutions

Saturday 4:30 - 6:00 pm : Technology

There is a great line up and we may have some additional star power. Commissioner Sarah Wescot-Williams also suggested she might have additional local speakers for these for a, and we welcome that.

Thanks for your kind cooperation.

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7 West 36th Street, 8th Floor, New York, NY, 10017
Tel: (212) 944-1991, Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

February 8, 2008

Commissioner Sarah Wescot-Williams
Leader of Government Business
Government Administration Building
Clem Labega Square
Philipsburg, St. Maarten, NA

Dear Commissioner Wescot-Williams:

It is with great pleasure that I wish to inform you that the Planning Committee has selected St. Maarten to host the Fifteenth Annual Caribbean Multi-National Business Conference, November 6-9, 2008. There was great interest in St. Maarten because of your personal invitation issued in Antigua, and certainly all the other excellent facilities on the island.

We look forward to a great conference and with working with you and your team. We would wish that you appoint the Host Committee as soon as possible so that the real planning will start. We will be proposing some thematic approaches for your consideration, in order to get the right participants and targeted focus.

We have the proposal from the hotels and will be making that selection within the week, after which we can start the promotion. The SAVE THE DATE and VENUE will be going out to the Members of Congress shortly. We will develop a time line for the other activities.

We are proceeding with the plan and would appreciate the formal letter of invitation.

We are very excited about working with you and St. Maarten again, and we expect a great conference!

Congratulations, and thanks for your kind consideration.

Very Truly Yours,

Faye A. Rodney

President

Karl B. Rodney
Publisher/CEO

cc. Marla Cherront, Marketing Assistant

Organized by Carib News Foundation, A non-profit project 501-C3 corporation

09-6333 0160

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Carib News Foundation
 7 West 30th Street, 8th floor, New York, NY 10001
 Tel: (212) 944-1001; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

January 23, 2008

Commissioner Sarah Wescot-Williams
 Leader of Government Business
 Government Administration Building
 Clem Labega Square
 Philipsburg, St. Maarten, NA

Dear Commissioner Wescot-Williams:

Thanks very much for inviting us to St. Maarten to explore the possibility of St. Maarten as the Host Venue for the Thirteenth Annual Caribbean Multi-National Business Conference, scheduled for November 6-9, 2008.

We had the pleasure of meeting with Commissioner Ruy Mañón to discuss the conference, its mission, and of course, St. Maarten's priorities to be addressed in the conference. Among the areas discussed were:

- * The Passport issue and its impact on St. Maarten and the Caribbean
- * The US Pre-clearance option and what are the possibilities
- * Educational collaboration and in-state tuition consideration for students from St. Maarten
- * A major women's initiative at the conference and targeting many large corporations to hold meetings and conventions in St. Maarten
- * The influence on impact of the Congressional delegation on the current constitutional negotiations with the Dutch Government, particularly where the Dutch Government has lobbied these Members on their special interests.
- * An appealing or special role model to address the youth of St. Maarten
- * To conduct community outreach programs involving the wider population in the areas of Health, Education and possibly Technology.

These are areas that we can establish direct linkages with, elated officials, professional experts, corporate perspectives and certainly business opportunities.

We were reminded by Ludwig Queiniche the then President of the Chamber of Commerce, that it was in St. Maarten that the issue of Increase of Duty Free Allowance was raised and was subsequently raised to twice the amount within six months, benefiting all the merchants not only in St. Maarten but the Caribbean.

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Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

April 04, 2008

Ms. Marilyn Johnson
VP Marketing Development
IBM Corporation
1133 Westchester Avenue
White Plains, NY 10604

*Copy to [unclear]
copy to [unclear]
copy to [unclear]*

Dear Ms. Johnson:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of IBM went a long way to make the conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate very much the professionalism and enthusiasm you bring to the Conference with your keen participation. The presence and demonstration of real live "IBM Partner" as a result of the conference is a powerful testimony. We do get concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of IBM's participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the IBM local, regional and global connection, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

3

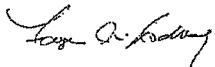
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We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

(4)

WAT 10 US 04:09p

W.L. MCKENZIE

7/16/2008

100

CARIB NEWS INC.
7 WEST 36TH STREET
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NEW YORK, 10018
212-944-1991

Invoice

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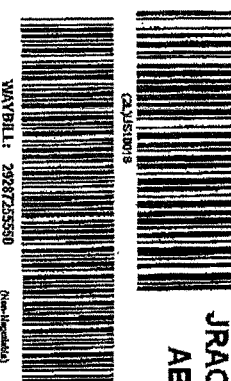
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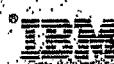
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10/8/2008

09-6333 0165

1430

Exhibit 17

09-6333 0166

Registration

From: plouis (plouis@nycaribnews.com)
 Sent: Monday, October 15, 2007 11:00 AM
 To: 'Kelly Mobley, Dawn'
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,
 In response to your e-mail, the answer to your question is NO.

Regards and Thanks for your patience.

Patricia Louis,
 Executive Assistant,
 CARIB NEWS,
 7 West 36th Street, 8th Floor
 New York, NY 10018

----- Original Message -----
 From: Kelly Mobley, Dawn (mailto:Dawn.KellyMobley@mail.house.gov)
 Sent: Monday, October 15, 2007 10:26 AM
 To: plouis
 Subject: FW: Carib News - Pre-trip Approval Issues

FYI

From: Olson, Susan
 Sent: Friday, October 12, 2007 2:41 PM
 To: plouis
 Cc: Kelly Mobley, Dawn; D Kelly, Bill
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM

10/15/2007

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- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

From: plouis [mailto:plouis@nycaribnews.com]
 Sent: Thursday, October 11, 2007 10:37 AM
 To: Olson, Susan
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,

In response to your e-mail:

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.

As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content of the other participants in the conference.

Federally registered lobbyists or foreign agents will not be accompanying the Members or participating in the program.

Regards,

Patricia Lewis
 Executive Assistant
 CARIB NEWS
 7 West 38th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
 From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
 Sent: Wednesday, October 10, 2007 4:01 PM
 To: plouis@nycaribnews.com
 Cc: Dawn.Kelly.Mobley@mail.house.gov; O'Reilly, Bill

10/15/2007

09-6333 0168

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1433

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 1 from Macy's
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 27, 2009
Location: 11 Penn Plaza
New York, NY 10001
Time: 11:10pm to 12:00 p.m. (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Representative 1 from Macy's is the Senior Vice President of Government and Consumer Affairs and Diversity Vendor Development at Macy's, Inc. He was interviewed pursuant to Review 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. We requested an interview with Representative 1 from Macy's and he consented to an interview. Representative 1 from Macy's made the following statements in response to our questioning:

1. Representative 1 from Macy's was given an 18 U.S.C. § 1001 warning and consented to an interview. Representative 1 from Macy's signed a written acknowledgement of the warning. [attached]
2. Representative 1 from Macy's met Karl and Faye Rodney in 1996. As a result of the large Caribbean Community in New York City and Macy's commercial interests in the Caribbean region, Representative 1 from Macy's asked Macy's advertizing division to consider the CaribNews newspaper.
3. The first conference he attended was in 1998 in St. Lucia. He attended as a participant. At the time, Macy's was considering a store in Puerto Rico and so Representative 1 from Macy's was especially interested in business in the region. He was impressed by how many government officials from the Caribbean region were present at the conference and by what they had to say about developing relationships with the United States.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

4. In the ensuing years, Macy's became a sponsor. The conference was a place where the company looked for vendors and designers. It was also a place where they could hear from U.S. legislators about their thoughts on the region. It was also a place for Representative 1 from Macy's to connect with U.S. companies who were attending.
5. Macy's first sponsored the conference in 2000. Over the years they have sponsored the event on an annual basis at varying levels, ranging from \$10,000 to \$35,000. As women's issues are particularly important to Macy's, given their clientele, their sponsorship focuses on the conference's "Women's Power Breakfast." The event was described as very lavish. All attendee of the breakfast also get a Macy's tote bag.
6. In 2008, Macy's contribution to the conference was \$35,000 in cash and an in-kind contribution of 400 tote bags. The total value of the in-kind contribution was \$4,620. The money was earmarked specifically for the conference.
7. As a sponsor, Macy's was given the opportunity to have a representative make opening remarks, to have representation at the Women's Power Breakfast, have input into who the speaker at the breakfast will be, and attend the closed door session with Members of Congress and officials from the Caribbean governments. Representative 1 from Macy's did in fact attend the closed door session in 2008. He recalled that other corporate sponsors also attended the meeting, but he could not recall which ones.
8. Representative 1 from Macy's recalled interacting with Representative Payne, Representative Kilpatrick, Representative Thompson, Representative Rangel, and Delegate Christensen. His interactions were all social in nature.
9. Representative 1 from Macy's was not aware of any other events sponsored by or planned by the CaribNews Foundation. With the exception of the conference, he was not aware of any other instances when Macy's supported a CaribNews Foundation event. Given Representative 1 from Macy's position within the company, it is very likely he would know if Macy's had done so.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Representative 1 from Macy's on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Representative 1 from Macy's on May 27, 2009.

Omar Ashmawy
Investigative Counsel

1435

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name: 

Signature: 

Date: 5/29/08

For Office Use:
Review(s) No. _____

1436

Exhibit 21

09-6333 0234

Updated Schedule
for Carib News PAGE 83

18/24/2007 19:00 2129442089

WORKING DRAFT 10/22

12th Annual Caribbean Multi-National
Business Conference Program
Antigua & Barbuda

Thursday, November 8, 2007

12:00noon - 6:00pm	CONFERENCE REGISTRATION
8:00 - 6:30am	COMMUNITY OUTREACH PROGRAM
Venue	Multi-Purpose Cultural Centre - Ferry Bay, St. John's
Theme	WTO - RULES BASED TRADE & POVERTY REDUCTION
Speakers	Hon. Charles Rangiel - Member of Congress Hon. Maxine Waters - Member of Congress Hon. Dr. Bzoi Cox - Minister of Finance & The Economy
Moderator	Tony Best
6:30 - 7:30pm	OPENING RECEPTION
Venue	Sandals Grande - Main Ballroom
Theme	CEMENTING DIASPORA TIES
MC	Judge Sylvia Hinds-Radix
Speakers	Faye A. Rodney - President CARIB NEWS Hon. Yvette Clarke - Member of Congress
7:30 - 9:30 pm	
Theme	COLLABORATIVE STRATEGIES

09-6333 0235

Venue: - Sandals Grande - Main Ballroom
 Moderator - Hon. Nick Perry, New York State Assemblyman
 Speakers - Hon. 'Butch' Stewart, Chairman, Sandals
 Hon. Ed Towns - Member of Congress
 Hon. Harold Lovell - Minister of Tourism & Aviation

10:00pm - 1:00am: - Hospitality Area
 Venue: Sandals Grande - Reflective Pool

Friday, November 9, 2007

8:00am - 8:45am BREAKFAST - Buffet Style

8:00am - 8:00pm CONFERENCE REGISTRATION

9:00am - 10:15am - OPENING CEREMONIES

Venue: - Sandals Grande - Main Ballroom
 Theme: - CEMENTING DIASPORA TIES, COLLABORATIVE STRATEGIES

Remarks: - Eds Eyr
 Dr. Lowell Lewis, Chief Minister
 Montserrat
 Karen Joylin-Towns
 Ed Goldberg
 Marie Long
 Hazel Dukes - NAACP
 William Thompson, NYC Comptroller
 Commissioner Sarah Wescot-Williams, Leader of Govt. St. Maarten
 Greetings

Speakers: Hon. Errol Cort - Minister of Finance & The Economy
 Hon. Donna Christensen - Member of Congress
 Hon. Carolyn Cheeks Kilpatrick - Member of Congress

Ambassador Andrew Young
 Hon. Louise Lake Tack - Governor General of Antigua
 OR
 Prime Minister Hon. Baldwin Spencer

Ribbon Cutting

10:30am - 11:45am - PRESENTATION
 Theme: - DEVELOPMENT BANKS, PRIVATE DEBT AND EQUITY
 Venue: - Sandals Grande
 Chair/Moderator - Dr. Enrique Riggs
 Speaker: Hon. Maudie Waters - Member of Congress
 Hon. Compton Bourne - President, CDB
 Mr. Milton Lawrence, Chairman Financial Services Corporation
 Mr. Rudolph Cayll
 Hon. Dr. Demzil Douglas, Prime Minister/Minister of Finance, St. Kitts

10:30 - 11:45am CULTURE - PROMISE & POTENTIAL IN BUSINESS
 Remarks :
 Speaker: Professor Rex Nettleford
 Hon. Howard Dotson
 Patrick Payne
 Hon. Harold Lovell, Minister of Culture

12:00noon - 1:15pm
 Theme: - NATIONAL SECURITY : A PRE-CONDITION FOR SUCCESS
 Venue : - Sandals Grande
 Moderator - Leyland Huzlewood
 Remarks: - Reginald Canal

Keynote Speaker: Hon. Bennie Thompson - Member of Congress
 Panelists: - Hon. Dwyer Astaphan, Minister of National Security
 Hon. Yvette Clarke - Member of Congress
 Hon. Colin V. A. Derrick - Minister of Justice
 Attorney Sanford Rubenstein

12:00noon -1:15pm

Theme: EDUCATION - U.S AND CARIBBEAN SYNERGIES TO
 OVERCOME CHALLENGES

Remarks: - Colin Waud
 Speakers: - Dr. Edison O. Jackson, President Medgar Evers College
 Hon. Donald Payne - Member of Congress
 Hon. Bertrand Joseph, Minister of Education

1:30pm -2:30pm

Theme: - LUNCHEON
 FINANCE COMMUNITY ORGANIZATION'S - VALUE
 AND ROLE

Remarks: - Michael Flanigan
 Speakers: - Eric Ivo - CITI
 Hon. William Thompson, New York City Comptroller

3:00pm - 4:00pm

ROUND TABLES
 IMMIGRATION -

Venue: - Sandals Grande
 Moderator: - Dr. Marco Mason

Speakers - Hon. Barbara Lee - Member of Congress
 Hon. Yvette Clarke - Member of Congress
 Hon. Kendall Stewart - City Council member

DIVERSITY IN GROWTH & DEVELOPMENT

MACY'S - Outside Vendors

Ed Goldberg
 Cheryl Monroe
 State Senator Kevin Parker
 City Councilmember Inez Dickens

HAITI - IN TRANSFORMATION

Hon. Maxine Waters - Member of Congress

Hon. Yvonne Graham

Ambassador Andrew Young

PORT & AIRPORT SECURITY - THE MANDATES

Moderator : Mr. Lunce Ogiste

Hon. Bennie Thompson

Hon. Dwer Astephan

Venue Sandals Grande

Participant - Preferred Health Partners

Speakers - Hon. Barbara Lee - Member of Congress

Venue

Speaker - Hon. Gregory Meeks - Member of Congress ?
CARICOM REP. - TBD

5:00pm - 6:30pm -

Venue: - Multipurpose Cultural & Exhibition Center - Ferry Bay

Theme - HEALTH - COMMON ISSUES - PROVEN SOLUTIONS - MOVE
Healthy Eating, Healthy Living

Remarks - Ms. Karen Boykin-Towne

Moderator - Dr. Marcia Bayne Smith

Keynote Speakers: - Dr. Denzil Douglas - Prime Minister St. Kitts/Nevis
Hon. Dr. Martin Valdez - Preferred Health Partners
Hon. John Herbert Maginley - Minister of Health, Sports & Youth
Dr. John Palmer
Hon. Diane Watson, Member of Congress

6:30:00pm - 10:00 pm - HOST COUNTRY EVENING
Hosted by Prime Minister Baldwin Spencer

Venue - Multipurpose Cultural and Exhibition Center - Ferry Bay

10:00pm - 1:00am - Hospitality Area

Venue: - Sandals Grande - Reflection Pool

Saturday, November 10, 2007

8:00am - 10:00am

Venue: - Sandals Grande - Main Ballroom

Theme: - WOMEN AND EMPOWERMENT IN THE DIASPORA

Remarks: - Brenda Scott

MC: - Marilyn Johnson

Speakers: - Hon. Diane Abbott, MP UK
 Commissioner Sarah Westcot-Williams,
 Hon. Caroline Cheeks Kilpatrick, Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Marcelia Maxwell
 Ms. Toni Day
 Governor General - Dame Louise Lake Tak - check
 Ms. Luailie McEwen, President/CBO HCCC
 Commissioner Westcot-Williams, Leader of Government

10:15am - 11:45pm

PRESENTATION

Theme: - HEALTH - REAL INVESTMENT OPPORTUNITIES IN UPGRADING
 CARE AND DELIVERY

Venue: - Sandals Grande

Greeting: - Karen Boykin-Towne - Pfizer

Chair/Moderator: - Hon. Yvonne Graham

Keynote: - Dr. Donald Douglas - Prime Minister St. Kitts/Nevis

Panelists
 Hon. John Herbert McGinley, Minister of Health
 Dr. Marcia Bayne-Smith
 Dr. Carl Kenel Fierse, Preferred Health Partners

10:15am-11:45am
 Theme - TRAVEL & TOURISM EXPANSION -THE DIASPORA AS CATALYST
 Venue - Sandals Grande
 Chair/Moderator - Mr. Lloyd Williams, GHCC
 Speakers - Hon. Laura Richardson - Member of Congress
 Hon. Harold Lovell, Minister of Tourism, Antigua & Barbuda
 Hon. Howard Nelson, Director Schomburg Center

12 noon- 1:15pm - PRESENTATION
 Theme - TECHNOLOGY & COMMUNICATION - SHARING
 Venue - Sandals Grande
 Remarks - Ms. Marie Long
 Keynote Speakers - Hon. Ed Towns - Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Edmund Mansoor - Member of State
 Dr. Emmanuel Gonsalves

12:00noon - 1:15pm -
 Theme - INTEGRATING THE DIASPORA IN BUSINESS - INVESTORS MANAGERS, MENTORS & TRAINERS

Venue - Sandals Grande
 Moderator - Roy Hastick
 Participant - HSBC
 Remarks - Mr. Javier Evans
 Speakers - Hon. Compton Bennett
 Hon. Adolfo Carrion, Bronx Borough President
 Hon. Carolyn Cheeks Kilpatrick - Member of Congress
 Hon. Professor Rex Nettleford

1:30 -2:00 p.m. - LUNCH

Theme - CARIBBEAN TRADE COMPETITIVENESS AND POSITIONING

Venue - Sandals Grande
 Remarks - Wallace Ford - Goodworks International
 Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade
 Hon. Charles Rangel - Member of Congress

2:30pm - 6:30pm
 Optional

5:00pm - 4:00pm - ROUND TABLE
 Venue - Sandals Grande

Round Table #1

Theme : CARIBBEAN - A COMPETITIVE HEALTH CARE DESTINATION

Venue

Remarks : Dr. Lesly Kemsaint

Speakers : Dr. Dexter McKenzie
Hon. Yvonne Graham

Round Table #2

Theme - HEMISPHERIC DISASTER FUND

Venue - Sandals Grande

Speakers Hon. Yvette Clarke - Member of Congress
Mr. Leyland Hazlewood
Mr. Emmanuel Gonsalves

Participant -

Round Table #3

Theme - CLOSING THE GENERATION GAP

Speaker - Hon. Yvette Clarke - Member of Congress
Kendisa Rodney
Lita Balkan
Sabrina Hosang
Wintuope Wallington

Venue - Sandals Grande

Participant -

Round Table #4

Theme : PASSPORT & ADMINISTRATIVE CONSTRAINTS ON TOURISM

Venue : Sandals Grande

Speakers : Hon. Harold Lovell - Minister of Tourism
Mr. Lloyd Williams, GHCC
Hon. Darryl Towns, State Assemblyman

5:00pm - 6:30pm - COMMUNITY OUTREACH -

EDUCATION & YOUTH DIRECTION - ACCESS AND COLLABORATION

Venue : Multipurpose Cultural & Exhibition Centre

Moderator : Michael Flaigan

Speakers : Dr. Edison Jackson - President Medgar Evers College
Hon. David M. Dinkins - Former Mayor New York City
Hon. Eddie Bernice Johnson - Member of Congress
Hon. Bertrand Joseph

6:30 - 7:30pm - GALA RECEPTION

Venue : Sandals Grande

Speaker : Hon. Stephanie Tubbs-Jones, Member of Congress
Hon. Bille Miller, Minister of Foreign Affairs,
Minister of Government ... Antigua

Theme:

7:30 - 10:00 pm : GALA DINNER & AWARDS
 Theme :
 Venue : Sandale Grande - Main Ballroom
 Speaker's : Hon. Charles Rangel - Member of Congress
 Hon. Maxine Waters - Member of Congress
 Keynote Speaker : Prime Minister Hon. Baldwin Spencer
 Presentation of the Marcus Garvey "Bridge Builder" Awards

Hospitality Area

10:30pm - 1:00am

Venue:

Sunday, November 11, 2007

8:30 am - 10:00am - POWER BREAKFAST
 Theme - UNDERSTANDING THE SPIRITUAL ROOTS AND
 MAKING IT WORK
 Celebrant - Ed Goldberg
 Lowell Hawthorne
 Caribbean person - TBD

Selection

Theme:

Selection:

10/19/07

12

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Exhibit 22

09-6333 0247

1450

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Staff Member 1 of Congressman Rangel's Office
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 27, 2009 and May 28, 2009
Location: Washington, DC (via telephone on May 27, 2009) and at Rayburn HOB 354 (on May 28, 2009)
Time: 4:10pm to 5:30 p.m. (approximately) and 3:30pm to 4:00pm (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Staff Member 1 of Congressman Rangel's Office is currently the chief of staff of Representative Charles Rangel, a Member of the United States House of Representatives representing the Fifteenth District of New York. He was interviewed pursuant to Review 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. We requested an interview with Staff Member 1 of Congressman Rangel's Office and he consented to an interview. Staff Member 1 of Congressman Rangel's Office's counsel was present. Staff Member 1 of Congressman Rangel's Office made the following statements in response to our questioning:

1. Staff Member 1 of Congressman Rangel's Office was given an 18 U.S.C. § 1001 warning and consented to an interview. Staff Member 1 of Congressman Rangel's Office signed a written acknowledgement of the warning: [attached]
2. Staff Member 1 of Congressman Rangel's Office did not attend the 13th Annual Caribbean Multi-National Business Conference although he received an invitation. In response to a Request for Information by OCE, Staff Member 1 of Congressman Rangel's Office looked for Representative Rangel's invitation to the conference, but could not find it. He had thought Representative Rangel had received one, but because he could not find the invitation he now thinks that Representative Rangel did not receive one.

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3. Representative Rangel could have been invited orally as he has been attending the conference for years and was seen almost as a partner. He attends the conference because he is dedicated to strengthening the relationships between the Caribbean community, the African-American community of New York City and in general, and the United States.
4. The question of whether Representative Rangel would attend the conference in 2008 was a difficult one because of the negative press he had received throughout the preceding summer. Representative Rangel was cautious about attending because he didn't want to be a target for additional negative publicity, but Staff Member 1 of Congressman Rangel's Office and others on the Member's staff argued that he should go to the conference. They argued that if Representative Rangel did not attend he would be rejecting the friends with whom he helped build the conference and that the Member had to stand up and do the right thing despite the possible negative publicity.
5. Ultimately, Staff Member 1 of Congressman Rangel's Office and his staff persuaded the Member to attend. Representative Rangel decided to keep a low profile and, unlike in past years, staff would not attend the conference with the Member.
6. Staff Member 1 of Congressman Rangel's Office stated that the CaribNews Foundation invited the Member. The CaribNews Foundation is the charitable arm of the newspaper. When the rules changed in 2007 and corporations could not be involved as before – i.e. direct contributions to the conference – there was “talk” about how to do the conference in light of the new rules.
7. According to Staff Member 1 of Congressman Rangel's Office, there was “talk” that the Rodneys needed an entity to create a common fund so that the corporate money could be used to pay for the conference and that the CaribNews Foundation could be used for that purpose.
8. Staff Member 1 of Congressman Rangel's Office initially stated that he did not remember how he was aware of this “talk.” He stated that part of it was his reading of the rules and the supplemental material about how things get done. He then stated that “we” celebrated when Representative Susan Tubbs-Jones was made the chair of the Standards Committee in 2007 because she would try to create a way for Members of Congress to continue to attend the conference. Staff Member 1 of Congressman Rangel's Office then stated that he was made aware of the “talk” through the Rodneys and that the Rodneys told Staff Member 1 of Congressman Rangel's Office that Representative Tubbs-Jones was going to have her counsel, Dawn Kelly-Mobley, find a way to make the conference work. The Members would have a few more hoops to go through but “we” would find a

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way. Representative Tubbs-Jones would let Ms. Mobley know the conference was a priority and tell her to make it possible. Representative Tubbs-Jones made Ms. Mobley the point of contact on the issue of the conference.

9. Staff Member 1 of Congressman Rangel's Office stated that Representative Rangel also mentioned that Representative Tubbs-Jones told him something similar. Staff Member 1 of Congressman Rangel's Office stated that there was talk about "keeping the conference going." He also stated that "They gave us the framework to keep the conference going." "They" referred to Ms. Mobley and Representative Tubbs-Jones.
10. Staff Member 1 of Congressman Rangel's Office did not remember any specific conversations with Ms. Mobley, but he would have had them because he would have wanted to make sure he followed her advice. He stated that the 2007 conversations about Standards Committee finding a way to keep the conference going may have also been with Patricia Louis, the Rodney's office manager.
11. Regarding the structure of the CaribNews Foundation, Staff Member 1 of Congressman Rangel's Office said that the role of the foundation was more formalized as a way to handle the new rules and that it would have come from the Standards Committee staff. The Rodney's were the first people to describe the new structure to Staff Member 1 of Congressman Rangel's Office following their conversation with Ms. Mobley. The Rodney's told Staff Member 1 of Congressman Rangel's Office that they could easily comply because they had always done the conference through the foundation. The Rodney's told him that it should have always been called the CaribNews Foundation's conference.
12. Before 2007, Staff Member 1 of Congressman Rangel's Office knew that the corporations had a role in the conference because the format was in part to bring businesses together. Members of Congress would talk policy, but the Members' presence was the glamour part of the conference and a way to attract more people. However, policy was not the central focus of the conference. The central focus of the conference was business and increasing investment in the region. The Members of Congress drew government officials from the various Caribbean governments and these government officials drew the businesses.
13. Staff Member 1 of Congressman Rangel's Office was aware the involvement of other corporations like AT&T, Macy's, Citi, etc because they were pretty consistent supporters of the conference. It was clear they were supporters of the conference financially.

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14. Staff Member 1 of Congressman Rangel's Office did not talk to Representative Rangel about these matters because the Member does not require that level of detail and does not typically ask for specifics.
15. Staff Member 1 of Congressman Rangel's Office did not remember how he became aware of the 2007 rule changes and did not remember attending any briefings or training about the new rules. He thought he was aware of the limits on the number of days a Member could attend an event paid for by an entity that employs a lobbyist, but he was not certain. Mr. Daley did not think he was aware of rules that made an entity that earmarked money for a trip a sponsor of that trip.
16. In response to the question: At the time of the 2007/2008 conferences, how sponsor was defined? Staff Member 1 of Congressman Rangel's Office stated that the New York CaribNews Foundation was the sponsor of the conference because they were putting on the conference with money from a general fund that would pay for the conference. At that time he became conscious of a need for a 501(c)(3) to be the sponsor.
17. Over the course of several phone calls— most often with Patricia Louis and Faye Rodney, Karl Rodney's wife — Staff Member 1 of Congressman Rangel's Office learned that the CaribNews Foundation was going to raise money from their traditional donors and other entities.
18. The government of St. Maarten was the lynchpin of the 2008 conference. They were the conference's host and they had specific requirements, as host, that were financial in nature. For instance, when a host government owns a conference center and/or hotel then they give the rooms and conference space for free. If they do not own space, then they have to arrange with a hotel to give rooms and space to the conference at an affordable amount. Other host government support includes police escorts, airport greeting services, and security services. They also host an opening reception and key components of the conference.
19. Although it was not typical, Staff Member 1 of Congressman Rangel's Office became the scheduler for Representative Rangel's travel to the conference in 2008 because he played a part in convincing him to go. Staff Member 1 of Congressman Rangel's Office made the reservations for the Member, but did not remember how he did it or whether the Representative had an e-ticket or a ticket in some other form. He did not remember receiving any airline itinerary documents, but it would have been common practice for him to receive one.

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20. Staff Member 1 of Congressman Rangel's Office was shown the pre-travel clearance form submitted to the Standards Committee and stated that he filled it out and the Member signed it. He was shown the post-travel disclosure forms that were submitted to the Standards Committee and stated that he filled them out and the Representative signed them.
21. Staff Member 1 of Congressman Rangel's Office initially said he got the information to fill out the post-travel forms from the Rodneys and stated that he remembered getting a memo from the Rodneys with the cost of the various aspects of the trip on it and that the memo was where he got the dollar amounts for the post-travel forms. He was then asked to explain why the numbers on the post-travel form matched the pre-travel form and not the memo from the Rodneys. Staff Member 1 of Congressman Rangel's Office then said that he could not say for sure that he got the numbers from the memo. He did not remember if the numbers were from the memo or from the pre-travel forms. He was not sure if he checked the cost of the airfare against the airline tickets or if he got it from the pre-travel documents or from the memo.
22. Generally speaking, however, Staff Member 1 of Congressman Rangel's Office would not look behind the numbers given by the Rodneys. He would assume the Rodneys were accurate. Staff Member 1 of Congressman Rangel's Office was not certain how it was done, but thought that the accounting for the conference was simple and the information for each Member would be the same. He did not ask the Rodneys for supporting documentation for the numbers on the memo.
23. After the conference, he spoke to Representative Rangel generally about the event. When the article by Susan Crabtree was published there was a lot of talk about the subject of the article with several people. Staff Member 1 of Congressman Rangel's Office did not remember with whom he spoke. He then said that he spoke with the Rodneys and the Member. Overall there was an opinion that there could not be anything substantive to the article because the travel was approved by the Standards Committee. It was true that the corporations were at the conference, but they did not have anything to do with their Washington, DC agenda because that's not who attended the conference. His conversations with Representative Rangel were brief and were limited to reassuring him that the office followed the rules and got approval for the travel.
24. After the OCE review was initiated Staff Member 1 of Congressman Rangel's Office called other members of the Congressional Black Caucus. Representative Rangel asked him to make the calls and to tell them that he would stand by them and offered his help.

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25. Staff Member 1 of Congressman Rangel's Office recalled a conversation with Representative Carolyn Kilpatrick's chief of staff, Kim Rudolph. Ms. Rudolph addressed the comments that Representative Kilpatrick made in which she thanked the sponsors of the conference. Staff Member 1 of Congressman Rangel's Office explained Representative Kilpatrick's statements to OCE by saying that that regardless of who the real sponsor of the event was, when Members thank the corporations it helps the Rodneys put on the conference -- even if the sponsors are not sponsors under the rule. Staff Member 1 of Congressman Rangel's Office also remembered speaking to Shelly Thomas, Delegate Donna Christensen's scheduler, but did not recall the specifics of the conversation.
26. Staff Member 1 of Congressman Rangel's Office was not aware of any limits on a private foundation paying for overseas travel. He did not know whether the CaribNews Foundation was a private foundation or a public charity.
27. Staff Member 1 of Congressman Rangel's Office was shown a copy of Congressman Rangel's itinerary and a receipt for an electronic ticket. Staff Member 1 of Congressman Rangel's Office stated that he did not remember seeing it before, but that the itinerary was the type of document that would typically be placed in the Congressman's briefing book so that he could provide the information at the airport in order to get his ticket. Staff Member 1 of Congressman Rangel's Office also pointed out that the receipt for the electronic ticket was the sort of document that would only be provided after the Congressman checked in for his flight.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Staff Member 1 of Congressman Rangel's Office on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Staff Member 1 of Congressman Rangel's Office on May 27, 2009.

Omar Ashmawy
Investigative Counsel

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Exhibit 23

09-6333 0254

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**THE NEW YORK
CARIBNEWS**
THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
7 WEST 96TH STREET, 8TH FLOOR, NEW YORK, NY 10018

TBL: (212) 944-1991; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: Kelly Mobley FROM: Patricia Louis
COMPANY: Committee on Standards of official conduct DATE: 8/20/08
FAX NUMBER: 202-225-7592 TOTAL NO. OF PAGES INCLUDING COVER:
RE: 2008 MULTINATIONAL BUSINESS CONFERENCE

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Following is the completed form and attachments re travel for Members of Congress to attend the 2008 Multinational Business Conference scheduled for November 6-9, 2008 in St. Maarten.

Regards

09-6333 0255

CN-000112

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Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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09-6333 0256

CN-000113

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES,
6. Dates of travel: NOVEMBER 8-9, 2008
7. Cities of departure - destination - return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(a)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

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CN-000114

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (* SEE ATTACHED)

13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN

14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

16. I represent that either (check one of the following):
a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: OR
b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____

17. Reason for selecting the location of the event or trip: SEE ATTACHED

18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00

20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

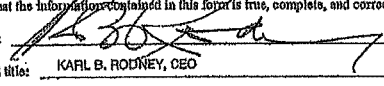
21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	200	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150	Conference Registration
For each accompanying family member	\$ 25	Local transportation

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL B. RODNEY, CEO

Organization: CARIB NEWS FOUNDATION

Address: 7 WEST 36th STREET, 8th FLOOR, NEW YORK, NY 10018

Telephone number: (212) 944-1991

Fax number: (212) 944-2089

Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
Congressman Charles Rangel
Congressman Donald Payne
Congresswoman Donna M. Christensen
Congressman Edolphus Towns
Congressman G. K. Butterfield
Congressman Gregory Meeks
Congressman Jesse Jackson Jr.
Congressman Keith Ellison
Congressman Kendrick Meek
Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008

09-6333 0260

CONFIDENTIAL

CN-000117



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Item#17

A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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CN-000118

**MEMBERS OF CONGRESS INVITED TO THE
13th Annual Multinational Business Conference
St. Maarten, November 6-9, 2008**

Congressman William Lacy Clay
U.S House of Representatives
131 Cannon HOB
Washington, DC 20515
Phone: 202-225-2406
Fax: 202-226-3717

Congresswoman Diane E. Watson
US House of Representatives
125 Cannon HOB
Washington, DC 20515
Phone: 202-225-7084
Fax: 202-225-2422

Congressman Kendrick Meek
U.S House of Representatives
1039 Longworth HOB
Washington, DC 20515
Phone: 202-225-4506
Fax: 202-226-0777

Congressman David Scott
U.S House of Representatives
417 Cannon HOB
Washington, DC 20515
Phone: 202-225-2929
Fax: 202-225-4268

Congressman James Clyburn
US House of Representatives
2135 Rayburn HOB
Washington, DC 20515
Phone: 202-225-3315
Fax: 202-225-9253

Congressman Robert Scott
U.S House of Representatives
1201 Longworth HOB
Washington, DC 20515
Phone: 202-225-8351
Fax: 202-225-8354

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Congresswoman Nydia Velaquez
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 2241 Rayburn HOB
 Washington, DC 20515
Phone: 202-225-2361
Fax: 202-225-0327

Congressman Charles Rangel
 U.S House of Representatives
 2354 Rayburn HOB
 Washington, DC 20515-3215
Phone: 202-225-4365
Fax: 202-225-0186

Congressman Donald Payne
 U.S House of Representatives
 2209 Rayburn HOB
 Washington, DC 20515
Phone: 202-225-3436
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Congresswoman Donna M. Christensen
 U.S House of Representatives
 1510 Longworth HOB
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Congressman Edolphus Towns
 U.S House of Representatives
 2232 Rayburn HOB
 Washington, DC 20515
Phone: 202-225-5936
Fax: 202-225-6018

Congressman G. K. Butterfield
 U.S House of Representatives
 413 Cannon HOB
 Washington, DC 20515
Phone: 202-225-3101
Fax: 202-225-2256

Congressman Gregory Meeks
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 1710 Longworth HOB
 Washington, DC 20515
Phone: 202-225-9461
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Congressman Jesse Jackson Jr.
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 2419 Rayburn HOB
 Washington, DC 20515
Phone: 202-225-0773
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Congressman Keith Ellison
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 1130 Longworth HOB
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Congressman Kendrick Meek
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Congresswoman Maxine Waters
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Fax: 202-225-7854

Congressman Melvin Watt
 U.S House of Representatives
 2236 Rayburn HOB
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Fax: 202-225-1512

Congresswoman Barbara Lee
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Congresswoman Caroline Cheeks Kilpatrick
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Congresswoman Corrine Brown
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Congresswoman Eddie Bernice Johnson
U.S House of Representatives
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Phone: 202-225-8888
Fax: 202-225-1477

Congresswoman Sheila Jackson Lee
U.S House of Representatives
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Washington, DC 20515
Phone: 202-225-3816
Fax: 202-225-3317

Congresswoman Yvette Clarke
U.S House of Representatives
1029 Longworth HOB
Washington, DC 20515
Phone: 202-225-6231
Fax: 202-225-0112

Congressman Danny Davis
U.S House of Representatives
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Washington, DC 20515
Phone: 202-225-5006
Fax: 202-225-5641

Congresswoman Stephanie Tubbs-Jones
U.S House of Representatives
1516 Longworth HOB
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Phone: 202-225-7032
Fax: 202-225-1539/2367

Congressman Bennie Thompson
US House of Representatives
2432 Rayburn HOB
Washington, DC 20515
Phone: 202-225-5876
Fax: 202-225-5898

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Working Copy

19th Annual Caribbean Multi-National
Business Conference Program
St. Maarten, N.A.

**THEME: A REGION IN TRANSITION – STRATEGY FOR
CHANGE – BUILDING ALLIANCES**

Thursday, November 6, 2008

12:00 noon – 6:00pm : **CONFERENCE REGISTRATION**

5:00 – 6:30 - **TOWN HALL FORUM - HEALTH**
Healthy Eating and Living
Innovative Solutions for Life styles
Diseases- a Collaborative Approach – Diabetes,
Hypertension, Obesity, Oral Health

Venue - **ROYAL ISLANDER**
Moderator - **Dr. Marcia Bayne Smith**

Speakers - **Mrs. Karen Boykin-Towns**
Congressmember Dr. Donna Christian Christensen
Commissioner Maria Buncamper Molanus, Health
Commissioner, St. Maarten
Dr. Leon Merrick
Dr. Jay Cohan
Dr. John Palmer

6:30- 7:30pm - **OPENING RECEPTION**
Venue: **UPPER POOL**

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Theme: - *A REGION IN TRANSITION* -
Speakers: - Faye A. Rodney
Hon. Roy Marlin -- Commissioner for Tourism & Finance -- St
Maarten.

MC: - Sabrina Hosang - Royal Caribbean Bakery
7:30 - 9:30 pm - OPENING DINNER

Venue: - MAHO ROOM

Theme: - *STRATEGY FOR CHANGE*
MC - Judge Sylvia Hinds-Radix
Speakers: - Susan Taylor
Hon. Donald Payne, Member US Congress

10:00pm - 11:00am - HOSPITALITY AREA

Friday, November 7, 2008

8:00am - 8:45am - (BREAKFAST) - OCEAN TERRACE

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9:00am - 6:00pm	CONFERENCE REGISTRATION OCEAN TERRACE LOBBY
	CONFERENCE SECRETARIAT SABA ROOM

9:00am - 10:15am - OPENING CEREMONIES
Venue: - MAHO ROOM
Theme: - BUILDING ALLIANCES FOR PROGRESS
Invocation: - Rev. Susan Johnson-Cook
Anthems: - St. Maarten
Lift Every Voice
Remarks: - Eric Eve
Karen Boykin-Towns
Marie Long
Ed Goldberg
Patrick Gaston
Hazel Dukes
Speakers: - Hon. Carolyn Cheeks Kilpatrick - Member
US Congress
Commissioner Sarah Wescot-Williams, Leader
of Government, St. Maarten
Harry Belafonte

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RIBBON CUTTING CEREMONIES

10:15am - 10:30am - COFFEE BREAK -

10:30am - 11:45am - PRESENTATION

EDUCATION - TECHNOLOGICAL ADVANCES - COLLABORATION

Moderator - Dr. Emmanuel Gonsalves
Greetings - Marie Long
Speakers - Dr. Edison Jackson
Patrick Gaston
Dr. Marcia Kelza
Congressmember Eddie Bernice Johnson
Professor Rex Nettleford

12:00 noon - 1:15p.m.

PRESENTATION

TRAVEL AND TOURISM -

A Transformative Approach

Moderator - Lloyd Williams
Greetings - Wallace Ford
Speakers - Commissioner Roy Marlin
Howard Dodson
Congressman Gregory Meeks
Professor Rex Nettleford
Dr. Martin Valdes

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12:00 noon - 1:15p.m - PRESENTATION
HEALTH - AN ECONOMIC DEVELOPMENT STRATEGY FOR THE REGION

Greetings - Karen Boykin-Townis

Moderator - Yvonne Graham

Speakers - Dr. Denzil Douglas
 Dr. Carl Kenel Pierre
 Commissioner Maria Buncamper Molanus, Health
 Commissioner, St. Maarten
 Dr. Dexter McKenzie

1:30pm - 2:30pm - LUNCHEON

Venue: - Main Ballroom

Theme: - **BUILDING A WINNING STRATEGY - PARTNERSHIPS THAT WORK**

Moderator: - Hon. Nick Perry, NYS Assembly

Speakers - Eric Eve

Keynote Speaker - Hon. David Paterson, Governor New York State

3:00pm - 4:00pm

Round Table # 1

Theme - **EDUCATION - THINKFINITY PRESENTATION & DEMONSTRATION**

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Venue - Royal Islander A
MC - Dr. Patricia Hazlewood
Speakers - Patrick Gaston
Albert Browne

Round Table #2 -

Theme - *LOCAL BUSINESS INITIATIVES*
Opportunities with US Companies

Venue - Royal Islander B
Speakers: - Ed Goldberg
Eric Eve
Albert Browne

4:30pm - 6:00pm - *TOWN HALL FORUM - EDUCATION*
Venue - Royal Islander A
Theme : *EDUCATION - SHARING WORKING SOLUTIONS*
Greetings : Michael Flanigan
Moderator : Dr. Marcella Maxwell

Speakers: - Hon. David M. Dinkins - Former Mayor, NYC
Dr. Edilson O. Jackson
Dr. Marcia Keizs
Professor Rex Nettleford, UWI
William Payne, Assemblyman New Jersey

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Venue:
6:30:00pm - 7:30pm - *HOST COUNTRY EVENING*
Venue:
Theme
MC:
Speakers:
7:30 - 8:00 pm
8:00pm - 10:30 pm.

CULTURAL PRESENTATION

Venue :
Theme

10:00pm - 1:00am - *HOSPITALITY AREA*

Venue:

Saturday, November 8, 2008

7:45 am - 8:15 am = BREAKFAST
Venue - OCEAN TERRACE
8:30am - 10:00am - PRESENTATION
Theme WOMEN OF INFLUENCE AND POWER
Venue: MAHO BEACH

Greetings & Opening Remarks - - Brenda Scott
Moderator - Marilyn Johnson
Speakers: - Commissioner Sarah Wescot-Williams, Leader
of Government Business
Dame Billie Miller, former Deputy Prime Minister,
of Barbados
Dr. Marcia Keizs, President York College
Michelle Paterson, First Lady New York State
Congresswoman Eddie Bernice Johnson
Rev. Dr. Susan Johnson-Cook

10:15am - 11:45am - *PRESENTATION*
Theme - *THE CARIBBEAN, IT'S VALUE & POTENTIAL*
Venue - Royal Islander A
Greetings - Wallace Ford
Moderator - Dame Billie Miller
Speakers - Hon. P. J. Patterson, former Prime Minister, Jamaica
Hon. Dr. Ralph Gonsálves, Prime Minister St. Vincent
and the Grenadines
- Hon. Dr. Denzil Douglas, Prime Minister,
St. Kitts-Nevis
Congressman Charles Rangel

12:00 noon - 1:30 p.m. *PRIVATE MEETING -- CLOSED DOOR SESSION*
with Members of Congress and Ministers of Government

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12:00 noon - 1:15 p.m. PRESENTATION

Theme - *TRADE & INVESTMENT - CREATIVE SOLUTIONS*
RETOOLING FOR GROWTH - PARTNERSHIP AT WORK

Venue - Royal Islander A

Greetings - Michael Flanigan

Moderator - Ivanhoe Friend

Speakers - Adolfo Carrion, Borough President, Bronx, New York
Compton Bourne, President Caribbean Development
Bank
- Congressman Edolphus Towns
- Eric Eve

12:00 noon - 1:15 pm - PRESENTATION

Theme - *Hemispheric Security - Ports/Airports -*
Crime - Drugs - Disaster

Venue - Royal Islander B

Greetings - Earl Brown, Deputy Borough President, Bronx
- Judge Sylvia Ash

Moderator - Tony Best

Speakers - Congressman Bennie Thompson
Commissioner Theo Heyliger, St. Maarten
- Professor Ivelaw Griffith
- Hon. Lance Oglste, Brooklyn District Attorney

1:30 pm - 2:30 pm LUNCHEON

Venue - MAHO ROOM

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Theme: CARIBBEAN -- A SOLID INVESTMENT

Greetings - Hon. Darryl Towns

Speakers - Hon. William Thompson, NYC Comptroller
Dr. Compton Bourne, President CDB

Round Table #1 - IMMIGRATION/DEPORTEES

Venue - Royal Islander A

Moderator - Dr. Marco Mason

Speakers Congressman Bennie Thompson
Hon. Eddie Bernice Johnson, Member US Congress
Attorney Stanford Rubenstein
NYC Councilmember Dr. Kendall Stewart

Round Table#2: - HAITI -- BOLD INITIATIVES -- THE DIASPORA-
CBC AND CARICOM

Venue - Royal Islander B

Moderator - Hon. Yvonne Graham

Speakers - Hon. Charles Rangel
Hon. Gregory Meeks
Hon. Ralph Gonsalves
Dr. Lesly Kernisant

4:30 pm -- 6:00pm - TOWNHALL FORUM - TECHNOLOGY

Venue: Royal Islander A

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Greetings & Opening - Marie Long

Moderator - Colin Ward

Speakers - Congressman Edolphus Towns
Albert Brown
Commissioner of Technology

6:30 - 7:30pm - GALA RECEPTION

Speakers - Susan Taylor

7:30pm - 10:00pm - GALA DINNER & AWARDS PRESENTATION

Speaker - Dr. Harry Belafonte
Hon. Sarah Wescot-Williams, Leader of Government
Business

AWARDS PRESENTATION
ENTERTAINMENT

10:30pm - 1:00am - HOSPITALITY AREA

Venue: HOTEL CONFERENCE ROOM

Sunday, November 9, 2008

8:30 am - 10:00am - POWER BREAKFAST

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1479

Theme: *SPIRITUALITY - THE BASICS IN BUSINESS*
Greetings *Lowell Hawthorne*
Speakers *Ed Goldberg*
Selection: *Local Choir*

October 13, 2008

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Exhibit 24

09-6333 0278

10/21/2008 13:05 FAX (004190201) AMERICAN AIRLINES

BTTL JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300

SALES PERSON: GC ITINERARY DATE: 27 OCT 08
 NTLQHG PAGE: 01

TO: CARIS NEWS ATTN PAT 212-944-2088-FAX
 ATTN GEORGE DALLEY /CONGRESSMAN RANGEL/ 202-225-08

Room
1002

FOR: RANGEL/CHARLES

07 NOV 08 - FRIDAY
 AIR AMERICAN AIRLINES FLT:667 ECONOMY FOOD FOR PURCHASE
 LV NEW YORK JFK 745A BQP: BOEING 757
 DEPART: TERMINAL B 04HR 05MIN
 AR ST MAARTEN 1250P NON-STOP
 RANGEL/CHARLES BERT-17D AA-HAD6146

09 NOV 08 - SUNDAY
 AIR AMERICAN AIRLINES FLT:660 ECONOMY FOOD FOR PURCHASE
 LV ST MAARTEN 200P BQP: BOEING 757
 04HR 40MIN
 AR NEW YORK JFK 540P NON-STOP
 ARRIVE: TERMINAL B
 RANGEL/CHARLES SEAN-18F AA-HAD6146

*****ATTN AAD *****
 AIRFARE 752.15 ROUNDTrip COACH. THE 5 PERCENT DISCOUNT
 FROM THE GROUP MEETING CONTRACT HAS BEEN APPLIED
 THIS IS A NON-REFUNDABLE FARE.
 I GUARANTEE THE PRICE THE TICKET MUST BE ISSUED BY
 OCTOBER 27TH. IF THE TICKET IS PURCHASED ON A LATER DATE
 THE RESERVATION WILL BE RE-PRICED ON THE DAY THE TICKET IS
 ISSUED.
 AFTER THE TICKET IS ISSUED ** CHANGES** 150.00 FEE
 /EACH CHANGE/ AND A POSSIBLE FARE DIFFERENCE.
 THANKS,
 DONNA
 ELECTRONIC TICKET

Do not expect to receive this or direct receipt

STAPLE HERE AT2

INSERT

PASSENGER TICKET AND BAGGAGE CHECK
 ELECTRONIC TICKET
 American Airlines

PASSENGER RECEIPT 10/1
 27 OCT 08 08:02:12

NEW YORK, NY
 ST MAARTEN

NYC IN 50178.85/TXCHG/ENTHS RR NYCHS.3880CHN/ORTSALC 658.3520 PDET.00 XTR.35FLON
 .DEFLS.00127.88/KG.90/KM.80/FJK.5

USD 658.00
 MS 39.00
 AY 2.50
 NT 89.65
 TOTAL 752.15

00112184722104 001 2190158847 6

NOT VALID FOR TRAVEL

09-6333 0279

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Exhibit 25

09-6333 0280



DEPARTMENT OF HEALTH & HUMAN SERVICES

Office of the
General CounselOffice of the
Secretary
Washington, D.C.
20201

June 2, 2000

MEMORANDUM

TO: Deputy Ethics Counselors
National Institutes of Health

FROM: Karen Santoro
Ethics Counsel

SUBJECT: Outside Activities of NIH Employees with Foundations

Recently, a provision of the Internal Revenue Code has been brought to my attention that has serious ramifications for NIH employees who have previously received approval to engage in outside employment activities with private foundations. The statute imposes a tax on "each act of self-dealing between a disqualified person and a private foundation." 26 U.S.C. § 4941(a)(1). This statute, which was enacted in 1969, was intended to curb private foundations' attempts to influence Government officials. The Internal Revenue Service has issued a number of revenue rulings with respect to Government employees and prospective activities with private foundations.

Issue: The Internal Revenue Service imposes an individual penalty tax of up to 200% of the amount of compensation from a private foundation to certain Government officials conducting outside activities with these foundations.

Conclusion: Certain Government officials should refrain from accepting compensation (other than travel expenses within the United States) for outside activities with private foundations.

Discussion: Specifically, the statute imposes a tax on each act of self-dealing by a disqualified individual equal to five percent of the amount involved for each year in the taxable period. 26 U.S.C. § 4941(a)(1) [1]. In any case in which an initial tax is imposed on an act of self-dealing which is not corrected within the taxable period, a tax equal to 200 percent of the amount involved will be imposed on the disqualified person. 26 U.S.C. § 4941(b)(1)(2).

The Code defines "self-dealing" as any direct or indirect agreement by a private foundation to make any payment to certain enumerated government officials. 26 U.S.C. § 4941(d)(1)(F)(2). Government officials who are "disqualified persons" within the scope of this restriction are elective officials, Presidential appointees, Schedule C employees, and individuals compensated at or above GS-15-7 levels, irrespective of their appointment mechanism (Title 42, SBRs, Commissioned Corps), and personal or executive assistants or secretaries to any of the foregoing. 26 U.S.C. § 4946(c). Excluded from the definition of government employees is a "special governmental employee" as defined in 18 U.S.C. § 202(a)(4); Treas. Reg. 53.4946-1(g)(1).

Also excluded from the definition of self-dealing are agreements to employ an individual for any period after the termination of his government service if that individual is terminating his government service within a 90 day period. 26 U.S.C. § 4941(d)(1)(F). For purposes of the statute, a "private foundation" has the same meaning as in 26 U.S.C. § 509. A website for obtaining the status of a foundation can be found at http://www.irs.us/treas.gov/bua_info/eo/eosearch.html.

09-6333 0281

Government officials who are within the scope of this statute will be required to pay the tax only if the individual participates in the act of self-dealing knowing that it is an act of self-dealing. 26 U.S.C. § 4941 (a)(1). The regulations define "knowing" to include those situations when: the individual has actual knowledge of the facts that such a transaction would be self-dealing; he is aware that the act violates Federal tax law governing self-dealing; and he negligently fails to make reasonable attempts to ascertain whether the act is an act of self-dealing or he is in fact aware that it is in an act of self-dealing. Treas. Reg. § 53.4941 (a)(1)-(b)(3). The burden is thus on the individual to determine whether he or she is liable under the statute.

An individual's participation in an act of self-dealing will not be considered "knowing" if the individual, after full disclosure of the factual situation to legal counsel, relies on the advice of counsel expressed in a "reasoned written legal opinion" that an act is not an act of self-dealing within the meaning of the statute, even if the act is subsequently determined to be self-dealing. Treas. Reg. § 53.4941(a)(1)-(b)(6).

Finally, a tax for self-dealing will not be imposed under the following circumstances: certain nontaxable prizes and awards if the recipients are selected from the general public; nontaxable scholarships and fellowship grants; qualifying annuity plans; contributions or gifts not exceeding an aggregate of \$25; payments made under the government employee training program in 5 U.S.C. Ch. 41; and payment of travel expenses within the United States. 26 U.S.C. § 4941 (c)(2)(B); Rev. Rul. 131, 1974-2 C.B. 385.

As a result of this statute, NIH employees paid at or above the GS 15-7 level are effectively prohibited from receiving compensation from a private foundation for activities such as teaching, writing, advising, or consulting. The Internal Revenue Service may serve a deficiency notice upon an employee, who would then be forced to pay the tax or contest the assessment.

Effective immediately, all requests for approval of outside activities must be reviewed in accordance with the statutory provision at 26 U.S.C. § 4941. The compensation level of the individual requesting approval must be considered in conjunction with an analysis of the nature of the private entity offering the opportunity for compensation. Previously approved outside activities with foundations for NIH employees who are paid at or above the GS 15-7 level should be reviewed to determine the status of the foundation. Should the status of the foundation be private, any affected employee must be advised to either accept only travel expenses within the United States for his/her service or resign from such service. Employees who have questions whether payment to them by a private foundation constitutes acts of self-dealing should consult private counsel.

For your information, the Foundation for the National Institutes of Health (FNIH) and the Foundation for Advanced Education in the Sciences (FAES) are. For your information, the Foundation for the National Institutes of Health (FNIH) and the Foundation for Advanced Education in the Sciences (FAES) are not considered private foundations for purposes of this prohibition.

The NIH Office of the Legal Advisor has reviewed and concurs in this opinion.

cc: Robert Lanman, Stephen Benowitz

[1] When a tax is imposed on a self-dealer, a corresponding tax of 2.5 percent is imposed on any foundation manager unless the participation by the foundation manager is not willful and is due to reasonable care. 26 U.S.C. § 4941(a)(2). A maximum tax of \$10,000 may be imposed. 26 U.S.C. § 4941 (c) (2).

[2] The "taxable period" is defined as the period beginning with the date on which the act of self-dealing occurs, and ending on the earliest of: the date that a deficiency notice is mailed by the I.R.S.; the date on which the tax is assessed; or the date on which the correction of the act of self-dealing is completed. 26 U.S.C. § 4941 (e). Treas. Reg. § 53.4941 (e) -1 (a) (1). As with the initial tax, a corresponding additional tax of 50 percent will be imposed on any foundation manager who refuses to agree to the correction. 26 U.S.C. § 4941 (b) (2). As with the initial tax, the penalty on a foundation manager is limited to \$10,000. 26 U.S.C. § 4941 (c) (2).

[3] The statute also defines "self-dealing" to include: the sale or exchange, or leasing, of property; the lending of money or other extension of credit; the furnishing of goods, services, or facilities; payments to a disqualified person as defined in 26 U.S.C. § 4946 (a) (such as substantial contributors, foundation managers, holders of 20 percent of the voting power or beneficial interests; family members of disqualified individuals, or a corporation, partnership, or trust holding a 35 percent interest); and transfer to or use by a disqualified person of the foundation's income or assets. 26 U.S.C. § 4941 (d).

[4] 18 U.S.C. § 202 (a) defines "special Government employee" as temporary employee appointed for a term not to exceed 130 days, a part-time U.S. commissioner or magistrate, a part-time member of Congress, or a Reserve or National Guard officer.

09-6333 0282

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EXHIBIT 26

10-1-00

1487

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Delegate Donna Christensen
Review #: 09-3698; 09-2222; 09-2567; 09-4403; 09-6333
Date: May 4, 2009
Location: 1510 Longworth HOB, Washington, DC
Participants: Leo Wise
Beth Horton

Summary: Delegate Christensen attended the 13th Annual Caribbean Multinational Business Conference. We requested an interview with Ms. Christensen and she consented. Delegate Christensen was given an 18 U.S.C. § 1001 warning and she signed a written acknowledgment of the warning. Ms. Christensen made the following statements in response to our questioning:

1. She attended the 13th Annual Caribbean Multinational Business Conference in 2008.
2. The conference was held in St. Maarten.
3. She arrived in St. Maarten on the Thursday after the election and remained in St. Maarten through the following Saturday.
4. She has had a long relationship with the Carib News Foundation and she introduced the topic of healthcare at the 2008 conference. She is always invited to participate at the conference.
5. Carib News sponsored the conference.
6. She attended a town meeting when she arrived at the conference.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

7. The Rodney's owned the Carib News paper.
8. She assumed the Foundation arm is not unlike other companies not-for-profit arms.
9. She was invited to the conference via letter, but is not aware who signed the letter. Carl and Faye Rodney would have been responsible for inviting her.
10. The government of St. Maarten participates with the Foundation in holding the conference and the government hosts a reception and welcomes participants at the opening. The head of the government speaks at discussions with other heads of government and arranges some type of cultural performance, such as a children's choir.
11. As far as she knew, Carib News sponsored the conference.
12. She understood that the sponsor would be responsible for setting up the hotel, organizing the agenda for the conference, and inviting individuals to participate.
13. The Foundation paid the expenses for the conference.
14. When asked if the Foundation paid all of the expenses, Ms. Christensen asked if the answer was in the record; she was told by Mr. Wise that the board has the post disclosure report. She stated that the Foundation paid for travel and hotel expenses and general activities at the conference that conference participants attended.
15. She saw her airline ticket.
16. She did not recall seeing a hotel bill but she remembered checking into the hotel and stated that she probably received a bill when she checked out because she was responsible for any incidental expenses.
17. She did not know if the government of St. Maarten paid for her hotel room.
18. She paid for one breakfast herself and recalled that the hotel was an all-inclusive hotel and that all other meals were part of the conference. She further stated that the government of St. Maarten paid for a reception, which included food, and that she attended the reception.
19. She attended a dinner on Thursday night which she assumed the cost of was paid by the Foundation.
20. Shelly Thomas handled all of her travel arrangements.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended.

21. The Foundation booked her travel with Shelly's help. The Foundation would have arranged the airline ticket because the organization had its own travel agent.
22. She stayed at the hotel Thursday and Friday night and that she stayed in a standard room. She recalls that there was a desk in the room and a balcony but she doesn't recall there being more than one room.
23. Her husband did not attend the conference.
24. All travel is arranged with Shelly Thomas. She tells Shelly when she can travel.
25. The Foundation handled travel approval directly through the ethics committee and she and Shelly handled post travel reports.
26. Shelly filled out the post-travel form and that she signed the form. It is her signature on the form.
27. She guessed that Shelly knew the hotel cost but that she did not know where the information for the reported dollar amounts came from. She stated that when she registers at conferences, most places provide the cost of the room.
28. She stated that the office attended the CBC training.
29. The handwriting on the post-travel report looked like her handwriting but she was not sure, she believed that Shelly filled out the form.
30. She believed that she received a letter that included the post-travel information, including the dates of travel. She believed that the Standards Committee would have approved the trip prior to her receiving the invitation.
31. She may have seen the private sponsor certification form, she believed that she would have seen it because she could not have attended without clearance from the Standards Committee.
32. She did not believe that she had any direct contact with the Standards Committee as Shelly was the only person who dealt with the conference.
33. When asked if there were any issues with the 2007 conference in Antigua, Ms. Christensen didn't believe there had been but she was not certain.
34. She stated that she asked other Members if they would be attending the conference.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

35. She does not recall receiving approval from the Standards Committee for the 2007 conference.
36. She has not met with Standards regarding any travel matter.
37. She had asked other Members at a CBC meeting if they would be attending the conference and she reminded those that would be attending to get their paperwork to the Standards Committee on time for trip approval.
38. She did not speak with any Members of the Standards Committee regarding the conference except for Representative Stephanie Tubbs Jones. She only spoke with Representative Tubbs Jones because she herself was attending the conference.
39. She stated that Representative Tubbs Jones counsel, Dawn Kelly Mobley, may have attended a meeting where she was present and that she may have brought information to explain what the Members could and couldn't do under the new rules.
40. She did not recall any one-on-one conversations about the '08 or '07 conference.
41. She arrived at the conference on Thursday and recalls seeing the banner that listed the conference sponsors. It appeared in all rooms.
42. When asked what the role of the corporate sponsors was at the conference, Ms. Christensen stated that they contributed to the Foundation.
43. She stated that she did not know what made the Foundation the sponsor, she was not aware of that type of detail. Most of the companies have some type of sponsorship at the conference. She did not know if they gave directly to the Foundation or to the Conference. The sponsors generally greet participants and participate in the introduction and at the reception.
44. The definition of sponsor had been explained to her, not in reference to the '08 conference but in general it was explained to her. She recalled discussing what she generally could and couldn't attend.
45. She attended the dinner on Thursday but does not recall if Representative Payne thanked the sponsors.
46. Representative Payne generally talks about issues that he is involved in when speaking at the conference.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

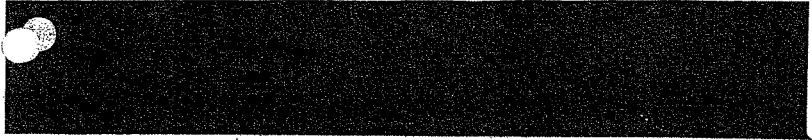
47. She spoke at the conference and at the Town Hall meeting. She also participated in another panel but the Town Hall was her main involvement. And she attended the opening session.
48. She recalled Representative Kilpatrick speaking at the conference but she did not recall any specific remarks.
49. She was aware that the Foundation was the sponsor of the conference. It did not occur to her that the information on the pre-travel certification was incomplete.
50. She was not aware of how the expenses for the trip were paid, once the Standards Committee approved the trip then she knew she could go and didn't think any more of the trip sponsor.
51. She did not attend the closed door meeting.
52. She generally spoke about deportation and how it affects countries. Trade is also a large issue, and Haiti.
53. She did not receive any reports on any sessions at the conference, not in any formal way. She may have received something in '07 but she could not recall for sure.
54. She participated at the ribbon cutting at the '08 conference. Heads of government and the Rodneys also attended.
55. She recognized the photo that was taken at the ribbon cutting, she recognized her skirt in the picture. She knew the Macy's representative and Representative Kilpatrick, who were also in the photo, and thinks one of the individuals may have been the AT&T representative.
56. She did not meet with any of the co-sponsors, there was no specific meeting. The conference meetings have never been about company specific issues.
57. She attended other sessions at the conference, Representative Kilpatrick also attended one of the sessions that she attended.
58. She attended the opening ceremony, the health and economic sessions, the reception, the ribbon cutting, and the women's breakfast meeting. She can't recall if she attended the education session and she did not go to the hospitality area.
59. The Rodneys attended a luncheon/dinner on the Hill after the conference.

CONFIDENTIAL

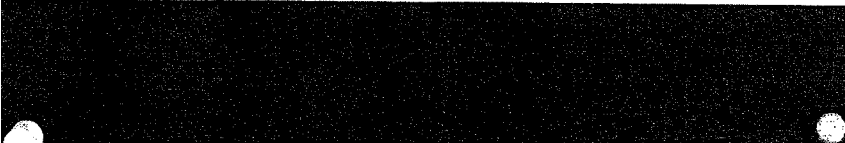
Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

60. She did not talk about the conference itself with the Rodneys, other than that she knew of the investigation.
61. At a Caribbean Caucus meeting she spoke with Representative Payne and Kilpatrick about the fact that they were all going through the investigation.
62. She talked with Representative Payne briefly, just in passing, noting that they did not like the new ethics rules.
63. Representative Kilpatrick shared a letter with her that she had been sent since they were both being investigated.
64. She did not have any in-depth conversations with any Members, the Members merely spoke about the fact that they were not happy about the investigation.
65. There were no discussions at any CBC meetings because they felt that they were not supposed to talk about the investigation.
66. She does not attend any events without receiving approval from the Standards Committee. After receiving approval, she does not ask any questions about who is paying the expenses. She assumes all questions have been asked and answered by the Standards Committee. So, nothing she saw at the conference would have raised any flags.
67. She believed that the Foundation is involved in various activities in NY but does not know of any specific activities.

Beth Horton
Investigative Counsel



Attorney Sanford Rubenstein
 12:00pm - 1:00pm
 There
 EDUCATION, BUSINESS, RESEARCH, AND
 OVERCOME CHALLENGES
 Sponsors
 IBM
 Remarks
 Marilyn Johnson
 Speakers
 Dr. Edison O. Jackson, President Medgar Evers College
 Hon. Retard Joseph
 Hon. C. K. Butterfield - Member of Congress
 1:30 - 2:30 pm LUNCH



CARIBBEAN TRADE COMPETITIVENESS AND POSITIONING

Theme
 Venue - Sanatals Grande
 Sponsors - Goodworks International
 Remarks - Carlton Masters
 Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade
 Hon. Charles Rangiel - Member of Congress

3:00pm - 4:00pm - ROUND TABLES



09-6333 0218

Hon. Yvette Clarke - Member of Congress
 Hon. Kendrick Stewart - City Council Member
 Hon. Yvonne Onibaru - Member of Congress
 Hon. Maxine Waters - Member of Congress
 Hon. Kendrick Meek - Member of Congress
 Hon. Yvonne Onibaru - Member of Congress
 Preferred Health Partners

Moderator : Lance Ogiste
 Hon. Benita Thompson

HALL - IN TRANSFORMATION
 Hon. Maxine Waters - Member of Congress
 Hon. Kendrick Meek - Member of Congress
 Hon. Yvonne Onibaru - Member of Congress
 Preferred Health Partners

SPONSOR : MACYS
 Ed Goldberg
 Cheryl Monroe
 State Senator Kevin Parker
 City Council Member
 Hon. Yvonne Onibaru - Member of Congress

DIVERSITY IN GROWTH & DEVELOPMENT
 MACYS - Outside Vendors
 Ed Goldberg
 Cheryl Monroe
 State Senator Kevin Parker
 City Council Member
 Hon. Yvonne Onibaru - Member of Congress





D. Basil Wilson
 Value
 Sponsor Preferred Health Partners
 Speaker Hon. Barbara Lee - Member of Congress
 Hon. Elliot Engel - Member of Congress
 CARICOM Rep. - TBD
 Sponsor GlaxoSmithKline International

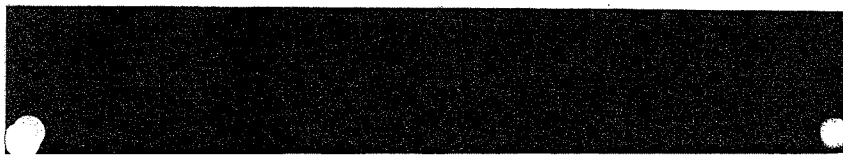
Speaker Hon. Gregory Meeks - Member of Congress
 CARICOM REP. - TBD

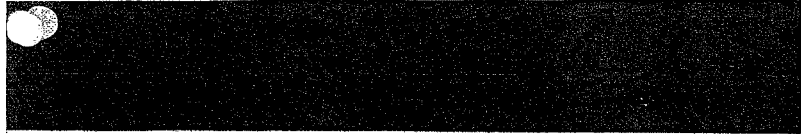
4:00pm - 5:30pm

Venue Off Site
 Theme HEALTH - COMMON ISSUES - PROVEN SOLUTIONS
 Sponsor Pfizer
 Remarks Karen Boykin-Towns
 Moderator Dr. Marcia Bayne Smith

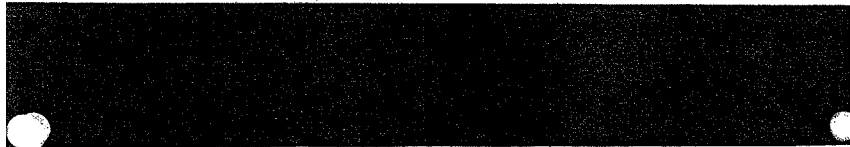


Remade: Brenda Roth - Macy's
 MC Marilyn Johnson
 Sponsor: Michele Plumas (NY) (NY)
 Hon. Eddie Boonice Johnson - Member of Congress
 Hon. Diane Alford - Member of Parliament, UK
 Hon. Patricia Scotland - Member of Parliament, UK
 Harriet Michel - President NMSB
 Governor General - Jamaica
 1035am - 11:45pm PRESENTATION
 Theme: HEALTH - REAL INVESTMENT OPPORTUNITIES IN CLADDING CARE AND DELIVERY
 Sponsors: Pfizer
 Greeting: Karen Boykin-Townes - Pfizer
 Chair/Moderator: Hon. Yvonne Graham
 Keynote: Dr. Donzil Douglas - Prime Minister St. Kitts/Nevis
 Panelists: Dr. Dexter McKenzie
 Hon. Donna Christensen - Member of Congress
 Dr. Lesly Kornisant
 Dr. Marcia Baynes-Smith





Caribbean Press - LTD
 TRAVEL TO OUR EXPANSION PROGRAMS
 CAPA-VET
 AMERICAN AIRLINES/SANDALS
 Hon. Keith Belton - Member of Congress
 Hon. Alan Chasteau - Minister of Tourism, St. Lucia
 Hon. Harold Lovell, Minister of Tourism, Antigua & Barbuda



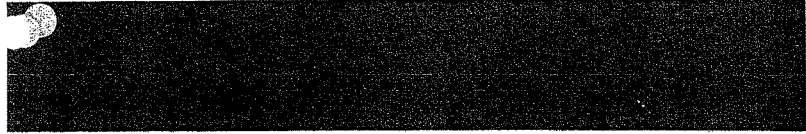
09-6333 0222

10

10:15 am - 11:45 am
 PRESENTATION
 TECHNOLOGY & COMMUNICATION
 SHARING

Venue: Sandals Grande
 Sponsors: AT&T
 Remarks: Marie Long
 Keynote Speakers: Hon. Ed Toxins - Member of Congress
 Hon. Eddie Berrice Johnson - Member of Congress
 Dr. Edmund Mansour - Member of State

12:00 noon - 1:15 pm



THE NATIONAL ASSOCIATION OF BUSINESS MANAGERS AND TRAINERS

Topic: Business Management

Chair: Eric Eve

Sponsor: ISBC

Remarks: None

Speakers: Hon. Conrad Burns

Hon. Carolyn Cheeks Kilpatrick - Member of Congress

Hon. Kendrick Meek - Member of Congress

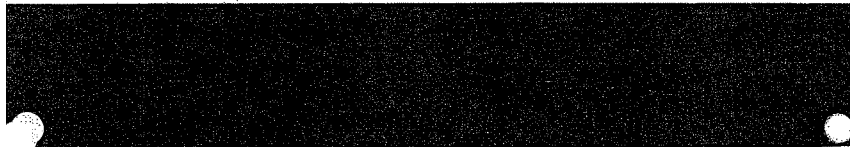
Hon. William Thompson, New York City Comptroller

1:30pm - 2:30pm LUNCH

2:30pm - 6:30pm FINANCIAL COMMUNITY ORGANIZATIONS

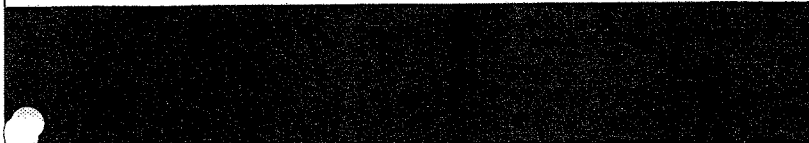
Optional: ROUND TABLE

3:00pm - 4:00pm





Round Table #1
 Theme: **AMERICAN AGRICULTURE AND THE FUTURE**
 Moderator: **Dr. H. R. Gentry**
 Speakers: **Dr. H. R. Gentry**, **Dr. H. R. Gentry**, **Dr. H. R. Gentry**
 Round Table #2
 Theme: **HEMISPHERIC DISASTER FUND**
 Speakers: **Hon. Danny Davis - Member of Congress**, **Hon. Yvette Clarke - Member of Congress**, **Dr. Roy Hastick**
 Venue: **...**
 Sponsor: **...**
 Round Table #3
 Theme: **CLOSING THE GENERATION GAP**
 Speakers: **Hon. Kendrick Meek - Member of Congress**, **Karlisa Rodney**, **Lia Boham**





Sabrina Hoang
 Winthrop, Washington

Theme: **PASSPORT - ADMINISTRATIVE CONSTRAINTS ON TOURISM**

Sponsor: American Airlines

Speakers:
 Hon. Gregory Meeks - Member of Congress
 Hon. Harold Lowell - Minister of Tourism
 Mr. Lloyd Wilkins

Sponsor: **CARIP NEWS**

4:00pm - 5:30pm

COMMUNITY OUTREACH -
EDUCATION - ACCESS AND COLLABORATION

Venue: **OH Site**

Sponsor: **CITI**

Moderator: **Michael Fomigon**

Speakers:
 Dr. Edison Jackson - President Medgar Evers College
 Hon. Danny Davis - Member of Congress
 Hon. David M. Dinkins - Former Mayor New York City
 Hon. Eddie Bernice Johnson - Member of Congress
 Hon. Bertrand Joseph

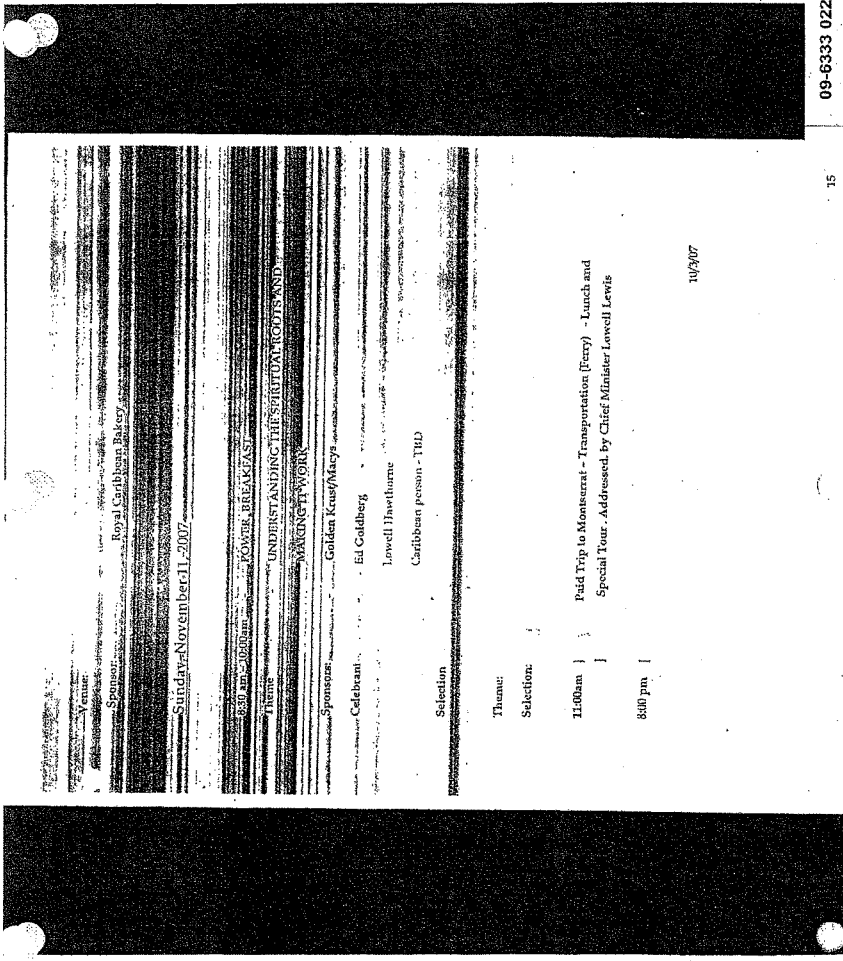




09-6333 0226

Facilitator: MEC Intern
 ED
 GALA RECEPTIONS
 Sponsors: AYER-HISCOX
 Speaker: Hon. Willie Miller, Minister of Foreign Affairs,
 Minister of Government - Antigua
 Time: 7:30 - 10:00 pm
 Venue: GALA DINNER & AWARDS
 Sponsors: CITI/MACY'S/PRIZER
 Speakers: Hon. Charles Rangel - Member of Congress
 Hon. James Clyburn - Member of Congress
 Keynote Speaker: Prime Minister Hon. Baldwin Spencer
 Presentation of the Marcus Garvey "Bridge Builder" Awards
 Hospitality Area
 10:30pm - 1:00am





Venue:
 Sponsor: Royal Caribbean Bakery
 Sunday November 11, 2007
 8:30 am - 10:00 am
 Theme: UNDERSTANDING THE SPIRITUAL TOURS AND
 MARCONIWORK
 Sponsors: Golden Kneys/Macy
 Celebrant: Ed Goldberg
 Lowell Haselburne
 Caribbean person - TLD
 Selection

Theme:
 Selection:
 11:00am } Paid Trip to Montserrat - Transportation (Ferry) - Lunch and
 } Special Tour. Addressed by Chief Minister Lowell Lewis
 8:00 pm |
 10/3/07



OFFICE OF PUBLIC AFFAIRS - MANDATORY FOR MEMBERS OF CONGRESS
 Friday, November 9, 2007

5:30p - 6:30p - Private Welcome Reception with Governor General

Saturday, November 10, 2007

U.S. CA LIBERATION

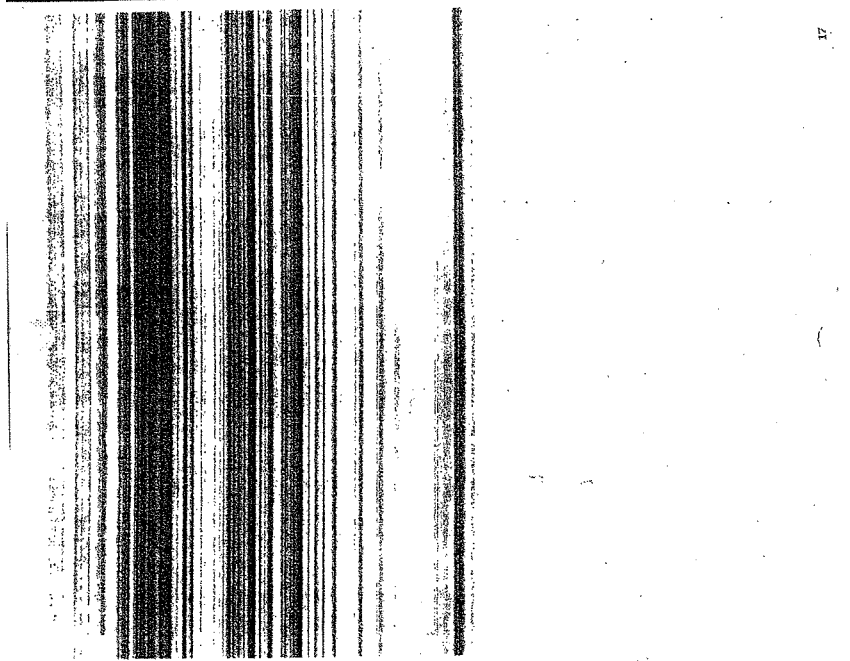
11:00 a.m. - 1:00 p.m. - Closed Door Meeting
 (Mandatory for all Members)
 Prime Ministers & Ministers
 with Members of Congress
 Secretary of State

5:30pm - 6:30pm - Private Meeting with Local Elected Officials and
 the Business Community.





09-6333 0229



17



1507

Exhibit 20

09-6333 0230

Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Louis:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CFI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation], which is used to "underwrite" the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

10/15/2007

09-6333 0169

CONFIDENTIAL

EN-000055

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

10/15/2007

CONFIDENTIAL

09-6333 0170



THE NEW YORK CARIBNEWS
 THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
 7 WEST 34TH STREET, 41 FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1991, FAX: (212) 944-2089
 E-MAIL ADDRESS: NY@CARIB@AOL.COM,
 CARIBNEWS@AOL.NET

FACSIMILE TRANSMITTAL SHEET

TO:	Susan Olsen	FROM:	R. Louis
COMPANY:	House Case on Standards	DATE:	10/12/07
FAX NUMBER:	202-224-7392	TOTAL NO. OF PAGES INCLUDING COVER:	
RE:	Travel Certification Form		
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION			

Ms. Olsen,
 In response to your e-mail - the Conference is paid for by CARIB NEWS FOUNDATION.

The companies are participants. They are not sponsors or co-sponsors for any part of the trip and play no role in the planning or content.

The program has been amended to reflect the companies as Participants.

I regret the misunderstanding and thank you for your patience as I go through and grow through this process, which is new to me.

I look forward to hearing from you.

Regards

09-6333 0171

CONFIDENTIAL

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Friday, October 12, 2007 1:55 PM
To: ploufs
Subject: RE: Confidential

The fax did not come through. I will be stepping out of the office for the remainder of the day, but will be in early Monday. Please follow up again with Susan. I have explained that the organizations you labeled as co-sponsors are not. They support your foundation annually, but have not and will not pay for the Members trip. This is simply a misunderstanding of the terms "sponsor", "contributor", and "participant" as used in the Ethics rules which is why I sent you the Rules book for preparation.

Once you are able to clarify these issues and make sure your itinerary passes too, you should be fine. An Ethics Committee Staff Attorney must review and approve as well. Your Staff Attorney is Susan.

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 11:55 AM
To: ploufs
Subject: RE: Confidential

Have you spoken with your Director and explained the problem so you can call Olson asap?

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 9:59 AM
To: ploufs
Subject: FW: Confidential

Please read both emails below. Give Susan a call as soon as you work through the info, let her know you are following up. Please make yourself available to work through this matter today. Thank you Patricia. (Those other organizations can participate in the program but should not help pay for the trip).

From: Olson, Susan
Sent: Friday, October 12, 2007 9:29 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-Trip Approval Issues

Bill and Dawn,
Please note the following response from Ms. Louis at Carib News: Unfortunately, it appears that if each sponsor contributes funding directly to the trip (an earmark of funds) and not simply to the general funds of Carib News, then the assumption is that all of these entities are co-sponsors of the trip for purposes of the House travel rules. If that is the case and since it is likely that at least one of these co-sponsors hires or retains a federally registered lobbyist, the trip could only be a one-day event trip. Thus the Committee can't approve the trip as it currently exists.

But, if you have a different interpretation of the below information from Ms. Louis, please do let
10/12/2007

09-6333 0172

CONFIDENTIAL

CONFIDENTIAL

me know. Thanks! Susan

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

From: plouis [mailto:plouis@nycaribnews.com]
 Sent: Thursday, October 11, 2007 10:33 AM
 To: Olson, Susan
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,

In response to your e-mail:

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.

As a courtesy, each contributor is given an opportunity to be attached to a part of the program

that is being presented. They do not determine the content or the other participants in the Conference.

No federally registered lobbyist or foreign agent will be accompanying the Members or participating in the program.

Regards

 Patricia Lovik
 Executive Assistant
 CARIB NEWS
 7 West 38th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
 From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
 Sent: Wednesday, October 10, 2007 4:01 PM
 To: plouis@nycaribnews.com
 Cc: Dawn.KellyMoblej@mail.house.gov; O'Reilly, Bill
 Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Lovik:

With regard to the pre-trip approval requests for the Carib News Foundation's
 10/12/2007

09-6333 0173

CONFIDENTIAL

CN-000059

(Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are sponsoring sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o GII
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the Foundation," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

10/12/2007

09-6333 0174

CONFIDENTIAL

55 101 30 CN-000060

HT-2, The Capitol Building
Washington, DC 20515
Phone: 202-225-7103
Fax: 202-225-7392

10/12/2007

CONFIDENTIAL

09-6333 0175

GN-000061

1515



THE NEW YORK CARIB NEWS

THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
7 WEST 20TH STREET, 14 FLOOR, NEW YORK, NY 10011

TEL: (212) 944-1994; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NE.T

FACSIMILE TRANSMITTAL SHEET

TO: Dawn Kelly Mobley	FROM: R. Louis <i>[Signature]</i>
COMPANY: Ethics Counsel for Cong. Stephanie Tubbs Jones	DATE: 10/11/07
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: Travel Form	

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Further to our conversations of this morning, following is the Travel Certification Form ..

The Conference is paid for by the Carib News Foundation. The companies are participants, are not sponsors or co-sponsors for any part of the trip and play no role in the planning or content.

The program has been amended to reflect the companies as Participants.

I regret the mis-understanding and thank you for holding my hand as I go through and grow through this process which is new to me.

Kindly review and advise before I send to Ms. Olsen.

I look forward to hearing from you.

09-6333 0176

CONFIDENTIAL

CGN-000082

Registration

From: Thomas, Shelley [Shelley.Thomas@mail.house.gov]
Sent: Thursday, October 11, 2007 7:47 AM
To: plouis
Subject: RE: Carib News - Pre-trip Approval Issues

This is new territory since we never had to go through this before. I don't have an answer and I already turned in Donna's forms.

From: plouis [mailto:plouis@nycaribnews.com]
Sent: Wednesday, October 10, 2007 5:58 PM
To: Kelly Mobley, Dawn; Thomas, Shelley; Bailey, George
Subject: FW: Carib News - Pre-trip Approval Issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct.

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Patricia Conita
Executive Assistant
CARIB NEWS
7 West 38th Street, 8th Floor
New York, NY 10018

Original Message
From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
Sent: Wednesday, October 10, 2007 4:01 PM
To: plouis@nycaribnews.com
Cc: Dawn Kelly Mobley@mail.house.gov; O'Reilly, Bill
Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Louisa:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring"

09-6333 0177

CONFIDENTIAL

PN-000023

sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o Citi
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 8 to 12, 2006 trip:

The Foundation, which is a 501(b)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip chart scheduled for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

09-6333 0178

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CA100064

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, October 10, 2007 8:49 PM
To: plouls@nycaribnews.com
Subject: RE: Carib News - Pre-trip Approval Issues

Her question centers on whether any of these 'co-sponsors' retains a lobbyist or foreign. This is the issue you and I focused on previously. It is a central problem if any to end up paying for the events. If so, you are in the same position as last year with one-day events. Call Susan back tomorrow as early as possible. Hopefully, these co-sponsors don't retain lobbyists, but you should have their representations on this matter. At a minimum, Macy's does, right? Alternatively, if they are only sponsoring event tables or the like, a lobbyist will not be problematic.

Sent using BlackBerry

-----Original Message-----

From: plouls@nycaribnews.com
To: Kelly Mobley, Dawn; Thomas, Shelley; Dalloy, George
Sent: Wed Oct 10 17:56:25 2007
Subject: FW: Carib News - Pre-trip Approval Issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct:

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Patricia Louie
Executive Assistant
CARIB NEWS
7 West 26th Street, 8th Floor
New York, NY 10018

-----Original Message-----
From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
Sent: Wednesday, October 10, 2007 4:01 PM

re: Carib News - Pre-Trip Approval Issues

Page 2 of 3

To: rhoulis@caribnews.com
 Cc: Dawn Kelly Mohney@mail.house.gov; O'Reilly, Bill
 Subject: Carib News - Pre-Trip Approval Issues

Dear Mrs. Lolis:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o GHI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Kiust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the Foundation" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

10/10/2007

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Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's August trip.

Susan L. Olson
Counsel
House Committee on Standards of Official Conduct
RT-2, The Capitol Building
Washington, DC 20515
Phone: 202-225-7103
Fax: 202-225-7392

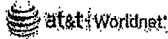
10/10/2007

09-6333 0181

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From: Shelley Thomas

Page 1 of 1



[Print] [Close]

From: "Thomas, Shelley" <Shelley.Thomas@mail.house.gov>
 "James, Corissa" <Corissa.James@mail.house.gov>, "Long, Karyn" <Karyn.Long@mail.house.gov>, "Bishop, Mary" <Mary.Bishop@mail.house.gov>, "Chaplin, Janelle" <Janelle.Chaplin@mail.house.gov>, "Pickens, Crystal" <Crystal.Pickens@mail.house.gov>, "Hamlett, BJanell" <Barbara.Hamlett@mail.house.gov>, "Hendricks, Jasper" <Jasper.Hendricks@mail.house.gov>, "Dalley, Katie" <Katie.Dalley@mail.house.gov>, "CAB49Scheduling" <CAB49Scheduling@mail.house.gov>, "Murray, Darlene" <Darlene.Murray@mail.house.gov>, "Fisher, Pat" <Pat.Fisher@mail.house.gov>, "Featherson, Wendy" <Wendy.Featherson@mail.house.gov>, "Pitman, Megan" <MPitman@mail.house.gov>, "To: King, Lilla" <Lilla.King@mail.house.gov>, "Resendez, Marveta" <Marveta.Resendez@mail.house.gov>, "Kollins, Tatyana" <Tatyana.Kollins@mail.house.gov>, "Taylor, Gert" <Gert.Taylor@mail.house.gov>, "Anderson, Kwaminta (Waters)" <Kwaminta.Anderson@mail.house.gov>, "Howie, Elisa" <Elisa.Howie@mail.house.gov>, "Bojda, Angie" <Angie.Bojda@mail.house.gov>, "Roddey, Raven" <Raven.Roddey@mail.house.gov>, "Waskow, Jean" <Jean.Waskow@mail.house.gov>, "Holmes, Alice M." <Alice.M.Holmes@mail.house.gov>, "Epps, Nkeha" <Nkeha.Epps@mail.house.gov>, "Johnson, Aya" <Aya.Johnson@mail.house.gov>
 Cc: <carp_dask@ok.net>
 Subject: Emailing 110th rule change page
 Date: Thu, 4 Oct 2007 16:36:27 +0000

To the CBC Members who indicated a "yes" or "maybe" in attending the Carib News Foundation Business Conference in Antigua, British West Indies on November 8-11, 2007, I have attached the web site for the travel form that must be submitted by members no later than WEDNESDAY, OCTOBER 9 to the Ethics Committee. I will be bringing copies of the agenda, as well as copies of the ethics form that Carib News had to complete and submit to Ethics, to all of the offices indicated above, today and tomorrow by noon. If anyone has any questions, please call me at 3-1790. PLEASE MAKE SURE THAT MEMBERS HAVE THEIR OFFICIAL PASSPORTS AS WE ARE GOING TO A FOREIGN COUNTRY.

The message is ready to be sent with the following file or link attachments:

Shortcut to: http://www.house.gov/ethics/110th_rule_change_page.htm

Note: To protect against computer viruses, e-mail programs may prevent sending or receiving certain types of file attachments. Check your e-mail security settings to determine how attachments are handled.
 <110th_rule_change_page.url>

Attachment 1: 110th_rule_change_page.url (application/octet-stream)

<http://webmail.aft.net/wmc/n/wm/47056C17000D0D2F00002DBD2160376223059C0A0B...> 10/4/2007

New Travel Regulations, Forms, and Instructions (effective March 1, 2007):

The House Rules for the 110th Congress changed the travel rules for Members, staff, and outside sponsors. Regulations, forms, and instructions governing privately-sponsored travel taking place after March 1, 2007 are available below. An Advisory Memorandum providing additional guidance regarding travel was released on March 15, 2007. New versions of the forms relating to privately-sponsored travel were released April 6, 2007.

- Travel Guidelines and Regulations
- Instructions and Certification Form to be filled out by Private Sponsors
- Traveler Form to be filled out by Members, Officers, and Employees
- Post-Travel Disclosure Form for Members and Officers
- Post-Travel Disclosure Form for Employees

Please note: Both the Private Sponsor Certification and the Member/Officer/Employee Traveler Forms must be filed with the Committee at the same time in order to process your request.

New Gift Rule Changes:

The House Rules for the 110th Congress amended House Rule 25, Clause 5 (the "gift rule") with respect to the acceptance of gifts and travel. Some of the guidance on this website regarding gifts and travel may therefore no longer apply. On February 6, 2007, the Committee issued an advisory memorandum on the changes to the gift rule. On May 24, 2007, the House amended the gift rule exception concerning the acceptance of offers of free attendance at charity events. In view of the amendment, on June 14, 2007, the Committee issued a revised memorandum that incorporates the change to the rule. Please contact Committee staff at 202-225-7183 with any questions.

Financial Interests under New Earmark Rules

The House Rules for the 110th Congress changed the Code of Official Conduct regarding earmarks. This provision requires that a Member, Delegate, or Resident Commissioner who requests an earmark or a limited tax or tariff benefit to provide certain information regarding the request and its purpose to the committee of jurisdiction. An Advisory Memorandum providing guidance in this matter was released on March 27, 2007.

Registration.

From: Smith, Hilary [Hilary.Smith@mail.house.gov]
Sent: Thursday, October 04, 2007 4:32 PM
To: plouls@nycaribbeannews.com
Subject: Travel Approval Forms for Antigua Trip

Patricia,

Here is what I need fixed--

12-- Describe the role of each sponsor in organizing and conducting this trip-- The answer should say something about how Carib News organized, planned, pulled together the invitee list and chose the destination.

13-- Describe the organizational interest -- it is the Carib News Annual conference.

The revised forms, along with the list of invitees, should be sent to each invitee to then send to the committee so that we do not receive the wrong forms from the Congressional offices. Let me know if you have any questions.

Hilary Smith

Committee on Standards of Official Conduct

HT-2, The Capitol

Washington, DC 20515

202-225-7103

10/4/2007

09-6333 0184

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CN-000070

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, October 03, 2007 2:02 PM
To: plous
Subject: RE: Please read query below

Ignore the last email b/c we are working to meet the 30-day submission. Just stand by so we can get back in touch today; have it all resolved tomorrow. Monday is a holiday.

From: Kelly Mobley, Dawn
Sent: Wednesday, October 03, 2007 12:39 PM
To: plous
Subject: RE: Please read query below

Thank you. We have assigned your review to Staff Attorney Susan Olson. Please reach her by phone at 202/226-8808 next week if she has not reached out to you. She will need a few days of review. Thank you very much.

From: plous [mailto:plous@nycaribnews.com]
Sent: Monday, October 01, 2007 6:40 PM
To: Kelly Mobley, Dawn
Subject: RE: Please read query below

The Conference dates are November 8-11, 2007 and opens on Thursday November 8 and ends the morning of November 11, 2007- (3 nights)

CARIB NEWS FOUNDATION is the Sponsor of the event.

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and **NOT** the SPONSOR, consequently I assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Patricia Louie
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
Sent: Monday, October 01, 2007 5:10 PM

10/3/2007

09-6333 0185

Registration

From: piculs (piculs@nycaribnews.com)
 Sent: Monday, October 01, 2007 6:40 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Please read query below

The Conference dates are November 8-11, 2007, and opens on Thursday November 8 and ends the morning of November 11, 2007. (3 nights)

~~CARIB NEWS FOUNDATION is the Sponsor of the event.~~

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and **NOT the SPONSOR**, consequently I assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 38th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
 From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
 Sent: Monday, October 01, 2007 5:10 PM
 To: piculs
 Subject: RE: Please read query below

From: Kelly Mobley, Dawn
 Sent: Monday, October 01, 2007 5:08 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Query

We are trying to work through a problem in approving the co-sponsors piece. Are they each paying for a one-day, widely attended event or more days? Please read the Gift Rules I forwarded you on this matter.

From: Kelly Mobley, Dawn
 Sent: Tuesday, September 25, 2007 12:42 PM
 To: piculs

1526

Please forward the paperwork as well to the Chairwoman's personal office so her scheduler can complete the work from that office. Please get it to Lalla King today. Her fax number is 202/225-1339. She has to complete the Member's forms and meet the same deadline.

From: plouls [mailto:plouls@nycaribnews.com]
Sent: Monday, October 01, 2007 6:40 PM
To: Kelly Mobley, Dawn
Subject: RE: Please read query below

The Conference dates are November 8-11, 2007, and opens on Thursday November 8 and ends the morning of November 11, 2007 - (3 nights).

CARIB NEWS FOUNDATION is the sponsor of the event.

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and NOT the SPONSOR, consequently I assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Patticia Lewis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

-----Original Message-----
From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
Sent: Monday, October 01, 2007 5:10 PM
To: plouls
Subject: RE: Please read query below

09-6333 0187

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mailhouse.gov]
 Sent: Monday, October 01, 2007 6:10 PM
 To: plouls
 Subject: RE: Please read query below

From: Kelly Mobley, Dawn
 Sent: Monday, October 01, 2007 5:08 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Query

We are trying to work through a problem in approving the co-sponsors place. Are they each paying for a one-day, widely attended event or more days? Please read the Gift Rules I forwarded you on this matter.

From: Kelly Mobley, Dawn
 Sent: Tuesday, September 25, 2007 12:42 PM
 To: plouls
 Subject: Query

I am working through your forms before leaving them with our staff attorney and have a question. Query: Why did you not list GARIB-NEWS as the sponsor as you'd indicated instead of Macy's, etc. The official sponsor for travel, lodging, and planning is still Garib News, correct?

From: Kelly Mobley, Dawn
 Sent: Tuesday, September 18, 2007 12:57 PM
 To: plouls
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

When you send the draft agenda, please also forward any information on your sponsor's status. If the cannot be a lobbyist. Do you need a copy of the new travel rules?

From: Kelly Mobley, Dawn
 Sent: Monday, September 17, 2007 5:53 PM
 To: plouls
 Subject: RE: Draft Program - 2007 Business Conference

Nothing was attached to this email. Therefore, we have not received the proposed agenda you mention below and have nothing to preview.

From: plouls [mailto:plouls@nycaribnews.com]
 Sent: Monday, September 17, 2007 3:47 PM
 To: Kelly Mobley, Dawn
 Subject: Draft Program - 2007 Business Conference

Ms. Mobley:
 We spoke earlier re permission for Members to attend the Conference and you asked for

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The program. I have not gotten back to you because we have been working on getting the program together. Attached is the draft for your guidance.

Regards and Thanks

Patricia L. Lyle
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018.



THE NEW YORK CARIBNEWS
THE WEEKLY VOICE OF THE CARIBBEAN AMERICAN COMMUNITY
7 WEST 57TH STREET, 4F FLOOR, NEW YORK, NY 10019

TEL: (212) 944-1994; FAX: (212) 944-2089
E-MAIL ADDRESSES: NYCARIB@AOL.COM
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: Dawn Kelly Mobley	FROM: Patricia Louis
COMPANY:	DATE: 9/21/07
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: SPONSOR FORMS	
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION	

Following is a completed form for your advisement /review/comments:

Regards

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

Carb News Foundation

1. Sponsor(s) who will be paying for the trip: _____
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary); each representative's spouse is invited or one family member: _____
6. Dates of travel: November 8-11, 2007
7. Cities of departure -- destination -- return: Varies depending on member
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. This trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was de minimis under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One night's lodging and meals are being offered: or
 - b. Two nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: Pfizer Education/Health A&T and IBM - provide technology to the region, MACPA - seeks new vendor sources, Dillbank - opportunities in the region.

13. Describe each sponsor's organizational interest in the purpose of the trip: Regular scheduled air service, economy class.

14. Describe the type and class of the transportation being provided. Indicate whether coach, Business-class or first-class transportation will be provided. In addition, for travel via aircraft, indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft owned or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted.

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box)

16. I represent that either (check one of the following):
a. This trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided):

17. Reason for selecting the location of the event or trip: A Caribbean country that has not previously hosted the conference.

18. Name of hotel or other lodging facility: Sandals Resort/Beach Resort

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00

20. Reason(s) for selecting hotel or other lodging facility: Has appropriate meeting rooms.

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

Element amounts <input type="checkbox"/> Based on estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	320	330	198
For each accompanying family member:	320	0	198

	Other Expenses (dollar amount)	Identify Specific Nature of Other Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee:		
For each accompanying family member:		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box)

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name and title: _____

Organization: _____

Address: _____

Telephone number: _____

Fax number: _____

Mail Address: _____

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 RT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 225-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

U.S. House of Representatives
Committee on Standards of Official Conduct

INSTRUCTIONS FOR FILLING OUT THE PRIVATE SPONSOR TRAVEL
CERTIFICATION FORM

1. *Sponsor(s) (who will be paying for the trip):* Fill in the names of each person, organization, or other entity contributing funds or in-kind support towards the trip.
2. *I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent:* House Members and staff may not accept travel funded by a lobbyist or registered foreign agent, even when the lobbyist or foreign agent will be reimbursed by a client or employer.
3. *I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip:* All financial contributors to the trip must qualify as sponsors and should be listed as a sponsor in response to question 1.
4. *Is travel being offered to an accompanying family member of the House invitee(s)?* Check yes or no. House Rules permit Members and House staff to accept travel benefits for one accompanying family member if offered by the trip sponsor.
5. *Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited:* You must list every House Member and employee who is invited on the trip, together with your reason for inviting that individual. Members and House staff may accept privately sponsored travel only when related to the individual's official duties. The explanation should demonstrate a connection between the trip and each invitee's official duties.
6. *Dates of Travel:* State the dates of departure and return.
7. *Cities of departure -- destination -- return:* For example, an appropriate entry might read: "DC - Detroit - DC". Include additional destinations if there will be more than one. Do not list the names of airports, times of flights, or cities where travelers will have an airport layover (this information should be included in the attached detailed agenda).
8. *Attached is a detailed agenda of the activities taking place during the travel:* The agenda should be a detailed, hour-by-hour agenda. Include the names of speakers and the subjects of briefings. The agenda should also include information regarding the time spent on travel to and from the destination, as well as local travel. Travel will not be approved if the agenda includes an excessive amount of either unscheduled time and/or opportunities for recreational activities, even if such activities are at the personal expense of the invitees.

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9. *I represent that (check one of the following):* Check only one box in response to this question. "Institutions of higher education" generally includes accredited public and private colleges or trade schools located in the U.S. and its territories; such entities should check box "a," regardless of whether they employ or retain a federal lobbyist or foreign agent. Entities other than institutions of higher education that do not employ or retain a federal lobbyist or foreign agent should check box "b." Entities other than institutions of higher education that do employ or retain a registered lobbyist or foreign agent may sponsor travel only for one-day events. For such trips, lobbyist involvement must be "de minimis" as defined by Committee regulations (see question 12).
10. *If travel is for participation in a one-day event, check one of the following:* Complete this question only if you checked box "b" in response to question 9. For travel to one-day events sponsored by an entity that retains or employs a lobbyist, lodging and meals generally may be provided only for one night. However, two nights may be authorized by the Committee in accordance with the factors set forth in Committee regulations.
11. *If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip.* House Rules prohibit Members and employees from being accompanied by registered lobbyists or foreign agents while traveling. This rule does not prohibit lobbyist or foreign agent participation in briefings or meetings that occur at the destination.
12. *Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip.* The sponsor(s) (the entity or entities paying for the trip) should be the entity primarily responsible for organizing the trip. Travel may not be accepted from an entity that merely contributes money towards the travel and is not otherwise involved in planning or conducting the trip.
13. *Describe each sponsor's organizational interest in the purpose of the trip.* Briefly describe the interest or purpose of each sponsor in the trip.
14. *Describe the type and class of transportation being provided.* See directions on form.
15. *I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s).* While Members and staff may accept local transportation necessary in facilitating their participation in officially-connected aspects of a trip, Members and staff may not accept local transportation in connection with recreation or entertainment.
16. *I represent that either:* For events that are arranged or organized without regard to congressional participation (e.g., annual meetings of business or trade associations), Members or employees may accept the meals that are provided to all other attendees as part of the event. For events put on specifically for Members or staff, meal expenses must be "reasonable" in accordance with Committee regulations.

17. *Reason for selecting the location of the event or trip:* The destination of a trip must be related to its purpose. Travel to a location of an event organized without regard to congressional participation (for example, annual meetings of business or trade associations) is presumptively reasonable.
18. *Name of hotel or other lodging facility:* Include the names of all hotels and lodging facilities to be used during the trip.
19. *Cost per night of hotel or other lodging facility:* Self-explanatory. Trip sponsors should not pay for a "package" that includes recreational or entertainment activities. However, Members and staff may generally use a pool or gym facilities that are offered free of charge to all hotel guests.
20. *Reason(s) for selecting hotel or other lodging facility:* For events held without regard to congressional participation (e.g., annual meetings of business or trade associations), an entry such as "location of annual trade association meeting" is sufficient. When the trip is held specifically for Members or staff, include rationale such as proximity to the site to be visited or to the airport.
21. *Total Expenses for Each Participant:* Indicate whether the figures provided are actual amounts or good faith estimates by checking the appropriate box. All trip expenses should be included. Expenses other than those for transportation, lodging, and meals must be individually listed and specified.
22. *I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip.* Members and staff may not accept a lump sum based on an estimate of incidental expenses.
23. *Certification Information:* Self-explanatory.

THE TRIP SPONSOR SHOULD PROVIDE A COPY OF THE COMPLETED FORM, INCLUDING ALL ATTACHMENTS, TO EACH HOUSE MEMBER OR EMPLOYEE INVITED ON THE TRIP.

Revised Date 4/2007 Committee on Standards of Official Conduct

11/02/2006 10:28 FAX 0002/004

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

October 27, 2006

Ms. Michèle Rodney
Carib News Foundation
7 West 16th Street, 8th Floor
New York, NY 10011

Dear Ms. Rodney:

This responds to your letter requesting Committee guidance concerning the participation of House Members in the 11th Annual Caribbean Multi-National Business Conference ("Conference") sponsored by the Carib News Foundation ("Foundation"), and other organizations. This conference is scheduled to take place in Panama City, Panama from November 9 to 12, 2006. According to your submission, the Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for this Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

The Committee on Standards of Official Conduct strongly encourages outside organizations considering whether to offer travel expenses to House Members and staff to contact our office for information about the rules governing the acceptance of such an offer. It is important to bear in mind, however, that the Committee is authorized by the Rules of the U.S. House of Representatives to render advisory opinions to Members, officers, and employees regarding the propriety of current or proposed conduct. We do not render such opinions to private organizations. Particularly in the case of travel, if by the Member, officer, or employee who is at risk in the case of a violation of the rules. Given the different circumstances in which individual Members and employees find themselves, it would be inappropriate for an outside organization to suggest that a trip had been "pre- cleared" by the Committee. However, we are able to provide you with some general information.

According to your submission, the additional trip sponsors include Moby's, Jack Ocean, Pinar, AT&T, Colson Entertainment, American Airlines, Citibank, IBM, Panama City, and the Republic of Panama.

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0002/004

0002/004 FAX

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11/17/05/2005 10:28 FAX

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Mr. Michael Rodney
October 27, 2005
Page 3

House Rule 25, clause 2 (the gift rule) permits a Member or employee of the House to accept from a private source (other than a registered lobbyist or agent of a foreign principal) the necessary travel expenses to attend a meeting, speaking engagement, fact-finding trip, or similar event in connection with official duties, if the private source is directly and immediately associated with the event or location being visited. "Necessary" expenses include reasonable expenses for transportation, lodging, food, and refreshments, but they do not include expenditures for entertainment or recreational activities.

Generally, any gifts that may be offered during the trip, including any gifts of entertainment or recreational activity, must be valued at a total of less than \$50 for the trip, with the annual gift limit of less than \$100 from a single source. On any trip, the aggregate value of any such gifts that may be accepted from any source is less than \$50.

In the case of international travel, necessary expenses may be accepted for not more than seven days, excluding travel. House Members may also accept expenses to enable one family member to accompany them on the trip, if offered by the trip sponsor. In addition, each Member who participates in the trip must complete a Travel Disclosure and file this form with the Clerk of the House within 30 days after their return. See House Rule 25, cl. 5(b)(1)(A)(ii), (b)(3). Members are also required to report all travel expenses totaling more than \$300 from a single source on Schedule VII of their Annual Financial Disclosure Statement under the Ethics in Government Act and House Rule 26. On both forms, Members should indicate as the "trip sponsor" all of the organizations that provided financial underwriting for the trip.

Because the trip will involve meetings with foreign government representatives and the trip sponsors include the Republic of Panama and Panama City, it is important to note that government officials, including Members and employees of Congress, are prohibited by Article I, section 9, clause 8, of the United States Constitution from receiving "any present... of any kind whatsoever" from a foreign state or a representative of a foreign government without the consent of the Congress. In the Foreign Gifts and Disbursements Act (5 U.S.C. § 7342) (FGDA), Congress has consented in advance to acceptance of gifts of travel or expenses for travel taking place entirely outside of the United States offered by a foreign government. Gifts of travel or expenses for travel include food, lodging, transportation, and entertainment related to the official duties of a Member, officer, or employee. Congress has also consented to the acceptance of gifts "of minimal value intended as a government or mark of courtesy." 5 U.S.C. § 7342(c)(1)(A). Under this provision and implementing regulations issued by this Committee, the term "minimal value" is defined as \$300. The FGDA also permits Members and staff to accept a gift worth more than minimal value when refusal would cause offense or embarrassment, such gifts are the property of the United States and must be deposited with the Clerk of the House. Any tangible gifts valued in excess of minimal value or travel expenses of any value received from a foreign government pursuant to FGDA must be disclosed on a Form for Disclosing Gifts from Foreign Governments, in addition to the

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11/08/2008 10:28 FAX

0001/001

Ms. Michele Rooney
October 27, 2006
Page 3.

travel disclosure form described above. Copies of both forms are available on the Committee Web site.

Any House Members invited to travel at private expense should be encouraged to contact this Committee's Office of Advice and Education at (202) 225-7103 if they have any questions on the applicable rules. Please provide a copy of this letter to each invited Member.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Doc Hastings
Doc Hastings
Chairman

Howard L. Berman
Howard L. Berman
Ranking Member

DH/HLB:slb

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0330 01000

03/20/2008 03:43 FAX

CONFIDENTIAL

09-6333 0199 - - C.N.L.000085



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

October 10, 2006

The Honorable Doc Hastings
Chair
Standards of Official Conduct
Rm. HT-2, The Capitol
Washington, DC 20515

Via Fax: 202-225-7392 & US Mail

Dear Committee Chair Hastings:

As you might be aware, The Carib News Foundation, created to provide a forum for businesses from the United States to dialogue and establish business partnerships with communities in other parts of the world, has invited Members of Congress to participate in the 11th anniversary of the Caribbean Business Conference.

This year the conference will be held in Panama City, Panama from November 9 – 12, 2006. In cooperation with the Government of Panama, our goal is to have all interested parties joining forces to foster an atmosphere of democracy, stability and opportunity for our fellow citizens of the world. It will also allow businesses in the United States to introduce their products to a new market, thus adding to the economy of both countries.

It is important for Members of Congress to attend in order to get insights into the global economy and how current law and proposed legislation can add in assisting their constituents to move towards a global exchange.

To this effort, The Carib News Foundation and its Board of Directors, respectfully request approval for the Members to attend. If there are any questions or concerns, you may contact me at 212-944-1991. I look forward to making this event as successful in its 11th year as it has been in the past 10 conferences.

Sincerely,

Michelo Rodney
President
Carib News Foundation

cc: Congresswoman Stephanie Tubbs-Jones

Organized by Carib News Foundation. A non-profit project 501-C-3 corporation

Registration

From: plouls [plouls@nycaribnews.com]
Sent: Friday, September 21, 2007 1:56 PM
To: 'Dawn.KellyMobley@mail.house.gov'
Subject: Re Sponsorship Information

The official sponsor of the conference is the CARIB NEWS FOUNDATION, a not-for-profit 501C3 Organization that provides educational information about the Caribbean Region and its relationship with the US in doing business and developing good neighborly ties with the United States, security, health, education etc.

The CARIB NEWS FOUNDATION does not retain or employ a registered lobbyist or registered foreign agent.

Please use me as your contact for the purposes of this Conference.

The form will be emailed to you this afternoon.

Regards

Patricia Louis

Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

9/21/2007

09-6333 0201

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CN-000087

Re: Sponsorship information

Page 1 of 1

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, September 19, 2007 8:32 PM
To: plouls@nycaribnews.com
Subject: Re: Sponsorship Information

My condolences. No, we did not know of their loss. Is Mr. Rodney our actual contact in this matter? If so when appropriate, please impress upon him that the rules have changed dramatically and there are certain gifts and travel that can no longer be permitted so we need to clear this as early as possible. Moreover as he probably remembers, all Members have to seek approval for their individual travel...forms must be prepared, submitted, and approved for each Member's travel. Therefore, please remember to provide each of your invitees with the requisite paperwork. Hopefully by preparing early, we can circumvent the majority of problems you faced in securing their congressional travel last year. Have a good evening and please share my condolences with the Rodneys. Dawn

Sent using BlackBerry

-----Original Message-----
From: plouls <plouls@nycaribnews.com>
To: Kelly Mobley, Dawn
Sent: Wed Sep 19 18:23:58 2007
Subject: Sponsorship Information

9/20/2007

09-6333 0202

CONFIDENTIAL

EE 104 77GN-000088

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, September 19, 2007 3:23 PM
To: plouls
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

Lastly, just send the sponsor form asap for review. The new rules might prohibit some things you were able to do with so many different sponsors in the past, but until we get the form, we can't make the most informed decision. I have let the Congresswomen know we are awaiting your sponsor form. Just as you do, they would also like assurance. Thank you.

From: Kelly Mobley, Dawn
Sent: Wednesday, September 19, 2007 3:09 PM
To: plouls
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

I am mailing (US Postal) a copy of the official Gifts and Travel Guide. Some pages are omitted so call with any questions not addressed in the bound pages. I am using the address you provide in your email.

From: Kelly Mobley, Dawn
Sent: Wednesday, September 19, 2007 2:16 PM
To: plouls
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

Patricia:

I understand that several organizations will take part in sponsoring food and other parts of the Antigua travel. However, I need clarification on the official sponsor; that organization providing travel, lodging, and organizing the trip? Am I to assume it is CARIB NEWS? The official sponsoring organization must not retain or employ a registered lobbyist or registered foreign agent (and the sponsor must have a direct and immediate relationship with the event or location being visited). To assist with this, I am scanning information/guidelines and emailing in just a moment. Please provide a fax number if that method of submittal will be more helpful. If there is someone handling this matter, please provide me their direct contact information as I must ensure adherence to these new guidelines pre-travel.

In any event, I do need to know who the official sponsor will be. I have assigned a staff attorney to review your documents and will remain in contact with you until this matter is finalized.

Please respond today or tomorrow at the latest. I will be traveling for a while thereafter and must ensure that my staff attorney has all that is necessary for a safe review.

Dawn Kelly Mobley
Ethics Counsel for Congresswoman Stephanie Tubbs Jones
Chairwoman, Committee on Standards of Official Conduct
U.S. of Representatives
Washington, DC 20515
202/226-7103

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Tuesday, September 18, 2007 7:03 PM
To: plouls@nycaribnews.com
Subject: Re: Draft Program - 2007Business Conference (No agenda or program attached)

Copy of this e-mail has been automatically forwarded to:

Sent using BlackBerry

09-6333 0203

CN-000088

We had received the forms from Shelley.

The sponsors to date are : MACYS, PFIZER, AMERICAN AIRLINES, GOLDEN KRUST BAKERY, CARIBBEAN FOOD DELIGHTS, PREFERRED HEALTH PARTNERS, IBM, AT&T/INGULAR

Regards

Patricia Lewis
Executive Assistant
CARIB NEWS
7 West 38th Street, 8th Floor
New York, NY 10018

-----Original Message-----

From: Kelly Mobley, Dawn [mailto:Dawn.Kelly.Mobley@mail.house.gov]

Sent: Tuesday, September 18, 2007 12:57 PM

To: plouls

Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

When you send the draft agenda, please also forward any information on your sponsor's status. If he cannot be a lobbyist. Do you need a copy of the new travel rules?

From: Kelly Mobley, Dawn

Sent: Monday, September 17, 2007 5:53 PM

To: 'plouls'

Subject: RE: Draft Program - 2007Business Conference

Nothing was attached to this email. Therefore, we have not received the proposed agenda you mention below and have nothing to preview.

From: plouls [mailto:plouls@nycaribnews.com]

Sent: Monday, September 17, 2007 3:47 PM

To: Kelly Mobley, Dawn

Subject: Draft Program - 2007Business Conference

Ms. Mobley:

We spoke earlier re permission for Members to attend the Conference and you asked for the program. I have not gotten back to you because we have been working on getting the program together.
Attached is the draft for your guidance

Regards and Thanks

Patricia Lewis
Executive Assistant
CARIB NEWS
7 West 38th Street, 8th Floor
New York, NY 10018

9/20/2007

09-6333 0204

CONFIDENTIAL

CN-000090

Exhibit 18

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

- 1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
- 2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
- 3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
- 4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
- 5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): EACH REPRESENTATIVE'S SPOUSE
- 6. Dates of travel: NOVEMBER 8 - 11, 2007
- 7. Cities of departure -- destination -- return: VARIES DEPENDING ON MEMBER
- 8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
- 9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
- 10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

- 11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
- 12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (SEE ATTACHED SHEET)
- 13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORMATION, TRADE AND MEANINGFUL CONNECTION BETWEEN THE UNITED STATES AND THE CARIBBEAN
- 14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS
- 15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
- 16. I represent that either (check one of the following):
 - a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
 - b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____
- 17. Reason for selecting the location of the event or trip: A CARIBBEAN COUNTRY THAT HAS NOT PREVIOUSLY HOSTED THE CONFERENCE
- 18. Name of hotel or other lodging facility: SANDALS RESORT/REX RESORT
- 19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$1,000
- 20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	320	330	16.5
For each accompanying family member	320	0	14.5

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL B. RODNEY, CEO

Organization: CARIB NEWS FOUNDATION

Address: 7 WEST 38TH STREET, 8TH FLOOR NEW YORK, NY 10018

Telephone number: 212-944-1991

Fax number: 212-944-2089

Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, D.C. 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

2007 MULTINATIONAL BUSINESS CONFERENCE			
NOVEMBER 8 - 11, 2007			
INVITED MEMBERS OF CONGRESS			
Sanford Bishop			
G. K. Butterfield			
Donna M. Christensen			
William Lacy Clay			
Sheila Jackson Lee			
Eddie Bernice Johnson			
Kendrick Meek			
Donald Payne			
Charles Rangel			
Bennie Thompson			
Stephanie Tubbs-Jones			
Carolyn Kilpatrick			
Barbara Lee			
Gregory Meeks			
Edolphus Towns			
Yvette Clarke			
Maxine Waters			
James Clyburn			
David Scott			
Laura Richardson			
Eleanor Holmes-Norton			
Elijah Cummings			
Diane Watson			
Keith Ellison			
Henry Johnson			
Melvin Watt			
Albert Wynn			
John Lewis			

2007 MULTINATIONAL BUSINESS CONFERENCE			
NOVEMBER 8-11, 2007			
INVITED MEMBERS OF CONGRESS			
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Carolyn Kilpatrick			
Barbara Lee			
Gregory Meeks			
Edolphus Towns			
Yvette Clarke			
Maxine Waters			
James Clyburn			
David Scott			
Laura Richardson			
Eleanor Holmes-Norton			
Hiliah Cummings			
Diane Watson			
Keith Ellison			
Henry Johnson			
Melvin Watt			
Albert Wynn			
John Lewis			
Britt Wainstock			
George Dalley			

NOV 20 2007



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

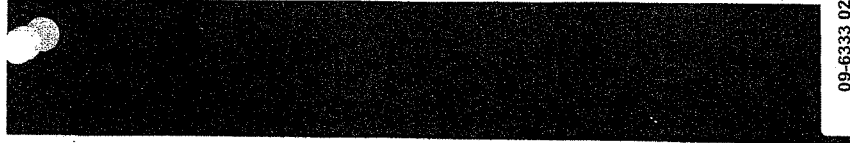
The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

1551

Exhibit 19

09-6333 0212



09-6333 0213

WORKING DRAFT

12th Annual Caribbean Multi-National

Business Conference Program

Antigua and Barbuda

Thursday November 8, 2007

CONFERENCE REGISTRATION

COMMUNITY OUTREACH PROGRAM

Venue

Theme

Speakers

WTO - RULES BASED TRADE & POVERTY REDUCTION

Hon. Charles Rangel - Member of Congress

Hon. Mashe Waters - Member of Congress

Hon. Dr. Errol Corti, Minister of Finance & The Economy

Wallace Ford

MBC Interns

Moderator

Facilitator

Sponsor

ED

6:30- 7:30pm

OPENING RECEPTION

Sandra's Grande

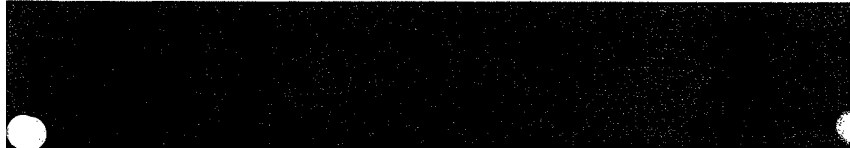
CEMENTING DIASPORA TIES

Antigua Government





09-6333 0214



MC: Sabrina Husong
 Speakers: CARLENEA
 Hon. Willie Charles, Member of Congress
 Hon. Harold Lowell - Minister of Tourism & Aviation
 Venue: Sandals Grande
 Theme: COLLABORATIVE STRATEGIES
 Sponsors: SANDALS
 Moderator: Hon. Nicky Harrow
 Speakers: Hon. Betch Stewart, Chairman, Sandals
 Hon. Ed Thomas - Member of Congress
 Hon. Harold Lowell - Minister of Tourism & Aviation
 Entertainment:

10:00pm - 1:00am: Hospitality Area

Venue: Sandals Grande
Sponsors: Royal Caribbean Bakery

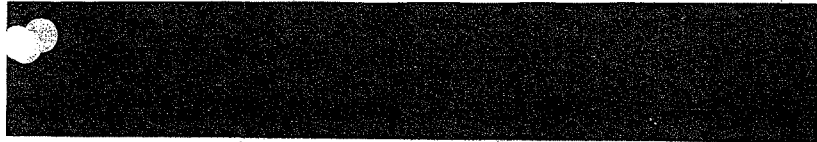
Friday, November 9, 2007

8:00am - 8:45am: BREAKFAST - Buffet Style

9:00am - 6:00pm: CONFERENCE REGISTRATION

9:00am - 10:45am: OPENING CEREMONIES

Venue: Sandals Grande - Main Ballroom



Attorney Sanford E. ...

10:00 AM - 11:30 AM

THE CARIBBEAN ISLANDS AND CARIBBEAN PEOPLES
OVERCOME - CHALLENGES

SPONSORS - JIM

Remarks - Marilyn Johnson

Speakers - Dr. Edison O. Jackson, President Medgar Evers College

Hon. Bertland Joseph

Hon. C. K. Butterfield - Member of Congress

1:30 - 2:00 pm LUNCH

Theme - CARIBBEAN TRADE COMPETITIVENESS AND POSITIONING

Venue - Sandals Grande

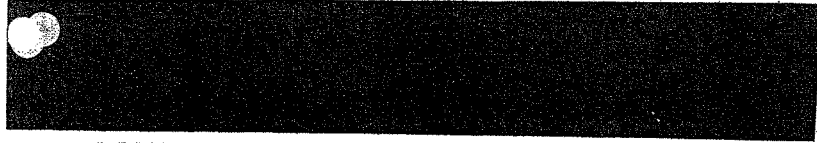
Sponsors - Goodworks International

Remarks - Carlton Masters

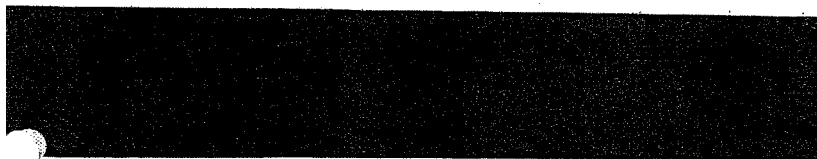
Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade

Hon. Charles Sangot - Member of Congress

3:00pm - 4:00pm - ROUND TABLES



IMMIGRATION
 Venue: [Redacted]
 Moderator: [Redacted]
 Hon. Sheila Jackson Lee - Member of Congress
 Hon. Yvette Clarke - Member of Congress
 Hon. Gerald Stewart - City Council member
 Hon. [Redacted]
DIVERSITY IN GROWTH & DEVELOPMENT
 MACY'S - Outside Vendors
 Eli Goldberg
 Cheryl Moore
 State Senator Kevin Parker
 City Council member [Redacted]
 Sponsor: MACY'S



HALL - IN TRANSFORMATION

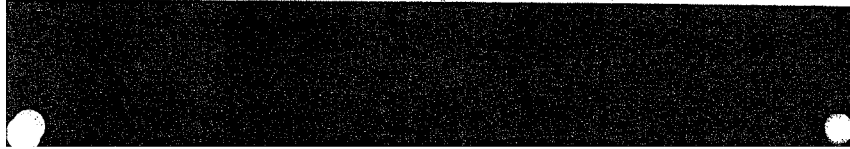
- Hon. Maxine Waters - Member of Congress
- Hon. Kendrick Meek - Member of Congress
- Hon. Yvonne Grubbs
- Preferred Health Partners

WELLS FARGO SURVEY - JUDICIALS

- Moderator: Lance Ogata
- Hon. Bennie Thompson

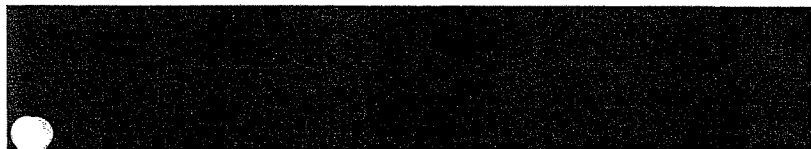


Remarks - Brenda Scott - Mary's
 MC - Marilyn Johnson
 Sponsor - Michele Obama, (invited)
 Hon. Edie Levine Johnson - Member of Congress
 Hon. Diane Abbott - Member of Parliament, UK
 Baroness Patricia Scotland
 Harriet Mitchell - President NMSB
 Governor General - Dr. David Johnston
 10:15am - 11:45pm PRESENTATION
 Theme - HEALTH - REAL INVESTMENT OPPORTUNITIES IN UPGRAADING
 CARE AND DELIVERY
 Venue - Souda, Grande
 Sponsors - Pfizer
 Greeting - Karon Boykin-Townes - Pfizer
 Chair/Moderator - Hon. Yvonne Graham
 Keynote - Dr. Donall Douglas - Prime Minister St. Kitts/Nevis
 Panelists - Dr. Deater McKenzie
 Hon. Donna Christensen - Member of Congress
 Dr. Lesly Kernisart
 Dr. Marcia Rayne-Smith





Caribbean person - TID
 TRAVEL & TOURISM - EXPANSION THE DIMENSIONS
 CATALYST
 AMERICAN AIRLINES SANDALS
 Hon. Keith Ellison - Member of Congress
 Hon. Harold Lovell, Minister of Tourism, Antigua & Barbuda



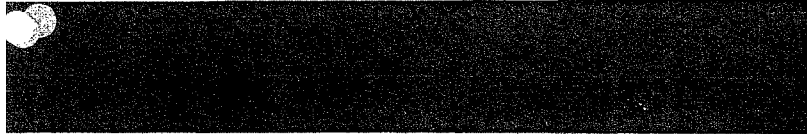
09-6333 0222

. 10

TECHNOLOGY & COMMUNICATION - SHARING

- Venue: Sandals Grande
- Sponsors: AT&T
- Remarks: Marie Lang
- Keynote Speakers: Hon. Ed Towns - Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Edmond Mansour - Member of State

12:00noon - 1:15pm -



THEME: INTEGRATING THE DIASPORA IN BUSINESS INVESTORS
 MANAGERMENTORS & TRAINERS

Topic: Small Business

Sponsors: USKIC

Remarks: 12/17/2011

Speakers: Hon. Carolyn Cheeks Kilpatrick - Member of Congress
 Hon. Kendrick Meek - Member of Congress
 Hon. William Lacy Clay Jr. - Member of Congress

1:30pm - 2:30pm LUNCH

SPONSORS: FINANCIAL COMMUNITY ORGANIZATIONS WAUWATONIA

AND ROLE:

Sponsors: CITI
 Remarks: Michael Hanigan
 Speakers: Eric Eve - CITI
 Hon. William Thompson, New York City Comptroller

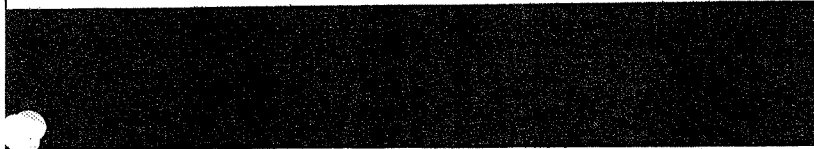
2:30pm - 6:30pm
 Optional:

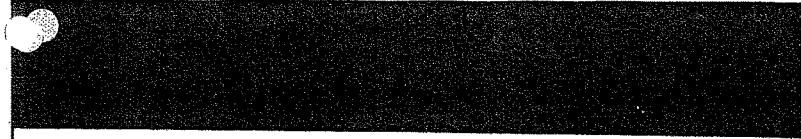
3:00pm - 4:00pm ROUND TABLE



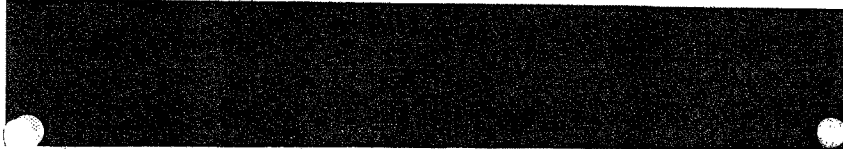


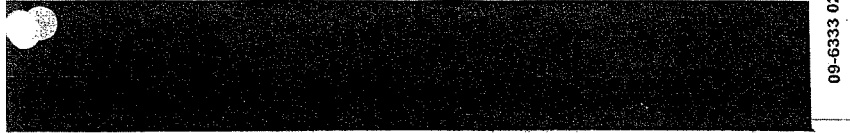
Round Table #1
 CARIBBEAN A COMPREHENSIVE EMERGENCY PREPAREDNESS
 Round Table #2
 Round Table #3
 Round Table #4
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 Round Table #99
 Round Table #100





Sabrina Ilesing
 Venue
 Sponsor
 American Airlines
 Speakers
 Hon. Gary Meeks - Member of Congress
 Hon. Harold Louder - Minister of Tourism
 Mr. Lloyd Williams
 Sponser : CARIBNEWS
 6:00pm - 6:30pm
 EDUCATION, ACCESS AND COLLABORATION
 COMMUNITY OUTREACH -
 Off Site
 Citi
 Michael Flanigan
 Dr. Edison Jackson - President Medgar Evers College
 Hon. Danny Davis - Member of Congress
 Hon. David M. Dinkins - Former Mayor New York City
 Hon. Eddie Bernice Johnson - Member of Congress
 Hon. Bertrand Joseph



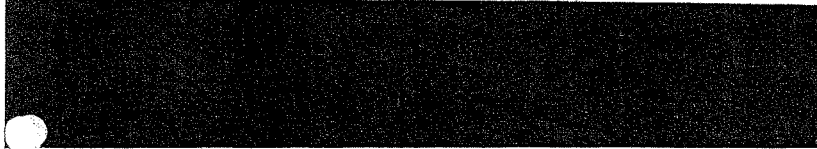


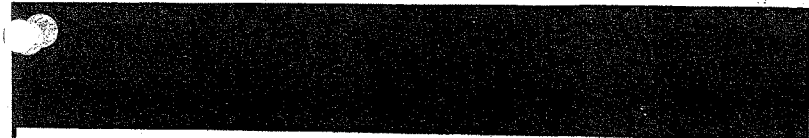
Facilitator: MEC Intern
 E.D.
 6:30 - 7:30 pm GALA RECEPTIONS
 Venue
 Sponsor: AT&T/SPC
 Speaker: Hon. Billie Miller, Minister of Foreign Affairs,
 Minister of Government - Antigua
 Theme
 7:30 - 10:00 pm GALA DINNER & AWARDS
 Venue
 Sponsors: CIL/MACY/SPHIZER
 Speakers: Hon. Charles Nangil - Member of Congress
 Hon. James Clyburn - Member of Congress
 Keynote Speaker: Prime Minister Hon. Baldwin Spencer
 Presentation of the Marcus Garvey "Bridge Builder" Awards
 Hospitality Area
 10:30 pm - 1:00 am





Venue: _____
 Sponsor: Royal Caribbean Bakery
 Sunday - November 11, 2007
 8:30 am - 10:00 am
 Theme: **FOUNDER, BREADMAKER**
UNDERSTANDING THE HISTORY OF BREAD
MAKING IT YOURS
 Sponsors: Golden Krust/Marys
 Celebrant: Ed Goldberg
 Lowell Hawthorne
 Caribbean person - TBD
 Selection
 Theme:
 Selection:
 11:00am | Paid Trip to Montserrat - Transportation (Ferry) - Lunch and
 | Special Tour. Addressed by Chief Minister Lowell Lewis
 8:00 pm |
 10/3/07





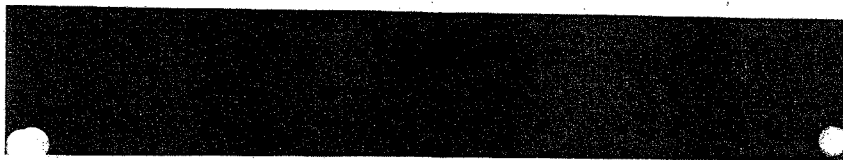
OFFICE PUBLIC SCHEDULE - MANDATORY FOR MEMBERS OF CONGRESS
 Friday, November 19, 2010

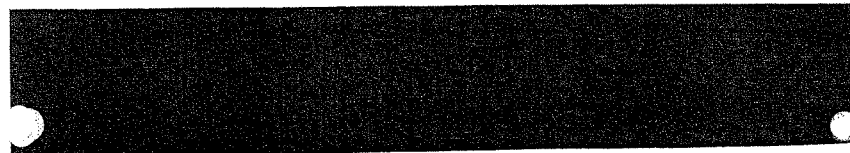
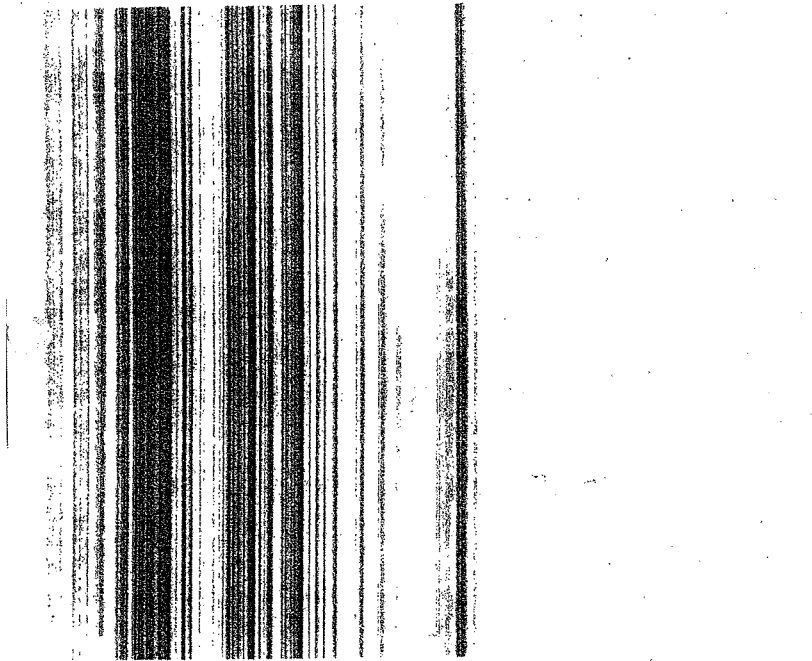
5:30 - 6:30 p.m. - Private Welcome Reception with Governor General

Saturday, November 20, 2010

11:00 a.m. - 1:00 p.m. - U.S. CAMPAIGN REPRESENTATIONS
 Closed to Non-Members
 Mandatory for all Members
 Prime Ministers & Ministers of Government
 with Members of Congress
 Secretary of State - Clinton
 Secretary of Defense - Gates
 Secretary of State - Clinton
 Secretary of Defense - Gates

5:30pm - 6:30pm - Private Meeting with Local Elected Officials and
 the Business Community





09-6333 0229

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Exhibit 20

1570

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended.

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 1 from Macy's
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 27, 2009
Location: 11 Penn Plaza
New York, NY 10001
Time: 11:10pm to 12:00 p.m. (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Representative 1 from Macy's is the Senior Vice President of Government and Consumer Affairs and Diversity Vendor Development at Macy's, Inc. He was interviewed pursuant to Review 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. We requested an interview with Representative 1 from Macy's and he consented to an interview. Representative 1 from Macy's made the following statements in response to our questioning:

1. Representative 1 from Macy's was given an 18 U.S.C. § 1001 warning and consented to an interview. Representative 1 from Macy's signed a written acknowledgement of the warning. [attached]
2. Representative 1 from Macy's met Karl and Faye Rodney in 1996. As a result of the large Caribbean Community in New York City and Macy's commercial interests in the Caribbean region, Representative 1 from Macy's asked Macy's advertizing division to consider the CaribNews newspaper.
3. The first conference he attended was in 1998 in St. Lucia. He attended as a participant. At the time, Macy's was considering a store in Puerto Rico and so Representative 1 from Macy's was especially interested in business in the region. He was impressed by how many government officials from the Caribbean region were present at the conference and by what they had to say about developing relationships with the United States.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

4. In the ensuing years, Macy's became a sponsor. The conference was a place where the company looked for vendors and designers. It was also a place where they could hear from U.S. legislators about their thoughts on the region. It was also a place for Representative 1 from Macy's to connect with U.S. companies who were attending.
5. Macy's first sponsored the conference in 2000. Over the years they have sponsored the event on an annual basis at varying levels, ranging from \$10,000 to \$35,000. As women's issues are particularly important to Macy's, given their clientele, their sponsorship focuses on the conference's "Women's Power Breakfast." The event was described as very lavish. All attendee of the breakfast also get a Macy's tote bag.
6. In 2008, Macy's contribution to the conference was \$35,000 is cash and an in-kind contribution of 400 tote bags. The total value of the in-kind contribution was \$4,620. The money was earmarked specifically for the conference.
7. As a sponsor, Macy's was given the opportunity to have a representative make opening remarks, to have representation at the Women's Power Breakfast, have input into who the speaker at the breakfast will be, and attend the closed door session with Members of Congress and officials from the Caribbean governments. Representative 1 from Macy's did in fact attend the closed door session in 2008. He recalled that other corporate sponsors also attended the meeting, but he could not recall which ones.
8. Representative 1 from Macy's recalled interacting with Representative Payne, Representative Kilpatrick, Representative Thompson, Representative Rangel, and Delegate Christensen. His interactions were all social in nature.
9. Representative 1 from Macy's was not aware of any other events sponsored by or planned by the CaribNews Foundation. With the exception of the conference, he was not aware of any other instances when Macy's supported a CaribNews Foundation event. Given Representative 1 from Macy's position within the company, it is very likely he would know if Macy's had done so.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Representative 1 from Macy's on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Representative 1 from Macy's on May 27, 2009.

Omar Ashmawy
Investigative Counsel

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OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name: _____

Signature: _____

Date: _____

5/29/08

For Office Use:

Review(s) No. _____

09-6333 0233

1573

Exhibit 21

09-6333 0234

Updated Schedule
for Carib News PAGE 83

18/24/2007 19:00 2129442089

WORKING DRAFT 10/22

12th Annual Caribbean Multi-National
Business Conference Program
Antigua & Barbuda

Thursday, November 8, 2007

12:00noon- 6:00pm : CONFERENCE REGISTRATION -

5:00 - 6:30am - COMMUNITY OUTREACH PROGRAM
 Venue - Multi-Purpose Cultural Centre - Ferry Bay, St. John's
 Theme - WTO - RULES BASED TRADE & POVERTY REDUCTION
 Speakers - Hon. Charles Rangiel - Member of Congress
 Hon. Maxine Waters - Member of Congress
 Hon. Dr. Errol Cort - Minister of Finance & The Economy
 Moderator - Tony Best

6:30 - 7:30pm - OPENING RECEPTION
 Venue: - Sandals Grande - Main Ballroom
 Theme: - CEMENTING DIASPORA TIES
 MC: - Judge Sylvia Hinds-Radix
 Speakers - Eaya A. Rodney - President CARIB NEWS
 Hon. Yvette Clarke - Member of Congress

7:30 - 9:30 pm -
 Theme: - COLLABORATIVE STRATEGIES

09-6333 0235

Venue: Sandals Grande - Main Ballroom
 Moderator: Hon. Nick Perry, New York State Assemblyman
 Speakers: Hon. 'Butch' Stewart, Chairman, Sandals
 Hon. Ed Towns - Member of Congress
 Hon. Harold Lovell - Minister of Tourism & Aviation

10:00pm - 1:00am: Hospitality Area
 Venue: Sandals Grande - Reflective Pool

Friday, November 9, 2007

8:00am - 8:45am BREAKFAST - Buffet Style

9:00am - 6:00pm	CONFERENCE REGISTRATION
-----------------	-------------------------

9:00am - 10:15am - OPENING CEREMONIES

Venue: Sandals Grande - Main Ballroom
 Theme: CEMENTING DIASPORA TIES, COLLABORATIVE STRATEGIES

Remarks: - **Keynote**
 Dr. Lowell Lewis, Chief Minister
 Montserrat
 Karen Boykin-Towns
 Ed Goldberg
 Marie Long
 Hazel Dukes - NAACP
 William Thompson, NYC Comptroller
 Commissioner Sarah Wescoat-Williams, Leader of Govt. St. Maarten
Guestings

Speakers: Hon. Errol Cort - Minister of Finance & The Economy
 Hon. Donna Christensen - Member of Congress
 Hon. Carolyn Cheeks Kilpatrick - Member of Congress

Ambassador Andrew Young
 Hon. Louise Lake Task - Governor General of Antigua
 OR
 Prime Minister Hon. Baldwin Spencer

Ribbon Cutting

10:30am-11:45am - PRESENTATION
 Themes - DEVELOPMENT BANKS, PRIVATE DEBT AND EQUITY
 Venue - Sandals Grande
 Chair/Moderator - Dr. Enrique Riggs
 Speakers - Hon. Maxine Waters - Member of Congress
 Hon. Compton Bourne - President, CDB
 Mr. Milton Lawrence, Chairman Financial Services Corporation
 Mr. Rudolph Caryl
 Hon. Dr. Demzil Douglas, Prime Minister/Minister of Finance, St. Kitts

10:30 - 11:45am CULTURE - PROMISE & POTENTIAL IN BUSINESS
 Remarks:
 Speakers - Professor Rex Nettleford
 Hon. Howard Dotson
 Patrick Payne
 Hon. Harold Lovell, Minister of Culture

12:00noon - 1:15pm
 Theme - NATIONAL SECURITY: A PRE-CONDITION FOR SUCCESS
 Venue - Sandals Grande
 Moderator - Leyland Hazlewood
 Remarks - Reginald Comal

Keynote Speaker - Hon. Bennie Thompson - Member of Congress
 Panelists: - Hon. Dwyer Astaphan, Minister of National Security
 Hon. Yvette Clarke - Member of Congress
 Hon. Colin V. A. Derrick - Minister of Justice
 Attorney Sanford Rubenstein

12:00noon -1:15pm

Theme - EDUCATION - U.S AND CARIBBEAN SYNERGIES TO
 OVERCOME CHALLENGES

Remarks - Colin Ward
 Speakers - Dr. Edison O. Jackson, President Medgar Evers College
 Hon. Donald Payne - Member of Congress
 Hon. Bertrand Joseph, Minister of Education

1:30pm -2:30pm

Theme: - LUNCHE
 FINANCE COMMUNITY ORGANIZATION'S - VALUE
 AND ROLE

Remarks - Michael Marigan
 Speakers - Eric Iye - CITI
 Hon. William Thompson, New York City Comptroller

3:00pm - 4:00pm

ROUND TABLES
 IMMIGRATION -

Venture - Sandra Grande
 Moderator - Dr. Marco Mason

Speakers - Hon. Barbara Lee - Member of Congress
 Hon. Yvette Clarke - Member of Congress
 Hon. Kendall Stewart - City Council member

DIVERSITY IN GROWTH & DEVELOPMENT

MACY'S - Outside Vendors

Ed Goldberg
 Cheryl Munro
 State Senator Kevin Parker
 City Councilmember Inez Dickens

HATTI - INTRANSFORMATION

Hon. Maxine Waters - Member of Congress

Hon. Yvonne Graham

Ambassador Andrew Young

PORT & AIRPORT SECURITY - THE MANDATES

Moderator : Mr. Larco Oglet
 Hon. Bennie Thompson
 Hon. Dwer Astephan

Venue Sendals Grande

Participant - Preferred Health Partners

Speakers - Hon. Barbara Lee - Member of Congress

Venue

Speaker - Hon. Gregory Maeks - Member of Congress ?
CARICOM REP. - TBD

5:00pm - 6:30pm -
Venue: - Multipurpose Cultural & Exhibition Centre - Ferry Bay

Theme - HEALTH - COMMON ISSUES - PROVEN SOLUTIONS - MOVE
Healthy Eating, Healthy Living

Remarks - Ms. Karen Boykin-Townes

Moderator - Dr. Marcia Bayne Smith

Keynote Speakers: - Dr. Denzil Douglas - Prime Minister St. Kitts/Nevis
Hon. Dr. Martin Valdes - Preferred Health Partners
Hon. John Herbert Maginley - Minister of Health, Sports & Youth
Dr. John Palmer
Hon. Diane Watson, Member of Congress

6:30:00pm - 10:00 pm - HOST COUNTRY EVENING
Hosted by Prime Minister Baldwin Spencer

Venue - Multipurpose Cultural and Exhibition Centre - Ferry Bay

10:00pm - 1:00am - Hospitality Area

Venue: - Sandals Grandia - Refitcation Pool

Saturday, November 10, 2007

8:00am - 10:00am

Venue: - Sandals Grande - Main Ballroom

Theme: - WOMEN AND EMPOWERMENT IN THE DIASPORA

Remarks - Brenda Scott

MC - Marilyn Johnson

Speakers - Hon. Diane Abbott, MP UK
 Commissioner Sarah Westcot-Williams,
 Hon. Caroline Cheeks Kilpatrick, Member of Congress
 Hon. Edith Bernice Johnson - Member of Congress
 Dr. Mamefia Maxwell
 Ms. Toni Fay
 Governor General - Dame Louise Lake Tak - check
 Ms. Lucille McEwen, President/CEO HCCX
 Commissioner Westcot-Williams, Leader of Government

10:15am - 11:45pm

PRESENTATION

Theme - HEALTH - REAL INVESTMENT OPPORTUNITIES IN UPGRADING
 CARE AND DELIVERY

Venue - Sandals Grande

Greeting - Karen Boykin-Towns - Pfizer

Chair/Moderator - Hon. Yvonne Graham

Keynote - Dr. David Douglas - Prime Minister St. Kitts/Nevis

Panelists
 Hon. John Herbert McGinley, Minister of Health
 Dr. Marcia Bayna-Smith
 Dr. Carl Kenel Fierce, Preferred Health Partners

10:15am-11:45am
 Theme - TRAVEL & TOURISM EXPANSION -THE DIASPORA AS CATALYST
 Venue - Sandals Grande
 Chair/Moderator - Mr. Lloyd Williams, GHCC
 Speakers - Hon. Laura Richardson - Member of Congress
 Hon. Harold Lovell, Minister of Tourism, Antigua & Barbuda
 Hon. Howard Dotson, Director Schomburg Center

12 noon- 1:15pm - PRESENTATION
 Theme - TECHNOLOGY & COMMUNICATION - SHARING
 Venue: - Sandals Grande
 Remarks - Ms. Marie Long
 Keynote Speakers: - Hon. Ed Towns - Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Edmund Manooch - Member of State
 Dr. Emmanuel Gonsalves

12:00noon - 1:15pm -
 Theme - INTEGRATING THE DIASPORA IN BUSINESS - INVESTORS MANAGERS, MENTORS & TRAINERS

Venue - Sandals Grande
Moderator - Roy Hastick
Participant - HSBC
Remarks - Mr. Javier Evans
Speakers - Hon. Compton Bottin
Hon. Adelle Cardon, Bronx Borough President
Hon. Carolyn Cheeks Kilpatrick - Member of Congress
Hon. Professor Rex Nettleford

1:30 - 2:00 p.m. - LUNCH

Theme - CARIBBEAN TRADE COMPETITIVENESS AND POSITIONING

Venue - Sandals Grande
Remarks - Wallace Ford - Goodworks International
Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade
Hon. Charles Kangel - Member of Congress

2:30pm - 6:30pm
Optional
3:00pm - 4:00pm - ROUND TABLE
Venue - Sandals Grande

Round Table #1

Theme : CARIBBEAN - A COMPETITIVE HEALTH CARE DESTINATION
 Venue
 Remarks : Dr. Lesly Keesisatt
 Speakers : Dr. Dexter McKenzie
 Hon. Yvonne Graham

Round Table#2

Theme - HEMISPHERIC DISASTER FUND
 Venue - Sandals Grande
 Speakers Hon. Yvette Clarke - Member of Congress
 Mr. Leyland Hazlewood
 Mr. Emmanuel Gonsalves

Participant

Round Table#3

Theme - CLOSING THE GENERATION GAP
 Speaker - Hon. Yvette Clarke - Member of Congress
 Karissa Rodney
 Lita Balram
 Sabrina Hosang
 Wintropo Wellington

Venue - Sandals Grande

Participant

Round Table #4

Theme : PASSPORT & ADMINISTRATIVE CONSTRAINTS ON TOURISM

Venue : Sandals Grande

Speakers : Hon. Harold Lovell - Minister of Tourism
Mr. Lloyd Williams, GHCC
Hon. Darryl Towns, State Assemblyman

5:00pm - 6:30pm - COMMUNITY OUTREACH -

EDUCATION & YOUTH DIRECTION - ACCESS AND
COLLABORATION

Venue : Multipurpose Cultural & Exhibition Centre

Moderator : Michael Flawigan

Speakers : Dr. Edison Jackson - President Medgar Evers College
Hon. David M. Dinkins - Former Mayor New York City
Hon. Eddie Bernice Johnson - Member of Congress
Hon. Bertrand Joseph

6:30 - 7:30pm - GALA RECEPTION

Venue : Sandals Grande

Speaker : Hon. Stephanie Tubbs-Jones, Member of Congress
Hon. Billie Miller, Minister of Foreign Affairs,
Minister of Government .. Antigua

Theme:

7:30 - 10:00 pm : GALA DINNER & AWARDS
 Theme :
 Venue : Sandale Grande - Main Ballroom
 Speakers : Hon. Charles Ruxgel - Member of Congress
 Hon. Maxine Waters - Member of Congress
 Keynote Speaker : Prime Minister Hon. Baldwin Spencer
 Presentation of the Marcus Garvey "Bridge Builder" Awards

Hospitality Area

10:30pm - 1:00am

Venue

Sunday, November 11, 2007

8:30 am - 10:00am - POWER BREAKFAST
 Theme - UNDERSTANDING THE SPIRITUAL ROOTS AND
 MAKING IT WORK
 Celebrant - Ed Goldberg
 Lowell Hawthorne
 Caribbean person - TBD
 Selection
 Theme
 Selection

10/16/07

1586

Exhibit 22

09-6333 0247

1587

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Staff Member 1 of Congressman Rangel's Office
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 27, 2009 and May 28, 2009
Location: Washington, DC (via telephone on May 27, 2009) and at Rayburn HOB 354 (on May 28, 2009)
Time: 4:10pm to 5:30 p.m. (approximately) and 3:30pm to 4:00pm (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Staff Member 1 of Congressman Rangel's Office is currently the chief of staff of Representative Charles Rangel, a Member of the United States House of Representatives representing the Fifteenth District of New York. He was interviewed pursuant to Review 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. We requested an interview with Staff Member 1 of Congressman Rangel's Office and he consented to an interview. Staff Member 1 of Congressman Rangel's Office's counsel was present. Staff Member 1 of Congressman Rangel's Office made the following statements in response to our questioning:

1. Staff Member 1 of Congressman Rangel's Office was given an 18 U.S.C. § 1001 warning and consented to an interview. Staff Member 1 of Congressman Rangel's Office signed a written acknowledgement of the warning: [attached]
2. Staff Member 1 of Congressman Rangel's Office did not attend the 13th Annual Caribbean Multi-National Business Conference although he received an invitation. In response to a Request for Information by OCE, Staff Member 1 of Congressman Rangel's Office looked for Representative Rangel's invitation to the conference, but could not find it. He had thought Representative Rangel had received one, but because he could not find the invitation he now thinks that Representative Rangel did not receive one.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

3. Representative Rangel could have been invited orally as he has been attending the conference for years and was seen almost as a partner. He attends the conference because he is dedicated to strengthening the relationships between the Caribbean community, the African-American community of New York City and in general, and the United States.
4. The question of whether Representative Rangel would attend the conference in 2008 was a difficult one because of the negative press he had received throughout the preceding summer. Representative Rangel was cautious about attending because he didn't want to be a target for additional negative publicity, but Staff Member 1 of Congressman Rangel's Office and others on the Member's staff argued that he should go to the conference. They argued that if Representative Rangel did not attend he would be rejecting the friends with whom he helped build the conference and that the Member had to stand up and do the right thing despite the possible negative publicity.
5. Ultimately, Staff Member 1 of Congressman Rangel's Office and his staff persuaded the Member to attend. Representative Rangel decided to keep a low profile and, unlike in past years, staff would not attend the conference with the Member.
6. Staff Member 1 of Congressman Rangel's Office stated that the CaribNews Foundation invited the Member. The CaribNews Foundation is the charitable arm of the newspaper. When the rules changed in 2007 and corporations could not be involved as before – i.e. direct contributions to the conference – there was “talk” about how to do the conference in light of the new rules.
7. According to Staff Member 1 of Congressman Rangel's Office, there was “talk” that the Rodneys needed an entity to create a common fund so that the corporate money could be used to pay for the conference and that the CaribNews Foundation could be used for that purpose.
8. Staff Member 1 of Congressman Rangel's Office initially stated that he did not remember how he was aware of this “talk.” He stated that part of it was his reading of the rules and the supplemental material about how things get done. He then stated that “we” celebrated when Representative Susan Tubbs-Jones was made the chair of the Standards Committee in 2007 because she would try to create a way for Members of Congress to continue to attend the conference. Staff Member 1 of Congressman Rangel's Office then stated that he was made aware of the “talk” through the Rodneys and that the Rodneys told Staff Member 1 of Congressman Rangel's Office that Representative Tubbs-Jones was going to have her counsel, Dawn Kelly-Mobley, find a way to make the conference work. The Members would have a few more hoops to go through but “we” would find a

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

- way. Representative Tubbs-Jones would let Ms. Mobley know the conference was a priority and tell her to make it possible. Representative Tubbs-Jones made Ms. Mobley the point of contact on the issue of the conference.
9. Staff Member 1 of Congressman Rangel's Office stated that Representative Rangel also mentioned that Representative Tubbs-Jones told him something similar. Staff Member 1 of Congressman Rangel's Office stated that there was talk about "keeping the conference going." He also stated that "They gave us the framework to keep the conference going." "They" referred to Ms. Mobley and Representative Tubbs-Jones.
 10. Staff Member 1 of Congressman Rangel's Office did not remember any specific conversations with Ms. Mobley, but he would have had them because he would have wanted to make sure he followed her advice. He stated that the 2007 conversations about Standards Committee finding a way to keep the conference going may have also been with Patricia Louis, the Rodney's office manager.
 11. Regarding the structure of the CaribNews Foundation, Staff Member 1 of Congressman Rangel's Office said that the role of the foundation was more formalized as a way to handle the new rules and that it would have come from the Standards Committee staff. The Rodney's were the first people to describe the new structure to Staff Member 1 of Congressman Rangel's Office following their conversation with Ms. Mobley. The Rodney's told Staff Member 1 of Congressman Rangel's Office that they could easily comply because they had always done the conference through the foundation. The Rodney's told him that it should have always been called the CaribNews Foundation's conference.
 12. Before 2007, Staff Member 1 of Congressman Rangel's Office knew that the corporations had a role in the conference because the format was in part to bring businesses together. Members of Congress would talk policy, but the Members' presence was the glamour part of the conference and a way to attract more people. However, policy was not the central focus of the conference. The central focus of the conference was business and increasing investment in the region. The Members of Congress drew government officials from the various Caribbean governments and these government officials drew the businesses.
 13. Staff Member 1 of Congressman Rangel's Office was aware the involvement of other corporations like AT&T, Macy's, Citicorp, etc because they were pretty consistent supporters of the conference. It was clear they were supporters of the conference financially.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended,

14. Staff Member 1 of Congressman Rangel's Office did not talk to Representative Rangel about these matters because the Member does not require that level of detail and does not typically ask for specifics.
15. Staff Member 1 of Congressman Rangel's Office did not remember how he became aware of the 2007 rule changes and did not remember attending any briefings or training about the new rules. He thought he was aware of the limits on the number of days a Member could attend an event paid for by an entity that employs a lobbyist, but he was not certain. Mr. Daley did not think he was aware of rules that made an entity that earmarked money for a trip a sponsor of that trip.
16. In response to the question: At the time of the 2007/2008 conferences, how sponsor was defined? Staff Member 1 of Congressman Rangel's Office stated that the New York CaribNews Foundation was the sponsor of the conference because they were putting on the conference with money from a general fund that would pay for the conference. At that time he became conscious of a need for a 501(c)(3) to be the sponsor.
17. Over the course of several phone calls—most often with Patricia Louis and Faye Rodney, Karl Rodney's wife—Staff Member 1 of Congressman Rangel's Office learned that he CaribNews Foundation was going to raise money from their traditional donors and other entities.
18. The government of St. Maarten was the lynchpin of the 2008 conference. They were the conference's host and they had specific requirements, as host, that were financial in nature. For instance, when a host government owns a conference center and/or hotel then they give the rooms and conference space for free. If they do not own space, then they have to arrange with a hotel to give rooms and space to the conference at an affordable amount. Other host government support includes police escorts, airport greeting services, and security services. They also host an opening reception and key components of the conference.
19. Although it was not typical, Staff Member 1 of Congressman Rangel's Office became the scheduler for Representative Rangel's travel to the conference in 2008 because he played a part in convincing him to go. Staff Member 1 of Congressman Rangel's Office made the reservations for the Member, but did not remember how he did it or whether the Representative had an e-ticket or a ticket in some other form. He did not remember receiving any airline itinerary documents, but it would have been common practice for him to receive one.

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20. Staff Member 1 of Congressman Rangel's Office was shown the pre-travel clearance form submitted to the Standards Committee and stated that he filled it out and the Member signed it. He was shown the post-travel disclosure forms that were submitted to the Standards Committee and stated that he filled them out and the Representative signed them.
21. Staff Member 1 of Congressman Rangel's Office initially said he got the information to fill out the post-travel forms from the Rodneys and stated that he remembered getting a memo from the Rodneys with the cost of the various aspects of the trip on it and that the memo was where he got the dollar amounts for the post-travel forms. He was then asked to explain why the numbers on the post-travel form matched the pre-travel form and not the memo from the Rodneys. Staff Member 1 of Congressman Rangel's Office then said that he could not say for sure that he got the numbers from the memo. He did not remember if the numbers were from the memo or from the pre-travel forms. He was not sure if he checked the cost of the airfare against the airline tickets or if he got it from the pre-travel documents or from the memo.
22. Generally speaking, however, Staff Member 1 of Congressman Rangel's Office would not look behind the numbers given by the Rodneys. He would assume the Rodneys were accurate. Staff Member 1 of Congressman Rangel's Office was not certain how it was done, but thought that the accounting for the conference was simple and the information for each Member would be the same. He did not ask the Rodneys for supporting documentation for the numbers on the memo.
23. After the conference, he spoke to Representative Rangel generally about the event. When the article by Susan Crabtree was published there was a lot of talk about the subject of the article with several people. Staff Member 1 of Congressman Rangel's Office did not remember with whom he spoke. He then said that he spoke with the Rodneys and the Member. Overall there was an opinion that there could not be anything substantive to the article because the travel was approved by the Standards Committee. It was true that the corporations were at the conference, but they did not have anything to do with their Washington, DC agenda because that's not who attended the conference. His conversations with Representative Rangel were brief and were limited to reassuring him that the office followed the rules and got approval for the travel.
24. After the OCE review was initiated Staff Member 1 of Congressman Rangel's Office called other members of the Congressional Black Caucus. Representative Rangel asked him to make the calls and to tell them that he would stand by them and offered his help.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

25. Staff Member 1 of Congressman Rangel's Office recalled a conversation with Representative Carolyn Kilpatrick's chief of staff, Kim Rudolph. Ms. Rudolph addressed the comments that Representative Kilpatrick made in which she thanked the sponsors of the conference. Staff Member 1 of Congressman Rangel's Office explained Representative Kilpatrick's statements to OCE by saying that that regardless of who the real sponsor of the event was, when Members thank the corporations it helps the Rodneys put on the conference -- even if the sponsors are not sponsors under the rule. Staff Member 1 of Congressman Rangel's Office also remembered speaking to Shelly Thomas, Delegate Donna Christensen's scheduler, but did not recall the specifics of the conversation.
26. Staff Member 1 of Congressman Rangel's Office was not aware of any limits on a private foundation paying for overseas travel. He did not know whether the CaribNews Foundation was a private foundation or a public charity.
27. Staff Member 1 of Congressman Rangel's Office was shown a copy of Congressman Rangel's itinerary and a receipt for an electronic ticket. Staff Member 1 of Congressman Rangel's Office stated that he did not remember seeing it before, but that the itinerary was the type of document that would typically be placed in the Congressman's briefing book so that he could provide the information at the airport in order to get his ticket. Staff Member 1 of Congressman Rangel's Office also pointed out that the receipt for the electronic ticket was the sort of document that would only be provided after the Congressman checked in for his flight.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Staff Member 1 of Congressman Rangel's Office on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Staff Member 1 of Congressman Rangel's Office on May 27, 2009.

Omar Ashmawy
Investigative Counsel

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Exhibit 23

09-6333 0254

1594



**THE NEW YORK
CARIBNEWS**
THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
7 WEST 30TH STREET, 8th FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1991; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: Kelly Mobley FROM: Patricia Louis
COMPANY: Committee on Standards of official conduct DATE: 8/20/08
FAX NUMBER: 202-225-7392 TOTAL NO. OF PAGES INCLUDING COVER:
RE: 2008 MULTINATIONAL BUSINESS CONFERENCE

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Following is the completed form and attachments re travel for Members of Congress to attend the 2008 Multinational Business Conference scheduled for November 6-9, 2008 in St. Maarten.

Regards

09-6333 0255

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CN-000112



*Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com*

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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CN-000113

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of this form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES.
6. Dates of travel: NOVEMBER 8-9, 2008
7. Cities of departure - destination - return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-night's lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

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11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (* SEE ATTACHED)

13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN

14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

16. I represent that either (check one of the following):
a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____

17. Reason for selecting the location of the event or trip: SEE ATTACHED

18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT.

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00

20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS


21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	260	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150	Conference Registration
For each accompanying family member	\$ 25	Local transportation

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (initial "Yes" by checking box): Yes

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
 Name and title: KARL B. RODNEY, CEO
 Organization: CARIB NEWS FOUNDATION
 Address: 7 WEST 30th STREET, 8th FLOOR, NEW YORK, NY 10018
 Telephone number: (212) 944-1891
 Fax number: (212) 944-2089
 Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
Congressman Charles Rangel
Congressman Donald Payne
Congresswoman Donna M. Christensen
Congressman Edolphus Towns
Congressman G. K. Butterfield
Congressman Gregory Meeks
Congressman Jesse Jackson Jr.
Congressman Keith Ellison
Congressman Kendrick Meek
Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008

09-6333 0260

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CN-000117



Carib News Foundation
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E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Item #17

A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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CN-000118

**MEMBERS OF CONGRESS INVITED TO THE
13th Annual Multinational Business Conference
St. Maarten, November 6-9, 2008**

Congressman William Lacy Clay
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131 Cannon HOB
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Fax: 202-226-3717

Congresswoman Diane E. Watson
US House of Representatives
125 Cannon HOB
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Phone: 202-225-7084
Fax: 202-225-2422

Congressman Kendrick Meek
U.S House of Representatives
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Phone: 202-225-4506
Fax: 202-226-0777

Congressman David Scott
U.S House of Representatives
417 Cannon HOB
Washington, DC 20515
Phone: 202-225-2929
Fax: 202-225-4268

Congressman James Clyburn
US House of Representatives
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Washington, DC 20515
Phone: 202-225-3315
Fax: 202-225-9253

Congressman Robert Scott
U.S House of Representatives
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Fax: 202-225-8354

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Congressman Charles Rangel
 U.S House of Representatives
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Fax: 202-225-0186

Congressman Donald Payne
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Phone: 202-225-3436
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Congresswoman Donna M. Christensen
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Fax: 202-225-5517

Congressman Edolphus Towns
 U.S House of Representatives
 2232 Rayburn HOB
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Fax: 202-225-6018

Congressman G. K. Butterfield
 U.S House of Representatives
 413 Cannon HOB
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Congressman Gregory Meeks
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Fax: 202-225-4169

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CN-000120

Congressman Jesse Jackson Jr.
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Congressman Keith Ellison
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Congresswoman Maxine Waters
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Fax: 202-225-7854

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Fax: 202-225-1512

Congresswoman Barbara Lee
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Fax: 202-225-9817

Congresswoman Caroline Cheeks Kilpatrick
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Fax: 202-225-5730

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Congresswoman Corrine Brown
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Congressman Danny Davis
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Fax: 202-225-5641

Congresswoman Stephanie Tubbs-Jones
U.S House of Representatives
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Congressman Bennie Thompson
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Washington, DC 20515
Phone: 202-225-5876
Fax: 202-225-5898

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4

Working Copy

13th Annual Caribbean Multi-National
Business Conference Program
St. Maarten, N.A.

THEME: A REGION IN TRANSITION -- STRATEGY FOR
CHANGE -- BUILDING ALLIANCES

Thursday, November 6, 2008

12:00 noon - 6:00pm : **CONFERENCE REGISTRATION -**

5:00 - 6:30 - **TOWN HALL FORUM - HEALTH**
Healthy Eating and Living
Innovative Solutions for Life styles
Diseases- a Collaborative Approach - Diabetes,
Hypertension, Obesity, Oral Health

Venue - **ROYAL ISLANDER**
Moderator - **Dr. Marcia Bayne Smith**

Speakers - **Mrs. Karen Boykin-Towns**
Congressmember Dr. Donna Christian Christensen
Commissioner Maria Buncamper Molanus, Health
Commissioner, St. Maarten
Dr. Leon Merrick
Dr. Jay Cohan
Dr. John Palmer

6:30- 7:30pm - **OPENING RECEPTION**
Venue: - **UPPER POOL**

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CN-000123

1606

Theme: - *A REGION IN TRANSITION -*
Speakers: - Faye A. Rodney
Hon. Roy Marlin - Commissioner for Tourism & Finance - St
Maarten.

MC: - Sabrina Hosang - Royal Caribbean Bakery
7:30 - 9:30 pm - OPENING DINNER

Venue: - MAHO ROOM

Theme: - *STRATEGY FOR CHANGE*

MC - Judge Sylvia Hinds-Radix

Speakers: - Susan Taylor

Hon. Donald Payne, Member US Congress

10:00pm - 11:00am: - HOSPITALITY AREA

Friday, November 7, 2008

8:00am - 8:45am - (BREAKFAST) - OCEAN TERRACE

2

09-6333 0267

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CN-000124

1607

9:00am - 6:00pm	CONFERENCE REGISTRATION OCEAN TERRACE LOBBY
	CONFERENCE SECRETARIAT SABA ROOM

9:00am - 10:15am - OPENING CEREMONIES

Venue: - MAHO ROOM

Theme: - BUILDING ALLIANCES FOR PROGRESS

Invocation: - Rev. Susan Johnson-Cook

Anthems: - St. Maarten
Lift Every Voice

Remarks: - Eric Eve
Karen Boykin-Towns
Marie Long
Ed Goldberg
Patrick Gaston
Hazel Dukes

Speakers: - Hon. Carolyn Cheeks Kilpatrick - Member
US Congress
Commissioner Sarah Wescot-Williams, Leader
of Government, St. Maarten
Harry Belafonte

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RIBBON CUTTING CEREMONIES

10:15am - 10:30am - COFFEE BREAK -

10:30am - 11:45am - PRESENTATION

EDUCATION - TECHNOLOGICAL ADVANCES - COLLABORATION

Moderator - Dr. Emmanuel Gonsalves
Greetings - Marie Long
Speakers - Dr. Edison Jackson
Patrick Gaston
Dr. Marcia Keizes
Congressmember Eddie Bernice Johnson
Professor Rex Nettleford

12:00 noon - 1:15p.m. PRESENTATION

TRAVEL AND TOURISM -

A Transformative Approach

Moderator - Lloyd Williams
Greetings - Wallace Ford
Speakers - Commissioner Roy Marlin
Howard Dodson
Congressman Gregory Meeks
Professor Rex Nettleford
Dr. Martin Valdes

1609

12:00 noon - 1:15p.m - PRESENTATION
*HEALTH - AN ECONOMIC DEVELOPMENT
STRATEGY FOR THE REGION*

Greetings - Karen Boykin-Townis

Moderator - Yvonne Graham

Speakers - Dr. Denzil Douglas
Dr. Carl Kenel Pierre
Commissioner Maria Buncamper Molanus, Health
Commissioner, St. Maarten
- Dr. Dexter McKenzie

1:30pm - 2:30pm - LUNCHEON

Venue: - Main Ballroom

Theme: - *BUILDING A WINNING STRATEGY - PARTNERSHIPS
THAT WORK*

Moderator: - Hon. Nick Perry, NYS Assembly

Speakers - Eric Eve

Keynote Speaker - Hon. David Paterson, Governor New York State

3:00pm - 4:00pm

Round Table # 1 -

Theme - *EDUCATION - THINKFINITY PRESENTATION &
DEMONSTRATION*

5

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CN-000127

1610

Venue - Royal Islander A
MC - Dr. Patricia Hazlewood
Speakers - Patrick Gaston
Albert Browne

Round Table #2 -

Theme - *LOCAL BUSINESS INITIATIVES*
Opportunities with US Companies

Venue - Royal Islander B
Speakers: - Ed Goldberg
Eric Eve
Albert Browne

4:30pm - 6:00pm - *TOWN HALL FORUM - EDUCATION*
Venue - Royal Islander A
Theme : *EDUCATION -- SHARING WORKING SOLUTIONS*
Greetings : Michael Flanigan
Moderator : Dr. Marcella Maxwell

Speakers: - Hon. David M. Dinkins - Former Mayor, NYC
Dr. Edlson O. Jackson
Dr. Marcia Kelzs
Professor Rex Nettleford, UWI
William Payne, Assemblyman New Jersey

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09-6333 0271

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CN-000128

1611

Venue:
6:30:00pm - 7:30pm - *HOST COUNTRY EVENING*
Venue:
Theme
MC:
Speakers:
7:30 - 8:00 pm:
8:00pm - 10:30 pm.
Venue:
Theme
10:00pm - 1:00am - *HOSPITALITY AREA*

The diagram consists of two arrows. The first arrow starts at the 'Speakers:' line and points to the 'CULTURAL PRESENTATION' text. The second arrow starts at the 'Theme' line and also points to the 'CULTURAL PRESENTATION' text.

CULTURAL PRESENTATION

Saturday, November 8, 2008

7:45 am - 8:15 am = BREAKFAST
Venue - OCEAN TERRACE
8:30am - 10:00am - PRESENTATION
Theme WOMEN OF INFLUENCE AND POWER
Venue: MAHO BEACH

- Greetings & Opening Remarks -** - Brenda Scott
- Moderator** - Marilyn Johnson
- Speakers:** - Commissioner Sarah Wescot-Williams, Leader of Government Business
- Dame Billie Miller, former Deputy Prime Minister, of Barbados
- Dr. Marcia Keizs, President York College
- Michelle Paterson, First Lady New York State
- Congresswoman Eddie Bernice Johnson
- Rev. Dr. Susan Johnson-Cook
- 10:15am - 11:45am** - **PRESENTATION**
- Theme** - **THE CARIBBEAN, IT'S VALUE & POTENTIAL**
- Venue** - Royal Islander A
- Greetings** - Wallace Ford
- Moderator** - Dame Billie Miller
- Speakers** - Hon. P. J. Patterson, former Prime Minister, Jamaica
- Hon. Dr. Ralph Gonsálves, Prime Minister St. Vincent and the Grenadines
- Hon. Dr. Denzil Douglas, Prime Minister, St. Kitts-Nevis
- Congressman Charles Rangel
- 12:00 noon - 1:30 p.m.** - **PRIVATE MEETING -- CLOSED DOOR SESSION**
with Members of Congress and Ministers of Government

1613

12:00 noon - 1:15 p.m. PRESENTATION

Theme - *TRADE & INVESTMENT - CREATIVE SOLUTIONS*
RETOOLING FOR GROWTH - PARTNERSHIP AT WORK

Venue - Royal Islander A

Greetings - Michael Flanagan

Moderator - Ivanhoe Efriend

Speakers - Adolfo Carrion, Borough President, Bronx, New York
Compton Bourne, President Caribbean Development Bank
- Congressman Edolphus Towns
- Eric Eve

12:00 noon - 1:15 pm PRESENTATION

Theme - *Hemispheric Security - Ports/Airports -*
Crime - Drugs - Disaster

Venue - Royal Islander B

Greetings - Karl Brown, Deputy Borough President, Bronx
- Judge Sylvia Ash

Moderator - Tony Best

Speakers - Congressman Bennie Thompson
- Commissioner Theo Heyliger, St. Maarten
- Professor Ivelaw Griffith
- Hon. Lance Ogiste, Brooklyn District Attorney

1:30 pm - 2:30 pm LUNCHEON

Venue - MAHO ROOM

9

09-6333 0274

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1614

Theme: *CARIBBEAN - A SOLID INVESTMENT*

Greetings - Hon. Darryl Towns

Speakers - Hon. William Thompson, NYC Comptroller
Dr. Compton Bourne, President CDB

Round Table #1 - *IMMIGRATION/DEPORTEES*

Venue - Royal Islander A

Moderator - Dr. Marco Mason

Speakers - Congressman Bennie Thompson
Hon. Eddie Bernice Johnson, Member US Congress
Attorney Stanford Rubenstein
NYC Councilmember Dr. Kendall Stewart

Round Table#2: - *HAITI - BOLD INITIATIVES - THE DIASPORA-
CBC AND CARICOM*

Venue - Royal Islander B

Moderator - Hon. Yvonne Graham

Speakers - Hon. Charles Rangel
Hon. Gregory Meeks
Hon. Ralph Gonsalves
Dr. Lesly Kernisant

4:30 pm - 6:00pm - *TOWNHALL FORUM - TECHNOLOGY*

Venue: Royal Islander A

10

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1615

Greetings & Opening - Marie Long

Moderator - Colin Ward

Speakers - Congressman Edolphus Towns
Albert Brown
Commissioner of Technology

6:30 - 7:30pm - GALA RECEPTION

Speakers - Susan Taylor

7:30pm - 10:00pm - GALA DINNER & AWARDS PRESENTATION

Speaker - Dr. Harry Belafonte
Hon. Sarah Wescot-Williams, Leader of Government
Business

AWARDS PRESENTATION

ENTERTAINMENT

10:30pm - 1:00am - HOSPITALITY AREA

Venue: HOTEL CONFERENCE ROOM

Sunday, November 9, 2008

8:30 am - 10:00am POWER BREAKFAST

11

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1616

Theme: - *SPIRITUALITY - THE BASICS IN BUSINESS*
Greetings: - *Lowell Hawthorne*
Speakers: - *Ed Goldberg*
Selection: - *Local Choir*

October 13, 2008

12

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1617

Exhibit 24

09-6333 0278

1618

10/21/2008 15.05 FAX 1009190201 ORIGINAL CARRIABLE
2011 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
SALES PERSON: GC ITINERARY DATE: 27 OCT 08
PAGE: 01

Room 1002

TO: CARIS NEWS ATTN PAT 212-944-2089-FAX
ATTN GEORGE DALLEY /CONGRESSMAN RANGEL/ 202-225-08

FOR: RANGEL/CHARLES

07 NOV 08 - FRIDAY				
AIR AMERICAN AIRLINES	FLT:667	ECONOMY	745A	FOOD FOR PURCHASE
LV NEW YORK JFK				EQP: BOEING 757
DEPART: TERMINAL 8				04HR 05MIN
AR ST MAARTEN	1250P			NON-STOP
RANGEL/CHARLES	SEAT-27D	AA-HAD6146		
09 NOV 08 - SUNDAY				
AIR AMERICAN AIRLINES	FLT:660	ECONOMY	200P	FOOD FOR PURCHASE
LV ST MAARTEN				EQP: BOEING 757
AR NEW YORK JFK	540P			04HR 40MIN
ARRIVE: TERMINAL 8				NON-STOP
RANGEL/CHARLES	SEAT-18B	AA-HAD6146		

*****ATTN AAD *****
 AIRFARE 752.15 ROUNDTrip COACH. THE 5 PERCENT DISCOUNT FROM THE GROUP MEETING CONTRACT HAS BEEN APPLIED
 THIS IS A NON-REFUNDABLE FARE.
 I GUARANTEE THE PRICE THE TICKET MUST BE ISSUED BY OCTOBER 27TH. IF THE TICKET IS PURCHASED ON A LATER DATE THE RESERVATION WILL BE RE-PRICED ON THE DAY THE TICKET IS ISSUED.
 AFTER THE TICKET IS ISSUED ** CHANGES** 150.00 FEE /TICKET CHANGE/ AND A POSSIBLE FARE DIFFERENCE.
 THANKS,
 DONNA
 ELECTRONIC TICKET

STAPLE HERE A12

PASSENGER TICKET AND BAGGAGE CHECK
 ELECTRONIC TICKET
 American Airlines
 PASSENGER RECEIPT 1011 US
 27 OCT 08
 NOT VALID FOR TRAVEL
 NOT VALID FOR TRAVEL

FARE	658.00	
TAX	30.00	
CHG	2.50	
TOTAL	752.15	

00112184722104 001 2190158847 6

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1619

Exhibit 25

09-6333 0280



DEPARTMENT OF HEALTH & HUMAN SERVICES

Office of the
General CounselOffice of the
Secretary
Washington, D.C.
20201

June 2, 2000

MEMORANDUM

TO: Deputy Ethics Counselors
National Institutes of Health

FROM: Karen Santoro
Ethics Counsel

SUBJECT: Outside Activities of NIH Employees with Foundations

Recently, a provision of the Internal Revenue Code has been brought to my attention that has serious ramifications for NIH employees who have previously received approval to engage in outside employment activities with private foundations. The statute imposes a tax on "each act of self-dealing between a disqualified person and a private foundation." 26 U.S.C. § 4941(a)(1). This statute, which was enacted in 1969, was intended to curb private foundations' attempts to influence Government officials. The Internal Revenue Service has issued a number of revenue rulings with respect to Government employees and prospective activities with private foundations.

Issue: The Internal Revenue Service imposes an individual penalty tax of up to 200% of the amount of compensation from a private foundation to certain Government officials conducting outside activities with these foundations.

Conclusion: Certain Government officials should refrain from accepting compensation (other than travel expenses within the United States) for outside activities with private foundations.

Discussion: Specifically, the statute imposes a tax on each act of self-dealing by a disqualified individual equal to five percent of the amount involved for each year in the taxable period. 26 U.S.C. § 4941(a)(1) [1]. In any case in which an initial tax is imposed on an act of self-dealing which is not corrected within the taxable period, a tax equal to 200 percent of the amount involved will be imposed on the disqualified person. 26 U.S.C. § 4941(b)(1)[2].

The Code defines "self-dealing" as any direct or indirect agreement by a private foundation to make any payment to certain enumerated government officials. 26 U.S.C. § 4941(d)(1)(F)[3]. Government officials who are "disqualified persons" within the scope of this restriction are elective officials, Presidential appointees, Schedule C employees, and individuals compensated at or above GS-15-7 levels, irrespective of their appointment mechanism (Title 42, 5 CFR, Commissioned Corps), and personal or executive assistants or secretaries in any of the foregoing. 26 U.S.C. § 4946(c). Excluded from the definition of government employees is a "special governmental employee" as defined in 18 U.S.C. § 202(a)[4]; Treas. Reg. 53.4946-1(a)(1).

Also excluded from the definition of self-dealing are agreements to employ an individual for any period after the termination of his government service if that individual is terminating his government service within a 90 day period. 26 U.S.C. § 4941(d)(1)(F). For purposes of the statute, a "private foundation" has the same meaning as in 26 U.S.C. § 509. A website for obtaining the status of a foundation can be found at http://www.irs.ustreas.gov/bus_info/eo/eosearch.html.

09-6333 0281

Government officials who are within the scope of this statute will be required to pay the tax only if the individual participates in the act of self-dealing knowing that it is an act of self-dealing. 26 U.S.C. § 4941 (a)(1). The regulations define "knowing" to include those situations when: the individual has actual knowledge of the facts that such a transaction would be self-dealing; he is aware that the act violates Federal tax law governing self-dealing; and he negligently fails to make reasonable attempts to ascertain whether the act is an act of self-dealing or he is in fact aware that it is in an act of self-dealing. Treas. Reg. § 53.4941 (a)(1)-(b)(3). The burden is thus on the individual to determine whether he or she is liable under the statute.

An individual's participation in an act of self-dealing will not be considered "knowing" if the individual, after full disclosure of the factual situation to legal counsel, relies on the advice of counsel expressed in a "reasoned written legal opinion" that an act is not an act of self-dealing within the meaning of the statute, even if the act is subsequently determined to be self-dealing. Treas. Reg. § 53.4941(a)(1)-(b)(6).

Finally, a tax for self-dealing will not be imposed under the following circumstances: certain nontaxable prizes and awards if the recipients are selected from the general public; nontaxable scholarships and fellowship grants; qualifying annuity plans; contributions or gifts not exceeding an aggregate of \$25; payments made under the government employee training program in 5 U.S.C. Ch. 41; and payment of travel expenses within the United States. 26 U.S.C. § 4941 (d)(2)(B); Rev. Rul. 131, 1974-2 C.B. 385.

As a result of this statute, NIH employees paid at or above the GS 15-7 level are effectively prohibited from receiving compensation from a private foundation for activities such as teaching, writing, advising, or consulting. The Internal Revenue Service may serve a deficiency notice upon an employee, who would then be forced to pay the tax or contest the assessment.

Effective immediately, all requests for approval of outside activities must be reviewed in accordance with the statutory provision at 26 U.S.C. § 4941. The compensation level of the individual requesting approval must be considered in conjunction with an analysis of the nature of the private entity offering the opportunity for compensation. Previously approved outside activities with foundations for NIH employees who are paid at or above the GS 15-7 level should be reviewed to determine the status of the foundation. Should the status of the foundation be private, any affected employee must be advised to either accept only travel expenses within the United States for his/her service or resign from such service. Employees who have questions whether payment to them by a private foundation constitutes acts of self-dealing should consult private counsel.

For your information, the Foundation for the National Institutes of Health (FNIH) and the Foundation for Advanced Education in the Sciences (FAES) are. For your information, the Foundation for the National Institutes of Health (FNIH) and the Foundation for Advanced Education in the Sciences (FAES) are not considered private foundations for purposes of this prohibition.

The NIH Office of the Legal Advisor has reviewed and concurs in this opinion.

cc: Robert Lanman, Stephen Benowitz

[1] When a tax is imposed on a self-dealer, a corresponding tax of 2.5 percent is imposed on any foundation manager unless the participation by the foundation manager is not willful and is due to reasonable care. 26 U.S.C. § 4941(a)(2). A maximum tax of \$10,000 may be imposed. 26 U.S.C. § 4941 (c) (2).

[2] The "taxable period" is defined as the period beginning with the date on which the act of self-dealing occurs, and ending on the earliest of: the date that a deficiency notice is mailed by the I.R.S.; the date on which the tax is assessed; or the date on which the correction of the act of self-dealing is completed. 26 U.S.C. § 4941 (e), Treas. Reg. § 53.4941 (a) -1 (a) (1). As with the initial tax, a corresponding additional tax of 50 percent will be imposed on any foundation manager who refuses to agree to the correction. 26 U.S.C. § 4941 (b) (2). As with the initial tax, the penalty on a foundation manager is limited to \$10,000. 26 U.S.C. § 4941 (c) (2).

[3] The statute also defines "self-dealing" to include: the sale or exchange, or leasing, of property; the lending of money or other extension of credit; the furnishing of goods, services, or facilities; payments to a disqualified person as defined in 26 U.S.C. § 4946 (a) (such as substantial contributors; foundation managers; holders of 20 percent of the voting power or beneficial interests; family members of disqualified individuals, or a corporation, partnership, or trust holding a 35 percent interest); and transfer to or use by a disqualified person of the foundation's income or assets. 26 U.S.C. § 4941 (d).

[4] 18 U.S.C. § 202 (a) defines "special Government employee" as temporary employee appointed for a term not to exceed 130 days, a part-time U.S. commissioner or magistrate, a part-time member of Congress, or a Reserve or National Guard officer.

09-6333 0282

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EXHIBIT 26

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Delegate Donna Christensen
Review #: 09-3698; 09-2222; 09-2567; 09-4403; 09-6333
Date: May 4, 2009
Location: 1510 Longworth HOB, Washington, DC
Participants: Leo Wise
Beth Horton

Summary: Delegate Christensen attended the 13th Annual Caribbean Multinational Business Conference. We requested an interview with Ms. Christensen and she consented. Delegate Christensen was given an 18 U.S.C. § 1001 warning and she signed a written acknowledgement of the warning. Ms. Christensen made the following statements in response to our questioning:

1. She attended the 13th Annual Caribbean Multinational Business Conference in 2008.
2. The conference was held in St. Maarten.
3. She arrived in St. Maarten on the Thursday after the election and remained in St. Maarten through the following Saturday.
4. She has had a long relationship with the Carib News Foundation and she introduced the topic of healthcare at the 2008 conference. She is always invited to participate at the conference.
5. Carib News sponsored the conference.
6. She attended a town meeting when she arrived at the conference.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

7. The Rodneys owned the Carib News paper.
8. She assumed the Foundation arm is not unlike other companies not-for-profit arms.
9. She was invited to the conference via letter, but is not aware who signed the letter. Carl and Faye Rodney would have been responsible for inviting her.
10. The government of St. Maarten participates with the Foundation in holding the conference and the government hosts a reception and welcomes participants at the opening. The head of the government speaks at discussions with other heads of government and arranges some type of cultural performance, such as a children's choir.
11. As far as she knew, Carib News sponsored the conference.
12. She understood that the sponsor would be responsible for setting up the hotel, organizing the agenda for the conference, and inviting individuals to participate.
13. The Foundation paid the expenses for the conference.
14. When asked if the Foundation paid all of the expenses, Ms. Christensen asked if the answer was in the record; she was told by Mr. Wise that the board has the post disclosure report. She stated that the Foundation paid for travel and hotel expenses and general activities at the conference that conference participants attended.
15. She saw her airline ticket.
16. She did not recall seeing a hotel bill but she remembered checking into the hotel and stated that she probably received a bill when she checked out because she was responsible for any incidental expenses.
17. She did not know if the government of St. Maarten paid for her hotel room.
18. She paid for one breakfast herself and recalled that the hotel was an all-inclusive hotel and that all other meals were part of the conference. She further stated that the government of St. Maarten paid for a reception, which included food, and that she attended the reception.
19. She attended a dinner on Thursday night which she assumed the cost of was paid by the Foundation.
20. Shelly Thomas handled all of her travel arrangements.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended.

21. The Foundation booked her travel with Shelly's help. The Foundation would have arranged the airline ticket because the organization had its own travel agent.
22. She stayed at the hotel Thursday and Friday night and that she stayed in a standard room. She recalls that there was a desk in the room and a balcony but she doesn't recall there being more than one room.
23. Her husband did not attend the conference.
24. All travel is arranged with Shelly Thomas. She tells Shelly when she can travel.
25. The Foundation handled travel approval directly through the ethics committee and she and Shelly handled post travel reports.
26. Shelly filled out the post-travel form and that she signed the form. It is her signature on the form.
27. She guessed that Shelly knew the hotel cost but that she did not know where the information for the reported dollar amounts came from. She stated that when she registers at conferences, most places provide the cost of the room.
28. She stated that the office attended the CBC training.
29. The handwriting on the post-travel report looked like her handwriting but she was not sure, she believed that Shelly filled out the form.
30. She believed that she received a letter that included the post-travel information, including the dates of travel. She believed that the Standards Committee would have approved the trip prior to her receiving the invitation.
31. She may have seen the private sponsor certification form, she believed that she would have seen it because she could not have attended without clearance from the Standards Committee.
32. She did not believe that she had any direct contact with the Standards Committee as Shelly was the only person who dealt with the conference.
33. When asked if there were any issues with the 2007 conference in Antigua, Ms. Christensen didn't believe there had been but she was not certain.
34. She stated that she asked other Members if they would be attending the conference.

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35. She does not recall receiving approval from the Standards Committee for the 2007 conference.
36. She has not met with Standards regarding any travel matter.
37. She had asked other Members at a CBC meeting if they would be attending the conference and she reminded those that would be attending to get their paperwork to the Standards Committee on time for trip approval.
38. She did not speak with any Members of the Standards Committee regarding the conference except for Representative Stephanie Tubbs Jones. She only spoke with Representative Tubbs Jones because she herself was attending the conference.
39. She stated that Representative Tubbs Jones counsel, Dawn Kelly Mobley, may have attended a meeting where she was present and that she may have brought information to explain what the Members could and couldn't do under the new rules.
40. She did not recall any one-on-one conversations about the '08 or '07 conference.
41. She arrived at the conference on Thursday and recalls seeing the banner that listed the conference sponsors. It appeared in all rooms.
42. When asked what the role of the corporate sponsors was at the conference, Ms. Christensen stated that they contributed to the Foundation.
43. She stated that she did not know what made the Foundation the sponsor, she was not aware of that type of detail. Most of the companies have some type of sponsorship at the conference. She did not know if they gave directly to the Foundation or to the Conference. The sponsors generally greet participants and participate in the introduction and at the reception.
44. The definition of sponsor had been explained to her, not in reference to the '08 conference but in general it was explained to her. She recalled discussing what she generally could and couldn't attend.
45. She attended the dinner on Thursday but does not recall if Representative Payne thanked the sponsors.
46. Representative Payne generally talks about issues that he is involved in when speaking at the conference.

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47. She spoke at the conference and at the Town Hall meeting. She also participated in another panel but the Town Hall was her main involvement. And she attended the opening session.
48. She recalled Representative Kilpatrick speaking at the conference but she did not recall any specific remarks.
49. She was aware that the Foundation was the sponsor of the conference. It did not occur to her that the information on the pre-travel certification was incomplete.
50. She was not aware of how the expenses for the trip were paid, once the Standards Committee approved the trip then she knew she could go and didn't think any more of the trip sponsor.
51. She did not attend the closed door meeting.
52. She generally spoke about deportation and how it affects countries. Trade is also a large issue, and Haiti.
53. She did not receive any reports on any sessions at the conference, not in any formal way. She may have received something in '07 but she could not recall for sure.
54. She participated at the ribbon cutting at the '08 conference. Heads of government and the Rodneys also attended.
55. She recognized the photo that was taken at the ribbon cutting, she recognized her skirt in the picture. She knew the Macy's representative and Representative Kilpatrick, who were also in the photo, and thinks one of the individuals may have been the AT&T representative.
56. She did not meet with any of the co-sponsors, there was no specific meeting. The conference meetings have never been about company specific issues.
57. She attended other sessions at the conference, Representative Kilpatrick also attended one of the sessions that she attended.
58. She attended the opening ceremony, the health and economic sessions, the reception, the ribbon cutting, and the women's breakfast meeting. She can't recall if she attended the education session and she did not go to the hospitality area.
59. The Rodneys attended a luncheon/dinner on the Hill after the conference.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

60. She did not talk about the conference itself with the Rodneys, other than that she knew of the investigation.
61. At a Caribbean Caucus meeting she spoke with Representative Payne and Kilpatrick about the fact that they were all going through the investigation.
62. She talked with Representative Payne briefly, just in passing, noting that they did not like the new ethics rules.
63. Representative Kilpatrick shared a letter with her that she had been sent since they were both being investigated.
64. She did not have any in-depth conversations with any Members, the Members merely spoke about the fact that they were not happy about the investigation.
65. There were no discussions at any CBC meetings because they felt that they were not supposed to talk about the investigation.
66. She does not attend any events without receiving approval from the Standards Committee. After receiving approval, she does not ask any questions about who is paying the expenses. She assumes all questions have been asked and answered by the Standards Committee. So, nothing she saw at the conference would have raised any flags.
67. She believed that the Foundation is involved in various activities in NY but does not know of any specific activities.

Beth Horton
Investigative Counsel

**ZUCKERMAN SPAEDER LLP**1800 M STREET, NW SUITE 1000
WASHINGTON, DC 20036-5807
202.778.1800 202.822.8108 fax www.zuckerman.comLeslie B. Kiernan
Partner
(202) 778-1848
lkiernan@zuckerman.com

October 22, 2009

BY HAND DELIVERYR. Blake Chisam
Chief Counsel/Staff Director
Committee on Standards of Official Conduct
HT-2, U.S. Capitol
Washington, DC 20515RECEIVED
2009 OCT 22 PM 1:45
COMMITTEE ON STANDARDSRe: Response of Representative Charles B. Rangel to the May 29, 2009
Report of the Office of Congressional Ethics Regarding
the 13th Annual Caribbean Multi-National Business Conference

Dear Blake:

I am writing on Representative Rangel's behalf in response to the May 29, 2009 Report of the Office of Congressional Ethics ("OCE") in Review No. 09-6333 ("OCE Report"). Attached to this letter, please find Representative Rangel's Statement in Response to the Report. In addition, and for the reasons set forth below, we believe that the OCE acted outside its authority in connection with this review and did not comply with H. Res. 895, the resolution governing its conduct, or with its own rules. The result is an ultra vires report that is wrong on substance and biased in approach. Accordingly, we request that the Standards Committee determine that the OCE's recommendation, report and findings are without legal effect and not lawfully subject to public disclosure.

Factual Background

At the outset, we note that the OCE Report presents a distorted picture of the 13th Annual Caribbean Multi-National Business Conference ("2008 Conference"), based on information that has never been made available to Representative Rangel and disregarding the reliable information that we have furnished on the Representative's behalf. A fair review of the actual facts related to his participation in the 2008 Conference establishes that he complied with the ethics rules in connection with the conference, whose purpose was to advance trade and commercial relations between the United States and our allies in the Caribbean. Representative Rangel's participation in the 2008 Conference was approved by the Standards Committee and his pre- and post-travel certification forms were properly filed. The forms were prepared by Representative Rangel's then-Chief of Staff George Dalley, and

2403868.5

WASHINGTON, DC

NEW YORK

TAMPA

BALTIMORE

WILMINGTON, DE



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Mr. Dalley reasonably relied upon the information provided by the Carib News Foundation to complete the forms.

OCE Violations of H. Res. 895 and Its Own Rules

The OCE failed to comply with the substantive and procedural legal requirements governing its review in at least the following respects:

1. ***Failure to Commence Preliminary Review Within Required Time Frame:*** H. Res. 895 mandates that a preliminary review commence within seven calendar days (excluding Saturday, Sunday and legal holidays) after receipt of a joint written request from two members (one nominated by the Speaker and one nominated by the Minority Leader) of the OCE Board. H. Res. 895, § 1(c)(1)(A). Here, the OCE Report (¶ 9) acknowledges that two members of the OCE Board requested a review on February 23, 2009, but the preliminary review did not begin until March 6, 2009, which was outside the seven-day period mandated by the resolution. Since the OCE did not commence the review within the required time period, its review is void *ab initio*.¹

2. ***Failure to Complete Preliminary Review Within Required Time Frame:*** H. Res. 895 requires that the Board complete the preliminary review and vote on whether to commence a second-phase review within 30 calendar days after receipt of the joint written request referenced above. H. Res. 895, § 1(c)(1)(B)-(C). Here, the OCE Report (¶ 10) acknowledges that the Board did not vote to initiate a second-phase review until March 27, 2009, which is outside the 30-day limit. Since this vote did not occur within the required time period, the matter was terminated as a **matter of law**. H. Res. 895, § 1(c)(1)(C). Accordingly, all actions of the OCE after expiration of the 30-day period -- its recommendations, report and findings -- were taken without authority and are without legal effect.

3. ***Failure to Complete Second-Phase Review Within Required Time Frame:*** The OCE had 45 days for its second-phase review, and could only extend that period once by fourteen days. H. Res. 895, § 1(c)(2). That review period, once extended, ended on May 25, 2009, not May 26. Even after May 26, the OCE continued to gather evidence in violation of the resolution, which mandates completion of the review within the time period allotted. The interviews of "Staff Member 1" of his Representative Rangel's office and Representative Rangel were conducted on May 27 and May 28, respectively, which was after the second-phase review expired, and accordingly, OCE had no authority to conduct those interviews or include information gathered from those interviews in its recommendation, report or findings. Because we have not had access to the entire

¹ The report also does not affirmatively state that one of the two members who requested the review was nominated by the Speaker and the other by the Minority Leader. It is accordingly unclear whether or not OCE met that requirement in connection with the preliminary review.



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record in this matter, we do not know what additional activities may have also occurred outside the legally mandated period of review.

4. ***Unlawful Conclusion Regarding Validity of Allegations and Improper Delegation of Authority to Staff:*** Under H. Res. 895, any findings shall not include “any conclusions regarding the validity of the allegations” or “the guilt or innocence of the individual who is the subject of the review.” H. Res. § 1(c)(2)(C)(i)(II). In direct violation of this provision, the OCE Report includes a “Note on Culpability,” in which the OCE volunteers its opinion on various aspects of Representative Rangel’s conduct and purports to make a credibility determination regarding statements provided during the interview of Representative Rangel. (OCE Report ¶¶ 6-7)

Furthermore, pursuant to the resolution it is the **Board** that has the authority to make findings, not the staff. No Board member was present at the interview of Representative Rangel and accordingly no Board member was in a position to evaluate his credibility. Even if this credibility determination were considered a finding of fact rather than a thinly veiled comment on guilt, there is no authority in the resolution for such a determination to be delegated to staff. Indeed, it is black letter law that credibility determinations require in-person observation by the actual trier of fact. *See, e.g., Marshall v. Lonberger*, 459 U.S. 422, 435 (1983) (“Face to face with living witnesses the original trier of the facts holds a position of advantage from which [others] are excluded.”) (internal quotation marks and citation omitted).

The problem is particularly acute because the interview was not transcribed, leaving no record for the Board to consider. Indeed, the substance of the interview cannot be ascertained with any confidence.²

5. ***Review of Alleged Violation That Predates Adoption of Resolution:*** H. Res. 895 provides that “[n]o review shall be undertaken by the board . . . of any alleged violation that occurred before the date of adoption of this resolution,” which was March 11, 2008. H. Res. 895, § 1(e). Despite this clear mandate, 37 of the paragraphs of the OCE Report -- about one-third of the total -- are devoted to a discussion of the approval process for the “12th Annual Caribbean Multi-National Business Conference,” which took place in November of 2007. By the very terms of the resolution, the OCE does not have jurisdiction to review this conduct and should not have relied upon it in connection with its recommendation, report and findings.

6. ***Reliance on Highly Prejudicial And Legally Irrelevant Evidence:*** Quite apart from this jurisdictional deficiency, the OCE’s inclusion of the 2007 material is both highly prejudicial and legally irrelevant. The OCE Report casts unfounded aspersions on the conduct of the 2007

² In fact, on the issue as to which the OCE staff purports to make a credibility determination, in our view the interview memorandum is not a complete and accurate reflection of the questions and answers.



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Conference organizers and the former counsel to the then-Chair of the Standards Committee.³ In the process, the OCE smears the Members who attended the trip -- despite the absence of any evidence linking Representative Rangel or the other Members to the Standards Committee counsel's communications with the Carib News Foundation staff.

Furthermore, the OCE acknowledges that it did not obtain information from the Standards Committee or interview any Committee witnesses regarding the approval process for the 2008 Conference (§ 52). As a result, the OCE cannot offer **any evidence** to support its speculation (§ 88) that the events surrounding the approval in 2007 bear any relationship to the process one year later. The record assembled by the OCE cannot even identify the participants in the approval process, let alone what informed or influenced their decision.⁴

7. **Failure to Satisfy Evidentiary Standard of Proof:** The OCE's own rules permit referrals to the Standards Committee only if OCE determines there is "substantial reason to believe" the allegations against the Member. (OCE Rule 9). The rule provides that a "*substantial reason* exists where there is such relevant evidence a reasonable mind might accept as adequate to support a conclusion." (Id.) (emphasis in original). Here, the allegation is that Representative Rangel violated Rule 25, clause 5, the House gift rule. Under the House rules, however, a Member violates the rule only when he "*knowingly* accept[s]" a gift that is not permitted by the Rule. (Rule 25, clause 5(a)(1)(A)(i) (emphasis supplied). The rule specifically permits a Member to accept reimbursement (or payment in kind) for transportation, lodging, and related expenses for travel in connection with his duties as an officeholder, so long as it come from a private source other than a registered lobbyist or foreign agent or an entity that retains such persons. Thus, there can be a violation only if there is evidence adequate to support the conclusion that Representative Rangel *knowingly* accepted such a gift. There is no evidence in the record that meets this standard.

The essence of the OCE Report is that certain corporate entities improperly co-sponsored the 2008 Conference and that the Members should have known it. According to the OCE, the Members should have suspected such co-sponsorship -- **despite the Standards Committee's prior approval of the trip** -- because of (i) signage at the 2008 Conference and (ii) the presence of corporate representatives. OCE attaches to its report a photograph -- that the staff chose not to show Representative Rangel -- of a banner bearing corporate logos as purported evidence of this so-called co-sponsorship. The banner, however, does not contain the word "sponsor" or "co-sponsor" or "sponsorship." Representative Rangel does not recall seeing such a banner, but even if it hung

³ Viewed impartially, the conduct that the OCE mischaracterizes appears to reflect both an effort to apply the new rules, and a lack of understanding of the rules on the part of the outside foundation personnel.

⁴ The OCE Report acknowledges that the staff attorney involved in 2008 was not the same as the staff attorney involved in 2007. (§ 54)



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somewhere in the hotel facility, corporate logos on a banner hardly meets the standard of co-sponsorship within the meaning of the ethics rules. Nor is there any reason that a Member should have drawn such a conclusion from the presence of corporate representatives at a business and trade conference. The OCE Report fails to articulate why either of these facts should give rise to any inference whatsoever regarding who paid for the Conference or more specifically who paid for the travel expenses of the Members who attended.⁵ This is not *substantial reason* to believe any knowing violation occurred.

Moreover, the OCE pointedly ignores the direct evidence of the Members' contemporaneous knowledge that the trip had been approved by the Standards Committee. The entire purpose of Standards Committee review is to vet these issues before the trips occur, enabling the Members to avoid second-guessing of precisely the sort engaged in by the OCE. The Carib News Foundation private sponsor form indicates that the Foundation is the sponsor of the trip, that the Foundation certified that the trip was not being financed by a lobbyist or foreign agent and that the trip sponsor had not accepted earmarked funds. (OCE Report Ex. 1). Representative Rangel and his staff were clearly entitled to rely upon these representations and the Committee's approval of the travel.

8. *Reliance on Clear Factual Errors*

The OCE Report contains numerous factual errors that, although not significant individually, raise questions about the quality of the review. The OCE Report gets basic facts wrong. For example, it incorrectly states that Representative Rangel attended the Conference from November 6-9, 2008 (OCE Report ¶¶ 1, 43) and that he "spent four days at the conference at the expense of a third party" (¶ 43). In fact, he arrived in the afternoon of November 7, participated in two panels on Saturday, November 8 and departed in the early afternoon on November 9.⁶ (OCE Report Ex. 1). In paragraph 22, the OCE Report refers to Congressman Payne rather than Congressman Rangel. The OCE Report mischaracterizes its staff's own interview memorandum in the Report's "finding" that Representative Rangel was unaware of the accuracy of the information he certified. (¶ 7c). In fact, in

⁵ The OCE's attempted reliance upon this banner as purported evidence of supposed co-sponsorship against Representative Rangel (and claim that he is not credible for not remembering such signs – OCE Report ¶ 25) is further undermined by the fact that the OCE staff did not even have the courtesy to show him the photographs of the banners during his interview. Similarly, Representative Rangel was not present for the transcribed sessions in which individuals from corporations referred to their participation in the conference. (OCE Report Ex. 3). There is no evidence in the record that any of the information reflected on these transcripts was communicated to Representative Rangel, and accordingly, it was improper for the OCE Report to include such transcripts as "evidence" against him. There is similarly no evidence in the record that Representative Rangel had any knowledge of the information regarding purported earmarked corporate donations to the Foundation reflected in paragraphs 30-40 of the OCE Report.

⁶ Indeed, in light of this participation in a full day of the Conference and the length of the required travel to attend the Conference, the Congressman's travel would have been permitted by the two-day exception in the travel rules even if it had been sponsored by corporations that engaged lobbyists. See House Rule 25, clause 5(b)(1)(C).



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his interview Representative Rangel made clear that he relied upon his then-Chief of Staff to ensure that the forms were accurate, that "Mr. Dalley did not make up the numbers" and he "assumed Mr. Dalley was fulfilling his obligation to the Standards Committee" and to him. (09-6333 0021).

9. ***Failure to Disclose Exculpatory Evidence:*** The OCE Rules provide: Staff shall promptly provide to a subject any exculpatory information received. (OCE Rule 4F). No such information was provided to Representative Rangel in connection with this review despite the fact that the OCE Report and its appendices include such information. For example, the OCE did not make available the summary of its interview of the American Airlines official, which indicates that American Airlines' financial support for the 2008 Conference complied with the rules. Not having been shown the full record, we can only speculate that it contains other such helpful information.

For all of the reasons set forth above, we request that the Standards Committee dismiss this matter in its entirety and determine that the OCE's recommendation, report and findings are without legal effect and not lawfully subject to public disclosure. To the extent that the Committee determines that any portion of the Report is subject to disclosure, those portions that violate the resolution must be stricken prior to publication.

Sincerely,

A handwritten signature in cursive script, appearing to read "Leslie B. Kiernan".

Leslie B. Kiernan
Jason M. Knott
Counsel to Representative Charles B. Rangel

IN THE U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

RESPONSE OF CONGRESSMAN CHARLES B. RANGEL
TO THE MAY 29, 2009 REPORT OF THE OFFICE OF CONGRESSIONAL
ETHICS REGARDING THE 13TH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS
CONFERENCE (Review No. 09-6333)

October 22, 2009

1. I have reviewed the May 29, 2009 Report (the "Report") of the Office of Congressional Ethics ("OCE") regarding my participation in the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A. on November 7-9, 2008 ("the 2008 Conference"). I believe that the Report presents a distorted picture of the Conference, and uses information either entirely unrelated to or unknown to me to support its point of view. Indeed, I believe a fair review of the actual facts related to my participation in the 2008 Conference establishes that I complied with the ethics rules.

2. I participated in this Conference in connection with my official duties. The purpose of the Conference was to advance trade and commercial relations between the United States and our allies in the Caribbean. I have devoted a considerable amount of my time in the Congress focusing on policy matters affecting this region and am one of the original sponsors of the Caribbean Basin Initiative trade programs. This event was attended by current and former high level officials from countries in the region as well as elected federal and state officials from the United States. I gave two speeches at the Conference, one regarding U.S. -- Caribbean relations and the other focused on issues related to Haiti.

3. I have known Karl and Faye Rodney, the founders of Carib News, an English language newspaper that serves the Caribbean community in my congressional district and elsewhere in the New York region and the United States, for approximately 20 years. To my knowledge, Karl and Faye Rodney are well-respected members of the U.S.-Caribbean community. I believed that the Carib News Foundation was lawfully paying for my travel expenses in connection with this Conference.

4. I received the prior approval of the Standards Committee to participate in the Conference and relied upon that approval in connection with my participation in the Conference and the handling of my travel expenses. I did not knowingly accept anything of value in connection with this trip that was not permitted by the House rules.

5. I relied upon my former Chief of Staff George Dalley to ensure that the appropriate pre- and post-travel forms were accurately completed and timely filed. I believe it was appropriate to delegate these responsibilities to Mr. Dalley. Mr. Dalley is a Columbia Law School graduate who worked in my congressional office in various capacities for approximately thirty years. I believed the forms were accurate when I signed them. I assumed that Mr. Dalley

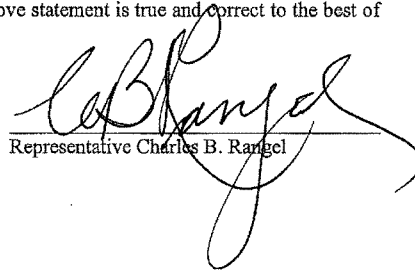
had gotten the information regarding the trip expenses from the Conference organizers and that the information they provided to him was accurate.

6. I have asked my counsel to submit a legal response to the OCE Report.

7. For the reasons set forth above and in the response submitted by my counsel, I request that the Standards Committee dismiss this matter in its entirety and determine that the OCE's recommendation, report and findings are without legal effect.

Pursuant to 18 U.S.C. § 1746, I declare that the above statement is true and correct to the best of my belief.

Dated: October _____, 2009


Representative Charles B. Rangel

111th CONGRESS, 1st SESSION
U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.

Appendix E

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS

U.S. HOUSE OF REPRESENTATIVES

REPORT

Review No. 09-2222

The Board of the Office of Congressional Ethics, by a vote of no less than four members, on May 29, 2009 adopted the following report and ordered it to be transmitted to the Committee on Standards of Official Conduct of the U.S. House of Representatives.

SUBJECT: Representative Bennie Thompson

NATURE OF THE ALLEGED VIOLATION: There is substantial reason to believe that Congressman Bennie Thompson's participation in the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A. from November 6-9, 2008, his acceptance of reimbursement of expenses to attend that conference and the Member Post Travel Disclosure Form he filed violated various provisions of House Rule XXV Section 5(b).

RECOMMENDATION: The Board of the Office of Congressional Ethics recommends that the Committee on Standards of Official Conduct further review the above described allegations concerning Congressman Thompson.

VOTES IN THE AFFIRMATIVE: 6

VOTES IN THE NEGATIVE: 0

MEMBER OF THE BOARD OR STAFF DESIGNATED TO PRESENT THIS REPORT TO THE STANDARDS COMMITTEE: Leo Wise, Staff Director & Chief Counsel.

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 09-2222

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OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

FINDINGS OF FACT AND CITATIONS TO LAW

Review No. 09-2222

On May 29, 2009 the Board adopted the following findings of fact and accompanying citations to law, regulations, rules and standards of conduct (*in italics*). The Board notes that these findings do not constitute a determination that a violation actually occurred.

I. INTRODUCTON

1. Congressman Bennie Thompson attended the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A., from November 6-9, 2008. Congressman Thompson received funds from a private source to participate in the conference.

A. Summary of Allegations

2. There is substantial reason to believe that Congressman Thompson violated the requirement that he identify the “true, correct and accurate” sponsor of his travel “to the best of his knowledge” on his Member Post Travel Disclosure Form. While forms submitted to his office and the Standards Committee before the conference identified one entity as the sponsor—the Carib News Foundation—once at the conference, Congressman Thompson was presented with indications that other corporations—including Citigroup, IBM, AT&T, Pfizer, Macy’s and Verizon Foundation—were also sponsors. Those indications proved to be true—the corporations were in fact sponsors of Congressman Thompson’s travel according to House travel rules because the corporations had donated funds specifically for the conference (i.e. earmarked funds). Further, events that occurred in 2007 affected the Standards Committee’s ability to determine whether these corporations were sponsors in 2008:
 - a. In 2007, the House of Representatives changed the rules governing travel. Those changes placed limitations on the number of days Members of Congress could participate in events (such as conferences) when their travel was sponsored by an entity that employs or retains a lobbyist. These changes affected the annual Caribbean Multi-National Business Conference. In 2007, the Carib News Foundation continued to identify various corporations as sponsors on the

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conference agenda and on a draft version of the Private Sponsor Travel Certification Form prepared for submission to the Standards Committee for approval. However, after interacting with the counsel to the then-Chair of the Standards Committee, and apparently without the knowledge of the staff attorney reviewing the trip, the documents identifying these corporations as "sponsors" were changed and their sponsorship was not disclosed.

- b. The 2007 conduct and attendant misrepresentations appear to have directly influenced what occurred in 2008. When the Carib News Foundation submitted materials to the Standards Committee for their approval of the 2008 conference, the Carib News Foundation took the same approach as in 2007 and did not disclose the role of the corporate sponsors on the forms they submitted to the Standards Committee.
3. There is substantial reason to believe that Congressman Thompson violated House Rule 25, clause 5(b)(1)(C) by accepting trip-related reimbursements from entities that employ or retain lobbyists for participating in an event for more than one day.
4. There is substantial reason to believe that Congressman Thompson violated House Rule 25 clause 5(h)(i)(1)(B) by underreporting the value of the travel reimbursements he received to attend the 13th Annual Caribbean Multi-National Business Conference.
5. There is substantial reason to believe that the Carib News Foundation's payment of travel expenses for Representative Thompson and his acceptance of payment violated the Internal Revenue Code. As stated above, Carib News Charities, the name previously held by the 501(c) organization now known as the Carib News Foundation, is listed as a private foundation in the Internal Revenue's cumulative list of charitable organizations, Publication 78. Therefore, the Standards Committee should not have approved the trip because the funds came from a prohibited source.

B. A Note on Culpability

6. These findings fall into four categories: (1) the sponsor or sponsors, as the case appears to be, of the conference; (2) the intervention of the counsel to the former Chair of the Standards Committee in the Standards Committee's review process of the conference in 2007 and how it affected the information before the Standards Committee in 2008; (3) the accuracy of the value of the reimbursement submitted by Congressman Thompson and (4) whether, because of its tax status, the Carib News Foundation could lawfully pay for Congressman Thompson's conference-related expenses.

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7. While all these topics are relevant, the Board notes that they do not all involve conduct by Congress Thompson to the same degree.
 - a. As to topic 1, determining the identity of the true sponsor or sponsors implicates the conduct of both Congressman Thompson and the Standards Committee. Congressman Thompson told the OCE he relied on the Standards Committee to make that determination. It is the Board's view that the Member's approach is reasonable to a point. Once Congressman Thompson arrived at the conference, however, he was confronted with information that other corporations were co-sponsors. That information, at least in 2008, does not appear to have been before the Standards Committee when they initially approved the 2008 travel.
 - b. As to topic 2, the intervention of the counsel to the former Chair of the Standards Committee in the Standards Committee's review of the 2007 conference did not involve Congressman Thompson. Nonetheless, it directly bears on whether and to what extent the Standards Committee could determine the true sponsor of the conference in 2008.
 - c. As to topic 3, the accuracy of the information Congressman Thompson provided as to the value of the reimbursement of his conference-related expenses most directly implicates his conduct. The post-travel disclosure form that all Members must file when they accept privately sponsored travel is signed and certified by Members. The form puts the obligation on Members, not staff, not the Standards Committee and not sponsors, to certify that the information on the form is "true, accurate and complete" to the "best of the Member's knowledge." Congressman Thompson saw the value of his travel and retained copies of documents that showed its true value in his office files. Nonetheless, he certified inaccurate information on the post-travel disclosure form.
 - d. As to topic 4, limitations on Carib News Foundation's ability to pay for overseas travel of government officials, Congressman Thompson told the OCE he was unaware of any such limitations. The Board finds this statement to be credible. Nonetheless, the law prohibits such payments. Therefore, the Board feels compelled to include a discussion of this issue in this referral.

C. Jurisdictional Statement

8. The allegations that are the subject of this review concern Representative Bennie Thompson, a Member of the United States House of Representatives from the 2nd District of Mississippi. The Resolution the United States House of Representatives adopted

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creating the Office of Congressional Ethics directs that, “[n]o review shall be undertaken...by the board of any alleged violation that occurred before the date of adoption of this resolution.”¹ The House adopted this Resolution on March 11, 2008. Because the conduct under review occurred or relates to actions taken after March 11, 2008, review by the Office of Congressional Ethics is in accordance with the Resolution.

D. Procedural History

9. A preliminary review in this matter commenced on March 6, 2009 following a written request by at least two members of the OCE Board which was made on February 23, 2009.
10. At least three members of the Board voted to initiate a second-phase review in this matter on March 27, 2009. The Board voted to extend the second-phase review by 14 days, as provided for under the Resolution, on April 24, 2009.
11. The second-phase review ended on May 26, 2009.
12. The Board voted to refer the matter to the Standards Committee for further review on May 29, 2009.
13. The OCE requested documents from and interviews with representatives of:
 - (1) Representative Sheila Jackson-Lee’s Congressional office;
 - (2) the Verizon Foundation;
 - (3) Citibank;
 - (4) American Airlines;
 - (5) IBM;
 - (6) Macy’s, Inc;
 - (7) Pfizer;
 - (8) AT&T;
 - (9) Representative Kilpatrick’s Congressional office;

¹ House Resolution 895 of the 110th Congress, Section 1(e).

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- (10) Representative Payne's Congressional office;
- (11) Representative Rangel's Congressional office;
- (12) Representative Thompson's Congressional office;
- (13) Delegate Christensen's Congressional office;
- (14) The Carib News; and
- (15) The Carib News Foundation

II. Multiple Entities, Including CARIB NEWS (the Newspaper), the Government of St. Maarten and Several Corporations, Sponsored Representative Thompson Trip

14. *Under House Rule 25 clause 5(d), Members are required to obtain prior approval of the Standards Committee for trips where the Member's travel expenses will be reimbursed by a private source. The Standards Committee has adopted regulations, pursuant to House Rule 25 clause 5(h)(i)(1)(B)² that requires Members to identify the "sponsor(s)," i.e. the party reimbursing travel expenses, for any trip where the payor is a private entity.*
15. There is substantial reason to believe that Congressman Thompson violated the requirement that he identify the "true, correct and accurate" sponsor of his travel "to the best of his knowledge" on his Member Post Travel Disclosure Form. While forms submitted to his and the Standards Committee before the conference identified one sponsor—the Carib News Foundation—once at the conference, Congressman Thompson was presented with evidence that other corporations were also sponsors. As will be discussed below, those indications proved to be true—these corporations were in fact sponsors of Congressman Thompson's travel according to House travel rules because these corporations had donated funds specifically for the conference (i.e. earmarked funds).

A. Representative Thompson's Knowledge of The Involvement of These Corporations in the Conference

² House Rule 25(5)(h)(i)(1)(B) directs the Standards Committee to establish, "regulations describing the information it will require individuals subject to this clause to submit to the committee in order to obtain the prior approval of the committee for any travel covered by this clause . . ." On February 20, 2007, the Committee issued guidelines and regulations concerning the travel restrictions and requirements.

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16. On his Member Post-Travel Disclosure Form, Congressman Thompson listed Carib News Foundation as the only sponsor of the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A. (hereafter "the trip" or "conference"³) in December 2008.⁴

<input checked="" type="checkbox"/> Original <input type="checkbox"/> Amendment
U.S. House of Representatives 110th Congress MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM
<p>This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.</p>
<p>1. Name of Traveler: <u>Congressman Bennie G. Thompson</u></p>
<p>2. a. Name of Accompanying Family Member (if any): <u>Dr. London Thompson</u></p> <p>b. Relationship to Member/Officer: <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Child <input type="checkbox"/> Other (specify): _____</p>
<p>3. a. Date of Departure and Date of Return: <u>November 6, 2008 to November 9, 2008</u></p> <p>b. Dates at personal expense (if any): <u>NONE</u></p>
<p>4. Itinerary (cities of departure - destination - return): <u>Jackson, MS to St. Maarten, N.A. to Jackson, MS</u></p>
<p>5. Sponsor(s) (who paid for the trip): <u>Carib News Foundation</u></p>

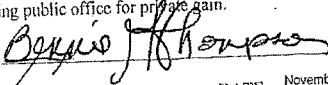
³ The "Member/Officer Post-Travel Disclosure Form" asks the filer at question 5, "Sponsor(s) (who paid for the trip)." This in turn raises the question is a conference a "trip" or is a trip limited to the travel expenses associated with attending the trip, e.g., transportation, lodging and meal expenses? The House Ethics manual and the relevant forms use the terms "travel" and "trip" interchangeably. For example, the relevant form is called a Member/Officer Post-Travel Disclosure Form" (emphasis added). The introductory paragraph on the form provides, "[t]his form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties" (emphasis added). However, question 9 on the form asks the filer to detail, "TRIP EXPENSES" and uses as an example, "registration fees." Registration fees are not "travel" expenses unless one defines travel (or a trip) to include participating in a conference. The Board uses the term "trip" to include the conference. The Board also notes that the Standards Committee treated the conference as the "trip" in its letter pre-approving Congressman Thompson's trip.

⁴ Member/Officer Post-Travel Disclosure Form signed by Representative Bennie G. Thompson (Dec. 5, 2008) (Exhibit 1 at 09-2222 0002).

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17. Congressman Thompson certified that this information was "true, correct and complete" to the "best of [his] knowledge."⁵

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.	
SIGNATURE OF MEMBER:	
DATE:	November 26, 2008

18. In an interview with the OCE, Congressman Thompson confirmed he signed this document.⁶
19. Prior to the conference, Karl Rodney, the CEO of the Carib News Foundation, submitted a Private Sponsor Travel Certification Form to Congressman Thompson, which he in turn submitted to the Standards Committee that identified the Carib News Foundation as the sponsor of the trip.⁷

<p>U.S. House of Representatives Committee on Standards of Official Conduct</p> <p>PRIVATE SPONSOR TRAVEL CERTIFICATION FORM (provide directly to each House invitee)</p> <p>This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.</p> <p><i>Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.</i></p> <p>1. Sponsor(s) (who will be paying for the trip): <u>CARIB NEWS FOUNDATION</u></p>	
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⁵ *Id.* at 09-2222 0003.

⁶ Memorandum of Interview of Representative Bennie Thompson (May 6, 2009) (Exhibit 2 at 09-2222 0024.3).

⁷ Private Sponsor Travel Certification Form signed by Karl A. Rodney (Oct. 3, 2008) (Exhibit 1 at 09-2222 0008).

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20. Later, on that same form, Mr. Rodney represented that "the trip sponsor(s) [had] not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip."⁸

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

21. Rodney also certified that the information was "true, complete, and correct."⁹

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL B. RODNEY, CEO

22. Prior to the conference, the Standards Committee approved the reimbursement of Congressman Thompson's expenses by the Carib News Foundation.¹⁰

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

October 20, 2008

The Honorable Bennie G. Thompson
U.S. House of Representatives
2432 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip for you and your spouse to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

⁸ *Id.*

⁹ *Id.* at 09-2222 0010.

¹⁰ Letter from the Committee on Standards of Official Conduct to Representative Bennie G. Thompson (Oct. 20, 2008) (Exhibit 1 at 09-2222 0004).

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23. At the conference, several corporations, including Citibank, IBM, AT&T, and others, were identified as “sponsors.”¹¹
24. On November 7, 2008, the conference’s organizer, Karl Rodney, began the first morning program by thanking Citigroup, Pfizer, Macy’s, IBM, AT&T, the Verizon Foundation and American Airlines, as well as their executives by name, who were present.¹² This morning session was recorded by an individual attending the conference and the recording was subsequently transcribed. The OCE obtained a copy of the transcript. Mr. Rodney described AT&T as “support[ing] the Conference all 13 years” (this was the thirteenth annual conference).¹³
25. Michael Flanigan, an executive from Citibank, spoke immediately after Mr. Rodney and described Citibank as the “lead sponsor of the premier event.”¹⁴
26. Following Mr. Flanigan’s remarks, and the remarks of several other speakers, Representative Carolyn Cheeks Kilpatrick spoke. At the end of her remarks she stated, “[a]nd to the sponsors, by the way, all of you, we couldn’t do this, be with you, help Karl if you weren’t here with us so we say thank you very much, you are so important. To all of the sponsors, thank you very much.”¹⁵
27. In an interview with the OCE, Congressman Thompson confirmed he attended this session of the conference.¹⁶

¹¹ Photographs taken at the 13th Annual Caribbean Multi-National Business Conference (Exhibit 5 at 09-2222 0059-0067) (The Board notes that the source of this information may have a bias, interest, or motive in this matter.)

¹² Transcript of Recording of November 7, 2008 AM Session of the 13th Annual Caribbean Multi-National Business Conference (Exhibit 34 at 09-2222 0026-0027 1-2) (The Board notes that the source of this information may have a bias, interest, or motive in this matter.)

¹³ *Id.* at 09-2222 0026.

¹⁴ *Id.* at 09-2222 0028.3.

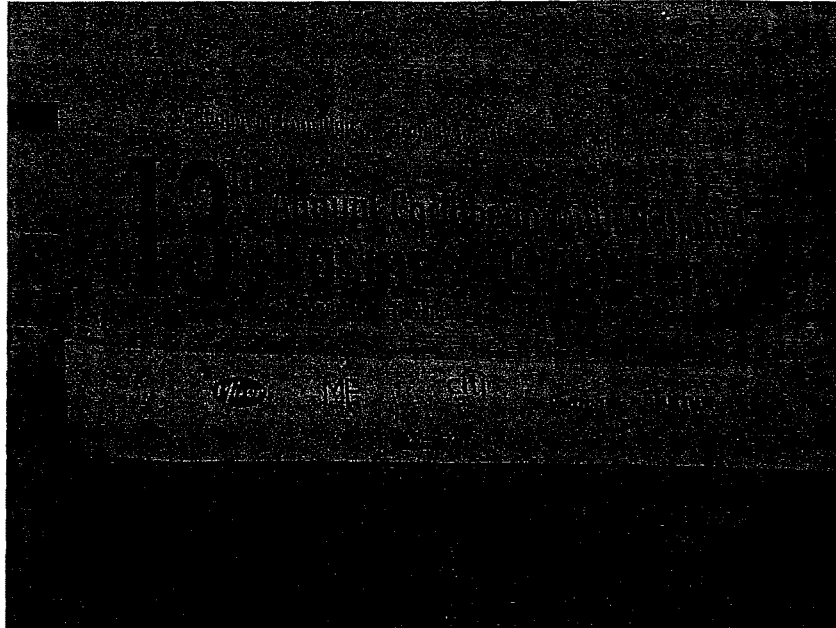
¹⁵ *Id.* at 09-2222 0043.

¹⁶ Memorandum of Interview of Representative Bennie Thompson (May 6, 2009) (Exhibit 2 at 09-2222 0024.2).

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28. In addition to these comments, signs for the conference displayed these corporations' logos, including signs hung behind the speaker's podium.¹⁷



29. In an interview with the OCE, Congressman Thompson stated that he relied on the entity that invited him to the conference, the Carib News Foundation, to identify the sponsor of his trip and, further, that he relied on the Standards Committee, who pre-approved his trip, to determine if that information was accurate.¹⁸ As a result, Congressman Thompson told the OCE that the involvement of these corporations in the conference did not raise questions in his mind about whether the Carib News Foundation was the sole sponsor of the conference.¹⁹

30. The Board notes that the practice of relying entirely on the Standards Committee to determine the sponsor of a Member's travel carries with it a degree of risk. Further,

¹⁷ Photographs taken at the 13th Annual Caribbean Multi-National Business Conference (Exhibit 5 at 09-2222 0059).

¹⁸ Memorandum of Interview of Representative Bennie Thompson (May 6, 2009) (Exhibit 2 at 09-2222 0024.4).

¹⁹ *Id.* at 09-2222 0024.3.

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while Members are required to receive pre-approval from the Standards Committee before they travel, it is the Member, and not the Standards Committee, that is ultimately accepting the travel from a private sponsor. The Board reads the pre-clearance process as a free-standing requirement the House adopted in 2007. There is no indication that the House intended it to supplant, or at the very least to completely supplant, Members' responsibility to abide by the travel rules when they accept privately sponsored travel. As a result, it is the Board's view that a reasonable reading of the travel rules places responsibility for identifying the sponsor with both the Member and the Standards Committee and not the Standards Committee alone. This shared responsibility also reflects the practical reality that, because the Member actually takes the trip, and the Standards Committee does not, the Member may, in certain circumstances, have access to information about the trip not available to the Standards Committee. In this instance, the Member's had information about the sponsors of the conference not available to the Standards Committee, namely that numerous corporations were identified as sponsors of the conference.

31. Further, as described below, these other entities were, in fact, sponsors of the conference.

B. CARIB NEWS also Sponsored Congressman Thompson's Travel

32. Karl Rodney is the publisher of the CARIB NEWS, a weekly newspaper published in New York. He also identified himself as the CEO of the Carib News Foundation on the Private Sponsor Travel Certification described above.²⁰

33. According to Mr. Rodney, "general funds" of CARIB NEWS were "pooled" with payments from corporate sponsors, made both to CARIB NEWS and the Carib News Foundation and fees from attendees at the conference to pay conference expenses including "travel" and "hotel payments."²¹

34. Therefore, CARIB NEWS was also effectively a sponsor of Congressman Thompson's trip.

C. Corporate Donations Were Earmarked for the Conference

35. *According to the House Ethics Manual:*

²⁰ Private Sponsor Travel Certification Form signed by Karl Rodney (Oct. 3, 2008) (Exhibit 1 at 09-2222 0010).

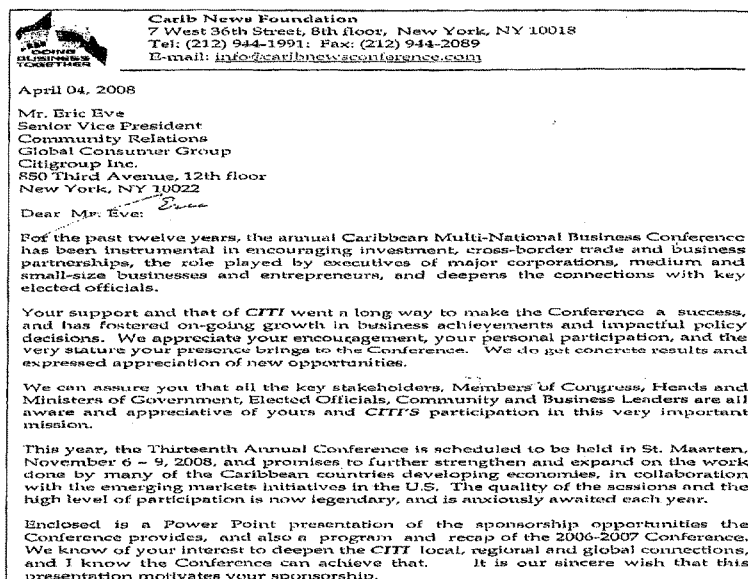
²¹ Letter from Joel Cohen, Counsel for Karl Rodney (May 18, 2009) (Exhibit 6 at 09-2222 0070).

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The rule and implementing regulations are concerned with the organization(s) or individual(s) that actually pay for travel. Thus, for example, when a nonprofit organization pays for travel with donations that were earmarked, either formally or informally, for the trip, each such donor is deemed a —private source for the trip and (1) must be publicly disclosed as a trip sponsor on the applicable travel forms and (2) must itself be required to satisfy the above standards on proper sources of travel expenses.

36. In the course of conducting its review, the OCE has learned that the Carib News Foundation solicited earmarked funds for the conference. For example, Carib News Foundation sent a solicitation letter to Citigroup, Inc.²²



37. Attached to this solicitation letter was a PowerPoint presentation.²³ The presentation solicits money only for the conference and not for any other purpose, outlining the

²² Letter from Carib News Foundation to Mr. Eric Eve, Citigroup, Inc. (Apr. 4, 2008) (Exhibit 7 at 09-2222 0074-0075) (a nearly identical letter was sent to IBM, AT&T, Pfizer, Verizon Foundation and others).

²³ *Id.* at 09-2222 0076-0091.

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benefits of each level of sponsorship – Platinum, Gold, and Silver, along with lesser levels of sponsorship – in terms of the conference.²⁴ In each instance, the sponsorship covers travel related expenses such as gala events and meals.

PARTICIPATION

PLATINUM LEVEL - \$75,000

- ◆ Keynote Speaker at Main Event
- ◆ Sponsor Private VIP Reception and specially arranged forum
- ◆ Special Awards Presentation
- ◆ Display, Exhibition and signage
- ◆ Area of Conference -Reserved for exclusive sponsorship
- ◆ Full page Ad in program
- ◆ Air Transportation for 2 people
- ◆ Accommodations: One Suite and One Standard Room
- ◆ Included in all printed materials
- ◆ Included in Internet promotion
- ◆ Display company logo and link to our website
- ◆ Sponsor of a Gala Event
- ◆ Sponsor and Participate in a workshop
- ◆ Representative listed in program with bio and photo

²⁴ *Id.* at 09-2222 0084-0087.

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PARTICIPATION

GOLD LEVEL - \$50,000

- ◆ Sponsor a Workshop
- ◆ Sponsor a Gala Event
- ◆ Display, Exhibition and signage
- ◆ Included in all Printed Material
- ◆ Included in all Internet Promotion
- ◆ Display of Company Logo and Link to Our Website
- ◆ Full Page Ad in Program
- ◆ Representation in the Brochure
- ◆ Air Transportation & room accommodations for 1

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PARTICIPATION

SILVER LEVEL - \$25,000

- ◆ Sponsor & Participate in a Workshop
- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in all printed materials
- ◆ Included in Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Sponsor an event i.e. breakfast, lunch or dinner
- ◆ Full Page ad in program and recap
- ◆ Representatives listed in program with bio & photos
- ◆ Representatives listed in brochure

38. The OCE also learned that at least four of the corporations identified at the conference did in fact, make payments to the Carib News Foundation and CARIB NEWS (the newspaper) earmarked for the conference. The Carib News Foundation itself appears to

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be nothing more than a conduit in this case, and a partial one at that, for payments from corporations to support the conference. There is no evidence that the organization has filed a tax return with the IRS, under either Carib News Foundation or Carib News Charities, since 2005.²⁵ On its 2005 Form 990, the Carib News Foundation is shown as having no assets, no income and no expenses.²⁶ Not a single witness from a sponsor interviewed by the OCE knew of any other work of the Foundation. Indeed one witness, from IBM, was not aware that the Foundation played a role in the conference.²⁷

39. **Citigroup.** Citigroup made a \$75,000 payment to the CARIB NEWS for the conference.²⁸

40. **Verizon Foundation.** Verizon Foundation made a \$35,000 payment to the Carib News Charities²⁹ for the conference.³⁰

²⁵ Letter from IRS to OCE (April 17, 2009) (Exhibit 8 at 09-2222 0096-0108).

²⁶ *Id.* at 09-2222 0096-0108.

²⁷ Memorandum of Interview of Representative of IBM (May 8, 2009) (Exhibit 9 at 09-2222 0112).

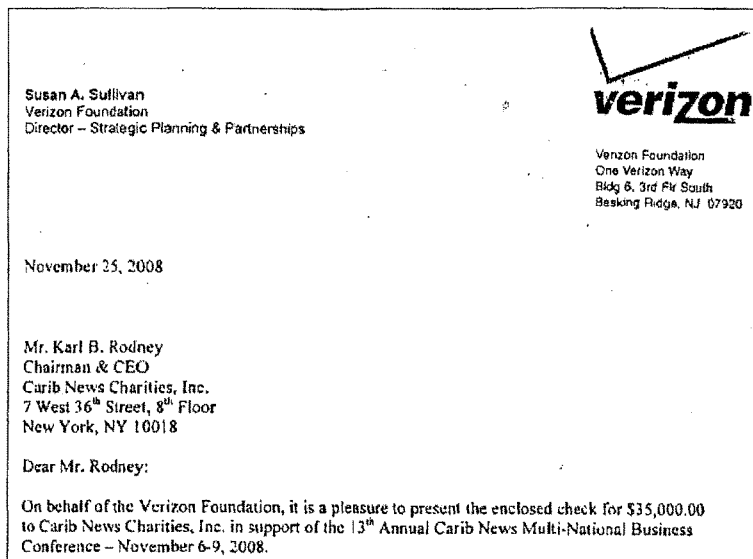
²⁸ Documents submitted to the OCE by Citigroup (Exhibit 7 at 09-2222 0073).

²⁹ Mr. Rodney accepted corporate payments under the names of three entities: The Carib News, the Carib News Foundation, and the Carib News Charities. According to the Secretary of State for the State of New York, the organization that currently operates as the Carib News Foundation originally operated under the name the Carib News Charities.

³⁰ Documents Submitted to the OCE by the Verizon Foundation (Exhibit 10 at 09-2222 0117).

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41. Representative 1 of the Verizon Foundation said the Verizon Foundation funded the Carib News Foundation for the first time in 2008 and that the grant was issued to provide funding for the 13th Annual Caribbean Multi-National Business Conference.³¹
42. **IBM.** IBM made a \$20,000 payment to Carib News Foundation for the conference as indicated on the invoice that CARIB NEWS, INC. (not Carib News Foundation) sent IBM.³²

³¹ Memorandum of Interview of Representative 1 of Verizon Foundation (Mar. 23, 2009) (Exhibit 11 at 09-2222 0130).

³² Documents submitted by IBM to the OCE (Exhibit 13 at 09-2222 0140).

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CARIB NEWS INC. 7 WEST 36TH STREET 8TH FLOOR NEW YORK, 10018 212-944-1991		Invoice					
		<table border="1"> <tr> <th>Date</th> <th>Invoice #</th> </tr> <tr> <td>7/16/2008</td> <td>45122</td> </tr> </table>	Date	Invoice #	7/16/2008	45122	
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Wanda McKenzie IBM - 2607 Jeeters Court Marietta, GA 30068							
		<table border="1"> <thead> <tr> <th>Description</th> <th>Amount</th> </tr> </thead> <tbody> <tr> <td> Sponsorship Multi-National Business Conference 2008 TAX ID 13-362060 </td> <td style="text-align: right;">20,000.00</td> </tr> </tbody> </table>		Description	Amount	Sponsorship Multi-National Business Conference 2008 TAX ID 13-362060	20,000.00
Description	Amount						
Sponsorship Multi-National Business Conference 2008 TAX ID 13-362060	20,000.00						

43. **Macy's.** Macy's made a \$35,000 payment to CARIB NEWS for the conference.³³
44. **Pfizer.** Pfizer made a \$25,000 payment to CARIB NEWS for the conference.³⁴
45. Only American Airlines explicitly limited their sponsorship of the conference to individuals who were not elected officials, prohibiting CARIB NEWS from using any part of their sponsorship on Members of Congress and other government officials and their staff.³⁵ No other sponsor with whom we spoke placed restrictions on how or on whom their contribution could be spent. The only expectation was that the contribution would be used for expenses associated with the conference.

D. Because Entities that Employ or Retain Lobbyists Sponsored the Event, Congressman Thompson's Involvement Should Have Been Limited to One Day

³³ Memorandum of Interview of Representative 1 of Macy's (Exhibit 20 at 09-2222 0233).

³⁴ Interview with Investigative Counsel on May 22, 2009.

³⁵ Documents submitted by American Airlines to the OCE (Exhibit 14 at 09-2222 0143) and OCE Investigative Counsel interview with American Airlines Representative (Mar. 5, 2009) (American Airlines provided an in-kind contribution in the form of ten roundtrip tickets to be used by Carib News in any manner they chose with the exception that no ticket could be used for an elected official or the official's staff or family. The estimated value of their contribution was between \$6,200 and \$7,500).

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46. According to the House Ethics Manual:

***One-Day Event Trip.** The sole exception to the general prohibition on accepting officially-connected travel from a private source that retains or employs lobbyists or agents of a foreign principal is for trips involving attendance at or participation in one-day event (exclusive of travel time and an overnight stay).*

Under the rule, it is permissible for a Member or staff person to accept and meals related to a single night's lodging and meals related to the trip, if offered by the trip sponsor. Members and staff must limit their involvement in connection with the event to a single calendar day, exclusive of travel time and an overnight stay. A Member or staff person may therefore attend only a single day of a multiple-day conference, forum, or other event that is being hosted primarily for individuals other than congressional invitees.

Under the Committee's travel regulations and guidelines implementing the travel provisions of the gift rule, the Committee may permit a second night's stay when determined —on a case-by-case basis to be practically required to participate in the one-day event (House Rule 25, clause 5(b)(1)(C)). Some circumstances in which the Committee may permit a second night's stay are for certain long-distance trips, when a Member or staff person is participating in a full day's worth of officially-connected activities such that a second night's stay is necessary to accomplish the purpose of the trip, or other exceptional circumstances that are described in detail by the traveler. The traveler will be personally responsible for any expenses incurred beyond those allowed by the Committee in connection with the second night's stay.³⁶

47. Citigroup, IBM and Verizon employ or retain lobbyists.³⁷

48. Congressman Thompson spent four days at the conference at the expense of the third-party.³⁸

³⁶ House Ethics Manual at 92-93 (citing House Rule 25, clause 5(b)(1)(C)) (emphasis in original)).

³⁷ Clerk of the House of Representatives Lobbying Disclosure Report 2008 (excerpt attached hereto as "(Exhibit 15)"). at 09-2222 0148 - 0154).

³⁸ Member/Officer Post-Travel Disclosure Form signed by Representative Bennie G. Thompson (Dec. 5, 2008) (Exhibit I at 09-2222 0002).

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49. There is substantial reason to believe that Congressman Thompson violated *House Rule 25, clause 5(b)(1)(C)* by accepting trip-related reimbursements from entities that employ or retain lobbyists for participating in an event for more than one day.

E. The Government of St. Maarten Made In-Kind Donations to the 2008 Conference and Played a Significant Role in Planning, Organizing and Conducting the 2008 Conference

50. The Government of St. Maarten was the host of the 2008 conference.

51. In that capacity, the Government of St. Maarten provided in-kind donations to support the conference and also had a substantial role in planning the conference. As a result, it was effectively a sponsor of the 2008 conference.³⁹

52. Rodney provided the Leader of Government of St. Maarten, Commissioner Sarah Wescott-Williams, with a list of "Basic Requirements to Host Caribbean Multi-National Business Conference."⁴⁰ These included:

³⁹ In 2007, the "host" of the conference was the Government of Antigua and Barbuda. In the event that the Government of Antigua and Barbuda provided the same support as St. Maarten did in 2008, then the members may have accepted gifts from a foreign government which would have been reportable under the Foreign Gift and Decorations Act. In addition, if the Government of Antigua provided these gifts to the Members, and if they were more than \$335 in value, then the Members should have disclosed them on their financial disclosure form for 2007, which was filed in May 2008.

⁴⁰ Letter from Karl Rodney to Commissioner Sarah Wescott-Williams (Aug. 13, 2008) (Exhibit 16 at 09-2222 0156).

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- To provide the care and comfort for the VIP's attending i.e.
 - To provide 25 all-inclusive rooms for the VIP's and members of Congress attending;
 - To provide appropriate mode of transportation for VIP's and members of Congress - cars or specialized vans - not buses;
- To be a designated sponsor in one of the categories - *Title, Main or Regular Sponsor* (See Attachment)
- To organize a "Host Country Evening" - The Friday evening, the host country will host at an appropriate location an evening of food, refreshment, cultural presentation and entertainment.
- Provide for local entertainment for the various functions -
 - The Opening Ceremonies
 - The Reception
 - The Gala Dinner
- To assist in Promotion and Publicity of the conference both locally and through international offices and official publications.
- Assist in securing competitive hotel rates.
- Equip and staff conference secretariat.
- Prime Minister to issue invitations along with CARIB NEWS to members of the US Congress, other Prime Ministers and Ministers of Government.
- Participate in the Forum with Members of Congress.
- Arrange tours and activities of interest for VIP's and/or their spouse.

53. According to a statement from Mr. Rodney submitted to the OCE, the Government of St. Maarten, (1) developed local Host Committees to facilitate local planning; (2) helped negotiate the rate with the hotel, (3) assisted in developing the theme of the Conference, (4) assisted in identifying local speakers, including the Leader of Government, (5) assisted in local publicity, (6) provided local entertainment, including a school choir and cultural items, (7) arranged Host Country Evening on Friday, November 8 (the whole group was transported to another property for refreshments and entertainment), (8) helped with local transportation for some CARIB NEWS employees and helped arrange accommodations for some guests (not paying for such accommodations), (9) participated as event speakers, and (10) issued invitations to attend to specially invited guests.⁴¹

⁴¹ Letter from Joel Cohen, Counsel for Karl Rodney (May 18, 2009) (Exhibit 6 at 09-2222 0069-0070).

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These contributions to the conference were all in-kind, according to Mr. Rodney, and he did not provide an estimated value for them.⁴²

54. In addition, because these donations to the conference are from a foreign government, Members are required to take certain actions under the Foreign Gifts and Decorations Act.⁴³

55. Representative Thompson told the OCE that he was unaware of what role the Government of St. Maarten played in the conference.⁴⁴

III. Role of the Chair's Counsel in the Approval of the 12th Annual Caribbean Multi-National Business Conference in 2007

56. As previously stated, Congressman Thompson told the OCE that he relied on the Standards Committee to determine who the true sponsor of the conference was based on information the Standards Committee received from the organization that invited him to the conference—the Carib News Foundation.⁴⁵ In the course of the Board's review, the OCE requested documents from Mr. Karl Rodney, the CEO of the Carib News Foundation. Mr. Rodney voluntarily submitted documents to the OCE that included, among other things, communication with certain members of the staff of the Committee on Standards of Official Conduct in 2007. The contents of these documents from 2007 are relevant because they indicate the role of the corporations was concealed from the Committee in 2008.

57. The Board requested that the Committee provide the information submitted to it for 2008 and the Committee declined to do so.

58. The documents submitted by Mr. Rodney show that in 2007 the CARIB NEWS initially identified, on several occasions, other corporations as sponsors of the Conference. The designation of these corporate entities as sponsors presented a problem for CARIB NEWS and the Members who wished to attend the conference insofar as their attendance would be limited to one day. In 2007, as a result of changes in the travel rules, a Member could not, in most instances, participate in an event (such as a conference) for more than one day if their travel to and participation in the event was paid for by an entity that

⁴² *Id.*

⁴³ 5 U.S.C. § 7342.

⁴⁴ Memorandum of Interview of Representative Bennie Thompson (May 6, 2009) (Exhibit 2 at 09-2222 0024.4).

⁴⁵ *Id.*

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employed or retained a lobbyist.⁴⁶ Many of the corporate entities who sponsored the 2007, as well as the 2008 conference, employed or retained lobbyists. Although CARIB NEWS initially identified these entities as sponsors, following interactions with the counsel to the then-chair of the Committee, apparently without the knowledge of the Standards Committee staff attorney assigned to review the trip, the documents identifying these organizations as sponsors were changed.

59. Subsequently, in 2008, the Carib News Foundation submitted documents that omitted the role of these other corporations as sponsors of the conference. Further, the staff attorney assigned to review the trip in 2008 was not the same staff attorney that reviewed the trip in 2007.
60. The earliest communication between CARIB NEWS or the Carib News Foundation and anyone associated with the Ethics Committee in the documents submitted to the OCE from Mr. Rodney is an e-mail dated September 17, 2007 from Patricia Louis, an Executive Assistant at CARIB NEWS, to Dawn Kelly Mobley, Counsel to the then-Chair of the Ethics Committee.⁴⁷
61. The next day, on September 18, 2007, Ms. Mobley responded and asked for “any information on your sponsor’s status.”⁴⁸
62. That same day Ms. Louis responded, indentifying multiple corporations as “sponsors” of the trip.⁴⁹

⁴⁶ House Ethics Manual (2008) at 92-93 (citing House Rule 25, clause 5(b)(1)(C)).

⁴⁷ E-mail from Patricia Louis to Dawn Kelly Mobley (Sept. 17, 2007, 3:47 PM EST) (Exhibit 17 at 09-2222 0205) (The Board elected not to interview Ms. Mobley and Ms. Olson because the Standards Committee voted against disclosing information to the OCE. The Board determined that it would have been an act of bad faith on the part of the OCE to attempt to interview staff and former staff of the Standards Committee in light of the Committee’s refusal to grant OCE access to their records.)

⁴⁸ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 18, 2007, 12:57 PM EST) (Exhibit 17 at 09-2222 0205).

⁴⁹ E-mail from Patricia Louis to Dawn Kelly Mobley (Sept. 18, 2007) (Exhibit 17 at 09-2222 0205). (The Board notes that while the document that contains the e-mail does not have a header which indicates a date and time, both the e-mail before it and responding to it are dated September 18, 2007).

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We had received the forms from Shelley.
The sponsors to date are : MACYS, PFIZER, AMERICAN AIRLINES, GOLDEN KRUST
BAKERY, CARIBBEAN FOOD DELIGHTS, PREFERRED HEALTH PARTNERS, IBM,
AT&T/CINGULAR

Regards

Patricia Louis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

63. In response that same day, Ms. Mobley asked, “[d]o any of these organizations have or retain a lobbyist?”⁵⁰
64. The next day, Ms. Mobley further responded asking Ms. Louis whether she, Ms. Mobley, should “assume” the sponsor was CARIB NEWS, despite the fact that Ms. Louis had not identified CARIB NEWS as a sponsor.⁵¹ The Board notes that in her e-mail, Ms. Louis did not identify CARIB NEWS as a sponsor. Further, Ms. Mobley acknowledged that she had been told corporations identified by Ms. Louis were “sponsoring food and other parts of the Antigua travel.” Under House rules in place at the time, and under current rules, sponsoring a meal makes these corporations sponsors of a Member’s travel.⁵² The Board also notes Ms. Mobley’s use of the phrase “safe review,” which the Board takes to mean a review which results in approval of the trip.

⁵⁰ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 18, 2007, 7:03 PM EST) (Exhibit 17 at 09-2222 0204).

⁵¹ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 19, 2007, 2:16 PM EST) (Exhibit 17 at 09-2222 0204).

⁵² House Ethics Manual (2008) at 97-98.

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From: Kelly Mobley, Dawn
Sent: Wednesday, September 19, 2007 2:16 PM
To: 'plouis'
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

Patricia:

I understand that several organizations will take part in sponsoring food and other parts of the Antigua travel. However, I need clarification on the *official* sponsor; that organization providing travel, lodging, and organizing the trip? Am I to assume it is CARIB NEWS? The official sponsoring organization must not retain or employ a registered lobbyist or registered foreign agent (and the sponsor must have a direct and immediate relationship with the event or location being visited). To assist with this, I am scanning information/guidelines and emailing in just a moment. Please provide a fax number if that method of submittal will be more helpful. If there is someone handling this matter, please provide me their direct contact information as I must ensure adherence to these new guidelines pre-travel.

In any event, I do need to know who the official sponsor will be. I have assigned a staff attorney to review your documents and will remain in contact with you until this matter is finalized.

Please respond today or tomorrow at the latest. I will be travelling for a while thereafter and must ensure that my staff attorney has all that is necessary for a safe review.

Dawn Kelly Mobley
 Ethics Counsel for Congresswoman Stephanie Tubbs Jones
 Chairwoman, Committee on Standards of Official Conduct
 U.S. of Representatives
 Washington, DC 20515
 202/226-7103

65. Later in the afternoon on September 19, 2007, Ms. Mobley sent Ms. Louis another e-mail where she discussed the limitations posed by the new travel rules the House had adopted in 2007. Ms. Mobley told Ms. Louis, "the new rules might prohibit some things you were able to do with so many different sponsors in the past." Thus, Ms. Mobley again acknowledged that these corporations were sponsors and that the new travel rules affected the nature of their participation in the trip.⁵³

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, September 19, 2007 3:23 PM
To: plouis
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

Lastly, just send the sponsor form asap for review. The new rules might prohibit some things you were able to do with so many different sponsors in the past, but until we get the form, we can't make the most informed decision. I have let the Congresswoman know we are awaiting your sponsor form. Just as you do, they would also like assurance. Thank you.

66. On September 21, 2007, Ms. Louis responded.⁵⁴

³ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 19, 2007, 3:23 PM EST) (Exhibit 17 at 09-2222 0204).

⁴ E-mail from Patricia Louis to Dawn Kelly Mobley (Sept. 21, 2007, 1:56 PM EST) (Exhibit 17 at 09-2222 0202).

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Registration
<p>From: plouis [plouis@nycaribnews.com] Sent: Friday, September 21, 2007 1:56 PM To: 'Dawn.Kelly.Mobley@mail.house.gov' Subject: Re: Sponsorship information</p>
<p>The official sponsor of the conference is the CARIB NEWS FOUNDATION, a not-for-profit 501C3 Organization that provides educational information about the Caribbean Region and its relationship with the US in doing business and developing good neighborly ties with the United States, security, health, education etc.</p>
<p>The CARIB NEWS FOUNDATION does not retain or employ a registered lobbyist or registered foreign agent.</p>
<p>Please use me as your contact for the purposes of this Conference.</p>
<p>The form will be emailed to you this afternoon.</p>
<p>Regards <i>Patricia Louis</i></p>
<p>Executive Assistant CARIB NEWS 7 West 36th Street, 8th Floor New York, NY 10018</p>

67. That same day, on September 21, 2007, Ms. Louis faxed Ms. Mobley a Private Sponsor Travel Certification Form. On the form Carib News Foundation is listed as the sponsor of the trip in question 1.⁵⁵

⁵⁵ Private Sponsor Travel Certification Form (Sept. 21, 2007) (Exhibit 17 at 09-2222 0192).

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<p>U.S. House of Representatives Committee on Standards of Official Conduct</p> <p>PRIVATE SPONSOR TRAVEL CERTIFICATION FORM (provide directly to each House invitee)</p> <p>This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 3. A completed copy of this form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.</p> <p><i>Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.</i></p> <p>Carib News Foundation</p> <p>1. Sponsor(s) (who will be paying for the trip): _____</p>
--

68. However, the Carib News Foundation listed a number of corporations as private sponsors, each with responsibility for planning and conducting the trip, in question 12.⁵⁶ This is at least the second instance where the Carib News Foundation identified these corporations as the trip's sponsors.

<p>12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: Pfizer-Education/Health AT&T and IBM - provide technology to the region. MACYS - seeks new vendor sources, Citibank opportunities in the region.</p>

69. This prompted the following e-mail from Ms. Mobley to Ms. Louis.⁵⁷ The Board notes that Ms. Mobley stated she was "working through" the Carib News Foundation's forms "before leaving them with our staff attorney."

⁵⁶ *Id.*

⁵⁷ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 25, 2007, 12:42 PM EST) (Exhibit 17 at 09-2222 0189).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

From: Kelly Mobley, Dawn
 Sent: Tuesday, September 25, 2007 12:42 PM
 To: 'plouis'
 Subject: Query

I am working through your forms before leaving them with our staff attorney and have a question. Query: Why did you not list CARIB-NEWS as the sponsor as you'd indicated instead of Macy's, etc. The official sponsor for travel, lodging, and planning is still Carib News, correct?

70. Later that same day, Ms. Mobley queried further.⁵⁸ At least as of this date, following at least two instances where Ms. Louis identified these corporations as sponsors, Ms. Mobley appeared to treat these entities as "co-sponsors."

From: Kelly Mobley, Dawn
 Sent: Monday, October 01, 2007 5:08 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Query

We are trying to work through a problem in approving the co-sponsors piece. Are they each paying for a one-day, widely attended event or more days? Please read the Gift Rules forwarded you on this matter.

71. On October 3, 2007, Ms. Mobley informed Ms. Louis that Susan Olson was the staff attorney assigned to review the trip.⁵⁹

72. A copy of the Private Sponsor Travel Certification Form provided to a Member's office on October 5, 2007, shows that by October 5, 2007, following its interaction with Ms. Mobley, Carib News Foundation changed its answer to question 12 regarding other private sponsors. As previously highlighted, as of September 21, 2007, Carib News Foundation provided the following answer to question 12.⁶⁰

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: Pfizer-Education/Health AT&T and IBM - provide technology to the region. MACY's - seek new vendor sources, Citibank - opportunities in the region.

73. However, as of October 5, 2007, it provided a different answer to question 12.⁶¹

⁵⁸ E-mail from Dawn Kelly Mobley to Patricia Louis (Sept. 25, 2007, 5:08 PM EST) (Exhibit 17 at 09-2222 0189).

⁵⁹ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 3, 2007, 12:39 PM EST) (Exhibit 17 at 09-2222 0186).

⁶⁰ Private Sponsor Travel Certification Form (Sept. 21, 2007) (Exhibit 17 at 09-2222 0193).

⁶¹ Private Sponsor Travel Certification Form (Oct. 5, 2007) (Exhibit 18 at 09-2222 0208).

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<p>12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip:</p> <p>CARIB NEWS FOUNDATION (SEE ATTACHED SHEET)</p>
--

And the attached sheet provided the following information.⁶²

<p>Item #12</p> <p>CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.</p>
--

On October 10, 2007, Susan Olson, the staff attorney on the Standards Committee assigned to review the trip e-mailed Ms. Louis the following questions⁶³ (and copied Ms. Mobley and the Chief Counsel of the Standards Committee at that time, Bill O'Reilly). While the Private Sponsor Travel Certification Form for the trip had been changed by this date, the draft agenda for the conference apparently had not and still showed corporate sponsors for various events. What Ms. Olson does not appear to be aware of is the fact that Carib News Foundation had previously identified the same corporations she is raising questions about as private sponsors on its original Private Sponsor Travel Certification form.

<p>Original Message</p> <p>From: Olson, Susan [mailto:Susan.Olson@mail.house.gov] Sent: Wednesday, October 10, 2007 4:01 PM To: plouis@nycaribnews.com Cc: Dawn.Kelly.Mobley@mail.house.gov; O'Reilly, Bill Subject: Carib News - Pre-trip Approval Issues</p> <p>Dear Mrs. Louis:</p> <p>With regard to the pre-trip approval requests for the Carib News Foundation's</p>

⁶² *Id.* at 09-2222 0212

⁶³ E-mail from Susan Olson to Patricia Louis (Oct. 10, 2007, 4:01 PM EST) (Exhibit 17 at 09-2222 0174-0175).

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(Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

74. The Committee's Advisory Opinion dated October 27, 2006 indicates, as Ms. Olson wrote, that Carib News Foundation told the Standards Committee in 2006 that there were several corporate entities that sponsored the conference.⁶⁴ The "Advisory Opinion" did not conclude that these entities were, in fact, the trip's sponsors, as the e-mail seems to indicate.

⁶⁴ Committee on Standards of Official Conduct, Advisory Opinion (Oct. 27, 2006) (Exhibit 17 at 09-2222 0198-0200).

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75. A draft agenda for the conference dated October 3, 2007, identified a “sponsor” for each event listed.⁶⁵ This appears to be the version of the agenda that Olson is referring to in her e-mail. For example, “Preferred Health Partners” is identified as the sponsor of this event on “Port & Airport Security—The Mandates.”⁶⁶

<u>PORT & AIRPORT SECURITY - THE MANDATES</u>	
Moderator	Lance Ogiste Hon. Bennie Thompson
Speakers	Dr. Basil Wilson
Venue	
Sponsor	
Preferred Health Partners	

And Citi is identified as the sponsor of this event on “Community Outreach – Education – Access and Collaboration.”⁶⁷

4:00pm - 5:30pm	COMMUNITY OUTREACH - <u>EDUCATION - ACCESS AND COLLABORATION</u>
Venue:	Off Site
Sponsor	CITI
Moderator:	Michael Flanigan
Speakers:	Dr. Edison Jackson - President Medgar Evers College Hon. Danny Davis - Member of Congress Hon. David M. Dinkins - Former Mayor New York City Hon. Eddie Bernice Johnson - Member of Congress Hon. Bertrand Joseph

⁶⁵ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 3, 2007) (Exhibit 19 at 09-2222 0214).

⁶⁶ *Id.* at 09-2222 0219-0220.

⁶⁷ *Id.* at 09-2222 0226.

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76. Ms. Louis forwarded Ms. Olson's e-mail to Ms. Mobley, Congressman Charles Rangel's Chief of Staff George Dalley and Delegate Donna Christensen's scheduler Shelley Thomas, and asked them to advise her on a response.⁶⁸

-----Original Message-----
 From: plouis@nycaribnews.com
 To: Kelly Mobley, Dawn Thomas, Shelley Dalley, George
 Sent: Wed Oct 10 17:56:25 2007
 Subject: FW: Carib News - Pre-trip Approval issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct.

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Ms. Louis appears to be pre-clearing her response to questions posed by the Standards Committee staff attorney. There is no indication that the staff attorney knew that the answer to her question had already been discussed with the counsel to the then-chair of the Standards Committee. Substantively, based on documents obtained by the OCE and interviews with sponsors, the statement "the sponsors contribute to the overall expense of the trip" appears to be true. However, the statement "and the general work of the Foundation," does not appear to be true. All of the sponsors OCE interviewed stated unequivocally that the contribution was intended for the conference.⁶⁹

⁶⁸ E-mail from Patricia Louis to Dawn Kelly Mobley, George Dalley, and Shelley Thomas (Oct. 10, 2007, 5:56 PM EST) (Exhibit 17 at 09-2222 0180).

⁶⁹ Memorandum of Interview of Representative of IBM (May 8, 2009) (Exhibit 9); Memorandum of Interview of Representative 1 of the Verizon Foundation (Mar. 23, 2009) (Exhibit 11); Memorandum of Interview of Representative of Citi (Mar. 17, 2009) (Exhibit 4); Memorandum of Interview of Representative 1 of Macy's (May 28, 2009) (Exhibit 20).

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77. Less than an hour later Ms. Mobley responded.⁷⁰ The Board notes Ms. Mobley's concern with whether any of the corporate sponsors paid for an event and also retained a lobbyist⁷¹ and her conclusion that if they did, Members of Congress could only attend for one day.

Registration	
From:	Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent:	Wednesday, October 10, 2007 6:49 PM
To:	plouis@nycafbnews.com
Subject:	Re: Carib News - Pre-trip Approval issues
<p>Her question centers on whether any of these "co-sponsors" retains a lobbyist or foreign. This is the issue you and I focused on previously. It is a central problem if any do and are paying for the events. If so, you are in the same position as last year with one-day events. Call Susan back tomorrow as early as possible. Hopefully, these co-sponsors don't retain lobbyists, but you should have their representations on this matter. At a minimum, Macy's does, right? Alternatively if they are only sponsoring event tables or the like, a lobbyist will not be problematic.</p>	
Sent using BlackBerry	


78. Ms. Louis then faxed Ms. Mobley.⁷¹ The Board notes that Ms. Louis again appears to be pre-clearing her responses to the staff attorney, Ms. Olson, with Ms. Mobley ("Kindly review and advise before I send to Ms. Olson.").

⁷⁰ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 10, 2007, 6:49 PM EST) (Exhibit 17 at 09-2222 0180).

⁷¹ Fax from Patricia Louis to Dawn Kelly Mobley (Oct. 11, 2007) (Exhibit 17 at 09-2222 0177).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

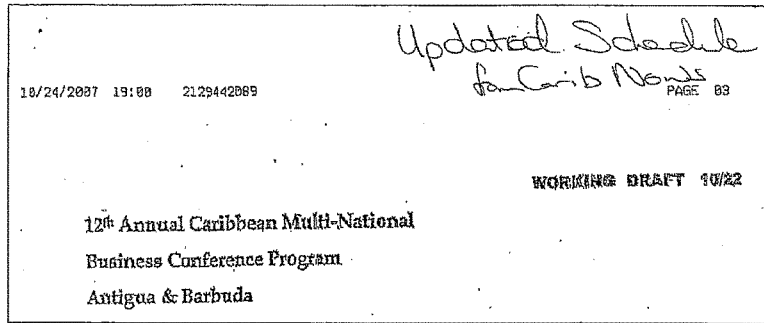
 THE NEW YORK CARIBNEWS <small>THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY 7 WEST 96TH STREET, 48 FLOOR, NEW YORK, NY 10018</small>	
TEL: (212) 944-1991; FAX: (212) 944-2089 E-MAIL ADDRESS: NYCARIB@AOL.COM; CARIBNEWS@ATT.NET	
FACSIMILE TRANSMITTAL SHEET	
TO: Dawn Kelly Mobley	FROM: P. Louis <i>[Signature]</i>
COMPANY: Ethics Council for Cong. Stephanie Tubbs Jones	DATE: 10/11/07
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: Travel Form	
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION	
Further to our conversations of this morning, following is the Travel Certification Form..	
The Conference is paid for by the Carib News Foundation. The companies are participants, are not sponsors or co-sponsors for any part of the trip and play no role in the planning or content.	
The program has been amended to reflect the companies as Participants.	
I regret the mis-understanding and thank you for holding my hand as I go through and grow through this process which is new to me.	
Kindly review and advise before I send to Ms. Olsen.	
I look forward to hearing from you.	

79. The Board further notes that Ms. Louis represented that, "the program has been amended to reflect the companies as Participants," an action taken following Ms. Louis' interactions with Ms. Mobley. A copy of the revised agenda was submitted by another

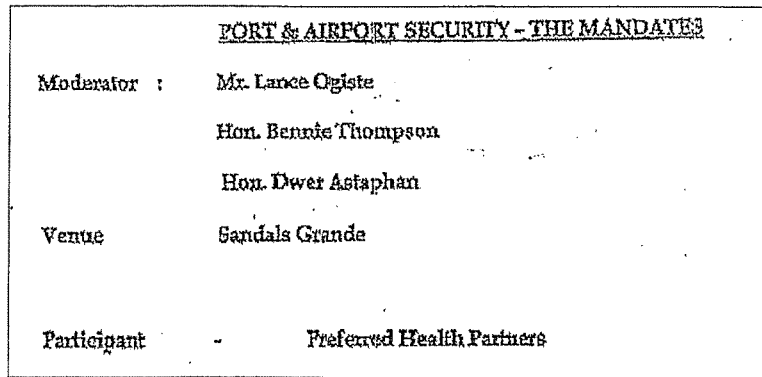
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Member of Congress who attended the conference.⁷² The Board notes that this "updated" schedule changed the label applied to "sponsors" to "participants" and in some cases deleted any mention of the corporate entity that had previously been identified as a "sponsor."



80. This event was previously identified in the earlier draft as being "sponsored" by Preferred Health Partners.⁷³ Now it identifies them as merely a "participant."⁷⁴



⁷² Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 22, 2007) (Exhibit 21 at 09-2222 0236).

⁷³ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 3, 2007) (Exhibit 19 at 09-2222 0219 - 0220).

⁷⁴ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 22, 2007) (Exhibit 21 at 09-2222 0240).

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81. In another example, the Board notes that the following event was previously identified as being sponsored by CITI.⁷⁵ Now CITI is not even mentioned.⁷⁶ Michael Flanigan, the moderator, was an executive at CITI at the time and represented the company at the conference.⁷⁷

5:00pm - 6:30pm	-	COMMUNITY OUTREACH - <u>EDUCATION & YOUTH DIRECTION - ACCESS AND COLLABORATION</u>
Venue:	-	Multipurpose Cultural & Exhibition Centre
Moderator:	-	Michael Flanigan
Speakers:	-	Dr. Edison Jackson - President Medgar Evers College Hon. David M. Dinkins - Former Mayor New York City Hon. Eddie Bernice Johnson - Member of Congress Hon. Bertrand Joseph

82. These changes were entirely cosmetic. There is no indication that the corporations' substantive role in the conference changed in any way.
83. That same day, October 11, 2007, Ms. Louis responded to Ms. Olson, stating that "[t]he sponsors contribute to the overall expense of the trip."⁷⁸ The Board notes that this is precisely the answer she had pre-cleared with Mobley.

⁷⁵ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 3, 2007) (Exhibit 19 at 09-2222 0226).

⁷⁶ Working Draft of the 13th Annual Caribbean Multi-National Business Conference Program (Oct. 22, 2007) (Exhibit 21 at 09-2222 0246).

⁷⁷ Memorandum of Interview of Representative of Citi (Mar. 17, 2009) (Exhibit 4).

⁷⁸ E-mail from Patricia Louis to Susan Olson (Oct. 10, 2007, 10:33 AM EST) (Exhibit 17 at 09-2222 0174).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

From: plouis [mailto:plouis@nycaribnews.com]
 Sent: Thursday, October 11, 2007 10:33 AM
 To: Olson, Susan
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,
 In response to your e-mail:
 The sponsors contribute to the overall expense of the trip and the general work of the Foundation.
 As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.
 No federally registered lobbyist or foreign agent will be accompanying the Members of participating in the program.

Regards

 Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

84. Ms. Olson then forwarded her exchange with Ms. Louis to Bill O'Reilly, the Staff Director and Chief Counsel of the Ethics Committee at that time, and to Dawn Mobley.⁷⁹ Based on this e-mail, it appears that Ms. Olson was unaware that Mobley had been communicating directly with the Carib News Foundation. There is also no evidence that Ms. Mobley told her she had been involved in this manner. Witnesses for the various sponsors told the OCE that they did contribute funding directly to the trip (the conference) and in no case did they simply make donations to the "general funds" of the CARIB NEWS or the Carib News Foundation.⁸⁰

⁷⁹ E-mail from Susan Olson to Bill O'Reilly and Dawn Kelly Mobley (Oct. 12, 2007 9:29 AM) (Exhibit 17 at 09-2222 0174).

⁸⁰ Memorandum of Interview of Representative of IBM (May 8, 2009) (Exhibit 9); Memorandum of Interview of Representative 1 of the Verizon Foundation (Mar. 23, 2009) (Exhibit 11); Memorandum of Interview of Representative of Citi (Mar. 17, 2009) (Exhibit 4); Memorandum of Interview of Representative 1 of Macy's (May 28, 2009) (Exhibit 20).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

From: Olson, Susan
Sent: Friday, October 12, 2007 9:29 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval Issues

Bill and Dawn,
 Please note the following response from Ms. Louis at Carib News: Unfortunately, it appears that if each sponsor contributes funding directly to the trip (an earmark of funds) and not simply to the general funds of Carib News, then the assumption is that all of these entities are co-sponsors of the trip for purposes of the House travel rules. If that is the case and since it is likely that at least one of these co-sponsors hires or retains a federally registered lobbyist, the trip could only be a one-day event trip. Thus the Committee can't approve the trip as it currently exists.

But, if you have a different interpretation of the below information from Ms. Louis, please do let me know. Thanks! Susan.

85. Ms. Mobley then forwarded Ms. Olson's internal e-mail to Ms. Louis.⁸¹ The Board notes that Ms. Mobley counseled that these "other organizations" can "participate" in the program but "should not help pay for the trip."

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 9:59 AM
To: 'plouis'
Subject: FW: Confidential

Please read both emails below. Give Susan a call as soon as you work through the link, let her know you are following up. Please make yourself available to work through this matter today. Thank you Patricia. (These other organizations can participate in the program but should not help pay for the trip).

86. Later that day, Ms. Mobley sent Ms. Louis an additional e-mail.⁸² As previously stated, the sponsors whom the OCE interviewed all stated that they did not "support [the] foundation annually" and did specifically designate their donations for the 2007 conference. Either Ms. Mobley was repeating inaccurate information Ms. Louis had given her, or she was giving inaccurate information to Ms. Olson without prompting.

⁸¹ E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 12, 2007 9:59 AM EST) (Exhibit 17 at 09-2222 0173).

⁸² E-mail from Dawn Kelly Mobley to Patricia Louis (Oct. 12, 2007 1:55 PM EST) (Exhibit 17 at 09-2222 0173).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Friday, October 12, 2007 1:55 PM
To: plouis
Subject: RE: Confidential

The fax did not come through. I will be stepping out of the office for the remainder of the day, but will be in early Monday. Please follow up again with Susan. I have explained that the organizations you labeled as co-sponsors are not. They support your foundation annually, but have not and will not pay for the Members trip. This is simply a misunderstanding of the terms "sponsor", "contributor", and "participant" as used in the Ethics rules which is why I sent you the Rules book for preparation.

Once you are able to clarify these issues and make sure your itinerary passes to you should be fine. An Ethics Committee Staff Attorney must review and approve as well. Your Staff Attorney is Susan.

87. Ms. Olson then sent Ms. Louis additional questions about the corporate entities previously identified as "sponsors."⁸³

From: Olson, Susan
Sent: Friday, October 12, 2007 2:41 PM
To: plouis
Cc: Kelly Mobley, Dawn; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM

⁸³ E-mail from Susan Olson to Patricia Louis (Oct. 12, 2007 2:41 PM EST) (Exhibit 17 at 09-2222 0169).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended.

- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

88. Three days later, Ms. Louis sent an e-mail to Ms. Mobley, but addressed to Ms. Olson.⁸⁴ This may have been inadvertent or, based on their previous practice, Ms. Louis may have done this before she then sent it to Ms. Olson. This is the final communication regarding the 2007 conference provided to the OCE.

From: plouis [plouis@nycaribnews.com]
 Sent: Monday, October 15, 2007 11:00 AM
 To: 'Kelly Mobley, Dawn'
 Subject: RE: Carib News, Pre-trip Approval issues

Ms. Olson,
 In response to your e-mail, the answer to your question is NO.
 Regards and Thanks for your patience.

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

89. In an interview with the OCE, a staff member of Representative Rangel's office, Staff Member 1 of Congressman Rangel's Office, described discussions with Ms. Louis and Ms. Mobley in 2007 on the topic of how the new travel rules would affect the Members' participation in the 2007 conference.⁸⁵ The staff member said that Ms. Louis, and Mr. and Mrs. Rodney told him that the then Chair of the Standards Committee had assigned

⁸⁴ E-mail from Patricia Louis to Dawn Kelly Mobley (Oct. 18, 2007 11:00 AM EST) (Exhibit 17 at 09-2222 0168).

⁸⁵ Memorandum of Interview of Staff Member 1 of Congressman Rangel's Office (May 27-28, 2009) (Exhibit 22 at 09-2222 0249-0254).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

her counsel on the Committee, Ms. Mobley, to “find a way” to allow Members of Congress to participate in the conference under the new travel rules passed by the House in 2007.⁸⁶ The staff member told the OCE that Congressman Rangel told him that the then-Chair had made similar statements to him.⁸⁷

90. Staff Member 1 of Congressman Rangel’s Office said the “framework” that was decided upon was that the Carib News Foundation would raise money from corporate donors who had previously sponsored the conference and that this money in turn would be deposited in a “common fund” that would then be used to pay for the conference. It was Staff Member 1 of Congressman Rangel’s Office’s understanding that if this was done, then Members of Congress could participate in the conference without any restrictions imposed by the new rules. Staff Member 1 of Congressman Rangel’s Office said that it was important to break the link between the corporations and the conference under the new rules and that using the Foundation in this way would enable that to happen. Staff Member 1 of Congressman Rangel’s Office said he learned of this new framework for the conference from Mr. and Mrs. Rodney and that they represented this approach had come from Ms. Mobley on the Standards Committee.⁸⁸
91. This conduct in 2007 is relevant to the present reviews for a number of reasons. First, in and of itself, the intervention of the Chair’s counsel in this matter is troubling and more likely constituted an improper manipulation of the clearance process. Following Ms. Mobley’s intervention, Carib News Foundation changed the Private Sponsor Travel Certification Form to delete any mention of private sponsors before it was sent to the Standards Committee’s staff attorney assigned to review the matter. This occurred after Carib News Foundation had told Ms. Mobley that these corporations were sponsors of the conference on several occasions. Ms. Louis’s initial, and presumably truthful, submissions regarding the conference described the corporate entities as sponsors. However, if the corporations were sponsors of the conference, as opposed to Carib News Foundation, then the Members of Congress ability to attend would have been limited because, the corporations, unlike the Carib News Foundation, employed or retained lobbyists. It appears from the correspondence between Ms. Mobley and Ms. Louis, and Ms. Olson’s apparent ignorance of the correspondence, that Ms. Mobley assisted Ms. Louis in preparing inaccurate answers for Ms. Olson in order to ensure that Ms. Olson would approve the trip in a manner that would not limit the attendance of the Members of Congress. Ms. Mobley’s involvement in the process seems to have prevented the

⁸⁶ *Id.* at 09-2222 0250.

⁸⁷ *Id.* at 09-2222 0251.

⁸⁸ *Id.*

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Standards Committee and its staff from correctly performing its responsibilities. Ms. Olson's approval of the trip was predicated on an incomplete or inaccurate factual record, in which Ms. Mobley apparently was complicit, putting at risk those Members of Congress who relied on that approval.


92. The 2007 conduct and attendant misrepresentations appear to have directly influenced what occurred in 2008. When the Carib News Foundation submitted materials to the Standards Committee for their approval of the 2008 conference, the Carib News Foundation took the same approach that they had been counseled to take in 2007 and did not disclose the role of the corporate sponsors on the Private Sponsor Travel Certification Form or the conference agenda.

93. In fact, in 2008, Carib News Foundation again first submitted its document to Ms. Mobley.⁸⁹ The Board notes that while Ms. Mobley remains an employee of the U.S. House of Representatives as of the date of this report, the above described conduct did not occur after the day the House passed the resolution creating the OCE, and therefore, under the terms of the that resolution, the OCE does not have jurisdiction to open a preliminary review into Ms. Mobley's conduct.

⁸⁹ Fax from Patricia Louis to Dawn Kelly Mobley (Aug. 20, 2008) (Exhibit 23 at 09-2222 0112).

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended:

 THE NEW YORK CARIBNEWS <small>THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY 7 WEST 38TH STREET, 48 FLOOR, NEW YORK, NY 10018</small>	
TEL: (212) 944-1991; FAX: (212) 944-2089 E-MAIL ADDRESS: <u>NYCARIB@AOL.COM</u> ; <u>CARIBNEWS@ATT.NET</u>	
FACSIMILE TRANSMITTAL SHEET	
TO: Kelly Mobley	FROM: Patricia Louis
COMPANY: Committee on Standards of official conduct	DATE: 8/20/08
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: 2008 MULTINATIONAL BUSINESS CONFERENCE	
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION	
Following is the completed form and attachments re travel for Members of Congress to attend the 2008 Multinational Business Conference scheduled for November 6-9, 2008 in St. Maarten.	
Regards	

IV. The Value of the Trip Reported on the Member's Post-Travel Disclosure Form

94. Under House Rule 25 clause 5(d), Members are required to obtain prior approval of the Standards Committee for trips where the Member's travel expenses will be reimbursed by a private source. The Standards Committee has adopted regulations, including a pre- and post-travel form Members must complete, pursuant to House Rule 25 clause 5(h)(i)(1)(B)⁹⁰ that requires Members to publicly disclose "trip expenses."
95. According to the House Ethics Manual, "[t]he rule and implementing regulations are concerned with the organization(s) or individual(s) that actually pay for travel." House Ethics Manual (2008) at 97.

⁹⁰ House Rule 25(5)(h)(i)(1)(B) directs the Standards Committee to establish, "regulations describing the information it will require individuals subject to this clause to submit to the committee in order to obtain the prior approval of the committee for any travel covered by this clause . . ." On February 20, 2007, the Committee issued guidelines and regulations concerning the travel restrictions and requirements.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended:

96. There is substantial reason to believe that Congressman Thompson violated House Rule 25 clause 5(h)(i)(1)(B) by underreporting the value of the travel reimbursements he received to attend the 13th Annual Caribbean Multi-National Business Conference.

A. Representations from Carib News Foundation

97. Carib News Foundation submitted a Private Sponsor Travel Certification Form to each Member it sponsored for the 2008 trip—Representatives Christensen, Kilpatrick, Payne, Rangel and Thompson.⁹¹ This form was provided to each Member Office and then submitted by the Member Office to the Standards Committee as part of the pre-travel approval process.

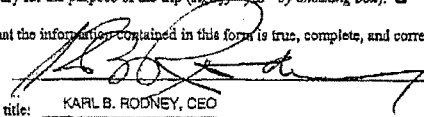
21. **TOTAL EXPENSES FOR EACH PARTICIPANT:**

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	280	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150 \$ 25	Conference Registration Local transportation
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name and title: KARL B. RODNEY, CEO

Organization: CARIB NEWS FOUNDATION

⁹¹ Private Sponsor Travel Certification Form signed by Karl Rodney (Oct. 3, 2008) (Exhibit 1 at 09-2222 0010).

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

98. The Board notes that the Carib News Foundation provided the same exact dollar figure with very minor variations for each Member of Congress that attended the conference despite the fact that Carib News Foundation recognized on the same form that the Congressional travelers were travelling to and from different cities. In fact, the Congressional travelers came from St. Croix, U.S. Virgin Islands; Detroit, Michigan; Newark, New Jersey; New York, New York; and Jackson, Mississippi.

99. In addition, according to Mr. Rodney, the cost charged to "the public" to attend the conference for four days and three nights was \$840 for double occupancy or \$1085 for single occupancy. The cost of attendance included "room accommodations, meals, and admission to the Conference." However, these figures were not disclosed pre-travel to the Members or the Standards Committee, nor were these figures disclosed to the Members after the conference.⁹²

B. Information Required from the Congressional Traveler

100. On his Member/Officer Post-Travel Disclosure Form,⁹³ Representative Thompson certified his travel cost as the following:

⁹² Letter from Joel Cohen, Counsel for Karl Rodney (May 18, 2009) (Exhibit 6 at 09-2222 0070).

⁹³ Member/Officer Post-Travel Disclosure Form signed by Representative Bennie G. Thompson (Dec. 5, 2008) (Exhibit 1 at 09-2222 0003).

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

9. **TRIP EXPENSES:** Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	410.00	405.00	part of general program
For accompanying family member:	410.00	n/a	part of general program

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	175.00	Registration Fees and Ground Transportation
For accompanying family member:	n/a	n/a

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: Bernie J. Thompson

DATE: November 26, 2008

101. However, documents from Congressman Thompson's office show his airline ticket cost \$750.15, not \$410.⁹⁴

----- Original message from "Jimerson, Jennifer" <jjimerson@mail.house.gov>: -----

Patricia,
 Considering that the other options have several layovers the Congressman's preference would be the first itinerary with US Airways for \$750.15. Congressman Thompson seating preferences is exit row aisle only and please seat him and Dr. Thompson together. Contact me if you have any questions.
 Thanks,
 Jennifer

102. In an interview with OCE, Congressman Thompson stated that he had been shown a list of flights and that he had chosen which flight he would take.⁹⁵

⁹⁴ E-mail from Jennifer Jimerson to Patricia Louis (Oct. 27, 2008, 8:27 PM EST) (Exhibit 24 at 09-2222 0289).

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

V. Carib News Foundation, as a Private Foundation, Was Prohibited From Paying for Congressman Thompson's Travel

103. The Carib News Foundation is identified as the "sponsor" of this conference on at least three forms filed with the Committee on Standards of Official Conduct: (1) the "Private Sponsor Travel Certification Form"; (2) the "Privately Sponsored Travel: Travel Form," and (3) the "Member/Officer Post-Travel Disclosure Form."⁹⁶
104. According to a query of the New York State's Office of the Secretary of State, Mr. Rodney appears to operate two organizations – the "Carib News, Inc" which operates a newspaper and the "Carib News Charities, Inc" which also may operate under the name "Carib News Foundation." The OCE has not been able to identify any additional activity, other than the annual Caribbean Multi-National Business Conference, in which the latter organization is involved. Furthermore, while "Carib News Charities, Inc" / "Carib News Foundation" is listed with New York's Office of the Secretary of State as a domestic not-for-profit corporation, it is not listed, as required by law, with the New York State Charities Bureau. In addition, there is no evidence that the organization has filed a tax return with the IRS, under either name, since 2005.⁹⁷ According to Publication 78 of the IRS, a list of all charities claiming 501(c) status, Carib Charities, Inc is a private foundation.⁹⁸
105. Every organization that qualifies for tax exemption described in section 501(c)(3) of the Internal Revenue Code is defined as a private foundation unless the organization falls into one of the categories specifically excluded from the definition under section 509(a).⁹⁹ In effect, the definition divides 501(c)(3) organizations into two classes: private foundations and public charities. Private foundations are generally funded through a single donor, family or corporation and operate through investment income; whereas, public charities are typically funded and operated through diversified support from governmental sources and the general public.
106. There are several restrictions that are placed on private foundations. At issue here is the restriction on "self-dealing" between a disqualified person (the definition of which

⁹⁶ Member/Officer Post-Travel Disclosure Form signed by Representative Bennie G. Thompson (Dec. 5, 2008) (Exhibit at 09-2222 0002).

⁹⁷ Letter from IRS to OCE (Apr. 17, 2009) (Exhibit 8 at 09-2222 0095-0108).

⁹⁸ Online Version of Publication 78 (Accessed online on June 5, 2009 at <http://www.irs.gov/app/pub-78/>) (Exhibit 8 at 09-2222 0108.1-0108.2).

⁹⁹ 26 U.S.C. §§ 501 and 509.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended¹

includes Members of Congress) and a private foundation.¹⁰⁰ The Code defines the act of “self-dealing” to include any direct or indirect agreement to make any payment of money (including reimbursement of expenses) or other property by a private foundation to a government official.¹⁰¹ Although framed as a tax provision, the statute essentially bars certain federal employees and federally elected officials from receiving compensation, including reimbursements for international travel, from a private foundation.¹⁰²

107. As such, it appears that the Carib News Foundation’s payment of travel expenses for Representative Kilpatrick and her acceptance of payment potentially violated the Internal Revenue Code.
108. The Board infers from the fact that the Standards Committee approved the reimbursement that the Standards Committee is unaware of this restriction. The Board notes, however, that its own research revealed that numerous other ethics-related entities in the federal government are aware of this restriction, and have for some time been enforcing it.¹⁰³
109. Congressman Thompson told the OCE that he was unaware of any limitations on the ability of private foundations to pay for overseas travel by government officials.¹⁰⁴
110. The Board notes that these facts show failures at multiple points by multiple parties in complying with and enforcing the travel rules. Inaccurate information was provided by the Carib News Foundation to Members and the Standards Committee. Congressman Thompson certified inaccurate information to the Standards Committee. The counsel to the former Chair of the Standards Committee intervened in the review process in a way that both frustrated the process in 2007 and set a pattern of obfuscation that continued in 2008. Finally, the Standards Committee appears unaware of limitations on the ability of private foundations to pay for overseas travel by Members.
111. For all these reasons, the Board concludes further review of this matter by the Standards Committee is appropriate.

¹⁰⁰ 26 U.S.C. §§ 4941 and 4946.

¹⁰¹ 26 U.S.C. § 4941(d)(1)(F). The Code imposes a tax (currently 10 percent of the amount involved) on the illegal payments, with later penalties (up to \$20,000 per act of self-dealing) for failure to pay back the foundation. The tax is imposed on the disqualified person, including a government official if the official participates in the act of self-dealing knowingly.

¹⁰² The payment or reimbursement of travel expenses for travel solely from one point in the United States to another point in the United States is excepted from the definition of self-dealing provided that the payment or reimbursement does not exceed the actual cost of the transportation involved and provided the amount for all other traveling expenses does not exceed 125 percent of the maximum amount payable under section 5702 of title 5, United States Code, for like travel by employees of the United States. 26 U.S.C. § 4941(d)(2)(G)(vii).

¹⁰³ Memorandum from Ethics Counsel at the National Institutes of Health to Deputy Ethics Counsels at NIH (Jun. 2, 2000) (Exhibit 25 at 09-2222 0293).

¹⁰⁴ Memorandum of Interview of Representative Bennie Thompson (May 6, 2009) (Exhibit 2 at 09-2222 0024.3).

EXHIBIT 1

Original Amendment

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
110th Congress

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

1. Name of Traveler: Congressman Bennie G. Thompson
2. a. Name of Accompanying Family Member (if any): Dr. London Thompson
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
3. a. Date of Departure and Date of Return: November 6, 2008 to November 9, 2008
 b. Dates at personal expense (if any): NONE
4. Itinerary (cities of departure -- destination -- return): Jackson, MS to St. Maarten, N.A. to Jackson, MS
5. Sponsor(s) (who paid for the trip): Carib News Foundation
6. Describe meetings and events attended (attach additional pages if necessary): Attended Meetings to give a perspective on Committee Chairmanship and Legislation
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; *and*
 - c. the Committee on Standards' letter approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

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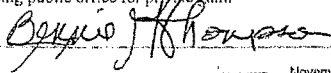
9. **TRIP EXPENSES:** Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	410.00	405.00	part of general program
For accompanying family member:	410.00	n/a	part of general program

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	175.00	Registration Fees and Ground Transportation
For accompanying family member:	n/a	n/a

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER:



DATE: November 26, 2009

Version date 9/2/08 by Committee on Standards of Official Conduct

1692

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 20, 2008

The Honorable Bennie G. Thompson
U.S. House of Representatives
2432 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip for you and your spouse to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received by you or your spouse from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

09-2222 0004


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The Honorable Bennie G. Thompson
October 20, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,


Gene Green
Acting Chairman


Doc Hastings
Ranking Republican Member

GG/DH:pgp

09-2222 0005

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification/Form and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Congressman Bennie G. Thompson

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: Jennifer Jimerson

Name of Signatory (if other than traveler): Jennifer Jimerson

For staff, name of employing Member/Committee: _____

Office address: _____

Phone number: _____

Email address of contact person: _____

Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 9/2008 by Committee on Standards of Official Conduct

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

1. Name of Traveler: Congressman Bennie G. Thompson
2. Sponsor(s) (who will be paying for the trip): Carib News Foundation
3. Travel destination(s): St. Maarten, N.A.
4. a. Date of Departure and Date of Return: 11/06/08 and 11/09/08
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No
 b. If yes, name of accompanying family member: Dr. London Thompson
 c. Relationship to traveler: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following: N/A - Sponsor checked 9(a) or 9(b)
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):
8. Explain why participation in the trip is connected to your individual official or representational duties:
To give his perspective on committee chairmanship and legislation.
9. **FOR STAFF:**
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 10/16/08

 Signature of Employing Member

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES.
6. Dates of travel: NOVEMBER 8-9, 2008
7. Cities of departure - destination - return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: _____
 CARIB NEWS FOUNDATION (* SEE ATTACHED)
13. Describe each sponsor's organizational interest in the purpose of the trip: _____
 THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND
 ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN
14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: _____
 REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS
15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
16. I represent that either (check one of the following):
 a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: *xx*
 b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
 If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____
17. Reason for selecting the location of the event or trip: SEE ATTACHED
18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT
19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00
20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

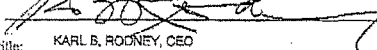
21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	260	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150 \$ 25	Conference Registration Local transportation
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (Identify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
 Name and title: KARL B. RODNEY, CEO
 Organization: CARIB NEWS FOUNDATION
 Address: 7 WEST 36th STREET, 8th FLOOR, NEW YORK, NY 10018
 Telephone number: (212) 944-1991
 Fax number: (212) 944-2089
 Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7105 (phone)
 (202) 225-7392 (general fax)
 (202) 225-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
Congressman Charles Rangel
Congressman Donald Payne
Congresswoman Donna M. Christensen
Congressman Edolphus Towns
Congressman G. K. Butterfield
Congressman Gregory Meeks
Congressman Jesse Jackson Jr.
Congressman Keith Ellison
Congressman Kendrick Meek
Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008

1700



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Item #17

A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

1701

Working Copy

13th Annual Caribbean Multi-National
Business Conference Program
St. Maarten, N.A.

THEME: A REGION IN TRANSITION – STRATEGY FOR
CHANGE – BUILDING ALLIANCES

Thursday, November 6, 2008

12:00 noon – 6:00pm : CONFERENCE REGISTRATION -
--

5:00 – 6:30 - TOWN HALL FORUM - HEALTH

Healthy Eating and Living

Innovative Solutions for Life styles

Diseases- a Collaborative Approach – Diabetes,
Hypertension, Obesity, Oral Health

Venue - ROYAL ISLANDER

Moderator - Dr. Marcia Bayne Smith

Speakers - Mrs. Karen Boykin-Towns

Congressmember Dr. Donna Christian Christensen

Commissioner Maria Buncamper Molanus, Health

Commissioner, St. Maarten

Dr. Leon Merrick

Dr. Jay Cohan

Dr. John Palmer

6:30- 7:30pm - OPENING RECEPTION

Venue: - UPPER POOL

09-2222 0013

1702

Theme: - *A REGION IN TRANSITION -*
Speakers: - Faye A. Rodney
Hon. Roy Marlin - Commissioner for Tourism & Finance - St
Maarten.
MC: - Sabrina Hosang - Royal Caribbean Bakery
7:30 - 9:30 pm - OPENING DINNER
Venue: - MAHO ROOM
Theme: - *STRATEGY FOR CHANGE*
MC - Judge Sylvia Hinds-Radix
Speakers: - Susan Taylor
Hon. Donald Payne, Member US Congress
10:00pm - 1:00am: - HOSPITALITY AREA

Friday, November 7, 2008

8:00am - 8:45am - (BREAKFAST) - OCEAN TERRACE

9:00am - 6:00pm	CONFERENCE REGISTRATION OCEAN TERRACE LOBBY
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1703

CONFERENCE SECRETARIAT
SABA ROOM

9:00am - 10:15am - OPENING CEREMONIES
Venue: - MAHO ROOM
Theme: - *BUILDING ALLIANCES FOR PROGRESS*

Invocation: - Rev. Susan Johnson=Cook

Anthems: - St. Maarten
Lift Every Voice

Remarks: - Eric Eve
Karen Boykin-Towns
Marie Long
Ed Goldberg
Patrick Gaston
Hazel Dukes

Speakers: - Hon. Carolyn Cheeks Kilpatrick - Member
US Congress
Commissioner Sarah Wescot-Williams, Leader
of Government, St.Maarten
Harry Belafonte

RIBBON CUTTING CEREMONIES

10:15am - 10:30am - COFFEE BREAK -

1704

10:30am -11:45am - . PRESENTATION

EDUCATION – TECHNOLOGICAL ADVANCES - COLLABORATION

Moderator - Dr. Emmanuel Gonsalves
Greetings - Marie Long
Speakers - Dr. Edison Jackson
Patrick Gaston
Dr. Marcia Keizs
Congressmember Eddie Bernice Johnson
Professor Rex Nettleford

12:00 noon – 1:15p.m. PRESENTATION

TRAVEL AND TOURISM -

A Transformative Approach

Moderator - Lloyd Williams
Greetings - Wallace Ford
Speakers - Commissioner Roy Marlin
Howard Dodson
Congressman Gregory Meeks
Professor Rex Nettleford
Dr. Martin Valdes

12:00 noon – 1:15p.m - PRESENTATION

HEALTH – AN ECONOMIC DEVELOPMENT

STRATEGY FOR THE REGION

4

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1705

- Greetings - Karen Boykin-Towns
- Moderator - Yvonne Graham
- Speakers - Dr. Denzil Douglas
Dr. Carl Kenel Pierre
Commissioner Maria Buncamper Molanus, Health
Commissioner, St. Maarten
- Dr. Dexter McKenzie
- 1:30pm – 2:30pm - LUNCHEON
- Venue: - Main Ballroom
- Theme: - *BUILDING A WINNING STRATEGY - PARTNERSHIPS
THAT WORK*
- Moderator: - Hon. Nick Perry, NYS Assembly
- Speakers Eric Eve
- Keynote Speaker - Hon. David Paterson, Governor New York State
- 3:00pm – 4:00pm
- Round Table # 1 -
- Theme - *EDUCATION – THINKFINITY PRESENTATION &
DEMONSTRATION*
- Venue - Royal Islander A
- MC - Dr. Patricia Hazlewood
- Speakers - Patrick Gaston
Albert Browne

1706

Round Table #2 -

Theme - *LOCAL BUSINESS INITIATIVES*
Opportunities with US Companies

Venue - Royal Islander B

Speakers : - Ed Goldberg
Eric Eve
Albert Browne

4:30pm - 6:00pm - *TOWN HALL FORUM - EDUCATION*

Venue - Royal Islander A

Theme : *EDUCATION - SHARING WORKING SOLUTIONS*

Greetings : Michael Flanigan

Moderator : Dr. Marcella Maxwell

Speakers: - Hon. David M. Dinkins - Former Mayor, NYC
Dr. Edison O. Jackson
Dr. Marcia Keizs
Professor Rex Nettleford, UWI
William Payne, Assemblyman New Jersey

Venue:

6.30:00pm - 7:30pm - *HOST COUNTRY EVENING*

Venue: -

Theme -

MC: -

1707

Speakers: CULTURAL PRESENTATION

7:30 - 8:00 pm:

8:00pm - 10:30 pm.

Venue :

Theme

10:00pm - 1:00am - HOSPITALITY AREA

Venue:

Saturday, November 8, 2008

7:45 am - 8:15 am = BREAKFAST

Venue - OCEAN TERRACE

8:30am - 10:00am - PRESENTATION

Theme WOMEN OF INFLUENCE AND POWER

Venue: - MAHO BEACH

Greetings & Opening
Remarks - Brenda Scott

Moderator - Marilyn Johnson

Speakers: - Commissioner Sarah Wescot-Williams, Leader
of Government Business
Dame Billie Miller, former Deputy Prime Minister,

1708

of Barbados

Dr. Marcia Keizs, President York College

Michelle Paterson, First Lady New York State

Congresswoman Eddie Bernice Johnson

Rev. Dr. Susan Johnson-Cook

10.15am – 11:45am - *PRESENTATION*

Theme - *THE CARIBBEAN, IT'S VALUE & POTENTIAL*

Venue - Royal Islander A

Greetings - Wallace Ford

Moderator - Dame Billie Miller

Speakers - Hon. P. J. Patterson, former Prime Minister, Jamaica
Hon. Dr. Ralph Gonsalves, Prime Minister St. Vincent
and the Grenadines
Hon. Dr. Denzil Douglas, Prime Minister,
St. Kitts-Nevis
Congressman Charles Rangel

12:00 noon – 1:30 p.m. *PRIVATE MEETING – CLOSED DOOR SESSION*
with Members of Congress and Ministers of Government

12:00 noon – 1:15p.m. *PRESENTATION*

Theme - *TRADE & INVESTMENT – CREATIVE SOLUTIONS*
RETOOLING FOR GROWTH – PARTNERSHIP AT WORK

Venue - Royal Islander A

Greetings - Michael Flanigan

Moderator - Ivanhoe Ffriend

1709

- Speakers - Adolfo Carrion, Borough President, Bronx, New York
Compton Bourne, President Caribbean Development Bank
- Congressman Edolphus Towns
- Eric Eve
- 12:00 noon – 1:15pm - *PRESENTATION*
- Theme *Hemispheric Security – Ports/Airports – Crime – Drugs – Disaster*
- Venue - Royal Islander B
- Greetings - Earl Brown, Deputy Borough President, Bronx
Judge Sylvia Ash
- Moderator - Tony Best
- Speakers - Congressman Bennie Thompson
Commissioner Theo Heyliger, St. Maarten
Professor Ivelaw Griffith
Hon. Lance Ogiste, Brooklyn District Attorney
- 1:30pm – 2:30 pm LUNCHEON
- Venue - MAHO ROOM
- Theme: *CARIBBEAN – A SOLID INVESTMENT*
- Greetings - Hon. Darryl Towns
- Speakers - Hon. William Thompson, NYC Comptroller
Dr. Compton Bourne, President CDB
- Round Table #1 - *IMMIGRATION/DEPORTEES*
- Venue - Royal Islander A

Moderator - Dr. Marco Mason

Speakers - Congressman Bennie Thompson
Hon. Eddie Bernice Johnson, Member US Congress
Attorney Stanford Rubenstein
NYC Councilmember Dr. Kendall Stewart

Round Table#2: - HAITI - BOLD INITIATIVES - THE DIASPORA-
CBC AND CARICOM

Venue - Royal Islander B

Moderator - Hon. Yvonne Graham

Speakers - Hon. Charles Rangel
Hon. Gregory Meeks
Hon. Ralph Gonsalves
Dr. Lesly Kernisant

4:30 pm - 6:00pm - TOWN HALL FORUM - TECHNOLOGY

Venue: Royal Islander A

Greetings & Opening - Marie Long

Moderator - Colin Ward

Speakers - Congressman Edolphus Towns
Albert Brown
Commissioner of Technology

6:30 - 7:30pm - GALA RECEPTION

1711

Speakers - Susan Taylor
7.30pm – 10:00pm - *GALA DINNER & AWARDS PRESENTATION*
Speaker - Dr. Harry Belafonte
Hon. Sarah Wescot-Williams, Leader of Government
Business

AWARDS PRESENTATION
ENTERTAINMENT

10:30pm – 1:00am - *HOSPITALITY AREA*

Venue: HOTEL CONFERENCE ROOM

Sunday, November 9, 2008

8:30 am – 10:00am - *POWER BREAKFAST*

Theme: - *SPIRITUALITY - THE BASICS IN BUSINESS*
Greetings - *Lowell Hawthorne*
Speakers - Ed Goldberg

Selection: Local Choir

1712

EXHIBIT 2

09-2222 0024

1713

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative Thompson
Review #: 09-2222; 09-2567; 09-4403; 09-3698; 09-6333
Date: May 6, 2009
Location: 2432 Rayburn HOB, Washington, D.C.
Participants: Leo Wise
Beth Horton

Summary: Representative Thompson attended the 13th Annual Caribbean Multinational Business Conference. We requested an interview with Representative Thompson and he consented. Representative Thompson was given an 18 U.S.C. § 1001 warning and he signed a written acknowledgement of the warning. Representative Thompson made the following statements in response to our questioning:

1. He attended the 13th Annual Caribbean Multinational Business Conference in 2008.
2. He stayed at the conference for 3 days.
3. He recalled that he stayed in a standard room and that meals were provided at the conference.
4. He was invited by the Rodneys.
5. He stated that the Rodneys were newspaper people from NY. He knew of them through an affiliation with the Carib News community. The newspaper provides information about the Caribbean to people in NY.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

6. The Caribbean relates to his duties because there are significant issues regarding homeland security, human trafficking and drug issues.
7. Carib News paid for his travel (whoever is listed on the form).
8. He made the decision to attend the conference.
9. He has never been to any fundraiser for the Foundation.
10. Jennifer, his scheduler, handled his travel.
11. Once he decides that he is interested in a trip, Jennifer handles all the arrangements and works with the entity to work out the travel plans.
12. He chooses flights based on what is going on in Congress.
13. Jennifer generally provides him with a printout of available flights and he then chooses what will work with his schedule.
14. He departed from Jackson, MS and arrived at the beginning of the conference on the 6th of Nov.
15. He does not recall the dinner but he would have attended the activities at the conference.
16. He recalled that Rep. Payne attended the conference and he noted that if he was there, he would have spoken at the conference at some point, but he did not recall his speech. He stated that the time he spoke would be reflected on the program.
17. He did not recall the first session of the conference but he would have attended.
18. He recalled that Representative Kilpatrick spoke at the conference but he did not know when nor did he recall what she said.
19. He did not recall the ribbon cutting and did not see himself in the picture of the ribbon cutting.
20. He attended a workshop regarding doing business in the U.S.
21. He also participated in a second session that dealt with homeland security issues.
22. Generally, other government officials were at the session.
23. He did not see a letter regarding the session.

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24. The signature on the post travel document is his.
25. Members of his staff can also sign documents for him.
26. The travel form was put together between Marsha and Jennifer.
27. The entity that sponsors the travel would provide the breakdown of expenses. He assumed that is what happened in this instance.
28. He believed the information on the form to be accurate. He had no reason to disagree with the figures provided by the sponsor. Beyond meals, travel, and lodging there were no other expenses.
29. He did not see any bill that would dispute the sponsor's charges.
30. He did not recall any sponsors, he remembered seeing people from companies but didn't recognize them as sponsors.
31. He did not recall Rep. Payne thanking any companies as sponsors.
32. He did not recall Rep. Kilpatrick thanking sponsors.
33. He had no personal knowledge of any of the representatives, the '08 conference was one of the few conferences that he has attended where he didn't know anyone prior to the conference.
34. He stated that the Foundation paid for his travel.
35. He was not aware if the government of St. Maarten paid for any expenses.
36. He was not aware of any limitations on private foundation's ability to pay for travel expenses.
37. His practice is to submit a travel request to the Standards Committee and if the request is approved then he would not assume there were any other issues.
38. He has not had any contact with the Standards Committee regarding any travel issues.
39. His understanding of Carib News is that it has a business interest in the Caribbean.
40. He is not aware if the Carib News gave money to support the conference.
41. He has not spoken with anyone about the conference.

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42. He is not aware of who the host of conference was, he did not see anything pertaining to the host.
43. He was sure that someone from the government of St. Maarten was present but does not know any names.
44. He was not aware that the government played a role at the conference.
45. There were various government officials from the islands at the conference.
46. He is generally familiar with the House travel rules.
47. He does not recall any specific briefing on travel. He relies on his request to the Standards Committee. If the Standards Committee raised any issue with regard to travel, he would not take the trip. He makes the decision to travel after receiving a letter from Standards.
48. He may have attended the '07 conference, he could not recall for sure.
49. He did not recall any ethics related issue regarding the '07 conference.

Beth Horton
Investigative Counsel

1717

Exhibit 3

09-2222 0025

1718

TRANSCRIPT OF AUDIO FILE:

11-7 AM SESSION - FIRST 30 MIN

Thank you for working with Accentance for your transcription needs. The text below represents a professional transcriptionist's understanding of the words spoken. No guarantee of complete accuracy is expressed or implied, particularly regarding spellings of names and other unfamiliar or hard-to-hear words and phrases. "(ph)" or "(sp?)" indicate phonetics or best guesses. To verify important quotes, we recommend listening to the corresponding audio. Timestamps throughout the transcript facilitate locating the desired quote, using software such as Windows Media or QuickTime players.

BEGIN TRANSCRIPT:

KARL RODNEY: ...Mike Flanigan how through the year his division has been supportive of the Mission and the Conference. And so we want to say thanks to Citi. You'll be hearing from Mike later but it's a great team to have working and great partners. We have Pfizer and a big supporter of (inaudible) aspect. Karen Boykin-Towns is not here, she has been able in the past eight years and there's a death in her family and so she's not here to begin. Through the years work had done in the Caribbean circle in the United States and then a special touch to our work that can be done not only on the delivery of health care but overall awareness. [0:01:00.4]

And over from Macy's and its very fine team has been innovative in outreach to various locations we've gone to, finding new vendors, developing new contacts and really helping to make the conference a success by engagement of our local participants. So Ed and Brenda are tremendous supporters and we're so delighted that they're here with us.

IBM and Marilyn Johnson, Marilyn is Vice-President of Market Development, responsible for strategic marketing for Black, Asian and Hispanic, Native American and Women. Marilyn's a dynamic partner and you'll be hearing from Marilyn also. You should be alerted that between Brenda and Marilyn tomorrow is Women's sessions is going to be something to talk about. [0:02:09.5]

Marie Long is here from ATT but ATT has supported the Conference all 13 years. We started with them. They made sure that the Vision, the Mission of the Conference is supported through the many years.

We have with us Mr. Pat Gaston. Mr. Gaston is the President of Verizon Foundation, one of the largest private foundations in the United States. And Mr. Gaston has made his mark in moving that foundation to be a force in education in the United States. We are delighted he is here to be with us and to introduce a wonderful program that has been very effective in the United States (inaudible) to see if there is potential here in the Caribbean. So, Patrick, we are delighted you are here and we look forward to your presentation. [0:03:14.9]

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Preferred Health and a wonderful group of doctors, Dr. Valdez, Dr. Carl Kenel-Pierre, Dr. Kernisant, a group of doctors who have a network of centers through the United States but they also see and want to have that expanded to the Caribbean and also to bring a new kind of emphasis with respect to health tourism to the Caribbean and so they're here today also.

Dale Morris from American. American has been a partner with us for several years. Dale is expected to come in later on today but we want to acknowledge American and want to say "thanks".

Lloyd Williams from the Harlem Chamber of Commerce enough of his members are around and Lloyd has been supportive of this Conference from its inception and the Chamber has always been here with us and so we want to say "thanks" to Lloyd. [0:04:20.9]

Medgar Evers College under the dynamic leadership of President Edison O. Jackson has not only brought to the Caribbean a new vision of education, a new initiative with respect to men and empowerment but also the team that you see moving around they're from Medgar through the instrumentality of President Jackson working with us to document the Conference. It will be available too for a widespread distribution afterwards. So Medgar has been a true partner in making this Conference and this Mission work. [0:05:07.0]

Turner Construction, we have had a working relationship with Rev. Hilton Smith, he has attended several conferences and now he's with his colleague John Pierce to be a partner in the Mission of the Conference.

And, of course, the government of St. Maarten's under the dynamic leadership of leader Sarah Westcott-Williams and her dynamic team. We have always been working with - we always work with the leader and her dynamic group and we are just delighted at her own creative vision, her own determination and dynamic way of dealing with the Mission and dealing with the situation at hand. And we met with Commissioner Westcott-Williams and her team and she had a vision to suggest the theme of the Conference, time of transition, stretching for change and building the land session. So it was with that kind of background that we're here and to St. Maarten's we say, "Thank you very, very much." [0:06:31.4]

We have so many great partners that we shall hear from throughout the morning. We have through the Conventional Black Caucus and their members a set of agendas that have been accomplished, policies that have been achieved and programs that have been enhanced. And through the years they have been very effective when they have attended the Conferences our own (inaudible).

It was here at St. Maarten's six years ago that a group of hoteliers and vendors approached the members of our caucus to suggest that the Caribbean had been treated unfairly with respect to the duty-free allowance going into the United States. And it was within six months that it was changed through the effort of the members of the Black Caucus and so the Caribbean is better. And the Caribbean has benefited through millions of dollars of duty-free items that you know come through to the Caribbean.

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It was also here in St. Maarten's that the issue of tax exemption for conferences held in the Caribbean was again brought to the attention of the members of the Congressional Black Caucus and again that was achieved within a year. [0:08:08.2]

And so we've seen that kind of work constantly throw it's really the conference. So we can try to serve real achievement in fact in this room there is a couple that came from Denver to Barbados for their first Conference and they have built a region wide distribution for ice cream and fast food. And this has grown considerably.

It was also through the Conference that a small technology company with the value of \$2 million came, got a contract and that company in two years became a \$12 million company. And we've seen that kind of connection throughout the Conference. We could point to Barbados where technology was enhanced with respect to ATT work with the local Barbadian telecommunication company. St. Croix the fiber optics center, Grenada an oil refinery, all coming up with the expertise of the Conference to the (inaudible). [0:09:30.7]

And so the Mission is there to continue, the Mission is there to enhance, the Mission is there for us to make it work particularly now when we see the change has come and we can now come together to pad the agenda and to look forward to this kind of strategy for change. And so we want to welcome you. We promise that our sessions are going to be interesting. We have some of the best speakers that you can find on the various topics. We're going to see more when we get together the support we can bring to each other. The support we can provide with respect to the met work and certainly when we go back in the Caribbean we can really see results. We can come together as a people. You've been a great Conference. Thanks so much for being here. God bless. [0:10:28.4]

REV. DR. SUZAN JOHNSON-COOK: Thank you Mr. Rodney and we also thank you Mrs. Rodney as well. With interest we're here on the wave of the man who campaigned about change and on this transition to change and also strategic alliances. And we hope that will happen for you while you're here. Mr. Vide mentioned all of the sponsors who were with us and the partners who helped to make this happen and we're going to hear from three of them at this time at this quarter, Michael Flanigan from Citibank (Citi) and Ed Goldberg, Senior Vice-President at Macy's and Community for the Relations, and Patrick Gaston the President of the Verizon Foundation. In that order we will receive them this morning as they come, please. (clapping) [0:11:15.6]

MICHAEL FLANIGAN: Good morning.

ALL: Good morning.

MICHAEL FLANIGAN: It's really a pleasure to be here. As you heard before, Eric is on his way so I have the great privilege and honor of putting in for Eric. But first just wanted to say "thank you" and again welcome to the 13th Annual Caribbean Business Conference. This year was significant for Citi. For the first time we are the lead sponsor of this premier event. But I would be remiss in not thanking the organizers and our hosts here at St. Maarten so to the

government, to the Commissioner Sarah Westcott-Williams, Governor Franklin Richards and Commissioner Roy Marlin and all the other elected officials here in St. Maarten and elected Congress members, appointed officials from the United States it's a pleasure to be here with you. [0:12:15.6]

We also of course have to thank the Rodney's as being 13 years in ensuring that this vision is carried out and delivered all across the Caribbean and I don't call to talk about some successes but I think one of the most important success that I think that I've experienced in coming to the Conference for the last six or seven years is just reunited with his great family. It's all about developing our communities. About we're all about the same thing, I think. We're all on the same page. We're all about the same agenda. And we're all about making sure that we absolutely care about each other, yourself but we care about the broader community. So it's always a pleasure to be here. I get re-energized every time I come. And so it's - I look forward to it - so it's one conference that I will never miss.

This Conference is an important gallery that makes a tangible contribution to dialog on the issues of important to communities. From recognizing the untapped wealth for underserved communities to building property alliances so that together we can build healthy, robust, organic economies. Today and tomorrow and even into Sunday are very important to that conversation at least to building a winning strategy to succeed in these turbulent economic times. I trust that we will all take full advantage of the great minds and the leaders that we are privileged to spend time with. I know my Citi bank black (inaudible) are ready. Thank you so much for having us here at Conference. (clapping) [0:13:58.2]

ED GOLDBERG: Good morning everyone.

ALL: Good morning.

ED GOLDBERG: As Mike said it's really a pleasure to be back with family today. We look forward to this Conference very year. Obviously, we hope that there will be many, many more over the years to come. I learned something from David Dinkins several years ago when you have a whole list of dignitaries in the house, the best thing to say is, "Present, protocol observed." So, Mr. Mayor, thank you for those kind words. (laughter)

Now a week and a half ago, Macy's celebrated its 150th anniversary. (clapping) When you think about anniversaries of companies today to believe that somebody could be around in the retail industry in particular for 150 years is quite an amazing feat. I'm sure that when R. H. Macy opened his store in lower Manhattan on 14th Street on October 28, 1858, he did not have the vision that the company some day; number one would last for 150 years; or that it would employ 225,000 people; or that they would do \$27 billion a year in business; or for being in 46 of our 50 states; and also the opportunity of having a business in 64 or 65 major markets in the United States. [0:15:30.9]

But today I'm hear to talk more about the Caribbean contract. The fact that we have been supportive of Carolyn Fay and this particular venture for the number of years but more importantly the fact that we come here to look for that spark that will ignite an opportunity to

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find that next Martha Stewart; that next design; or that new product that's going to sell in our stores such as we've done with the Rwandan peace baskets and products like that. And I think it is really why our Mission is so important, it's not just a question of change, not just a question of transition but it's building those ties and looking for those opportunities. That I think will bring us closer together. [0:16:15.9]

There's no question that we all face difficult times but I believe that the Caribbean is our backdoor and the bottom line in opening for us for expansion. No, I don't think we can build 250,000 sq. ft. mall stores but Macy's has to learn in transition how do we conduct business in the Caribbean communities. And I think we're going to find that way and obviously this particular convention is one of those opportunities to do so.

You all know Brenda Scott, my wife, (laughter), Judy's back in the room sleeping so I can say that. But Brenda and I have been together for more than 20 years. We have obviously been very much involved in our communities where we do business and we still believe we have a long way to go. But our mission is being supported by a great Chairman, Terry Lundgren, and the people of Macy's, so obviously we look forward to developing these bridges and developing the relationships. And I hope that in the not too distant future, we will be talking about a Macy's store here in the Caribbean. So enjoy your convention. I hope I'll get a chance to talk to each and every one of you as the time goes by over the next couple of days. And again, thank you so much, Carolyn Fay for the great work that you do. (clapping) [0:17:32.9]

PATRICK GASTON: Good morning everyone.

ALL: Good morning.

PATRICK GASTON: Thank you, Karl, for the wonderful introduction. Thank you, Michael and Ed for these great remarks. I extend welcome from our CEO, Ivan Seidenberg, and our 225,000 employees, many of whom are from the Caribbean-mason including me. I want to acknowledge my colleagues and members of the public sector, members of the community, and I also, I guess, want to respect present protocol. Okay, but I have to single out a couple people, right? So thank you to the leader of government Sarah Westcott-Williams for hosting us today and the great people of St. Maarten. It's a delight to be back here. This is my eighth time in St. Maarten and I may stay. (laughter) It's wonderful. I want to acknowledge Karl Rodney for his exceptional ability to bring people together to recognize the collaborations that are important for business development. He does so with the grace that I admire and it's the first time I've had the chance to be here with you, Karl, and it's an honor to be here and a privilege so thank you for inviting me. [0:19:06.0]

I want you all to recognize Rex Nettleford, I don't know if he's in the audience still. But Rex and I have known each other for about nine years when I first joined the Foundation of the University of West Indies Board of Directors. And one of the things that attracted me to the University in addition to Karl, of course, was that Rex was the kind of leader that reflected a lot of commitment and enthusiasm about education; something that I care deeply about. And over the years has provided me with his counsel and friendship and I thank you for that, Rex. (clapping)

You know, Verizon does not have a retail presence in the Caribbean and I hope some day we will. But we do provide global Internet networks that help to provide a lot of communications that you might experience here. So I think we're in the game and we realize the value of technology to ensure that folks have access to incubation and education. In my case at the Verizon Foundation, Karl mentioned that I head up the Verizon Foundation and our emphasis is on education. And what we're trying to do is deliver resources to teachers in the classroom that need it really badly. So we're developing contact and we're talking with our partners, we're taking that contact and making it available through our technology platforms so during the course of today in the discussions that we'll have, we'll talk about that resource. Which, by the way, is offered free of charge to teachers around this country and now around the globe, so we want to make sure that we present this resource as another thing that this community can use and use it in a way that's free. So I'm looking forward to this dialogue and am excited about this Conference and I thank you very much, Karl, for inviting us to hear quality. [0:21:06.2] (clapping)

REV. DR. SUZAN JOHNSON-COOK: Thank you very much. I loved language in school. I remember ottomottopea and phizz and those words and oxymoron, best of times, worst of times, homonyms, the words that sounded alike with different meanings but synonyms when you said the word you could understand what it meant. It was synonymous with something. So when we say the words, "front line", "civic leader", "activist", "Miss NAACP", "world leader", "you don't want to mess with her", you know it is synonymous with Hazel Dukes and we want to hear from her, our God, mother, our community, don't you think I'm right? (clapping) Miss NAACP, Miss Leader, our dignitary, let us receive this morning, the Honorable Hazel Dukes of New York City. Are there any New Yorkers in the house? (clapping) Let's get a little noise over here. All right.

HAZEL DUKES: Good morning.

ALL: Good morning.

HAZEL DUKES: This is my 12th Conference. Missed only one because I had a broken ankle and it was just like four weeks before the Conference, not even, be on the cast. But let me say to Fay and Karl that they have been the glue without the (inaudible). They are for us 24-7. Not only just in New York City but the Islands we have attempted. The NAACP will be celebrating its 100th anniversary in New York City where it was founded July 14 through July 17. Medgar Evers, the college, the university, the (inaudible) of the city of New York, when George Blackburn and I sit and watch TV and we hear all people go over their history, people forgot that it would not have been possible if it was not for those names, Medgar names known or so many others in Alabama for Rosie, decided to sit. So Maude could stand. Maude could not have stood if Rosie hadn't of sat. Rosie was the Secretary of the NAACP, the National Association for the Advancement of Colored People. The Colored People come in all colors, when you make your beautiful salad you just don't want all greenery in it. Even with your omelet this morning you could have a mixture, of beautiful flowers I have to have all colors. And so for a hundred years this old ship resigned, been around. Lord, I was reminiscing last night Congresspersons who we went to the Congressional Black Caucus there was only six. We used to be at the Hilton Hotel. And we held court. When we started in Albany there was no black and Puerto Rican caucus, there was the

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now, the next mayor for the city of New York, Bill Thompson's father, who was the regional director for the NAACP who went on to be now retired. [0:24:54.4]

It would not have been 106 mayor of New York City of Percy's son, a former President of the New York City branch of the NAACP, Balford Calison (sp), Secretary of State and now the government of the state of New York has his first job at the NAACP. So I want us to learn history so we can have our brothers and sisters in (inaudible) and those who are coming to the shore of these United States to understand the kitchen of our connectiveness to (inaudible). How soon you could forget the history that those from the Caribbean have played to make America what she is today. [0:25:44.8]

And so I join with Karl and Fay every year I trek here because they joined with me in New York City trying to break the (inaudible) of the two communities because Rolly Johnson said this morning, Cook said this morning, Johnson Cook said this morning, "When we join hands together, when we come and talk about the multi national conference, we're global now, we can't think we just in Brooklyn, we just can't think we in the Bronx, we just can't think we in Manhattan and in Queens and Staten Island. We are all over this country. And when we saw the crowd of people, black and white, Jew and Gentile, Muslim, Asians, all across this country who came out for Obama, it is a change in America. And then we African-Americans and the Caribbean's have to absolutely solidify our tie. Because if America is to be what she was born to be, it would be that so on behalf of our new President Ben Judds who I'll promise will be here next year, 35-year-old Rhodes scholar, ingratiating young brother who is so excited where we are going. And my sisters in the Congressional Black Caucus and my brothers, we going to get it together. As our Congresswoman told us yesterday, "We're tired of talking about it. It's (audible) we are sick and tired of being the sick and tired." And so when we leave here, we will be better off because we came together to make new friendships, to re-energize and I would say to Karl and to Fay, our sincere gratitude to you for bringing us together as a family each year. Some of us even don't have enough time to sit. That's why Laura convinced me to come a day early. Even if I don't know what's happening with my 401K (laughter) I'm nervous, Mr. Comptroller man. I don't know about vacation but we thought it not right not to come here and be with our family. Thank you so much. [0:28:13.4] (clapping)

REV. DR. SUZAN JOHNSON-COOK: We thank you so, so much. He began his second term in January 2006 as the 42nd Comptroller of the City of New York. He's come from a distinguished parenthood, a famous judge and a mother as a public school teacher, but he has distinguished his own path. And he has become a wonderful Comptroller of New York City and he has responsibility for all the city's pension funds. He's just a good brother. He's here with us today, hope to be the next mayor of the city of New York. Let's put our hands together for William C. Thompson, Jr., the Comptroller of a great city of New York, New York. All right?

WILLIAM THOMPSON: Thank you Reverend for that very wonderful introduction and it's good to see you here as our Master, or Mistress of Ceremonies, this morning. And I go ahead and make a mistake. I should have had the Mayor open up for me because he always does it correctly. But to our leader of government, Commissioner Westcott-Williams, to the members of government here in St. Maarten, my colleagues in government all over the United States, friends, good morning.

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1725

ALL: Good morning.

WILLIAM THOMPSON: It is definitely a pleasure to be back again even though following Hazel to the microphone is always an indubitable thing to do. [0:30:01.1]

END PART 1

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TRANSCRIPT OF AUDIO FILE:

11-7AMSESSION - 30 THRU 75 MIN

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BEGIN TRANSCRIPT:

(Part 2 -- beginning at 30:00 through 1:15)

WILLIAM C. THOMPSON, JR.: - It's good to be back again and let me first start out by thanking, and I always term them as I did last year and I have continued to do it over a period of time, termed them the "dynamic duo," Faye and Karl Rodney. [applause at 0:30:16.7] They happen to be, when it comes to vision - and that's exactly 13 years ago when putting this conference together, they had a vision. It is something that they have never taken a step back from. They continue to move forward. they continue to bring us together. And while we have the opportunity to meet, to have conferences in some wonderful places, just fabulous environments and that is the truth, but they work us, okay. Karl and Faye let us understand that we may meet in a wonderful environment, you walk outside and it's gorgeous, but we are here to accomplish things. [0:30:58.7]

Karl mentioned when we were here before, and I remember the discussions with the members of the Congressional Black Caucus, and it wasn't just those who owned hotels, it was members of government who had indicated then that the (inaudible) \$200 dollar duty-free or the amount that you could bring in the country hadn't changed in decades, in years and years and years. And I remember that members of the Congressional Caucus, the Congressional Black Caucus led by Congressman Charles Rangel, the Chairman, and now he wasn't the Chairman at that point, he went back to Washington and in a period of months the amount had doubled from \$200 to \$400 dollars. It was the right thing to do but you saw the power of this Congress. As I said, we might come together in wonderful places, but it is about business. It is about increasing the ties. It is about the business between the Caribbean and the United States and increasing those business opportunities, helping to raise the standard of living for many; helping to build businesses and institutions, that's what it is about. [0:32:09.5]

Last year, Karl had me down here and - no I'm sorry, last year after our conference I worked. I must admit, Karl had me as a luncheon speaker, we were outside, it was about 85 degrees and I was in a tie okay, I was in a suit and tie and it took me a couple of hours afterwards to dry out. But the one point that was made then was Karl looked at me, and those of you who know me in the

introduction as New York City's Controller, I'm a member, a trustee of four of our five pension boards, chief investment advisor to all five. Our total assets in knowing, it might have shrunk a little, but our total assets are about \$95 billion dollars right now. We are the fifth largest public pension fund in America. [applause at 0:33:02.4] At that point Karl looked at me and said, by the way how much of that is invested in the Caribbean basin? How much of that money is going to create, to build infrastructure, to help with tourism, healthcare and other things? And it was an impressive question and I went back and the answer was not much. Not much, so we started to go at that point down the path of looking at investment possibilities in the Caribbean basin; obviously a very promising area. [0:33:42.0]

To go back to quote just a couple of numbers as we would look at - now it has been a tumultuous year on Wall Street, at the same point, many of the investments, as we were termed that we have made in the emerging markets around the world, have outperformed developed markets. For instance, one year, three year, five year performance of emerging market equities has been on par or better than the United States private equity, the United States venture capital, Western European private equity and U.S. public markets. Clearly it makes sense for institutional investors to begin to move further into those waters of emerging markets. [applause at 0:34:25.8]

So we went back and started to engage, particularly we looked at private equity, and the way we invest usually isn't directly it is in private equity funds, so we began and our private equity consultant, we asked them to take an initial look at the Caribbean basin for how much initially should we be investing. And the number that they came back with was \$80 million dollars. That would be our first step into investment in the Caribbean basin through a variety of investment vehicles. We started to move down that path and unless I am wrong, and if I am I will be short a few people or I will lose a few people in my investment side, but I believe that yesterday back in the office, back in front of one of our pension boards, we approved the first application of \$30 million dollars to be invested in the Caribbean basin. [applause at 0:35:25.8] And, we are going to continue to move forward through other investment vehicles to invest and commit, or really to commit and invest, the additional \$50 million dollars and then we will take a step back and look for other possibilities. [0:35:46.8]

So I just wanted those to know that conversation, that investment of money, and as I pointed out it will range in everything from real estate to infrastructure, industries ranging from healthcare, financial services, energy, telecom, tourism and other areas, but that started last year at this conference. [applause at 0:36:09.8] So to those who say, "Jeeze you are going to St. Maarten? Have a wonderful time. I know you are going to spend a lot of time on the beach," Karl and Faye make sure that we are working hard and that we are getting things done. [0:36:28.6]

And at this conference, while it is great to see friends and colleagues and some people I don't get to see for a year, we are here working and we are here making sure that we increase and bring closer those ties that we have had in the past and that we are helping to grow business, that we are helping to improve quality of life both here in the Caribbean and back in the United States.

So again, it is a pleasure and let me say again to Karl and Faye, thank you so much for the leadership that you have shown. We look forward to your continued leadership for years to come. Thank you so much. [applause at 0:37:04.2]

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REV. DR. SUZAN JOHNSON-COOK: Thank you for working hard while you are here and even back at home. Thank you. It is so good to be together. We do get to sit down and talk to each other here. We try to get on each other's calendars in the City and we never do, but here we are able to make and transact business and it's wonderful.

As a point of personal privilege, it is not everyday that you have a city world leader that you know very well is a man of faith first of all, who reads the Holy Scripture at your wedding. It's not everyday that you go into (inaudible) flavor at a press conference that he has called and then he comes to the hospital to see the birth of your first child. It's not everyday that you have someone who is there at the blessing of that child who's named after him. It's not everyday that you have someone who hosts Whitehouse Fellows when the run, coming to New York City and gives them the key to New York. And, it's not everyday that we have the first African American Mayor of the City of New York in our midst. We are in the midst of history here. He was the 106th Mayor for the City, but he is our number one Mayor, the Honorable Mayor David Dinkins, Professor in the Practice of Public Affairs at Columbia University [applause at 0:38:16.8], and our wonderful, wonderful leader who's shoulders we stand on and for who we cheer for in honor this day. Let's hear it for the Honorable David Dinkins. [0:38:28.4]

DAVID DINKINS: Well one thing is clear, if I had had the good fortune of having the Reverend introduce me more often I might have gotten re-elected. [laughter at 0:38:48.4] I am delighted to be here, but I want to clear one thing up from the start. I think it should be acknowledged that Faye Rodney has been such a wonderful person and to think that she permits her husband to come along with her the way he does [laughter at 0:39:10.1]. It's really nice. She even lets him think he's in charge every now and then. [laughter at 0:39:16.6] But they are a dynamic duo.

I am delighted to be here with you, I truly am and each year, I guess I've been here ten out of - at least ten times I think - and each year I try to get myself on the committee that will go and do the, make the decisions on where we are going to go next year so I can visit the islands but they have not yet let me come. But each year though, I insist that the tennis facilities be adequate, but I am not playing this time. But I want you to know my friends (inaudible) that they were out on the court this morning and I will get a report and it better be good. [laughter at 0:40:14.3]

I am delighted to be here with you, I truly am and we are taking a role and those folks who are not here now, but are still having breakfast or are out on the beach, we've got their names; [laughter at 0:40:33.0] and we are going to chastise them severely. But it really is a wonderful, wonderful opportunity to come together with friends. For me personally it gives me an opportunity to say thank you to so many of you who helped me become the 106th Mayor of New York. [0:40:51.2]

We say often that everybody stands on somebody's shoulders, and by that we mean Malcolm X and Martin Luther King and (inaudible) and Harriett Tubman and Rosa Parks and for me, for me personally, it also means Percy Ellis Sutton; [applause at 0:41:13.0] who ran in 1977 with such class and distinction that nobody laughed at me when I dared try in 1989. And we were successful and it is a broad leap. [0:41:33.6]

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Certainly, I was simply privileged to be the stand bearer, but it was many of you here gathered, many of you who worked with me, worked with me in our administration like Laura and Hazel and so many of you, and I know that we wouldn't have gotten nothing done had it not been for the man called the Rumble Genius by the New York Times, Bill Lynch. [0:42:02.3]

Bill used to say, good government is good politics. And you will note on his literature, he's got a consulting firm now and a whole heap of clients and is doing very, very well, you will note that he uses that slogan and it is certainly true. If you do that which is right in the first instance, it will inure to your benefit politically as well. [0:42:34.5]

So we didn't make judgments politically and then say well let's see how that will play out, we did the other way around. We did what we thought was right and then it inured to our political benefit and I am so proud of so many of the people who helped us succeed as they go forth now. And I can't believe how young they were, some of them are still young even now and maybe it's because I am so old that it looks that way. But it really is an example of what can happen when good people come together and work hard. [0:43:11.7]

And I know that President-elect Obama is going to do well because he is going to surround himself with bright, intelligent, trustworthy people on whom he can rely on. Because no executive, no executive, not even Ed Goldberg, can get it done alone. You've got to have help and you've got to have people to whom you can delegate and you have to have people who will make a triage. You can't look at the thousands upon thousands of things that will come before you. They have to do a triage and bring to you those that they deem to be of significance for you to make a judgment, and then you will make a decision among them. [0:44:00.6]

I am confident that President-elect Obama will make the correct decision once he knows all the options, but he can't know all of the options if they aren't brought to him in the first instance. And the reason that I have such faith that he will be surrounded by good, bright people is the kind of campaign he ran. [applause at 0:44:26.1] He ran one hell of a campaign so it sort of suggests that he will do as well as our President. That is not easily done, particularly in these difficult times, but when Alan Greenspan said, "By God I didn't know it was this bad," or, never (inaudible) how the hell he doesn't know, how am I supposed to know." So it is difficult for sure, but we are going to survive this. They say that that doesn't kill you makes you stronger, so we are going to survive this [0:45:01.0]

Ed Goldberg made reference to my custom, my habit that I got from African diplomats of instead of acknowledging everyone in the audience, which I would want to do and my staff would go absolutely crazy because I would acknowledge all of my friends and they - I did that once and my wife was standing right next to me and I acknowledged everybody in the house except her. Hell of a ride home [laughter at 0:45:29.6]. But, the African diplomats have this custom, they say, "Usual protocols observed." So, President Jackson that means that you have been acknowledged. [laughter at 0:45:45.2] See, that's the way they do it because if you do otherwise, if I go on to describe how Hazel is my assistant and how - let me tell you, you don't want Hazel against you ever, but by God if she is on your side, nothing can stop you; you will win. [applause at 0:46:12.5] And we are all going to turn out as she leaves us in celebration of NAACP's on it's anniversary it is going to be a

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monster, it's going to be a gas to be right in New York and I hope everyone here comes. It is really going to be something. [0:46:34.5]

I say to audiences, the media in particular has been asking me, "Well what's it like for President-elect Obama the first African American President of the United States?" And their theory is they are asking me because I was the first black Mayor and I try to explain that there is a world of difference. While I got to be the head of a city of 8 million plus people and that's terrific, and we have more separate ethnic identities than the United Nations has member nations, so we are truly a magnificent city, but Obama is not only the President of the United States, he is the leader of the free world. So you really can't make a comparison, you can't. Although, I am sure that he's got to feel wonderful because as he said as his grandmother wasn't there at the very end, but fortunately he got to see her, imagine how the family feels, his wife, his children, so I do understand that part and it is a hell of an accomplishment that he has done, but even he could not have done it without you. And he knows that and that is the beauty of it. [0:48:02.8]

I recognize that those of us who have been privileged to serve, it is a privilege and you have to see it that way and recognize it that way and do the best that you can under the circumstances, but never, ever believe that you got there alone, because that's just not true. I am almost amused, I say almost because I feel a little sorry for the distinguished Governor of Alaska, and it's coming out now how they are swallowing in house. I don't know whether you have seen the current press and how they talk about the poor lady and she is trying to defend herself and I almost, underline almost, feel sorry for her, but, she made it up there. [0:48:54.1]

So to my friend, Suzan, the Reverend Doctor Suzan Johnson-Cook, you all - many in this audience knows that she took a congregation of about 11 or 12 people, meeting at lunchtime down near City Hall, and built it into a congregation in excess of 1,000. She did that. [applause at 0:49:25.9] She is a very, very special, gifted woman. And I say that she is here with us at this 13th annual meeting, notwithstanding the loss of her dear brother, my friend, our friend, Charles, very recently. A young man who has gone to his reward and she is with us nonetheless and we appreciate it. [0:49:59.4]

Charles was quite a guy. He didn't like to be called Charlie incidentally; he was Charles. It's sort of like if somebody says they know Joe Hines who's name is Charles J. Hines and they'll say, "Oh, I know Charlie" and you know that they don't know him worth a damn because he is Joe Hines. [0:50:24.2]

So, to be here in the company of Carolyn Kilpatrick, the Representative in the Congress who takes care of our brother Charlie Rangel; that is special. That is special. You know one year we had something like 35 members of the Congressional Black Caucus here, I think it was 35, it was over 30 certainly. and that got the attention of a whole lot of people. It got the attention I'm confident of some sponsors who said well next year maybe we ought to up ours a bit and they did and that's the genius of Faye that she let's Karl come along with her here. [laughter at 0:51:15.3]

Its true that international black heads of states have prevailed in nations with majority black populations, but never before, never, ever in the history of the United States has the Chief of State, the Commander in Chief been other than a white male. And many of us grew up in the days of Jim Crow and dozens of African Americans were still being lynched; 24 in 1933 when I was six years

old. They were stilly lynching folks and as a matter of fact I can recall some years later as we started to make (we meaning blacks) started to make some progress, we were told things like, "You Negroes (that's what they called us then) you Negroes shouldn't be so pushy, they only lynched five last year." We were supposed to be grateful that so few of us had died. [0:52:15.2]

Then there came World War II; segregation, as we went off to fight and die for our country. And when I got out of the Marine Corps in 1945-1946 and some of my classmates had shrapnel in their bodies, and we could not go to the movies downtown in Washington, D.C., the Nation's Capital, couldn't shop on F Street is you had to try something on, and this is after the war; the war that ended all wars. So we have come a long way since those days, but things are not perfect even now because I maintain that we live in a racist society that is the legacy of slavery, but that does not mean that we have not made great progress. Imagine a black man, President of the United States of America. [applause at 0:53:20.2]

I can remember when there were certain jobs you couldn't even apply for, couldn't even think about it. We got the right to vote by the 15th Amendment in 1870, but we weren't able to exercise that right to vote until 1965 when the Voting Rights Act was passed. As a matter of fact, if you think back on some of the games made during the Civil Rights Days by Dr. King and so many really heroic women and men. And I have to tell you I ain't to sure that I could have followed John Lewis getting beaten down into the ground and he kept coming back, I'm not sure that I could have done that. [0:54:18.7]

I'm not sure that I could have done what the Tuskegee Airmen did. You know about the Tuskegee Airmen, everybody does, they said blacks weren't intelligent enough to learn to fly. And then B.O. Davis who in four years at West Point nobody every spoke to him, they didn't talk to him, and he came through all of that and won the fight for black men to learn to fly and then they said, well you can't go into combat because you will be cowards and turn in the face of the enemy. And then they won that battle and they did it so well that people like Roscoe Brown and Lee Archer and Percy Sutton and so many others, they fly in cover for bombers and never lost a single bomber, not one. [applause 0:55:19.1] So much so that the white bomber pilots would ask for them and I can remember, while I wasn't personally there, the black Marines who were trained in Camp Lejeune in North Carolina, white troops went to Parris Island in South Carolina and they thought that the black Marines were somehow not quite as good as the white Marines, but when the black Marines hit the beach carrying ammo for the guys that were pinned down and running out of bullets, they loved them to death. It's funny what need will do; it's funny. [0:56:16.1]

This election has at last put to rest, I think, any doubt that Americans as a whole oppose the failed economic and social policies of the Bush administration, with its record deficits and recession. Billy Thompson knows about that. Phil used to properly regulate financial industries that led to the loss of retirement pensions and the sub-prime mortgage crisis, outsourcing of American jobs, increased unemployment and refusal to work toward a living wage for job offers, failure to support programs that curtail practices that harm the environment and damage or destroy natural resources, failure to end the war in Iraq and approve any meaningful plan to withdraw troops. [0:57:12.3]

Speaking of Iraq, don't it just get you right here when the Bush people lied to Colin Powell and sent him to the United Nations to tell those lies, and didn't it make you feel good when he came out

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for Obama recently; I mean you know [applause at 0:57:38.5] by God, and he is a guy, you know, he is one of our heroes. He truly is, he truly is. [0:57:52.2]

The American people have spoken across the party lines, social classes and racial divide in support of programs to provide affordable and accessible healthcare, to stimulate economic growth and provide a living wage for American workers, to transform energy consumption and reduce American dependence on foreign oil to improve schools by ending No Child Left Behind, and end the war in Iraq and bring the troops home. Some of you will recall that when the troops came home after Vietnam, our country treated them terribly. They spit at them. They didn't ask to go, but they were treated awful. Korea was a little different. And as Charlie Rangle says, he hasn't seen a bad day since. Charlie was pinned down in a foxhole and came out of there with a Purple Heart and Bronze Star and he hasn't seen a bad day since. [0:58:57.2]

But, even though Americans, whether Democrats, Republicans or Independents, have responded overwhelmingly in support of measure that the new President may be compromised I say, at the outset by the actions of the outgoing administration. Keep in mind that he doesn't take office until January 20th and I'm counting on our friends in the Congress to do whatever they can to see to it that he doesn't start off in a whole, because that is what can happen. It is possible; I hope it doesn't happen. [0:59:41.9]

Earlier this year in February of 2008 I participated in a panel at a meeting of the Independent Newspapers International Advisory Board in Cape Town, South Africa on the topic "End of the Bush Era in the United States." And our discussion, neither prophetic or profound, merely reflected the ground swell for change that has this week resulted in one of the most historic moments in American history; the landslide election of the first African American to the Presidency of the United States of America. [1:00:20.7]

The majority of the American public, irrespective of political party affiliation welcomes the end of the Bush administration, by whatever measure we choose to evaluate its impact, domestic and global, this has been a failed presidency that has brought the United States to the brink of disaster and some say beyond the brink. We could recite the long list of offenses perpetrated or orchestrated by that administration that have passed in the name of foreign policy and global economy. [1:00:57.0]

While the United States has now emerged from unprecedented two-year campaigns, some like the New York Times editorial staff have cautioned that we should pay close attention to the efforts underway to perpetrate the policies of the past seven years with Bush. And at the risk of putting a damper on the celebration of Tuesday's victory, I do feel compelled to call attention to a few of the concerns that lay immediately ahead. [1:01:31.7]

True the Congress has a Democratic majority and the political capital of the sitting administration has been all but spent by the wars in Iraq and Afghanistan, and the worst economy since the Great Depression, but even with the lowest approval rating of any president in the history of our country, he has pressed on to do everything possible within his remaining days to compromise the new president, who will take office with the greatest mandate from the people in a century. [1:02:05.6]

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He has abused the political process more than any of his predecessors, undermining the power of Congress through the use of Signing Statements. Over the last seven years he has issued hundreds of these documents, devices showing us that he had no intention of obeying laws, that he has claimed impinged on the constitutional powers of the Chief Executive. Examples of such Signing Statements are those that authorize domestic surveillance without warrants, torture of prisoners in violation of the Geneva Convention, and firing U.S. Attorneys for political reasons. [1:02:50.5]

Others include a host of executive actions designed to impede congressional programs to expand health insurance and prevent further pollution of the environment. The disastrous Bush-Lame Duck Budget proposal announced at his last State of the Union Address contained provisions for permanent tax cuts for the wealthy and the highest level of military spending since World War II and reductions in spending for medical research, environmental protection and education. [1:03:26.9]

President Obama will have to contend with this and a series of other eleventh hour measures. We are confident that Congress will reach across the aisle to combat the resultant stranglehold from President Obama's ability and move forward our country. We have a long way to go in repairing the damage done over the past seven years. And although we deserve to relish the moment of victory, we do understand that we have more battles to fight and miles to go before we sleep. [1:04:00.1]

We should congratulate those other political leaders, some of whom are with us here today in St. Maarten, for their election and reelection victories as well. [applause at 1:04:11.2] And as one of these, the Dean of the New York City Delegation of Democrats, my brother Charlie Rangle. And this is a quote from my brother, Charlie says, "The world we look at is a world of many colors, many cultures, many faiths and what we as Americans have to offer is our experience in creating a diverse society as we show the world all of the diversity that is the strength of America. We reflect our values and present an example to the world. With our nation growing international involvement there could be no better time to attract the best and most diverse (inaudible) to represent the American people in every corner of the globe," so says Charlie Rangle. [1:05:05.3]

When we break for lunch we will hear the distinguished Governor of my home state of New York, the Honorable David A. Paterson. He will talk about the importance of building upon the partnerships necessary to translate the watershed victory of democracy in the United States into lasting change. I can't resist remind us that the governor's father, Basil Paterson, once won 61 out of 62 counties in New York State in a Democratic Primary for Lieutenant Governor. And then he joined the (inaudible) of Goldberg. He was a former United States Secretary of Labor, former United States Supreme Court Justice, former United States United Nations Ambassador, the lousiest damn candidate you ever saw. So Basil did not win, but he would have become the first black Lieutenant Governor of New York State and eyes on the first black governor. So imagine, as I sat in the front row at the state committee meeting and Basil placed David's name in nomination for Lieutenant Governor. I had tears in my eyes. It had come full circle and now that same David is Governor of the State of New York and you will hear from him later. [applause at 1:06:41.3]

Later this afternoon we will meet to share our thoughts and plans for sharing working solutions to education. There are challenges as business and political leaders will be to craft strategies for keeping the doors of the international education open to our future leaders. The world will be a

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dimmer place if we let the lamp of knowledge go out for a generation of our young people. It is within our power to turn up the flame and keep it burning bright, and in the words of President-elect Barack Obama, "Yes we can." [applause at 1:07:24.8]

REV. DR. SUZAN JOHNSON-COOK: Thank you Mr. Mayor, and thank you for looking so wonderful. He's dapper, Amen! Doesn't he look good? [laughter and applause at 1:07:42.4] And Barack Obama stands on your shoulders because you took the blows that shows what a man of faith could do and we thank you for representing us well. [1:07:52.3]

We also are joined by many a (inaudible) joints throughout this conference by many elected officials and some are here this morning; elected officials from the State of New York. You will hear later from the Honorable David Paterson, you heard from our City Controller Honorable William C. Thompson, Jr., Honorable Adolfo Carrion, Jr., President of the Borough of the Bronx; Honorable Nick Perry the Assemblyman for New York State, The Honorable Ruth Hassle-Thompson, State Senator New York State, the Honorable Keith Wright is with us this morning, Assemblyman for the New York State the Honorable Inez Dickens, Council Whip, Member of the New York City Council, and Honorable Dr. Kendall Stewart, Member of the New York City Council. Can we applaud for all of the elected officials from the State of New York? [applause at 1:08:34.3]

And then members of Congress who will be joining us throughout, some of whom you heard last night and today, the Honorable Donald Payne, the Chair of the Subcommittee on Western Hemisphere, the Honorable Bennie Thompson, Chair of Homeland Security, Honorable Donna Christensen, the Honorable Chair of the Subcommittee on National Resources, Honorable Sheila Jackson Lee and now we are also going to hear from one of our Presidents of the Congressional Black Caucus, the Honorable Carolyn Cheeks-Kilpatrick. We are going to the federal level y'all [applause at 1:09:09.6] who is our President, Madam President and will be working with Mr. President would you please come and let us receive her this morning for what a wonderful job you have done and you have served with distinction as well and we celebrate and salute you this morning. Can we applaud the other members of the Congress who are with us? [applause at 1:09:29.1]

REP. CAROLYN CHEEKS-KILPATRICK: Giving honor to God who is the head of my life, present protocol being established [laughter at 1:09:46.3], but I've got to say a couple even given that. To Faye and Karl, its really thoroughly been said but thank you from the bottom of all of our hearts. We could not do this without you. Thank you very, very much. [applause 1:10:00.4] We could (inaudible) every week in our Washington offices, but that's how we keep up with the Caribbean if not for the newspaper, there would be no association that we could actually see and know what is going on and how we (inaudible) so thank you very much from the bottom of our heart. Thank you very much. [applause at 1:10:18.6] Commissioner Wescot-Williams as well as all the commissioners over here. St. Maarten, one more time, thank you for hosting us one more time, we love you, thank you very much. [1:10:29.4]

Our key ingredients for the Congressional Black Caucus where I Chair 43 members, we come from 21 states, we present over 40 million Americans; [applause at 1:10:40.8] five full Committee Chairs, 17 Subcommittee Chairs and others like the Majority Whip and Jim Clyburne from South

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Carolina and others who help to control the Congress. We have the first African doctor in the house, a member of our Caucus who chairs our health branches and very instrumental in us being here, why don't you welcome my sister, Dr. Congresswoman Donna Christensen. [applause 1:11:11.7] Thank you, we love you.

It was mentioned earlier that, and I'm going to say who else is here, you heard from Dr. Payne and I know if he is here in this room you will hear from him again. you did last night. who chairs our Western Hemisphere Committee. Bennie Thompson who is here and I think he came through last night, he chairs our Homeland Security Committee also will be here. Sheila Jackson Lee from Houston, Texas and I know many of you know her, she is en route and will be here just shortly. Our number uno Congressman, second only to John Conyers in seniority, from the great state of New York, Chairman of the House Ways and Means Committee, our big brother Charlie Rangle will be here this afternoon. [applause at 1:11:55.3]

And what you probably don't know is the federal budget is \$3 trillion dollars. Charlie's committee handles \$2 trillion of that for Medicare, Medicaid, for veterans programs; his committee is the committee that produces the revenue, \$2 trillion of it, giving it out in what we call entitlements to those programs. I served on Appropriations where the committee spends the money, and I like that too. We spend a million and Charlie generates it and gives it all by formula to the entire committee and our committee handles the appropriations in sending it out. [1:12:33.0]

Together we make up the Congressional Black Caucus and it was said earlier, many more members will be coming and will have come over the years, but I just wanted to say a point of personal privilege, this last six months, the last two months and the last two weeks, members of the Congressional Black Caucus have been crisscrossing America for our now President, Senator Barack Obama. [applause at 1:13:00.1] Even as we had our own elections [applause 1:13:02.4] Tuesday we were all on the ballot as well but (inaudible) just thinking as it relates to it's is major, but most of us went to many states all over this country. [1:13:12.4]

You see 18 of our members represent less than 50% of the African Americans; three of our members represent less than 15% African Americans, the most that any of us represent is a 62% African American so we represent all of America, Asians, Africans, Latinos, Europeans, Native Americans, you name it and we are called the conscious of the Congress and we really are that you know. [1:13:38.5]

God built us from a certain kind of way and we got some of this stuff in us that you do, you cross ethnic lines and it makes us stand up for righteousness, speak up on injustices and when we see something wrong you have to hear it because, of course, we are the conscious of the Congress. So in the spirit of (inaudible) is that Congresswoman Christensen talks about healthcare it's real. They have more because we have less. [1:14:03.2]

We hope and we know that with President Obama yes we had some difficult times in the Mayor laid them out well. And to the sponsors by the way, all of you, we couldn't do this, be with you. help Karl if you weren't here with us so we say thank you very much, you are so important. To all of the sponsors, thank you very much. [applause at 1:14:23.9]

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So as we move to these next four years, two months, 32 days - talk about the short period between November 5th and August 20th, [sic] President Bush is still the President. Our Constitution says that if there is unrest or malice or whatever, the sitting President can hold off and not let happen the January 20th date. There isn't many people that know about that, so we don't want any - we were kind of scared about November 4th when we won. We wanted everybody to be prayerful, be (inaudible) and have fun and all that, don't burn [1:15:00.5]

END PART 2

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TRANSCRIPT OF AUDIO FILE:

11-7AMSESSION - LAST 20 MIN

Thank you for working with Accentance for your transcription needs. The text below represents a professional transcriptionist's understanding of the words spoken. No guarantee of complete accuracy is expressed or implied, particularly regarding spellings of names and other unfamiliar or hard-to-hear words and phrases. "(ph)" or "(sp?)" indicate phonetics or best guesses. To verify important quotes, we recommend listening to the corresponding audio. Timestamps throughout the transcript facilitate locating the desired quote, using software such as Windows Media or QuickTime players.

BEGIN PART 3:

REV. DR. SUZAN JOHNSON COOK: Thank you, Mr. Mayor. Thank you for looking so wonderful. He's dapper. Amen. Doesn't he look good? (clapping). Barack stands on your shoulders because you took the blows and showed what a man of faith could do and we thank you for representing us well.

We also are joined by many and will be joined throughout this conference by many elected officials. Some are here this morning; elected officials from the State of New York. You will hear later from the Honorable David Patterson. You've heard from our city controller, William C. Thompson, Jr., Honorable Adolpho Carrion, Jr., President of the Burroughs of the Bronx, Honorable Nick Hardy, the assemblyman from New York State, the Honorable Ruth Hassell Thompson, State Senator of New York State, the Honorable Keith Wright is with us this morning, assemblyman from New York State, the Honorable Inez Dickens, council whip member of the New York City Council, and Honorable Dr. Kendall Stuart, member of the New York City Council. Can we applaud for all of the elected officials of the State of New York? (clapping).

Members of Congress who will be joining us throughout. Some of whom you have heard from them last night and today, the Honorable Donald Payne, Chair of Subcommittee on Western Hemisphere, the Honorable Bennie Thompson, Chair of Homeland Security, Honorable Donna Christensen, the Honorable Chair of Subcommittee on National Resources, Honorable Sheila Jackson-Lee, and now we hope to also hear from one of our presidents of the Congressional Black Caucus, the Honorable Carolyn Cheeks-Kilpatrick. We're going to the federal level you all; who is our (inaudible) president. We're only working with Mr. President. Will you please come and let us receive her this morning for what a wonderful job you have done and you have served with distinction as well, and we celebrate and salute you this morning. Can we applaud the other members of Congress (inaudible) (clapping).

REP. CAROLYN CHEEKS-KILPATRICK: Giving honor to God who's the head of my life, present protocol being established, but I've got to say in comfort even given that. To Fay and Karl, really (inaudible) really from the bottom of all of our hearts, I cannot be this without you. Thank

you very much. We could (inaudible) ever week in our Washington offices and that's how we keep up with the Caribbean. If not for the newspaper, there would be no association that we could actually see and know what's going on and how we plug in. So thank you very much from the bottom of my heart. Thank you very much.

Commissioner Wescott-Williams, as well as all commissioner's who are here, St. Maarten, one more time. Thanks for hosting us. We love you. Thank you very much.

I bring you greetings from the Congressional Black Caucus, where I chair 43 members. We come from 21 states. We represent over 40 million Americans, 5 full mini chairs, 17 subcommittee chairs, and others like the majority whip and Jim Clyburn from South Carolina, and others who helped to control the Congress. We have the first African doctor in the house, a member of our caucus, who chairs our health brain trust and very instrumental in being here. As being here, won't you welcome my sister back, your Congresswoman Donna Christensen? Thank you, Donna. We love you.

It was mentioned earlier that, I'm going to say who else is here, you heard from Donald Payne, and I know if he's hear in this room, you will hear from him again. You did last night. He chairs our Western Hemisphere Committee. Bennie Thompson, who's here, and I think he came through last night, he chairs our Homeland Security Committee. Also will be here. Sheila Jackson-Lee from Houston, Texas. I know many of you know her. En route, she will be here just shortly. Our number uno congressman, second only to John Conyers in seniority, from the great State of New York, Chairman of the House Ways and Means Committee, our big brother, Charlie Rangel, will be here this afternoon.

What you probably don't know is that the federal budget is \$3 trillion dollars. Charlie's committee handles \$2 trillion of that for Medicare, Medicaid, for veteran's programs. His committee is the committee that produces the revenue, \$2 trillion of that given out in what we call, "entitlements" to those programs. I serve on appropriations, where the committee spends the money. I like that too. We spend a million. Charlie generates it and gives it out by formula to the entitlements. Our committee handles the appropriations and sends them out. Together we make up the Congressional Black Caucus.

As it was said earlier, many more members will be coming and have come over the years, but I just wanted to say, a point of personal privilege. This last 6 months, the last 2 months, and the last 2 weeks, Members of the Congressional Black Caucus have been criss-crossing America for our now president, Senator Barack Obama. Even as we had our own election, Tuesday, August 4th, we were all on the ballot as well, but ours wasn't as significant as relates to us as major, but most of us went to many states all over this country. You see, 18 of our members represent less than 50% African-American. Three of our members represent less than 15% African-American. The most that any of us represent is 62% African-American. So we represent all of America; Asians, Africans, Latinos, Europeans, Native Americans. You name it.

We're called the Conscience of the Congress and we really are that. You know, God built us in a certain kind of way. We got some of this stuff in us, as you do with you. Across ethnic lines, it makes us stand up for righteousness, speak up on injustices, and when we see something wrong,

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you have to hear it because, of course, we're the Conscience of the Congress. So the disparities that Donna, Congresswoman Christensen, talks about in health care, it's real. They have more because we have less. We hope and we know that with President Obama, yes we have some difficult times and the Mayor laid them out well. And to the sponsors by the way, all of you all. We couldn't do this, be with you, help Karl if you weren't here with us. We say, "thank you very much. You are so important". All the sponsors. Thank you very much.

So as we move through these next 4 years, 2 months, 32 days, talk about the short period between November 5th and August 20th. President Bush is still the president. Our constitution says that if there is unrest or malice or whatever, the sitting president can hold off and not let happen the 20th day. There are few people that know about that. So we don't want any, we were kind of scared about November 4th when we won. We wanted everybody to do prayer. There will be a tone-in and have fun, and all that. Don't burn nothing down. Don't burn up a car and all that because they're waiting. Some people are waiting. Some people are very angry. We are euphoric. God is euphoric and people across ethnic lines, you saw them. The multi-cultural facets of America happy because it was a perfect storm. Financial markets crashing. People losing jobs. Health care unaffordable. Our schools in shambles. Big bad America and we still are; it's just this 8 years we have a man in the White House who knew not Joseph. In his leadership of our country, he not only decimated America, but caused havoc around the world. I know you know that.

So we've got a lot of building to do. What Karl and Fay has done is to energize each of us so we take our rightful places. All of you and all of us together. I'm going to bring into this room 2 of my sisters who we lost over the last year. Congresswoman Stephanie Stubb-Jones, Cleveland, Ohio, will be right here. Right over here, smiling and said 'Let's do this'. I want you to give a shout-out for Stephanie. She's up in here right now. Congresswoman Juanita Milligram-McDonald from Los Angeles, also with the ancestors are up in here right now. Both chair people I might add. Congresswoman Stephanie Stubb-Jones (sp) of our Ethics Committee and Congresswoman Juanita (inaudible) McDonald of our House Administration Committee. Finally, all during this tenure, 2 years is what Congress is, this is the 110th congress: So many historical things have happened that I mentioned to you already, including electing our first African-American president. Then finally Julia Carson from Indianapolis, Indiana, also (inaudible) has been to this conference many many times.

So my brothers and sisters across racial, ethnic gender, geographic, biological, (inaudible) we have much work to do, but it can be done. Because I believe there is a higher power who's directing all of this. We just have to know it and believe it, and do it, and be centered in a higher power.

So as members of the Congressional Black Caucus, as we go back next week to address the financial crisis. You all have been hearing about this \$700 billion, every time I say it, we handle a trillion, our committee. \$700 billion is what we just gave out or given out as we speak. The good thing about that, they were trying to give it all out. \$300 billion of it is gone, but the good news, the new president, anything they put in force, he can pull it back. So we have an opportunity to do some real comprehensive stuff.

The housing market? No more foreclosures. Those same people who got the \$700 billion, they were the ones who caused 80%, 85% of the foreclosing. Do you all know that? Predatory lending.

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Loss of jobs. Shifting our jobs over seas. You see, when the financial people do their thing, (inaudible) a billion here and here. It's paper. It's two clicks of the mouse. They were selling bad paper then and they were making big money. My sister, Susan. Let's give Susan a hand. Essence Magazine. Love you girl. (inaudible). We have to be smarter and better as we go forward. Senator Barak Obama, and you may have heard about his prudent campaign. Most of us in this room a 1-1/2 or 2 years, we thought it couldn't done, because we lived with the same people who had to do it. But the perfect storm. Don't forget that. The perfect storm caused by humans. Greedy humans in many cases.

I do a lot of work in the Middle East; Egypt, as well as Lebanon. I do (inaudible) and they're great people, but this president castigated Arabs. In Michigan, where I come from, and John Conyers, we have the largest population of Arabs outside of the Middle East. They've been in our community for decades. They go to our schools. Our children know them. They help and help to build the community. Yes, there are a few bad ones who blew up some (inaudible). God took care of them. They're going to be taking care of. But just like we don't want everybody to measure African-American by a few who do bad things, there are good things in all people. I believe that as President Obama comes into fruition, a member of the Congressional Black Caucus, his job, and he really does believe this, is to bring the races of God's people together. Whether you know God as God, or Allah, or Jehovah, or what have you, that if you really believed in a higher power, let's get busy and rebuild the world.

So, my brothers and sisters, we got a lot of work to do and you do as we go back to New York. Keep the (inaudible) operating. Keep your will strong and knowing that, as president takes his oath and pray that nothing happens between November 5th and January 20th. He can call marshal law. He can go around the country and lock up heathens and Christians, which isn't going to happen. Lock up anybody who might be subversive or such. Create an environment so that January 20th may not ever happen. That's the nightmare. Now snap back. Wake up. He won. It's going to be all right. Let's build a new America again. Let's make sure that our children can have adequate education because it's no longer Michigan against Indiana or Ohio, Michigan against China. It's US against the world and we can build those partnerships the way we do with Faye and Karl, and all of us who have been working for all of these years.

Thirteen is a significant number in the Bible. Thirteen is a number that renews us, gets us ready for the next state of our development. So as we meet here in beautiful St. Maarten, let us rededicate ourselves to a higher power to each other, to knowing that we can do anything but fail. God bless you. (clapping).

REV. DR. SUZAN JOHNSON COOK: Now that's a sister who's got it going on. Thank you so, so much, Congresswoman, Madam President of the Congressional Black Caucus. We can see why. Amen. We are looking forward to great things ahead and you have us as the wind beneath your wings. All of you Congressional Black Caucus members, we are with you so much. Let the church say, "Amen".

We just want to share with you before we present our next speaker. This evening, our host country is going to present us with a fantastic affair. It's going to be offsite and we hope you won't miss it. Transportation is going to be coordinated and we are going to ask that you meet in the lobby at 6:15

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tonight. The transportation will be coordinated by our local government. It's a casual event. It's a beach site affair tonight and we're asking all conference attendees to gather at 6:15 sharp in the lobby for departure. So that's our night affair tonight and we will repeat that announcement throughout the day. If you will just please make note of that as we go forward.

We've heard from the city level. We have heard from the federal government. You know, we are so excited about our first African-American president and many of us also wanted a woman in and it was not a woman's time. It was time for Barak Obama, but in this country, it is a woman's time. As the commissioner who is the leader of government for St. Maarten: Come on somebody. It is Commissioner Sarah Westcot-Williams, our host. She has been gracious. She is elegant. She is eloquent and we are excited about being received by her today. Commissioner Sarah Westcot-Williams. (clapping)

COMMISSONER SARAH WESTCOT-WILLIAMS: Thank you reverends and a very good morning. There's another way to deal with the selfish (sp) protocol and that is by saying, "Welcome friends to St. Maartens". I can say this notwithstanding the many luminaries in our midst because it is truly a welcome to old friends and new acquaintances.

This conference, at this time, on this island, would not have been possible if it was not for the resoluteness and conviction of Mr. and Mrs. Rodney. It was 6 years ago that many of you were here on St. Maarten for the conference as well. It is with a lot of confidence that last year, I threw out the idea of why not again St. Maarten., has been at that time five years ago. This year, it is six years ago. It was only after throwing it up as we sat down to plan, I realized that this conference would have been held two days following the most important election in modern times of the United States of America. As we, Commissioner Malven (sp) and myself visited Karl and Fay in New York, I said to him, "Karl, as much as I want this conference on St. Maarten, I don't know if the timing is right." He said without being presumptuous about the outcome of the election, he said, "Oh no. I believe the timing is right". And with a glee in his eyes, I suspected that he was counting on a victory and a big one, and felt that St. Maarten would be a place where we could celebrate that victory.

This small island of ours, and I'm aware of the clock just in case I was to get any sign from the back, but this small island of ours, only 37 square miles and that is both sides of the island, tonight you probably would understand. You will get to know how we share the island, but I can tell you that St. Maarten is the smallest side of the two. Nevertheless, we accommodate close to two million tourists annually on this island. Approximately 1.3 million visit our island by cruise and the others come here to stay over with us, relax and enjoy our island. And yes it is so opportune and truly a region in transition.

Throughout this region, every island, every island nation, every country, is going through some sort of transition. For St. Maarten itself, we are going through a major constitutional transition. Moving from what others term as called an island-territory, a remnant of a colonial system, to that of country within the kingdom of the Netherlands. The French side, just last year, moved from a French municipality to what is called in their terms, "a collectivity". So yes, this region is truly in transition and change is all around us. This side is so important for the relationships between the

United States of America and the Caribbean region. I am again so thrilled to hear so many of you speak of kinship, relationships, and ancestry as you recognize this region for what it is.

Term water (sp)? Yes. Maybe, but definitely a part of the United States of America. However you take it or twist it. The Diaspora of the West Indies and the United States of America. It's big. It is great and we need to continue to recognize that forging those relationships strengthen it year after year through this conference call is extremely important. As if it wasn't enough to realize that we were going to start a conference two days after such an important election, just barely three weeks ago, St. Maarten was brushed by Hurricane Omar, a category 3 hurricane. So if you were around and you noticed that the scenery is not exactly what you expected of it, if you feel a little in the roads as you go from place to place, recognize that that is what had happened. But Karl decided the conference was going to go on and the conference will go on, Karl, right here in St. Maarten.

So tonight it is my pleasure to host you at another side of the island because you are here two days after the election, two days after a historic election, and yes, notwithstanding the turmoil and the challenges, President Barak Obama is going to face, the party too will continue and the party on St. Maarten will continue this evening on the other side of the island hosted by the Government of St. Maarten. I encourage you and those who are part of the latest workshop this afternoon, to do it well, right, and try to get out as soon as possible so that we can move from here in a timely fashion and the police escort will only be something to show you how proud we are of having all of you here. But we will get to the other side of the island nicely, on time. A region in transition. Thank you for being here at this time in your history and in our history.

I welcome all of you and it is wonderful to be able to open this conference in a time like this because it is for such a time that you are here and we are here, and it is such a time that President Barak Obama was chosen to lead the United States of America and, with that leadership, to be the leader of the free world. If, just if, approximately 40,000 more persons voted could have given him even more votes, he would have had those from right here on St. Maarten, the friendly island of the early (inaudible). So today, we too rejoice with you and we too are hopeful that the change that is coming will be a good one for all of us. We just hope that some of that invigoration and renewed energy that we all will get from this conference will serve you well, when you go back and will serve us well as we continue to build our island nations from strength to strength and with God, all things are possible. Have we not seen that on November 4, 2008. What a wonderful way to celebrate and, Karl, what a wonderful thing to happen (inaudible) St. Maarten and deciding the conference welcomes the 13th Annual Multi-National Caribbean Business Conference. Thank you. Welcome. Enjoy it and may God continue to bless you richly. Thank you.

The question was how casual can you be tonight? You be as casual as you feel comfortable on an island that is your host. So men, absolutely no jacket and ties. I would not recommend that, but be as casual as you've been comfortable. Thank you.

REV. DR. SUZAN JOHNSON COOK: All right. This is where we break. Hold on. We have (inaudible) ceremony. If you will just wait for us please. We're going to invite all of the sponsors and the elective officials to please join the Rodneys as our host today, here up front for our ribbon cutting ceremony. All of our sponsors, all of our elected officials that are present, please come and

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join us up front. Those who are our speakers this morning, please right here in the front of the stage, and we will be lead. All rise. (singing)

END TRANSCRIPT

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Exhibit 4

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CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative of Citi
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: March 17, 2009
Location: AkinGump NYC - 399 Park Ave, New York, NY
Time: approximately 2:00 to 4:00 p.m.
Participants: Leo Wise
Omar Ashmawy

Summary: The Representative of Citi was the Citi employee who managed their donation to the Thirteenth Annual Multinational Business Conference. The OCE requested an interview with the Representative of Citi and he consented. The Representative of Citi made the following statements in response to questioning:

1. The Representative of Citi was given an 18 U.S.C. § 1001 warning and consented to an interview. The Representative of Citi signed a written acknowledgement of the warning [attached].
2. The Representative of Citi was laid off from Citibank on December 15, 2008. At the time he was laid off he was Community Relations Officer for Brooklyn and Staten Island
3. The Representative of Citi joined Citi in December 2003. He had previously worked for Chase in a similar capacity. Chase sponsored previous Caribbean Multinational Business Conferences. The Representative of Citi attended the conference when he was at Chase. Chase was the first financial institution to act as a sponsor at the conference.
4. When he came to Citi, he was told to "lead the charge" in terms of Citi's participation in the conference. His superiors made the decision that Citi should participate.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

5. The Representative of Citi's direct supervisor was Eileen Aulden, New York State Director; she reported to Kathy Ebanks, Regional Director. Eric Eve, Senior Vice President for Community Relations headed the Representative of Citi's department. Mr. Eve made the decision to support the conference and decided on the dollar amount. The Representative of Citi was in charge of managing Citi's participation.
6. Citi donated \$75,000 to support the conference. Citi was the only "senior" sponsor. The money was specifically for the conference. Citi did not put any specific restrictions on how the money could be used to support the conference. The check came from Citi's sponsorship budget and was either made out to the Carib News or the Carib News Foundation.
7. In previous years the amount Citi donated was less. In 2007 it was \$50,000.
8. Karl Rodney chose the location of the conference. Governments in the region made bids to host the conference.
9. The only person from the conference that the Representative of Citi dealt with was Rodney. The Representative of Citi met with Rodney on 5 occasions between May and November 2008. These meetings concerned the program at the conference and Citi's participation in it. These meetings were not about the amount of Citi's sponsorship or to negotiate that in any way. The Representative of Citi described this interaction as a "dialogue" with Rodney. Rodney was receptive to suggestions about the program.
10. At these meeting the Representative of Citi would tell Rodney how he would like to "position" Citi at the conference. Citi participated in the luncheon, with Eve as a keynote speaker, and in workshops that fit their business. The lunch topic was set by Rodney. The Representative of Citi then tried to "position" Eve in a complimentary way. The Representative of Citi recalls suggesting to Rodney that Citi could do a workshop on financial education.
11. Rodney did not present a written agenda for the conference before any of their 5 meetings. The first time the Representative of Citi saw a written agenda was a week or a week and a half before the conference began in early November. The Representative of Citi recalls seeing a draft agenda. He was shown the draft agenda submitted to the Committee on Standards of Official Conduct [attached as Exhibit B] and said he saw some version of the draft agenda but not this specific one. He did recall seeing the panel entitled, "Creative Financial Solutions" on page 9 of Exhibit B. He said when he saw

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended.

- that panel he thought he could participate in the panel and talk about foreclosures. He "vetted" that topic internally at Citi and then proposed it to Rodney and Rodney agreed.
12. The Representative of Citi attended the dinner on Thursday night. He does not recall Congressman Payne speaking.
 13. The Representative of Citi participated in the ribbon cutting with members of Congress, host government officials and major sponsors on the Friday morning of the conference. The Representative of Citi got sick on Friday and missed the evening event.
 14. Eve did not have a sit-down meeting with the members of Congress in attendance to the Representative of Citi's knowledge.
 15. The Representative of Citi did not have more than "cursory" conversations with the Members of Congress who attended. He saw all members that attended more than once. He saw most of them on Friday and had seen everyone by Saturday.
 - a. The Representative of Citi recalled Congressman Rangel was there. The Representative of Citi thought he first saw him on Friday and saw him on Saturday. The Representative of Citi thought he saw him at different events.
 - b. The Representative of Citi saw Congressman Payne on more than one occasion.
 - c. The Representative of Citi saw Representative Kilpatrick early on Friday. He does not recall her speaking.
 - d. The Representative of Citi saw Representative Thomson on Thursday; he saw him on more than one occasion.
 16. 300 to 350 people typically attended the event. It was open to anyone.
 17. The conference was billed as the Carib News conference. Rodney is the publisher and CEO of the Carib News. In May or June one would start seeing advertisements for the conference on the back page of the Carib News. The Representative of Citi thought Citi's check went to the Carib News Foundation but did not know what the Foundation did other than the conference. The Representative of Citi thought the conference was "totally" financed by the sponsors. He did not know if Carib News put up any money for the conference.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

18. The Representative of Citi said Rodney saw the conference as an opportunity to position the Carib News as the "voice of the Caribbean" which is how the paper markets itself in New York.
19. Citi saw the conference as offering Citi three benefits: (1) it sent a message to their partners; (2) it was a business opportunity; and (3) it was good for Citi's image.
20. The members of Congress lent the meeting "clout."
21. Sponsors also bought ad space in the Carib News. This was not part of their sponsorship of the conference. Citi bought ad space in the Carib News.
22. The Representative of Citi also knows Rodney from the Board of the University of the West Indies. Both he and Rodney are members and participate in telephone meetings and, occasionally, in in-person meetings.

We certify that this memorandum contains all pertinent matter discussed with the Representative of Citi on March 17, 2009.

Leo J. Wise
Staff Director & Chief Counsel

Omar Ashmawy
Investigative Counsel


1749

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name:  _____

Signature:  _____

Date: MARCH 23, 2009 _____

For Office Use:

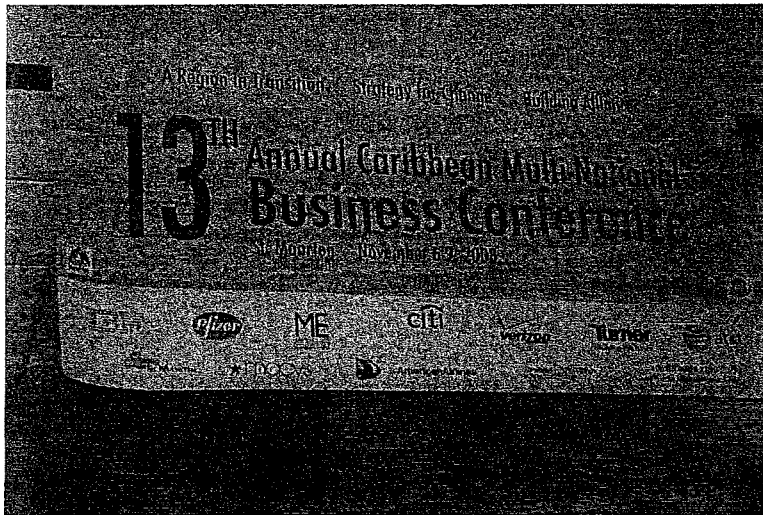
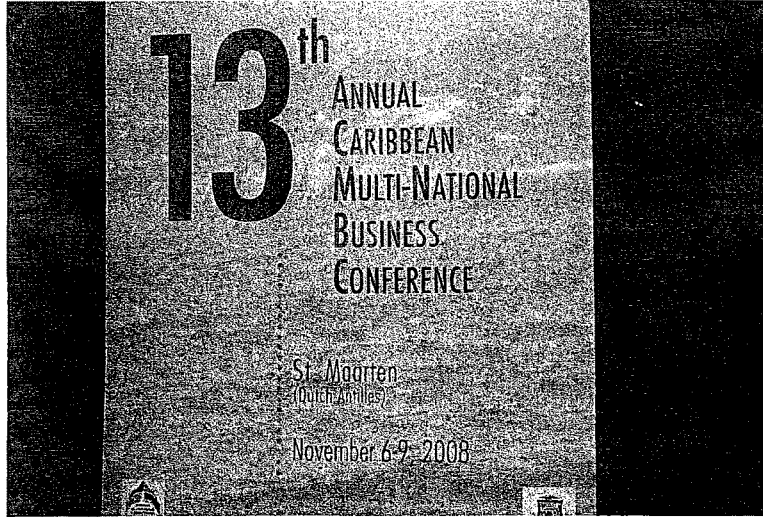
Review(s) No. _____

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Exhibit 5

09-2222 0058

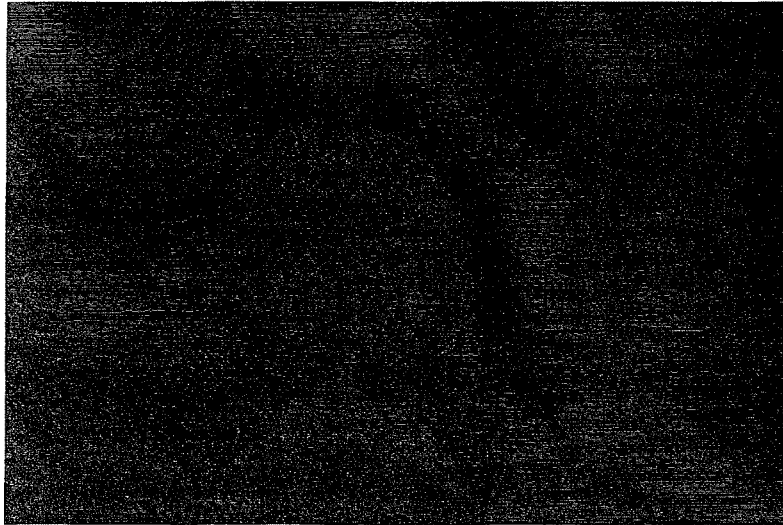


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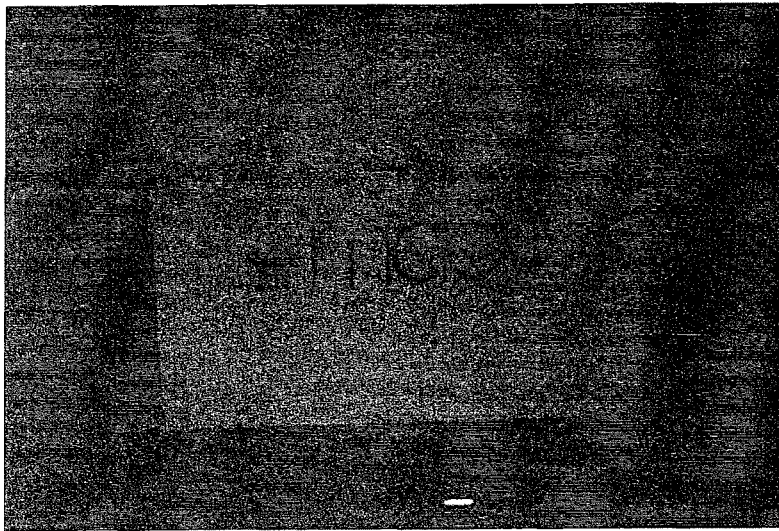
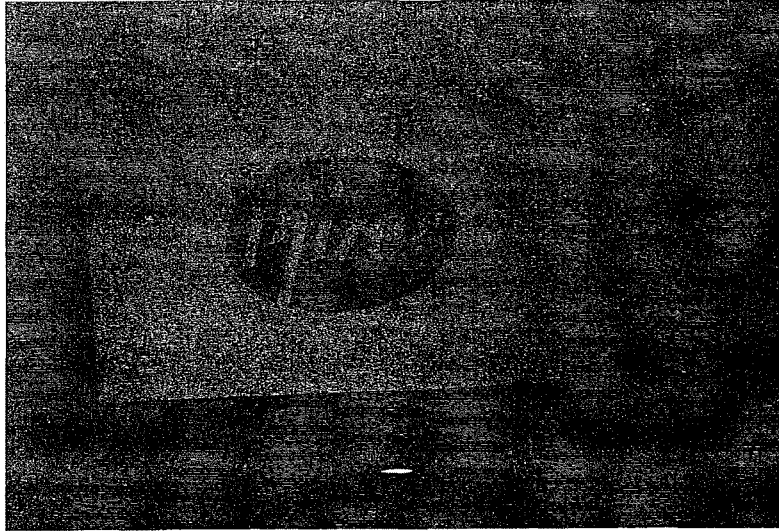
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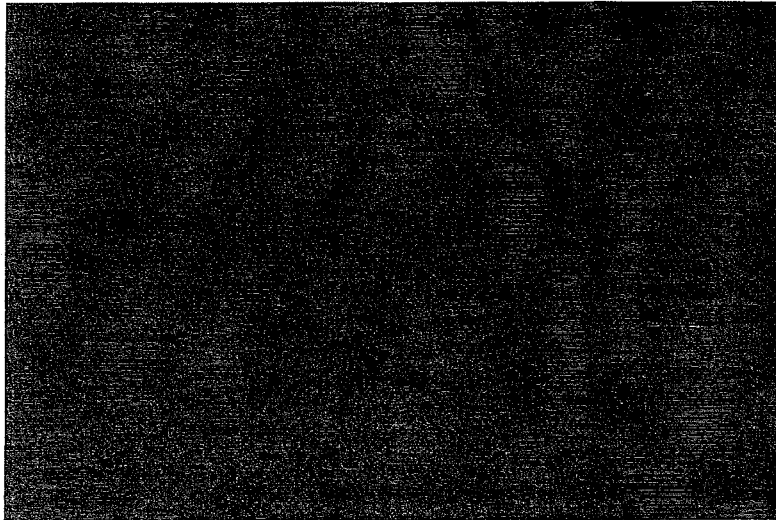
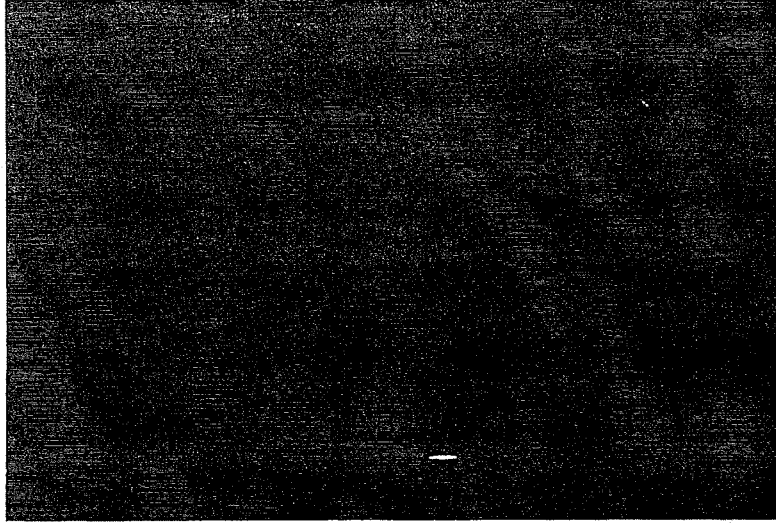
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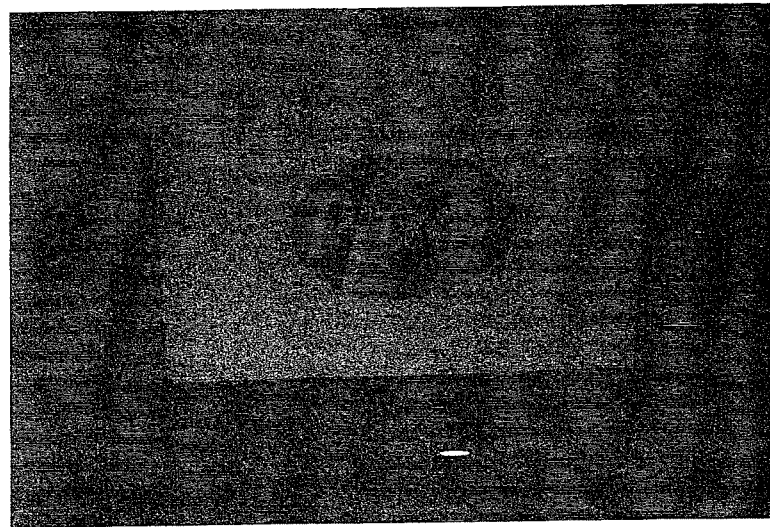
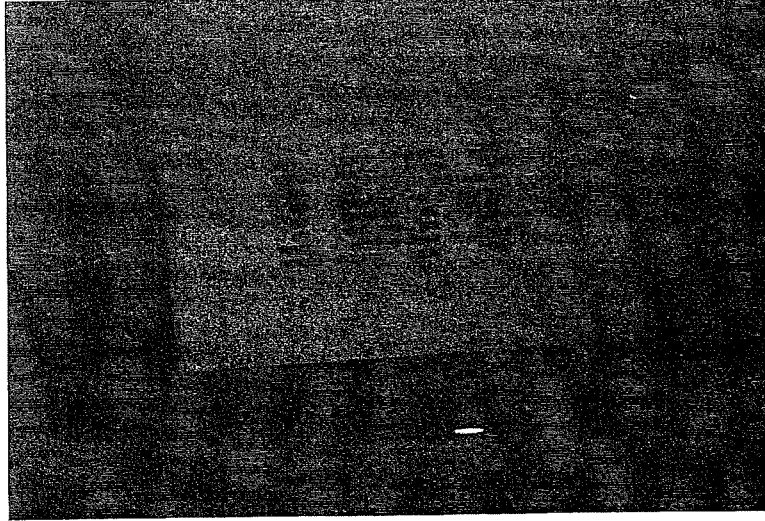
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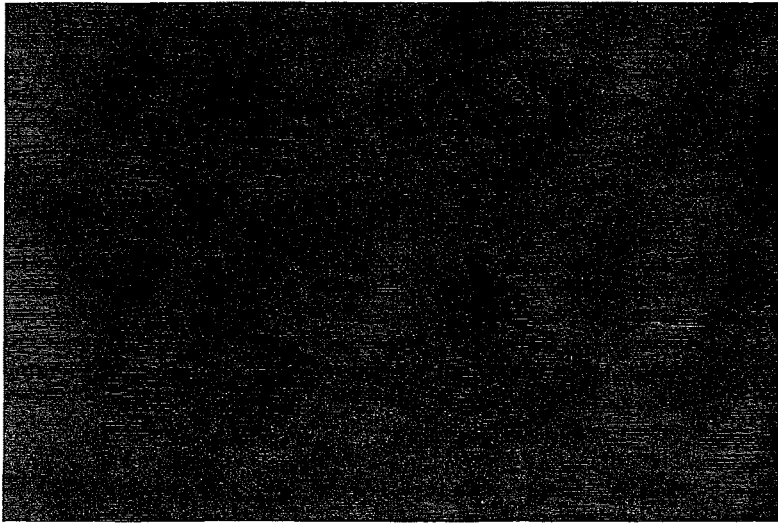
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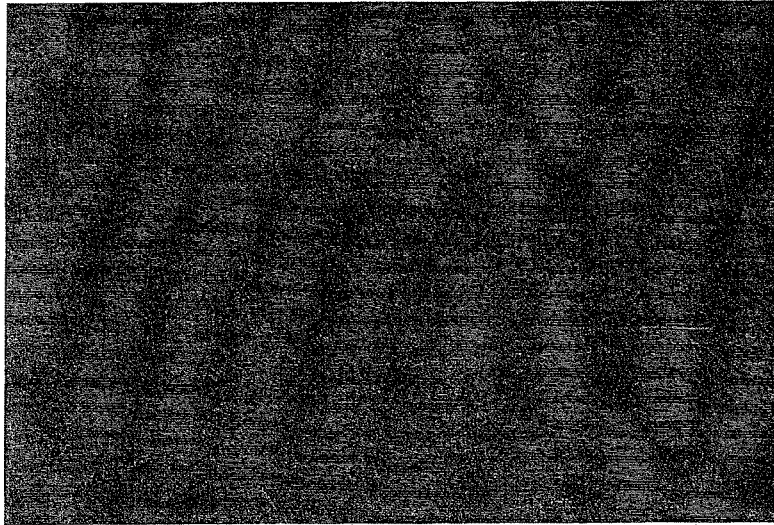
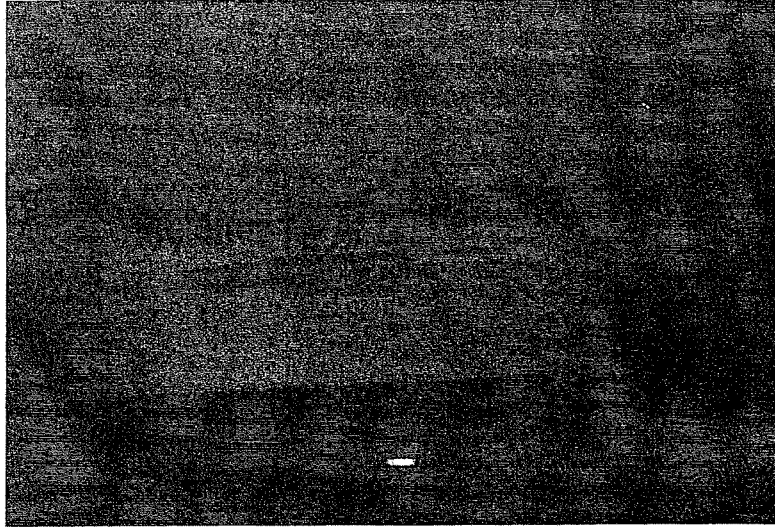
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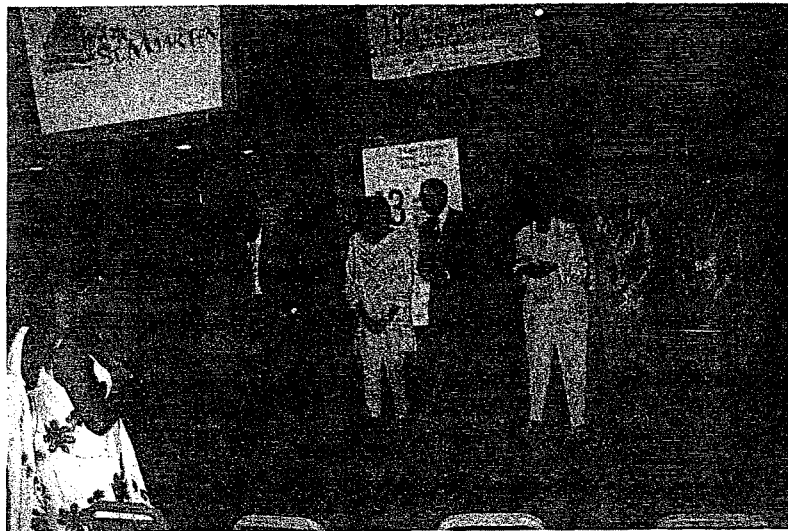
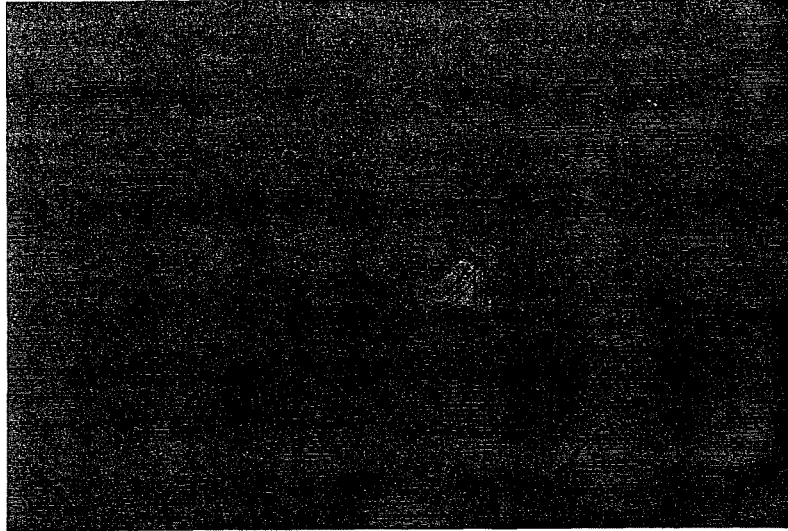
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Exhibit 6

09-2222 0068

1761

STROOCK

JOEL COHEN
DIRECT DIAL: 212-806-5644
DIRECT FAX: 212-806-2644
JCOHEN@STROOCK.COM

DANIELLE ALFONZO WALSMAN
DIRECT DIAL: 212-806-5607
DIRECT FAX: 212-806-2607
DWALSMAN@STROOCK.COM

May 18, 2009

BY EMAIL AND REGULAR MAIL

Mr. Leo Wise
Staff Director & Chief Counsel
Office of Congressional Ethics
United States House of Representatives
1017 Longworth House Office Building
Washington, DC 20515

Re: Request for Information, Review No. 09-2567

Dear Mr. Wise:

In response to your request for our clients' voluntary cooperation regarding your office's questions pertaining to the Thirteenth Annual Caribbean Multi-National Business Conference (the "Conference"), we submit the following responses. Based upon our review of the questions and our multiple conversations with your office, we believe that these responses contain the information that you are seeking. As with our previous production, to the extent that the Freedom of Information Act is applicable to any submissions made to the Office of Congressional Ethics, our clients hereby request that the information provided be treated confidentially.

The Host country participated in the Conference as follows: (1) developed local Host Committees to facilitate local planning, (2) helped to negotiate the rate with the hotel, (3) assisted in developing the theme of the Conference, (4) assisted in identifying local speakers, including the Leader of Government, (5) assisted in local publicity, (6) provided local entertainment, including a school choir and cultural items, (7) arranged Host Country Evening on Friday, November 8 (the group was transported to another property for refreshments and entertainment), (8) helped with local transportation for some Carib News employees and helped arrange accommodations for some guests (not paying for such accommodations), (9) participated

09-2222 0069

Leo Wise, Esq.
May 18, 2009
Page 2

as event Speakers, and (10) issued invitations to attend to specially invited guests. The Host Country provided no funds towards the cost of the Conference.

The cost for members of the public to attend the conference for the four days and three nights was \$840.00 for double occupancy or \$1085.00 for single occupancy. The cost of attendance covered room accommodations, meals, and admission to the Conference presentations.

The attending Members of Congress participated in sessions relevant to their Committee assignments in Congress and demonstrated interest in a particular subject matter. For example, Congressman Bennie Thompson spoke at presentations on Hemispheric Security and Immigration/ Deportees, Congresswoman Donna Christensen spoke at a Town Hall Forum on Health, and Congressman Charles Rangel spoke at presentations on Haiti and the Caribbean, and the current crises facing them. In addition, all of the Members of Congress participated in a session with the local Ministers of Government to discuss issues of interest to the Caribbean region. Though correspondence with the Members of Congress indicated that a memo of the Closed Door Session would be distributed after the Conference, no such memo was ever drafted or distributed.

The total cost of the Conference approached \$300,000. These costs included direct expenses such as travel, event planner fees, local staffing, and hotel payments, as well as Carib News operating expenses for the purpose of the preparation and implementation of the Conference. The Conference costs were paid using (1) registration fees by the attending guests, (2) contributions from corporate participants, some to Carib News and others to Carib News Foundation, and (3) general funds of Carib News. Each of three sources of funding was pooled together to pay the various expenses.

If you have any questions regarding this information, please do not hesitate to call.

Very truly yours,



Joel Cohen

and



Danielle Alfonzo Waisman

09-2222 0070

1763

Exhibit 7

09-2222 0071

1764

AKIN GUMP
STRAUSS HAUER & FELD LLP

Attorneys at Law

STEVEN R. ROSS
202.887.4343/fax: 202.887.4288
sross@akhgump.com

March 31, 2009

Mr. Leo J. Wise
Staff Director and Chief Counsel
Office of Congressional Ethics
1017 Longworth Building
Washington D.C. 20515

Re: Citigroup, Inc. Production

Dear Leo:

On behalf of our client, Citigroup Inc., we are providing you with two documents in response to your oral request of March 17, 2009. Please find a copy of a solicitation letter to support the 2008 Caribbean Multi-National Business Conference, dated April 4, 2008, from Carib News Foundation to our client. In addition, please find a copy of both the front and back of check number 5006611264, dated June 13, 2008, payable to Carib News Inc. in the amount of \$75,000.00. A search of our client's records has not uncovered any evidence of a receipt being provided for the above-mentioned check. We will produce this document should it be identified.

The documents we are producing today are confidential and proprietary in nature, and have been marked accordingly. We ask that these documents be kept confidential by your Office and your staff. Notwithstanding our request that these documents be kept confidential, due to their sensitivity, we ask that your office or staff provide us with notice and an opportunity to be heard before disclosure of any information from these documents to any third parties.

In addition, we are providing you with an original signed document of Michael Flanigan dated March 23, 2009, acknowledging the False Statements Act.

Please let me know if you have any questions.

Sincerely,

Steven R. Ross

09-2222 0072

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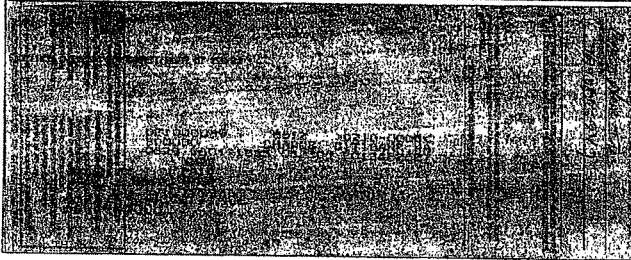
* Privacy CitiGroup.com

Citi Check Image Delivery

- Print
- Close Window
- Check Image Inquiry Results

Account #	Check #	Amount	Paid Date
0000038853877	5006611264	\$75,000.00	06/24/2008

 <small>CITIGROUP BUSINESS SERVICES PRIME SERVICES CITICORP CENTER DR. 6-3-1 JERSEY CITY, NJ 07310 CUSTOMER SERVICE (818) 961-1234</small>	62-20	No. 6003341264
	311	Date: 13-JUN-2008
PAY TO THE ORDER OF CARIB WMS INC 5160171835 07 052308 CHARGE 7 WEST 10TH STREET 8TH FLOOR NEW YORK NY 10018		Vendor No. 206613
SEVENTY-FIVE THOUSAND AND 00/100 DOLLARS		AMOUNT 75,000.00 <small>NOT VALID AFTER 100 DAYS</small>
<small>CITIBANK DELAWARE, A SUBSIDIARY OF CITIGROUP ONE PENNSYLVANIA SQUARE, DE 19103</small>		<i>Audiee Howard</i>
MICR LINE: @ 5006611264 @ 0311002094 38853877 @ 0007500000 @		



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Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

April 04, 2008

Mr. Eric Eve
Senior Vice President
Community Relations
Global Consumer Group
Citigroup Inc.
850 Third Avenue, 12th floor
New York, NY 10022

Dear Mr. Eve: *Eric*

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of *CITI* went a long way to make the Conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate your encouragement, your personal participation, and the very stature your presence brings to the Conference. We do get concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of yours and *CITI'S* participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the *CITI* local, regional and global connections, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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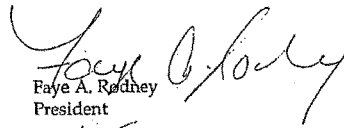
- 2 -

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

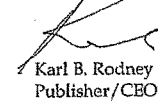
Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

09-2222 0075



OPENING THE REGION
INVESTMENT & BUSINESS OPPORTUNITIES

BUILDING THE GLOBAL NETWORK
GOVERNMENT LEADERS - ELECTED OFFICIALS
IMPACTING ECONOMIC POLICIES

PARTNERSHIPS
CORPORATE EXECUTIVES - SEEKING
EXPANSION & GROWTH



**DOING BUSINESS
TOGETHER**



“IMPACTFUL”

EXPERIENCE THE POWER OF THE



**THIRTEENTH
ANNUAL
CARIBBEAN
MULTI-NATIONAL
BUSINESS
CONFERENCE**

**ST. MAARTEN
NOVEMBER 6 - 9, 2008**

BUILDING KEY CONNECTIONS FOR GROWTH



THE MISSION

- ◆ To bring together businesses, community, and government leaders interested in understanding the economic opportunities in the Caribbean marketplace locally and in the Caribbean.
- ◆ To make a global community among Diverse Business entities and The Caribbean.
- ◆ To Link the emerging markets of the U.S and the Developing Economics of the Caribbean and Latin America.



THE OPPORTUNITY

- ♦ **Marketing:** Understanding the market in the US and the Caribbean.
- ♦ **Business development:** Meeting potential customers and potential partners.
- ♦ **Strategic analysis:** Understanding the possibilities of emerging markets in the US and the Caribbean.
- ♦ **Corporate image:** Demonstrating your commitment to the Caribbean Community, and the program of diversity.
- ♦ **Community relations:** Establishing lasting relationships with key community leaders.
- ♦ **Government relations:** Meeting with and discussing topics of interest with significant elected officials from the US & the Caribbean.




1771



3

09-2222 0079

STRATEGIC PARTNERSHIPS

- ◆ Corporate
 - ◆ Government
 - ◆ Economic
 - ◆ Civic
 - ◆ Entrepreneurs
- 
- ◆ Products/Services Showcase/Public affairs
 - ◆ Developing new business opportunities
 - ◆ Fostering business partnerships
 - ◆ Building Global Communities
 - ◆ Creating Global Alliances to expand customer base



THE FACTS

- ◆ 31.9 billion US dollars in foreign investment Came into the Caribbean, up 36 percent from 1996.
- ◆ Tourism is the key economic foundation in the Caribbean. Approximately 11 million cruise passengers a year visit the Caribbean, providing Import/Export Opportunities.
- ◆ The Caribbean, in aggregate, is the 10th largest export market for the U.S, surpassing France and other countries, and providing opportunities for marketplace products.
- ◆ The \$15 billion market of the Caribbean American community and overseas



THE LEGACY

- * Jamaica - 1996
- * Barbados - 1997
- * St. Lucia - 1998
- * St. Croix/USVI - 1999
- * Jamaica - 2000
- * Grenada - 2001
- * St. Maarten - 2002
- * The Bahamas-2003
- * St. Kitts-Nevis-2004
- * ST. Thomas, USVI - 2005
- * Panama city, panama - 2006
- * Antigua & Barbuda - 2007

- * Building Consumers Awareness
- * Developing Working Alliances
- * Reaching Defined Target Markets
- * Making the Right Connections
- * Expanding Diverse Market Places
- * Successful Joint/Ventures
- * Successful Regional Investments

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THE OVERVIEW

"Some companies have discovered that the Caribbean offers a great deal more than beautiful beaches and sunshine. They have recognized its tactical importance in this era of globalization. We are proud to provide a useful platform for companies that are seeking to sharpen their international competitiveness.

For the past twelve years, the Annual Multinational Business Conference has been creating links between the Caribbean, Central America and the U.S. Usually, during four days of workshops, luncheons and dinners, Caribbean Political Heads of State and Ministers of Government, Members of the Congressional Black Caucus, Local and Regional Politicians, business persons and influential individuals from the U.S. and the Caribbean discuss, interact and network around issues of mutual concern. Relationships are built and business deals are made.

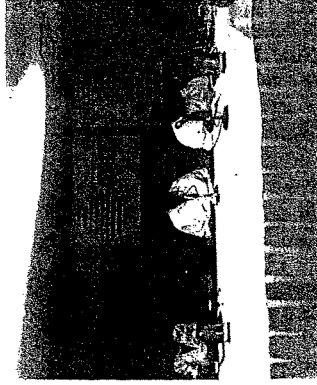
We hope that in 2008 you will join us"



PARTICIPATION

Platinum LEVEL - \$75,000

- ❖ Keynote Speaker at Main Event
- ❖ Sponsor Private VIP Reception and specially arranged forum
- ❖ Special Awards Presentation
- ❖ Display, Exhibition and signage
- ❖ Area of Conference-Reserved for exclusive sponsorship
- ❖ Full page Ad in program
- ❖ Air Transportation for 2 people
- ❖ Accommodations: One Suite and One Standard Room
- ❖ Included in all printed materials
- ❖ Included in Internet promotion
- ❖ Display company logo and link to our website
- ❖ Sponsor of a Gala Event
- ❖ Sponsor and Participate in a workshop
- ❖ Representative listed in program with bio and photo



PARTICIPATION

GOLD LEVEL - \$50,000

- ✦ Sponsor a Workshop
- ✦ Sponsor a Gala Event
- ✦ Display, Exhibition and signage
- ✦ Included in all Printed Material
- ✦ Included in all Internet Promotion
- ✦ Display of Company Logo and Link to Our Website
- ✦ Full Page Ad in Program
- ✦ Representation in the Brochure
- ✦ Air Transportation & room accommodations for 1



1777



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PARTICIPATION

SILVER LEVEL - \$25,000

- ◆ Sponsor & Participate in a Workshop
- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in all printed materials
- ◆ Included in Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Sponsor an event i.e. breakfast, lunch or dinner
- ◆ Full Page ad in program and recap
- ◆ Representatives listed in program with bio & photos
- ◆ Representatives listed in brochure



PARTICIPATION

SUPPORTER

\$15,000

- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in all printed materials
- ◆ Included in Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Full Page ad in program and recap
- ◆ Representatives listed in brochure
- ◆ Representatives listed in program with bio & photo

\$10,000

- ◆ Display and Exhibition
- ◆ Banner in Public areas & at workshop
- ◆ Included in some printed materials
- ◆ Included in some Radio and TV Promotions
- ◆ Included in the internet promotion
- ◆ Full Page ad in program and 1/2 page in recap
- ◆ Representatives listed in program

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09-2222 0087

Government Participation

"This Conference is keeping us informed. There would never have been a banana problem had we (CBC) known about the issue before it went to the WTO."
Congressman Charles Rangel



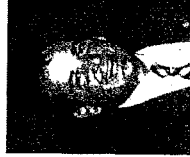
"The conference is central to the promotion of our mutual interests in the U.S. in general, New York City in particular, and the Caribbean. It is essential for all of us to work together to spur economic growth and social expansion at home and in our neighborhoods."
William Thompson, Comptroller, New York City



"The Conference in Antigua with Members of the U.S. Congress was very important and extremely vital to both the U.S. and Caribbean interests. It highlighted the need for the Caribbean to pay greater attention to the role of Congress in the formulation of U.S. policy."
Hon. Baldwin Spencer, Prime Minister of Antigua



"The results of the Conference went far beyond our expectations. The process of bringing families together, of sharing a vision for our countries, couldn't have been scripted. The sessions brought out ideas, options and other benefits which should be long lasting."
Juan Carlos Navarro, Mayor, Panama City, Republic of Panama



CORPORATE PARTICIPANTS



Eric Eze - SVP Community Relations, CHIGROUP. "The time is ripe for Caribbean nations to come together and get on "the road," to articulate the strength of their economies and stability of their business climates. The Conference is a great place to start to open clear lines of communication between the private and public sectors of the Caribbean and the U.S."



Marilyn Johnson, VP Market Development, IBM Corporation - "IBM and its partner ENI Enterprise Network, through its presentation at the Conference, is taking the audience up through levels of technology, understanding the application of E-commerce. This conference makes a difference in the lives of the attendees."



Karen Boykin-Towns, Senior Director of Public Affairs - "The conference provides an ideal opportunity for Pfizer - it demonstrates its commitment to health forums internationally, but also to network with key decision makers. Collaboration is necessary between the Caribbean to make improvements and adjustments to healthcare and its delivery."



Edward J. Goldberg, SVP Government & Consumer Affairs, MACYS - "We have whole files of opportunities to build business partnerships in the current corporate environment. The conference is conducive to exploring new areas of business, and we have taken advantage of this to both the region and MACY's benefit."



Marie Long, VP Constituency Relations, AT&T Foundation - "As many of you know, AT&T has changed in the last 5 years. Its growth, dynamism and change have created what some of us call the new AT&T. The real and driving force behind our success as a corporate entity is the culture of inclusion and collaboration. We recognize the great work being done at the conference to improve business and personal lives, exchange ideas, and assist alternative strategies that could lead to technology transfer and to growth."



Javier Erazus, FVP Human Resources, HSBC Bank - "We provide financial services to a vast array of businesses and individuals, and this gives us a unique perspective on different cultures and ways of doing business. We want to engage our partners in mutually beneficial relationships within our communities and that is why we seize the opportunity to link with CARIB NEWS and the Conference. It is a tremendous value to us."

UNIQUE OPPORTUNITIES



Ambassador Audrey Young

"If only the sons and daughters of Africa will remember from whence they came, then our roots should be a constant reminder of who we are and where our countries can go with our input. This is why the Carib News Conference is so important and that is why I support it."



Hon. Percy Sutton

"We badly needed a forum to address the need of us working together. This conference is an excellent idea and we should have been doing this long ago."



Hazel N. Dukes, President, New York State NAACP

"The conferences, presenters and participants have been extraordinary. I have gained tremendous knowledge on the Caribbean Diaspora. I continue to promote and foster relationships with communities here and at home."



Larry Bedajonte, Entertainer

"The Conference is like a table set with a feast of great ideas provided by some of the best minds. The table is set - it is for us to partake."



David Dinkins, Former Mayor of New York City

"Education is the very key for the development of our people. I have always held that position; that is why I am so pleased to participate in the Education Forum at the Conference. There is an eagerness on the part of the audience to know more about our system. The collaborative effort of CARIB NEWS and the Conference wins my support."



Roy Hastick, President/CEO Caribbean American Chamber of Commerce

"The work of the Conference is legendary in the Caribbean and the U.S. Where else do you find this level of leadership from all sectors coming together to advance doing business. It is the most effective way of building a Global Network."



Susan Taylor, Editor Emeritus, Essence Magazine

"It is always so wonderful to experience the drive, enthusiasm and determination of the Conference participants. It says something wonderful is happening and we all need to tap into it. It provides such outstanding opportunities to connect with real people who can make things happen."

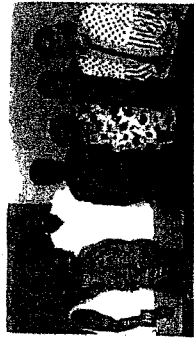


Lloyd Williams, President/CEO Greater Harlem Chamber of Commerce

"I have always advocated cross-border business opportunities for the Chamber members, and that is why for the entire twelve years of the conference, the Chamber has made it a priority event. Our members have reaped the benefits and have also made great contributions."



INVEST IN SUCCESS



**A WEEK-END THAT CHANGES
LIVES**

1783

'EXPERIENCE THE POWER'



15

09-2222 0091

1784

Exhibit 8

09-2222 0092

1785

Board
David Skaggs, Chair *Porter Goss, Co-Chair*
Yvonne Burke *Jay Eagen*
Karan English *William Frenzel*
Allison Hayward *Abner Mikva*



Leo J. Wise, Staff Director & Chief Counsel
1017 Longworth House Office Building
(202) 225-9739
(202) 226-0997 fax
email address: oce@mail.house.gov
website address: oce.house.gov

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES
WASHINGTON, DC 20515

Mr. Frank Weber
Internal Revenue Service
Freedom of Information Reading Room
1111 Constitution Avenue, NW
Washington, DC 20224

March 31, 2009

Dear Mr. Weber,

Thank you for talking with me this afternoon and for offering to help me with this request. I need to know if Carib News Charities filed a 990-PF for tax years 2006, 2007, and 2008 (I have included tax year 2008 in the event that the foundation filed before the filing deadline). I understand that the organization is not required to file if it did not receive donations in excess of \$25,000. If the foundation has filed a 990-PF for 2006, 2007, or 2008, we would like to have a copy of the tax form(s). In the event that it takes several days, weeks, or months to receive the requested copies, it would be helpful to have an answer to the question whether the organization has filed and for what year(s) tax form(s) exist.

It is our understanding that the organization also does business as Carib News Foundation; however, Carib News Foundation is not found in Publication 78.

My telephone number is 202-225-9739 and my email is Elizabeth.horton@mail.house.gov. Thank you again for your help with this matter.

Sincerely,

A handwritten signature in cursive script that reads "Elizabeth Horton".

Elizabeth Horton

Form **4506-A** Request for Public Inspection or Copy of Exempt or Political Organization IRS Form
 (Rev. January 2009)
 Department of the Treasury Internal Revenue Service
 OMB No. 1545-0485
 ▶ Type or print clearly. Request may be rejected if the form is incomplete or illegible.

TIP You may not have to complete Form 4506-A to get the copies you need.

- Internet. Form 8871, Political Organization Notice of Section 527 Status, and Form 8872, Political Organization Report of Contributions and Expenditures, are available for inspection and printing from the Internet. The website address for both forms is www.irs.gov/poforgs.
- Public disclosure by the organization. Exempt or political organizations must make their returns, reports, notices, and exempt applications available for public inspection. You can visit the organization to inspect the material instead of requesting it from the IRS. The organization may be able to mail the copies to you.

1 Exempt or political organization. If a multiple request, please attach list of names, forms, and tax years.

Name CARIB NEWS CHARITIES	Employer identification number (if known) 13 3632050
Address 7 WEST 36th STREET, FLOOR 8	
City or town, state, and ZIP code NEW YORK, NY 10018	

2 Requester

Name OFFICE OF CONGRESSIONAL ETHICS	Contact person ELIZABETH HORTON
Address 1017 LONGWORTH BUILDING	Phone (202) 225-9739
City or town, state, and ZIP code WASHINGTON, DC 20515	Date 3/31/09

3 Category of requester: Commercial user Non-commercial scientific institution
 You must check a box. Educational institution Media All others

4 Reason for request. All requesters except for commercial users must provide an explanation of how the records will be used to avoid being charged the commercial rate. Attach additional sheets if necessary.
TO CONFIRM WHETHER FOUNDATION RECEIVED FUNDING IN TAX YEARS 2006, 2007, 2008

Lines 5-9. For each applicable form, check the box(es) for the item(s) you are requesting. You may request more than one form. For each form requested, check either the copy, inspection, or CD/DVD box and enter the specific tax year(s), as indicated. If ordering a partial set on CD/DVD, indicate the format, state(s), and month(s) requested.

5 Form 990, Form 990-EZ CD/DVD Format: Alchemy Raw
 Copy Inspection
 Tax year(s) requested: _____ State(s): _____
 Months: _____

6 Form 990-PF CD/DVD Format: Alchemy Raw
 Copy Inspection
 Tax year(s) requested: **2006, 2007, 2008 (if filed)** State(s): _____
 Months: _____

7 Form 990-T (501(c)(3) organizations filed after 8/17/2006) CD/DVD Format: Alchemy Raw
 Copy Inspection
 Tax year(s) requested: _____ State(s): _____
 Months: _____

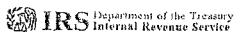
8 Form 5227 Copy Inspection
 Tax year(s) requested: _____

9 Form 1023, 1024 or Determination Letter Copy Inspection
 Tax year(s) requested: _____

IRS Use Only

The form requested above was inspected by (name of requester)	IRS office where inspection was made
Signature of employee present at inspection	Date

1787



OGDEN UT 84201-0058

OFFICE OF CONGRESSIONAL ETHICS
ATTN: ELIZABETH HORTON
1017 LONGWORTH BUILDING
WASHINGTON DC 20515

CUT OUT AND RETURN THE VOUCHER AT THE BOTTOM OF THIS PAGE IF YOU ARE MAKING A PAYMENT,
EVEN IF YOU ALSO HAVE AN INQUIRY.

J

The IRS address must appear in the window:

Use for payments

B0DCD-TE

0412606945

Letter Number: LTR3983C

Letter Date : 2009-04-17

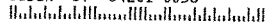
Tax Period : 000000



133632050

INTERNAL REVENUE SERVICE

OGDEN UT 84201-0058



OFFICE OF CONGRESSIONAL ETHICS

ATTN: ELIZABETH HORTON

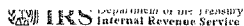
1017 LONGWORTH BUILDING

WASHINGTON DC 20515

133632050 RV CARI 00 2 000000 670 0000000000

09-2222 0095

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OGDEN UT 84201-0058

In reply refer to: 0412606945
Apr. 17, 2009 LTR 3983C E1
13-3632050 000000 00 000
00027842
BODC: TE

OFFICE OF CONGRESSIONAL ETHICS
ATTN: ELIZABETH HORTON
1017 LONGWORTH BUILDING
WASHINGTON DC 20515

Taxpayer Identification Number: 13-3632050
Organization: Carib News Charities, Inc.

Form(s): 990PF

Tax Period(s): May 31, 2006 May 31, 2007
May 31, 2008

Dear Requester:

We received your Mar. 31, 2009, request for a copy of the Form(s) 990PF relating to the organization(s) identified above. Enclosed is a copy of the form(s) you requested. We are unable to provide a copy of the form(s) requested for the following tax period(s):

May 31, 2006

We regret that we can not provide a photocopy of return(s) for the organization(s) and year(s) you requested. There are a variety of reasons why these returns are not available, for example:

- the organization may not be required to file the requested return because its gross receipts are \$25,000 or less.
- the organization may be included in a group ruling and the parent may have filed a group return.
- the organization may have received an extension of time to file.
- processing of the organization's return may not be complete.
- return(s) are destroyed after a certain number of tax years.
- the organization may not be required to file.

If you have any questions, please write us at the address shown at the top of the first page of this letter. Or, you may call us toll free at 1-877-829-5500.

Whenever you write, please include this letter and, in the spaces below, give us your telephone number with the hours we can reach you. Please keep a copy of this letter for your records.

Telephone Number () _____ Hours _____

09-2222 0096

THIS IS A COPY OF A LIVE RETURN FROM SMIPS. OFFICIAL USE ONLY.

Form 990-PF Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation

OMB No 1545-0002 2005

For calendar year 2005, or tax year beginning June 1, 2005, and ending May 31, 20 06

G Check all that apply. Initial return Final return Amended return Address change Name change

Use the IRS label. Name of organization: Carib News Charities Inc. Address: 15 West 39th Street, New York, NY 10018. Employer identification number: 13-3632050.

H Check type of organization: Section 501(c)(3) exempt private foundation. I Fair market value of all assets at end of year. J Accounting method: Cash.

Table with 5 columns: (a) Revenue and expenses per books, (b) Net investment income, (c) Adjusted net income, (d) Disbursements for charitable purposes. Rows include Contributions, Interest, Dividends, Rents, Net gain, Other income, Compensation of officers, and Total operating and administrative expenses.

Process as org

SCANNED JAN 15 2008 04:23:00 432 REC 05 2008

RECEIVED IN CORR IRS OSC 645 AUG 27 2007 OGDEN, UTAH

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Part I Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions)			
		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	0	0	0
	2	Savings and temporary cash investments			
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
		b Investments—corporate stock (attach schedule)			
		c Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis			
	Less: accumulated depreciation (attach schedule)				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)				
14	Land, buildings, and equipment basis				
	Less: accumulated depreciation (attach schedule)				
15	Other assets (describe)				
16	Total assets (to be completed by all filers—see page 16 of the instructions. Also, see page 1, item I)	0	0	0	
Liabilities	17	Accounts payable and accrued expenses	0	0	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
23	Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Organizations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see page 17 of the instructions)	0	0		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	0	0		

Part II Analysis of Changes in Net Assets or Fund Balances		09-2222 0098	
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2	Enter amount from Part I, line 27a	2	
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	0

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or loss— (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gain (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (j) over col. (k), if any		
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).
If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions).
If (loss), enter -0- in Part I, line 8. 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the Section 4940(a) tax on net investment income.)

If section 4940(c)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2004			
2003			
2002			
2001			
2000			

2 Total of line 1, column (d) 2

3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years. 3

4 Enter the net value of noncharitable-use assets for 2005 from Part X, line 5. 4

5 Multiply line 4 by line 3. 5

6 Enter 1% of net investment income (1% of Part I, line 27b). 6

7 Add lines 5 and 6. 7

8 Enter qualifying distributions from Part XII, line 4. 8

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If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

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Form 990-PF (2005)

Page 4

Part VIII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4946—see page 18 of the instructions)

Form 990-PF (2005) Part VIII Excise Tax Based on Investment Income. Includes lines 1a through 11 for reporting excise tax, credits, and overpayments.

Part IX Statements Regarding Activities

Form 990-PF (2005) Part IX Statements Regarding Activities. Includes questions 1a through 13 regarding political activities, changes, and substantial contributors.

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Form 990-PF (2005)

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Part VIII Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the organization (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> 1b	X	
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2005? <input type="checkbox"/> 1c	X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(i)(3) or 4942(j)(5)):		
a At the end of tax year 2005, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2005? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 2005, 2006, 2007, 2008		
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.) <input type="checkbox"/> 2b	X	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 2005, 2006, 2007, 2008		
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2005 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4942(c)(7) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2005.) <input type="checkbox"/> 3b	X	
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> 4a	X	
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2005? <input type="checkbox"/> 4b	X	
5a During the year did the organization pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> 5b	X	
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945-5(c).		
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered "Yes" to 6b, also file Form 8870 <input type="checkbox"/> 6b	X	

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Form 990-PF (2005)

Page 6

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 21 of the instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

2 Compensation of five highest-paid employees (other than those included on line 1—see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶

3 Five highest-paid independent contractors for professional services—(see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services. ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1. None	
2.	
3.	
4.	

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Form 990-PF (2005)

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Part IX Summary of Program-Related Investments (see page 22 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments See page 22 of the instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a
b	Average of monthly cash balances	1b
c	Fair market value of all other assets (see page 23 of the instructions)	1c
d	Total (add lines 1a, b, and c)	1d
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2
3	Subtract line 2 from line 1d	3
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5
6	Minimum investment return. Enter 5% of line 5	6

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1
2a	Tax on investment income for 2005 from Part VI, line 5	2a
2b	Income tax for 2005. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c
3	Distributable amount before adjustments. Subtract line 2c from line 1	3
4	Recoveries of amounts treated as qualifying distributions	4
5	Add lines 3 and 4	5
6	Deduction from distributable amount (see page 24 of the instructions)	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7

Part XIII Qualifying Distributions (see page 24 of the instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a
b	Program-related investments—total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part III Undistributed Income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2004	(c) 2004	(d) 2005
1 Distributable amount for 2005 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2004:				
a Enter amount for 2004 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2005:				
a From 2000				
b From 2001				
c From 2002				
d From 2003				
e From 2004				
f Total of lines 3a through e				
4 Qualifying distributions for 2005 from Part XII, line 4: ▶ \$ _____				
a Applied to 2004, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 25 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 25 of the instructions)				
d Applied to 2005 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2005 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 25 of the instructions				
e Undistributed income for 2004. Subtract line 4a from line 2a. Taxable amount—see page 25 of the instructions				
f Undistributed income for 2005. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2006				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)				
8 Excess distributions carryover from 2000 not applied on line 5 or line 7 (see page 25 of the instructions)				
9 Excess distributions carryover to 2005. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2001				
b Excess from 2002				
c Excess from 2003				
d Excess from 2004				
e Excess from 2005				

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Part XIV Private Operating Foundations (see page 26 of the instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2005, enter the date of the ruling

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Prior 3 years				(e) Total
	(a) 2005	(b) 2004	(c) 2003	(d) 2002	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter % of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

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Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 26 of the instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

09-2222 0105

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Form 990-PF (2005)

Page 10

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i>				
Total				▶ 3a
<i>b Approved for future payment</i>				
Total				▶ 3b

100266972

09-2222 0106

Form 990-PF (2005)

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Form 990-PF (2005) Page 12

Part VII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting organization to a noncharitable exempt organization of:			
(1) Cash		1a(1)	
(2) Other assets		1a(2)	
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization		1b(1)	
(2) Purchases of assets from a noncharitable exempt organization		1b(2)	
(3) Rental of facilities, equipment, or other assets		1b(3)	
(4) Reimbursement arrangements		1b(4)	
(5) Loans or loan guarantees		1b(5)	
(6) Performance of services or membership or fundraising solicitations		1b(6)	
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees		1c	
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

100266972

2a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3) or in section 527)? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.				
	<i>[Signature]</i>	1/21/07	Charman		
	Signature of preparer or trustee	Date	Title		
	Preparer's signature	Date	Check if self-employed <input type="checkbox"/>	Preparer's SSN or PTIN (See Signature on page 28 of the instructions)	
Firm's name (if you are self-employed), address, and ZIP code					
RAB Accounting Services 545 Neptune Ave 19B, Bklyn NY 11224 EIN 11-3998966					
Form 990-PF (2005)					

09-2222 0108

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Search for Charities, Online Version of Publication 78 Search Results

Organization

Name Includes

Carib Charities

At least one of the words

All of the words

Location

City

State All...

Country USA

Deductibility Code

Deductibility Code All...

[Begin New Search](#)

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Show 25

[« Prev | 1-1 | Next »](#)

Name ▲

Carib News Charities Inc.

City

New York

State

NY

Country

USA

Code

▲

[« Prev | 1-1 | Next »](#)



Deductibility Code

Publication 78 uses a coding system to identify each organization listed by type and limitation on deductibility of charitable contributions to the organization. The code(s) displayed depend(s) on the code for which the organization qualifies. In cases where an organization has multiple deductibility codes, only the definition for each applicable deductibility code is displayed.

Code	Meaning
4	A private foundation, generally with a 30% deductibility limitation.

Close

1803

Exhibit 9

09-2222 0109

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative of IBM, Vice President Market Development, IBM
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: May 8, 2009
Location: IBM Offices, Washington, D.C.
Participants: Leo Wise
Beth Horton
Lisa Palluconi, Counsel for Government Programs for IBM
Adrienne G. Rhone, Director Governmental Programs

Summary: The Representative of IBM is the Vice President of Market Development for IBM. She was interviewed pursuant to Reviews 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. The Representative of IBM helped arrange IBM's participation at the 13th Annual Caribbean Multinational Business Conference in 2008; she also participated at the event. We requested an interview with the Representative of IBM and she consented to an interview. The Representative of IBM made the following statements in response to our questioning:

1. The Representative of IBM was given an 18 U.S.C. § 1001 warning and she signed a written acknowledgement of the warning.
2. She attended the 13th Annual Caribbean Multinational Business Conference in 2008.
3. She attended the conference to extend IBM to areas that were not currently being served. She focuses on the Caribbean because of her division at IBM which is women and minorities. The Caribbean is an underdeveloped business unit for IBM.

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4. She first attended the conference in 2004, as a participant, to see if the conference would be a good fit for IBM to participate at as a sponsor.
5. IBM was an entry level sponsor in '05.
6. She dealt with Faye Rodney on conference matters.
7. After her attendance in '05, she received a thank you letter signed by the Rodneys that asked IBM to be a sponsor at the '06 conference.
8. IBM participated at the '05, '06, '07 and '08 conference.
9. The Rodneys continued their prior practice and sent a thank you letter with a request for sponsorship after the '07 conference for IBM to participate at the '08 conference.
10. The letter was sent to the Operations Executive and the decision to participate was decided as a team. Colin Ward, with ENI Systems, is one of IBM's business partners who also went to the conference.
11. The letter is the first communication regarding the conference.
12. After the letter is received, the team manager negotiates participation at the conference. Negotiations included timing of sessions (day/time). She requested Friday, right before or right after lunch, for the IBM session. It was important to have attendance by individuals interested in technology.
13. She also agreed to moderate the women's breakfast sponsored by Macy's.
14. The team manager would have worked with the Rodneys regarding the break-out sessions.
15. IBM controlled its own content for the session(s).
16. The first year IBM sponsored the conference, the sponsorship level was \$10,000. IBM sponsored the conference the following year at \$15,000, and in '08 the sponsorship went up to \$20,000 after IBM received a request from Faye Rodney for an increase. The Representative of IBM initially counter-offered \$18,000 but agreed to the \$20,000 at the urging of Ms. Rodney.
17. IBM has a methodical way of approving conference sponsorships. The letter from the Rodneys was received in July.

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

18. She approved the check for the purchase order and released the check. Carib would have received the check in August or September.
19. After the check is released, she and the business partner collaborate on the content of session.
20. She and the business partner attended the conference.
21. She has only seen the Rodneys at the conference. She has been invited to other events but has not accepted the invitations.
22. She received a call from Mr. Rodney about the article on the conference.
23. She had no knowledge of the Foundation until this matter.
24. She understood Carib News to be the sponsor.
25. The \$20,000 level of sponsorship is low for IBM in general. However, it is worth the presence and branding for small the small business unit practice.
26. She thought IBM's sponsorship was of the conference, she did not know the Foundation existed until this issue arose. Her department does not give to non-profit organizations, only to businesses.
27. The only parameters placed on the money for the conference was with respect to time slots and length of the sessions.
28. She tells her team her preferences and the team works out the specifics with Carib.
29. She does not recall seeing a specific document that listed the various levels of sponsorship.
30. Carib News provides the framework for the conference. Then she typically tells Carib what she wants and what they will pay. She was aware from the signage that other companies were larger sponsors.
31. She arrived at the conference on Thursday and attended the opening reception. She attended a reception on Friday that was hosted by Citi and a breakout session.
32. She noticed names of entities on the agenda from the web that she knew were not at the conference.

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33. She noted that the agenda also listed her breakout session on Saturday which was inaccurate. Her session was on Friday, so she knew the agenda could not have been the final agenda.
34. She seemed to recall seeing the agenda that was submitted to Standards. She noted that the 12:00pm session was accurate, but that she did not believe that the breakout listed on Saturday was accurate.
35. She attended the Macy's breakfast on Saturday morning because it is a popular event. She noted who was on the breakfast panel and who was not (names were listed on agenda). Michelle Patterson did not speak, Burnice Johnson was not on the panel and she was not sure if she even attended the conference.
36. She saw several of the Members who attended the conference. She was on the same flight as Representative Payne. She spoke to Representative Rangel at the reception. Representative Kilpatrick was on a panel with her. And Representative Thompson road to an off-sight event with her. She was sure that she spoke to Delegate Christensen. And she spoke to Sheila Jackson Lee at the awards night.
37. The off-sight event was held at a separate hotel, it included local talent and a comedienne.
38. She stated that Members did not attend the breakout sessions.
39. She had a conversation with Rep. Thompson regarding the election.
40. She left the conference on Sunday morning by 7:00am.
41. She spoke with her team after the article regarding the conference came out.
42. She also called Mr. Rodney after she saw the article; she stated that he told her that there was an investigation and assured her that he had done all that he was supposed to do and that he wanted to keep her as a sponsor.
43. She understood sponsor to be financial sponsor of the conference. She assumed all of the companies whose logos appeared on the banner were sponsors. She assumed Carib News organized the conference.
44. She was not aware of how funding for the conference was handled. She assumed the payment from IBM went to pay for her breakout session and collateral expenses for the session.

1808

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45. Her registration fee was part of the sponsorship. She paid for her room and all travel costs.
46. There were less than 300 people at the conference.
47. When she registered for the conference, she received a badge that allowed access to buffets during the meetings.
48. The registration fee for the conference in '05 was \$750.00.
49. She was not aware of the '08 registration fee.

Beth Horton
Investigative Counsel

1809

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name: _____

Signature: _____

Date: _____

5/8/08

For Office Use: _____

Review(s) No. _____

09-2222 0115

1810

Exhibit 10

09-2222 0116

1811

Susan A. Sullivan
Verizon Foundation
Director - Strategic Planning & Partnerships



Verizon Foundation
One Verizon Way
Bldg 6, 3rd Flr South
Basking Ridge, NJ 07920

November 25, 2008

Mr. Karl B. Rodney
Chairman & CEO
Carib News Charities, Inc.
7 West 36th Street, 8th Floor
New York, NY 10018

Dear Mr. Rodney:

On behalf of the Verizon Foundation, it is a pleasure to present the enclosed check for \$35,000.00 to Carib News Charities, Inc. in support of the 13th Annual Carib News Multi-National Business Conference - November 6-9, 2008.

Under the Omnibus Budget Reconciliation Act of 1993, Verizon Communications must receive a written receipt from all organizations to which it makes a charitable contribution. Therefore, please complete and return the enclosed form acknowledging this gift within two weeks of receipt.

Verizon Foundation is delighted to support Carib News Charities, Inc. and we wish to thank you and the organization for your dedication and contribution to our communities.

Please recognize our contribution as coming from "Verizon Communications."

Sincerely,

A handwritten signature in cursive script that reads "Susan Sullivan".

SS/ah

Enclosures

cc: Patrick Gaston
Albert Browne

09-2222 0117

VZ-OCE 0001

1812

INVOICE NUMBER	INVOICE DATE	DESCRIPTION	GROSS	DISCOUNT	NET AMOUNT
00394421-00003054	11/17/2008	005-13TH ANNUAL MULTI-NATIONAL	35,000.00		35,000.00
Check No.	Check Date	Vendor No.	Handling Code	Total	
0002756	11/21/2008	0000011038	FN	\$35,000.00	0.00
				Questions? Call	916/357-3270
					BU APCRP

PAGE 1 of 1

FOLD ALONG PERFORATED DOTTED LINE & REMOVE CHECK

THIS DOCUMENT CONTAINS MULTIPLE FRAUD DETERRENT SECURITY FEATURES - SEE REVERSE

verizon
P.O. Box 2200
Folsom, CA 95763-2200

Nachovia Bank, National Association
Chapel Hill, NC 27514

Date 11/21/2008

0002756

68-155
531

Thirty five thousand and 00/100 Dollars

PAY CARIB NEWS CHARITIES, INC.
7 WEST 36TH STREET, 8TH FLR.
NEW YORK, New York 10018

\$ 35,000.00

Catherine J. White

VOID SIX MONTHS AFTER ISSUE DATE

®0002756® :053101561:2079900572609®

SEE REVERSE SIDE FOR OPENING INSTRUCTIONS

verizon
P.O. Box 2200
Folsom, CA 95763-2200

PRESORTED
FIRST-CLASS MAIL
U.S. POSTAGE PAID
SACRAMENTO, CA
PERMIT NO. 98

CARIB NEWS CHARITIES, INC.
7 WEST 36TH STREET, 8TH FLR.
NEW YORK, New York 10018

09-2222 0118

VZ-OCE 0002

1813

Acknowledgment of Charitable Contribution

IMPORTANT: Please acknowledge the enclosed charitable contribution by completing and returning this form. Donors cannot deduct contributions unless they are acknowledged in this manner and a failure to return this form in a timely manner may make you ineligible for future contributions by Verizon Foundation.

1. I hereby acknowledge the Verizon Foundation 2008 contribution of:

\$35,000.00

for 13th Annual Multi-National Business Conference - November 6-9, 2008.

(or describe property or services other than money received)

Received on (date):

Date of check: 11/21/08

2. Please indicate whether or not any goods or services were provided by your organization in consideration for this contribution (e.g., the value of food, beverage, ticket or entertainment at a fundraising event):
 Yes No

If YES, please describe what was provided and give a good faith estimate of the value to the goods or services, understanding that the amount of the contribution that is deductible as a charitable contribution is limited to the excess of money and the value of property contributed over the value of the goods and services provided by the charity.

Description:

Est. Value: \$

3. The information above is provided for the purpose of complying with IRS reporting requirements and is accurate to the best of my knowledge. I understand that penalties may be imposed by the IRS on charities that fail to make the required disclosure or for making a disclosure that is inaccurate or incomplete.

Name:

Title:

Organization: Carib News Charities, Inc.

Organization Tax I.D.#: 133632050vz

Signature:

Date:

Please return to:

Anita Coleman-Hunt
Verizon Foundation One Verizon Way, VC63S427
Basking Ridge, NJ 07920

Telephone: 908-559-3516

Fax: 908-630-2660

09-2222 0119

VZ-OCE 0003

1814

Acknowledgment of Charitable Contribution

IMPORTANT: Please acknowledge the enclosed charitable contribution by completing and returning this form. Donors cannot deduct contributions unless they are acknowledged in this manner and a failure to return this form in a timely manner may make you ineligible for future contributions by Verizon Foundation.

1. I hereby acknowledge the Verizon Foundation 2008 contribution of:
\$35,000.00
for 13th Annual Multi-National Business Conference - November 6-9, 2008.

(or describe property or services other than money received)

Received on (date): 11/26/08 Date of check: 11/21/08

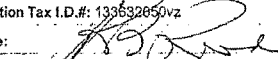
2. Please indicate whether or not any goods or services were provided by your organization in consideration for this contribution (e.g., the value of food, beverage, ticket or entertainment at a fundraising event):
 Yes No

If YES, please describe what was provided and give a good faith estimate of the value to the goods or services, understanding that the amount of the contribution that is deductible as a charitable contribution is limited to the excess of money and the value of property contributed over the value of the goods and services provided by the charity.

Description: Registration + Conference Meal Plan Est. Value: \$ 1300.00

3. The information above is provided for the purpose of complying with IRS reporting requirements and is accurate to the best of my knowledge. I understand that penalties may be imposed by the IRS on charities that fail to make the required disclosure or for making a disclosure that is inaccurate or incomplete.

Name: KARL B. RODNEY
Title: PUBLISHER / CEO
Organization: Carib News Charities, Inc.

Organization Tax I.D.#: 133632650vz
Signature:  Date: 12/3/08

Please return to:
Anita Coleman-Hunt
Verizon Foundation One Verizon Way, VC835427
Basking Ridge, NJ 07920

Telephone: 908-559-3516
Fax: 908-630-2660

09-2222 0120

VZ-OCE 0004

1815

Exhibit 11

09-2222 0121

1816

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 1 of the Verizon Foundation
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: March 23, 2009
Location: Verizon Offices, Washington, DC
Participants: Leo Wise
Omar Ashmawy
Beth Horton
David Kass, Assistant General Counsel Compliance
James C. Wilson, Vice President and Associate General Counsel Compliance

Summary: Representative 1 of the Verizon Foundation is the President and the Strategic Director for Philanthropy of the Verizon Foundation. He was interviewed pursuant to Reviews 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. Representative 1 of the Verizon Foundation participated at the 13th Annual Caribbean Multinational Business Conference in 2008. We requested an interview with Representative 1 of the Verizon Foundation and he consented to an interview. Representative 1 of the Verizon Foundation made the following statements in response to our questioning:

1. Representative 1 of the Verizon Foundation was given an 18 U.S.C. § 1001 warning, signed a written acknowledgement of the warning
2. Representative 1 of the Verizon Foundation is currently the President of the Verizon Foundation ("the Foundation") and Strategic Director for Philanthropy. His duties

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involve budget planning and implementation and governance for the foundation.

Representative 1 of the Verizon Foundation has significant decision-making authority over grants issued by the foundation. He approves all grants in excess of \$25,000 and all grants funded more than two years in a row. He has been with the foundation and acting as President for the past five years.

3. The Foundation is funded through a corpus donated by Verizon and through public donations under Verizon Reads, a program that is offered as part of customer billing statements. The Foundation approves approximately 2,900 grants (totaling 67 million) through a competitive loan application process. The Foundation's online loan application process has specific approval requirements, including a quarterly "impact report."
4. The Foundation funded the Carib News Foundation for the first time in 2008, the grant was issued to provide funding for the 13th Annual Caribbean Multinational Business Conference ("the Conference"). Representative 1 of the Verizon Foundation approved the Carib News Foundation grant. Representative 2 of the Verizon Foundation was the Foundation's contact person for the Conference. Representative 1 of the Verizon Foundation did not interact with Karl Rodney or anyone at Carib News regarding the Foundation's participation at the Conference. Both Representative 1 of the Verizon Foundation and Representative 2 of the Verizon Foundation attended the conference. Mr. Rodney invited Representative 1 of the Verizon Foundation to speak at the Conference and subsequently applied for a grant from the Foundation.
5. Representative 1 of the Verizon Foundation and Mr. Rodney are both members of the board of the Foundation for the University of the West Indies. The two men interacted at board meetings four times per year. Representative 1 of the Verizon Foundation stated that he may also have spoken to Mr. Rodney 1-2 other times prior to the Conference regarding how the Foundation could showcase its Thinkfinity program. He also stated that he may have spoken to Mr. Rodney once after receiving his letter of invitation for the Conference. He does not recall Mr. Rodney "pitching" the conference to him. Representative 1 of the Verizon Foundation stated that the Foundation would not have participated at the Conference unless he could speak on educational issues. Representative 1 of the Verizon Foundation believed the Conference would be a good opportunity to showcase the Foundation's Thinkfinity program.
6. Mr. Rodney was aware of the Foundation's educational work through his interaction with Representative 1 of the Verizon Foundation at board meetings for the Foundation of the University of West Indies. Representative 1 of the Verizon Foundation stated that while

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he had spoken with Mr. Rodney regarding the Thinkfinity program, he did not make any type of informal commitment to fund the Conference.

7. Representative 1 of the Verizon Foundation believed that there were three levels of sponsorship for the Conference, the highest being \$50,000 and the lowest being \$25,000. However, he does not recall seeing any specific document(s) setting out the different levels of sponsorship. He stated that he was not interested in sponsorship at the \$50,000 level but later decided on a \$35,000 grant donation. When asked what the Foundation received at the Conference for the \$35,000, Representative 1 of the Verizon Foundation stated signage and visibility. Representative 1 of the Verizon Foundation stated that the Foundation often provides such support for conferences, including the NAACP conference and National Urban League Conference.
8. Representative 1 of the Verizon Foundation was provided 3 venues at the Conference to speak about the Thinkfinity program. The Foundation believed participation at the Conference would provide exposure to English speaking Caribbean individuals who have connections to universities in New York.
9. The Foundation is required to issue grants only to 501(c)(3) entities. The Foundation could not make a donation to a corporation. Representative 1 of the Verizon Foundation was somewhat familiar with the Carib News paper; he has been featured in the paper and Verizon has advertised in the paper. Representative 1 of the Verizon Foundation was not aware of Carib News Charities until the entity applied for a grant. He believed Carib News Charities was the same entity as Carib News Foundation. He is not aware of any other work the Carib News Charities/Foundation is involved in other than the conference. Mr. Rodney did not talk about the Carib News Charities/Foundation at board meetings.
10. Representative 1 of the Verizon Foundation arrived at the Conference on Thursday afternoon and departed on Saturday morning. Representative 1 of the Verizon Foundation stated that he went to make his speech and promptly left the event. Representative 1 of the Verizon Foundation spoke 3 times on Friday; he was acknowledge at the welcome event on Friday morning and he participated in a demonstration on Thinkfinity in the afternoon. It is not clear from Representative 1 of the Verizon Foundation's remarks what other time he spoke at the Conference. He was invited to speak on Saturday but he did not feel that an extra day was necessary.
11. Representative 1 of the Verizon Foundation recalled seeing and speaking to Congressman Rangel. The Congressman mentioned Alltel and told him to remind his CEO to keep in mind the needs of the minority community. Representative 1 of the Verizon Foundation

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

stated that he had no other contact with Members at the Conference. He did not know Delegate Christenson, Congressman Thompson, Congressman Payne and was not sure whether he saw Congresswoman Kilpatrick (he was not sure who she is). He does recall that one lady stated that she would love to see the Thinkfinity program in her state. Representative 1 of the Verizon Foundation was accompanied by Representative 2 of the Verizon Foundation 90% of the time he was at the Conference; he was not aware of any contact between Representative 2 of the Verizon Foundation and any Members.

12. Representative 1 of the Verizon Foundation received an advance copy of the agenda for the conference. The copy indicated that the Foundation was scheduled for two days of activities. He requested that the Saturday meetings be canceled or moved to Friday. He had not seen the agenda that Mr. Rodney submitted to the Ethics Committee for travel approval. He stated that advance copies of the agenda were common, most organizations provided the same level of detail with other conferences. He believed that he had seen more than one copy of the agenda. The agendas that were sent to him focused on the Foundation's participation and not the entire conference.
13. Representative 1 of the Verizon Foundation was asked if it was typical to have an agenda that listed speakers without identifying their employer/affiliations. He was not sure and didn't remember if the drafts he had seen included his title; he was more concerned with having a sense of what he would be doing at the Conference.
14. Representative 1 of the Verizon Foundation spoke with Mr. Rodney prior to the Conference to cancel the programs he was slated to speak at on Saturday (this was his 3rd call regarding the Conference – 1st call was regarding invitation, 2nd call was to discuss his participation, 3rd was to cancel Saturday programs). Mr. Rodney was receptive to Representative 1 of the Verizon Foundation's request and the Saturday program was cancelled.
15. The Foundation paid all travel expenses for Representative 1 of the Verizon Foundation. He did not see the check the Foundation issued for the Conference or the confirmation letter from Mr. Rodney. Representative 1 of the Verizon Foundation met with Mr. Rodney following the conference at a board meeting for the Foundation for the University of West Indies. He also had breakfast with Mr. Rodney at which time they briefly discussed the Conference. According to Representative 1 of the Verizon Foundation, Mr. Rodney was upset over the New York Post article regarding the Conference. Representative 1 of the Verizon Foundation stated that Mr. Rodney felt the article was misleading and that he had done everything that he was supposed to do

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

regarding receiving approval from the Ethics Committee. Representative 1 of the Verizon Foundation stated that he and Mr. Rodney did not spend much time speaking about the matter. He felt that Mr. Rodney wanted him to know that he was diligent about following rules. When asked if he knew what rules Mr. Rodney was speaking about, Representative 1 of the Verizon Foundation stated that he did not know which rules he was referring to.

16. Prior to his work with the Foundation, Representative 1 of the Verizon Foundation was with Government Affairs with Verizon, his former position did not involve lobbying. His position involved Strategic Alliance, he was responsible for working with non-profits across the country. He dealt with policy issues regarding universal service. He did not interact with regulators.

17. Representative 1 of the Verizon Foundation met with Eric Eve with Citi 2-3 weeks prior to our interview, they were both attending a meeting with the head of the NAACP. He did not speak to Mr. Eve about the Conference although they did speak about scrutiny on Citi related to TARP funding. He has not had any contact with the Representative of Citi from Citi.

Beth Horton
Investigative Counsel

1821

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name:  _____

Signature:  _____

Date: 3/23/09 _____

For Office Use:

Review(s) No. _____

1822

Exhibit 12

09-2222 0128

1823

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 2 of the Verizon Foundation
Review #: 09-2567; 09-4403; 09-3698; 09-6333; 09-2222
Date: March 23, 2009
Location: Verizon Offices, Washington, DC
Participants: Leo Wise
Omar Ashmawy
Beth Horton
David Kass, Assistant General Counsel Compliance
James C. Wilson, Vice President and Associate General Counsel Compliance

Summary: Representative 2 of the Verizon Foundation is the National Program Director for the Verizon Foundation and Vice President for Education & Technology for Verizon. He was interviewed pursuant to Reviews 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. Representative 2 of the Verizon Foundation helped arrange the Verizon Foundation's participation at the 13th Annual Caribbean Multinational Business Conference in 2008; he also accompanied Representative 1 of the Verizon Foundation to the event. We requested an interview with Representative 2 of the Verizon Foundation and he consented to an interview. Representative 2 of the Verizon Foundation made the following statements in response to our questioning:

1. Representative 2 of the Verizon Foundation was given an 18 U.S.C. § 1001 warning, signed a written acknowledgement of the warning

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2. Representative 2 of the Verizon Foundation is currently the National Program Director for the Verizon Foundation ("the Foundation") and Vice President for Education & Training for Verizon. He works on educational literacy and technology innovations for the Foundation, 80% of his time is spent on Thinkfinity. He is the only person with an operational role in the program.
3. Representative 2 of the Verizon Foundation helped arrange the Verizon Foundation's participation at 13th Annual Caribbean Multinational Business Conference ("the Conference") in 2008; he also accompanied Representative 1 of the Verizon Foundation to the event.
4. Representative 2 of the Verizon Foundation reviews repeat grant requests for the Foundation. When asked how the Carib News Foundation request came to his desk he stated that it was a result of conversations with Karl Rodney and Representative 1 of the Verizon Foundation. He was not sure which conversation occurred first. Representative 1 of the Verizon Foundation was invited to speak at the Conference and either simultaneously or immediately after Representative 1 of the Verizon Foundation received the invitation, Representative 2 of the Verizon Foundation spoke with Mr. Rodney.
5. Representative 2 of the Verizon Foundation believes that he spoke with Mr. Rodney in person at a meeting for the Foundation for the University of the West Indies. Mr. Rodney inquired about the application for the grant for the Conference. Representative 2 of the Verizon Foundation recalled Mr. Rodney stating that he had spoke with Representative 1 of the Verizon Foundation and that he believed the Conference would be a good fit for the Foundation and would provide a good opportunity to communicate the Foundation's program Thinkfinity.
6. The Verizon Foundation also supports the Foundation for the University of the West Indies. Representative 2 of the Verizon Foundation has interacted with Mr. Rodney regarding the Foundation for the University of the West Indies.
7. Representative 2 of the Verizon Foundation also had previous interactions with Mr. Rodney at dinner and business meetings related the American Fund and to the publication of articles on the Foundation's Thinkfinity program.
8. Representative 2 of the Verizon Foundation will have been with the Foundation 3 years in October.

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9. Representative 2 of the Verizon Foundation believes he began talking with Mr. Rodney about the Conference sometime in the summer. He spoke with Mr. Rodney about the grant application. He described to Mr. Rodney the cyber grant process and explained what needed to be provided in the grant application. The system requires applicants to be 501 (c)(3) entities.
10. The head of Governance and Compliance for Verizon verifies whether applicant is a registered 501(c)(3). A grant request from a non 501 (c)(3) would be removed from the system; the request would not be forwarded to Representative 2 of the Verizon Foundation. The Foundation's grant approval process is formal. Representative 2 of the Verizon Foundation stated that he could show a specific interest in a proposal but could not give any type of commitment to an applicant.
11. Mr. Rodney would be required to provide a deck of the '07 conference, a description of the purpose of the conference and a tentative agenda for the cyber application.
12. Representative 2 of the Verizon Foundation spoke with Mr. Rodney approximately 8-10 weeks prior to the conference at which time they discussed the level of sponsorship the Foundation was interested in funding.
13. Mr. Rodney described 3 levels of sponsorship to Representative 2 of the Verizon Foundation. Representative 2 of the Verizon Foundation stated that this was typical of corporate sponsorships at other conferences.
14. Mr. Rodney offered the Foundation a \$50,000 sponsorship, which was the gold level. Representative 2 of the Verizon Foundation believes there was also a platinum level at \$75,000. He believes that Mr. Rodney showed him a 2007 deck with 3 levels (platinum at \$75,000, gold at \$50,000, and silver at \$25,000) prior to a scheduled interview of Representative 1 of the Verizon Foundation.
15. Representative 2 of the Verizon Foundation explained to Mr. Rodney that the Foundation wished to negotiate a level of funding other than what was being offered. The amount of \$35,000 was decided upon by the Foundation.
16. When asked what the Foundation would receive for its funding, Representative 2 of the Verizon Foundation stated that they would receive signage but that the Foundation was not specifically interested in a "quid pro quo" reciprocation, the Foundation merely wanted to disseminate information about its Thinkfinity program. Representative 2 of the Verizon Foundation stated that the Foundation does not do business in the Caribbean.

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17. Representative 2 of the Verizon Foundation stated that Representative 1 of the Verizon Foundation made an introductory speech but that he was not sure whether the speech was included in the Foundation's sponsorship level; the speech was not pre-set in the 2007 sponsorship deck.
18. Representative 2 of the Verizon Foundation spoke with Mr. Rodney approximately once a week for 6-7 weeks prior to the conference to discuss Verizon's participation/logistics/capacity for web casts at the conference -- contact included phone calls and emails. Contact increased as conference approached.
19. When asked how Verizon decided on \$35,000 sponsorship, Representative 2 of the Verizon Foundation stated that he received a call from the Carib staff regarding the conference. The Foundation was asked to sponsor at the \$50,000 level, a \$35,000 counter-offer was made to Mr. Rodney, which he accepted.
20. Conversations regarding sponsorship levels began about 8-10 weeks prior to the conference with the final dollar figure being determined approximately 2 weeks prior to the conference.
21. Representative 2 of the Verizon Foundation spoke with Patricia with Carib regarding sponsorship, she thought the Foundation was sponsoring at the \$50,000, Representative 2 of the Verizon Foundation explained that the amount was \$35,000. When asked if Karl Rodney was upset with the sponsorship level, Representative 2 of the Verizon Foundation answered that he was not upset.
22. Representative 2 of the Verizon Foundation worked on the conference before Carib submitted application for funding which he stated was not unusual.
23. Representative 2 of the Verizon Foundation stated that he saw early drafts of the agenda prior to the conference, and then saw final draft at conference.
24. An early draft was shared with him in order for him to staff and notify Representative 1 of the Verizon Foundation of his speaking times.
25. He did not recall seeing any affiliation information on the early draft, he believes affiliations might have appeared on final draft. He did ask that any information be included on final draft after reviewing the earlier version.
26. Representative 2 of the Verizon Foundation prepared 2 versions of the Foundation's role at the conference.

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27. The topics for the conference were decided through dialog between himself and Karl Rodney with some prior comments from Representative 1 of the Verizon Foundation. Mr. Rodney was aware of the Thinkfinity program. Representative 2 of the Verizon Foundation suggested web casts.
28. Representative 2 of the Verizon Foundation thought that Representative 1 of the Verizon Foundation and Mr. Rodney framed the Foundation's participation at the conference.
29. Town Hall event was Mr. Rodney's idea.
30. Representative 2 of the Verizon Foundation believes that Mr. Rodney was trying to bring together various corporate interests to create a dialogue about social and economic issues that would benefit both the Caribbean and the U.S.
31. Representative 2 of the Verizon Foundation stated that Carib News Foundation was the only charitable entity that he was aware of, it was the listed entity in the grant application.
32. The question arose as to who sponsored conference, Representative 2 of the Verizon Foundation stated that he was aware that Carib News was a for-profit entity, so he asked who was the sponsor. If the sponsor was Carib News, the Foundation could not participate as a sponsor.
33. Representative 2 of the Verizon Foundation stated that he did not see the check that went to the Conference, it would have been generated and sent through the internal system.
34. Representative 2 of the Verizon Foundation did not recall seeing the name Carib News Charities Inc.
35. Representative 2 of the Verizon Foundation saw an early draft of the grant application but does not recall seeing the final version. He was not responsible for final approval.
36. Kathy Browne, Senior VP for Corporate Responsibility would have approved the grant.
37. Representative 2 of the Verizon Foundation spent 2.5 days at the conference, he arrived on Thursday evening, participated at the conference on Friday and departed on Sunday.
38. Representative 2 of the Verizon Foundation and Representative 1 of the Verizon Foundation participated in the welcome remarks on Friday morning, the plenary session, and in a roundtable meeting with educational ministers.
39. Mr. Rodney arranged these meetings, the webcast did not take place.

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40. Representative 2 of the Verizon Foundation did not attend the conference on Saturday or Sunday. He also left the hotel after the Foundation completed its presentations.
41. When asked about the Town Hall meeting, Representative 2 of the Verizon Foundation stated that Karl Rodney suggested that the Foundation speak about the Thinkfinity program, the opening remarks framework was also suggested by Mr. Rodney, Mr. Eves was to give introductory remarks and then Representative 2 of the Verizon Foundation was to speak.
42. There was a back and forth discussion about the plenary session between Representative 2 of the Verizon Foundation and Mr. Rodney to determine what role the Foundation would play in conjunction with the President of Medgar Evers College. The topic was technology supporting education.
43. There was no discussion regarding scheduling sessions to benefit US companies.
44. Representative 2 of the Verizon Foundation stated that he did not have any contact with Members of Congress other than a possible introductory handshake.
45. Representative 2 of the Verizon Foundation saw Representatives Kilpatrick, Rangel and the former head of the NAACP at the conference, along with other governmental officials from other countries. He also recalled seeing Delegate Christensen and Representative Payne. Representative 2 of the Verizon Foundation did not know Representative Thompson.
46. Representative 2 of the Verizon Foundation interacted with Mr. Rodney after the conference to discuss the American Fund and the University of the West Indies. He did not discuss the conference with Mr. Rodney.
47. Representative 2 of the Verizon Foundation believes that he may have received a thank you letter from Mr. Rodney for participating at the conference.
48. He did not see the contribution-sponsorship letter.
49. Representative 2 of the Verizon Foundation was aware of Mr. Rodney's Foundation, and the fact that it sponsored the conference. He also believed Mr. Rodney's Foundation performed social work for boys and girls club events and that it worked with the American Fund for the University of the West Indies. He was not sure why he felt that Rodney's Foundation was involved with these other events.

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50. Representative 2 of the Verizon Foundation stated that the Foundation did not place any restrictions on the funding it provided to the conference through its grant, the use would have been specified in the grant application/guidelines

Beth Horton
Investigative Counsel

1830

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name: _____

Signature: _____

Date: 3/23/09.

For Office Use:

Review(s) No. _____

09-2222 0136

1831

Exhibit 13

09-2222 0137



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

April 04, 2008

Ms. Marilyn Johnson
VP Marketing Development
IBM Corporation
1133 Westchester Avenue
White Plains, NY 10604

*Copy of [unclear]
copy of [unclear]
copy of [unclear]*

Dear Ms. Johnson:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of IBM went a long way to make the conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate very much the professionalism and enthusiasm you bring to the Conference with your keen participation. The presence and demonstration of real live "IBM Partner" as a result of the conference is a powerful testimony. We do get concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of IBM's participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the IBM local, regional and global connection, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

③

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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EV.L. NICKERLIZB

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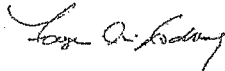
- 2 -

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@mycaribnews.com or (212) 944-1991.


Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

(4)

09-2222 0139

1834

WEB TO US 04:39P W.L. MCKENZIE

7/16/2008

Invoice

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Date	Invoice #
7/16/2008	48122

Bill To
Wanda McKenzie IBM 2607 Jesters Court Marietta, GA 30068

Description	Amount
Sponsorship Multi-National Business Conference 2008 TAX ID 12-362060	20,000.00
Total	
	\$20,000.00

6

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IBM TO US 0455p W.L. WICKENBIE
2009-08-08 10:48 a shipment: Print 10/8/2008

512-286-2461 >> 770 971 8565 Page 1 of 1 P 2/2

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International Business Machines Corporation
 1000 Lakeside Drive, New York, NY 10108-0001

DATE: 2008/10/08

NEW YORK-CARIB NEWS
 7 W 38TH ST 6TH FL
 NEW YORK NY 10018
 UNITED STATES

The sum of \$ 20,000.00**
 Per: *Richard J. Carroll*
 Authorized signature

0000377521# 002130937946018 25847#

7

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10/8/2008

09-2222 0141

1836

Exhibit 14

09-2222 0142

1837

May 15, 2009

MEMORANDUM

VIA FAX TO: 202-226-0997

TO: Omar Ashmawy
FROM: Will Ris
RE: Materials related to Carib News Foundation Conference

At your request, I am faxing you the following documents:

1. The original letter of request for support from Carib News Foundation sent to American Airlines. I assume that they sent the identical letter to other airlines because in paragraph 3, they refer to "Continental" when it should be "American". In any case, they requested a total of 95 tickets for this event. We responded orally and told them the maximum we could provide would be 10.
2. A listing of the 10 tickets that we provided. If you need more detailed records of each individual ticket, I am happy to provide it. But this shows the names and itineraries of all 10 travelers. We provided no other support for the conference except that our group sales office authorized a standard 5 percent discount on tickets for those travelling to the conference - - this is universally available to any group of 10 or more travelling to the same location.

If there is anything else I can help you with, please let me know.

09-2222 0143



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

June 6, 2008

Mr. Will Ris
Senior Vice President
Government Affairs
American Airlines
1101 17th Street, Suite 600
Washington, DC 20036

(10 First)

Dear Mr. Ris:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Since Air Transportation is such a vital issue for the Caribbean Region, it is going to be one of the Agenda items in the travel & Tourism sector. Continental's success is vital to the Caribbean region and the US role in world travel; we would expect significant input into any initiatives discussed.

We expect to have elected officials of the US and the Caribbean, Civic Leaders and Business- persons who can influence policies and encourage worthwhile initiatives to be at the Conference. We would welcome American Airlines' participation.

The Host Committee in the Caribbean Region and the Planning Committee in the US have all agreed to honor you with the Conference's prestigious *Marcus Garvey Bridge Builders Award*. Should you agree to accept, we would ask Congressman Charles Rangel and Congresswoman Eddie Bernice Johnson to make the presentation to you.

We are open to our customary barter of tickets for sponsorship levels, and have enclosed a proposed arrangement guide similar to last year.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. It is our sincere wish that this presentation motivates your sponsorship.

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl E. Rodney
Publisher/CEO

09-2222 0145

We are expecting about 20 Members of Congress or more who will be attending along with other Civic Leaders and VIP's. Again, we will position American Airlines as one of the main carriers to the Caribbean transporting the elected officials and celebrities. To help us move the process along we would like to propose the following, that American Airlines participate as a Gold Sponsor of the conference in consideration for which American Airlines would receive the following:

- American Airlines will be recognized as a main sponsor.
- American Airlines name and logo will be included on all printed material.
- American Airlines will be included in all radio and television promotions.
- American Airlines will be in all Internet promotions.
- American Airlines will be a designated sponsor of a main event and/or a workshop with speaking opportunities.
- American Airlines will receive prominent space for display or exhibition.
- American Airlines banners will be displayed in the main conference area
- American Airlines can develop specific promotional materials.

In return for the above, American Airlines will provide *Carib News* with:

- Maximum of fifty (50) roundtrip coach class tickets from the 48 contiguous United States to Antigua. Reservations to be done by *Carib News* and ticketing to be by American Airlines.
- Maximum of thirty-five (35) first-class roundtrip tickets from the 48 contiguous United States to Antigua.
- Maximum of five (5) coach class tickets and five (5) first class tickets to be used for site inspection and conference planning prior to the conference.
- American Airlines will provide group fares for registered attendees. Fare and reservations & ticketing to be negotiated by American Airlines.

Site Inspection is part of the sponsorship package. We do plan to do site inspections in St. Maarten when we will officially announce the Conference and work out broad issues.

CARIBBEANS SMM CONFERENCE 2008

Organization Name	Building Code	Destination	Title/Party	Event Name	Audience Size	Room	Room Blk	Registration Date	Room No	Meeting	PNR	Traveler Name	
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	Z	NAA	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	388126	16-Jun-09	523150	JFK-SIU-JFK	LABRDI	ROBERTA
GOVERNMENT AFFAIRS	T	EAH	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	412645	28-Aug-09	523151	JFK-SIU-SMU-JFK	EAH	WILLIAMSI
GOVERNMENT AFFAIRS	T	EAH	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	412647	28-Aug-09	523151	JFK-SIU-SMU-JFK	EAH	WILLIAMSI
GOVERNMENT AFFAIRS	T	EAH	PROTEAM	Caribbean SMM Conference 2008	50948	3237	1141	412648	28-Aug-09	523151	JFK-SIU-SMU-JFK	EAH	WILLIAMSI

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Exhibit 15

09-2222 0148

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House ID	Registrant Name	Client Name	Filing Year	Filing Period	Lobbyist Full Name
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2006	Year-End	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2006	Year-End	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2006	Year-End	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2007	Mid-Year	Caine, Christopher
311230000	INTERNATIONAL BUSINESS MACHINES CORPORATION	INTERNATIONAL BUSINESS MACHINES CORPORATION	2007	Year-End	Caine, Christopher

09-2222 0149

311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2007	Mid- Year	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2007	Mid- Year	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	1st Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	2nd Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	3rd Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2008	4th Quarter	Caine, Christopher
311230000	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	(IBM) INTERNATIONAL BUSINESS MACHINES CORPORATION (IBM)	2009	1st Quarter	Edington, PJ
311230000	International Business Machines Corporation (IBM)	International Business Machines Corporation (IBM)	2005	Year- End	Caine, Christopher
401290000	QA Internal Test - New Database IBM	QA Internal Test - New Database IBM	2008	1st Quarter	None
401290000	QA Internal Test - New Database IBM	QA Internal Test - New Database IBM	2008		Last Name 01, First Name 01
401290000	QA Internal Test - New Database IBM	QA Internal Test - New Database IBM	2008	3rd Quarter	None

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 United States House of Representatives
 U.S. Capitol, Room H-154
 Washington D.C., 20515-6601

09-2222 0150

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Client Name	CITI	Search Field	
Search Field		Search Field	

Filing Type to search: Paper Electronic All

Sort

Primary Sort Registrant Name Secondary Sort Client Name

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House ID	Registrant Name	Client Name	Filing Year	Filing Period	Lobbyist Full Name
310520000	CITIGROUP, INC	CITIGROUP, INC	2006	Year-End	Burgeson, Christine
310520000	CITIGROUP, INC	CITIGROUP, INC	2007	Mid-Year	Burgeson, Christine
310520000	CITIGROUP, INC	CITIGROUP, INC	2007	Year-End	Burgeson, Christine
310520000	CITIGROUP, INC	CITIGROUP, INC	2007	Year-End	Burgeson, Christine
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2007	Mid-Year	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2007	Year-End	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	1st Quarter	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	2nd Quarter	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	3rd Quarter	None
355240000	CITIZENS COAL COUNCIL	CITIZENS COAL COUNCIL	2008	4th Quarter	None
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2006	Year-End	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2007	Mid-Year	Snyder, John

09-2222 0151

316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2007	Year-End	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	1st Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	2nd Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	3rd Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2008	4th Quarter	Snyder, John
316720000	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	CITIZENS COMMITTEE FOR THE RIGHT TO KEEP AND BEAR ARMS	2009	1st Quarter	Snyder, John
373770000	CITIZENS FINANCIAL GROUP, INC.	CITIZENS FINANCIAL GROUP, INC.	2007	Mid-Year	Robinson, Ken
373770000	CITIZENS FINANCIAL GROUP, INC.	CITIZENS FINANCIAL GROUP, INC.	2007	Year-End	Robinson, Kenneth

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Client Name	verizon	Search Field	
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House ID	Registrant Name	Client Name	Filing Year	Filing Period	Lobbyist Full Name
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2006	Year-End	Davidson, Peter B.
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2007	Mid-Year	Davidson, Peter B.
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2007	Year-End	Davidson, Peter
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	1st Quarter	Davidson, Peter
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	2nd Quarter	Cantrel, Frank
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	3rd Quarter	Cantrel, Frank
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2008	4th Quarter	Davidson, Peter
315720000	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	VERIZON & ITS AFFILIATES, EXCEPT VERIZON WIRELESS	2009	1st Quarter	Cantrel, Frank
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2006	Year-End	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2007	Mid-Year	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2007	Year-End	Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008	1st	

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			Quarter	
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008	1st Quarter Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008	2nd Quarter Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008	3rd Quarter Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2008	4th Quarter Brittingham, Donald
352050000	VERIZON WIRELESS	VERIZON WIRELESS	2009	1st Quarter Brittingham, Donald
315720000	Verizon & its affiliates, except Verizon Wireless	Verizon & its affiliates, except Verizon Wireless	2005	Year-End Davidson, Peter
315720000	Verizon & its affiliates, except Verizon Wireless	Verizon & its affiliates, except Verizon Wireless	2006	Mid-Year Davidson, Peter
352050000	Verizon Wireless	Verizon Wireless	2005	Year-End Azare, Monica
352050000	Verizon Wireless	Verizon Wireless	2006	Mid-Year Azare, Monica

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Exhibit 16



BASIC REQUIREMENTS

TO HOST

CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE

- Invitation to host conference to be issued by Prime Minister (Head of Government). This is to facilitate the attendance of several elected officials from the United States, United Kingdom and other countries.
- Prime Minister to be keynote speaker at one of the major events of the conference and participate in the closed door session with attending elected officials.
- Local host committee to be organized with all interested ministers, agencies and associations. The chairman of this committee would be someone designated by the Prime Minister to coordinate all the activities.
- To provide the care and comfort for the VIP's attending i.e.
 - To provide 25 all-inclusive rooms for the VIP's and members of Congress attending;
 - To provide appropriate mode of transportation for VIP's and members of Congress - cars or specialized vans - not buses;
- To be a designated sponsor in one of the categories - *Title, Main or Regular Sponsor* (See Attachment)
- To organize a "Host Country Evening" - The Friday evening, the host country will host at an appropriate location an evening of food, refreshment, cultural presentation and entertainment.
- Provide for local entertainment for the various functions -
 - The Opening Ceremonies
 - The Reception
 - The Gala Dinner
- To assist in Promotion and Publicity of the conference both locally and through international offices and official publications.
- Assist in securing competitive hotel rates.
- Equip and staff conference secretariat.
- Prime Minister to issue invitations along with CARIBNEWS to members of the US Congress, other Prime Ministers and Ministers of Government.
- Participate in the Forum with Members of Congress.
- Arrange tours and activities of interest for VIP's and/or their spouse.

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1851

THE NEW YORK
CARIBNEWS
THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
73 WEST 36TH STREET, NEW YORK, NY 10018 (212) 944-1991

August 13, 2008

The Hon. Sarah A. Wescott-Williams
Leader of Government
Government Administration Building
Clam Labege Square, Philipsburg
St. Maarten, N.A.

Hon. Commissioner:

Plans are moving ahead for the Thirteenth Annual Caribbean Multi-national Business Conference, November 6-9, in your beautiful country. We have sent out invitations to several Members of Congress, Prime Ministers, Presidents and Premiers, to attend the Conference. A list of those invitees is attached. Senator Hillary Clinton has expressed an interest and her invitation will be forwarded soon. Also see Congressman Rangel's personal note of acceptance.

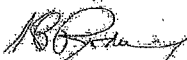
We wish for you now to send out your invitation to these individuals as previously discussed. A copy of past invitation letter is included. We will continue to do all the follow-up as planning and implementation as agreed to.

We are working on the draft of the program and will be sharing it with you and the Host Committee shortly. We would like to schedule our first Conference meeting by telephone with the Host Committee within the next 10 days, to start going over each area of the Basic requirements list, and to develop some time-line and contact person for each area.

We need to know immediately who on the Host Committee will be handling press and publicity, we can start sending Press Releases and Press updates.

We will be sending regular updates.

Kindest Regards



Karl B. Rodney
Publisher/CEO

c.c. Commissioner Roy Marlin
Director of Tourism, Regina La Bega
Marla Chenionf



Taking Our Rightful Place In The Sun

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CN-000040



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-3997; Fax: (212) 944-2089
 E-mail: info@caribnews.com

June 25, 2008

Commissioner Sarah Wescot-Williams
 Leader of Government Business
 Government Administration Building
 Clem Labega Square
 Philipsburg, St. Maarten, NA

Dear Commissioner Wescot-Williams:

Plans are coming in place for the Thirteenth Annual Caribbean Multi-national Business Conference scheduled for November 6-9 at your invitation to the beautiful country of St. Maarten.

We were very involved with the Conference on the Caribbean held in New York last week with some fifteen Heads of CARICOM Governments, which was a success, but took a large part of our time. All attention is now set for St. Maarten.

We have signed the contract with the Maho Resort and we are in conversation with many of our sponsors. Already we have some important names agreeing to come, including Congressman Charles Rangel and Governor of New York, David Paterson. We are mindful of the issues of interest to St. Maarten and so we have issued invitations to several Members of Congress, Heads of Education and Health Institutions. A strong financial sector is expected; already Citibank and HSBC are on board.

There is very strong interest in the conference and all the information about the conference as St. Maarten is now on the website, in print and on radio. The promotion has begun in earnest.

We would now wish to start liaison with the local host committee to coordinate our planning with yours. Could you be kind enough to please identify the committee and the contact person and other information. We would wish that the person would report to you directly or Commissioner Marlin to expedite any matter.

Please find attached ideas for the Theme and some areas of concentration from which we can develop the program. The Host Committee should use the basic requirement as the basis for their planning. We will send a matrix of some time line and arrange for our next planning visit.

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2008 CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE

THEMES

We are suggesting as possible themes, the following:

Please feel free to change, adjust or even new approaches.

CHANGING STRATEGIES TO EMBRACE NEW POSSIBILITIES - THE GLOBAL MARKET

MOVING BEYOND BARRIERS TO A STRATEGY FOR GLOBAL COMPETITIVENESS

CHANGING STRATEGIES, BUILDING ALLIANCES, FACING NEW REALITIES

A REGION IN TRANSITION - STRATEGY FOR CHANGE, ALLIANCE FOR PROGRESS

AREAS OF CONCENTRATION

We are also now shaping the presentations and the workshops and the areas of concentration are some of which were suggested by yourself and Commissioner Martin.

1) **TOURISM**

- Pre clearance
- Unfunded mandate by the US
- Investment in Tourism
- Health tourism
- Regional co-operation

2) **HEALTH**

- St. Maarten, ideal location for Regional Health Center
- Delivery of health care
- Lifestyle diseases - diabetes, hypertension, obesity
- Health Investment
- Collaboration with US Institutions

3) **EDUCATION**

- Collaboration with US institutions
- Curriculum development
- Presentation of Thinkfinity - high tech tool for teaching
- Initiative between the University of the West Indies and Medgar Evers College

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Carib News Foundation
 7 West 26th Street, 6th floor, New York, NY 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

To : Host Committee
 Caribbean Multi-National Business Conference
 cc: Bernard Hunt, Sonesta Maho Beach Resort

From : Karl E. Rodney
 CARIB NEWS

Date : October 15, 2008

SUBJECT : Town Hall Meetings

In the interest of organizing successful Town Hall Meetings, and to make program print deadline, we suggest the use of the space available at the Sonesta Maho for those meetings.

We think the participation of the local groups and individuals in these meetings are necessary and productive because of the subject matter. We would therefore urge a strong outreach media program and direct contact to interest groups to ensure success of the Forum.

Thursday 5:00 – 6:30pm : Healthy Eating and Living

Friday 4:30 – 6:00pm : Education – Sharing Working Solutions

Saturday 4:30 – 6:00 pm : Technology

There is a great line up and we may have some additional star power. Commissioner Sarah Wescoat-Williams also suggested she might have additional local speakers for these for a, and we welcome that.

Thanks for your kind cooperation.

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Carib News Foundation
7 West 36th Street, 8th Floor, New York, NY 10017
Tel: (212) 944-4991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

February 8, 2008

Commissioner Sarah Wescot-Williams
Leader of Government Business
Government Administration Building
Clem Labega Square
Philipsburg, St. Maarten, NA

Dear Commissioner Wescot-Williams:

It is with great pleasure that I wish to inform you that the Planning Committee has selected St. Maarten to host the Thirteenth Annual Caribbean Multi-National Business Conference, November 6-9, 2008. There was great interest in St. Maarten because of your personal invitation issued in Antigua, and certainly all the other excellent facilities on the island.

We look forward to a great conference and with working with you and your team. We would wish that you appoint the Host Committee as soon as possible so that the real planning will start. We will be proposing some thematic approaches for your consideration, in order to get the right participants and targeted focus.

We have the proposal from the hotels and will be making that selection within the week, after which we can start the promotion. The SAVE THE DATE and VENUE will be going out to the Members of Congress shortly. We will develop a time line for the other activities.

We are proceeding with the plan and would appreciate the formal letter of invitation.

We are very excited about working with you and St. Maarten again, and we expect a great conference.

Congratulations, and thanks for your kind consideration.

Very Truly Yours,

Faye A. Rodney

President

Karl B. Rodney
Publisher/CEO

cc. Marla Chemont Marketing Assistant

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Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY, 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

January 23, 2008

Commissioner Sarah Wescof-Williams
 Leader of Government Business
 Government Administration Building
 Clem Labega Square
 Philipsburg, St. Maarten, NA

Dear Commissioner Wescof-Williams:

Thanks very much for inviting us to St. Maarten to explore the possibility of St. Maarten as the Host Venue for the Thirteenth Annual Caribbean Multi-National Business Conference, scheduled for November 6-9, 2008.

We had the pleasure of meeting with Commissioner Roy Marlin to discuss the conference, its mission and of course, St. Maarten's priorities to be addressed in the conference. Among the areas discussed were:

- ♦ The Passport issue and its impact on St. Maarten and the Caribbean
- ♦ The US Pre-clearance option and what are the possibilities
- ♦ Educational collaboration and in-state tuition consideration for students from St. Maarten
- ♦ A major women's initiative at the conference and targeting many large societies to hold meetings and conventions in St. Maarten
- ♦ The influence on impact of the Congressional delegation on the current constitutional negotiations with the Dutch Government, particularly where the Dutch Government has lobbied these Members on their special interests.
- ♦ An appealing or special role model to address the youth of St. Maarten
- ♦ To conduct community outreach programs involving the wider population in the areas of Health, Education and possibly Technology.

These are areas that we can establish direct linkages with elected officials, professional experts, corporate perspectives and certainly business opportunities.

We were reminded by Ludwig Ousanche the then President of the Chamber of Commerce, that it was in St. Maarten that the issue of increase of Duty Free Allowance was raised and was subsequently raised to twice the amount within six months, benefiting all the merchants not only in St. Maarten but the Caribbean.

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Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

*Copy of [unclear]
- copy of [unclear]
- [unclear]*

April 04, 2008

Ms. Marilyn Johnson
VP Marketing Development
IBM Corporation
1133 Westchester Avenue
White Plains, NY 10604

Dear Ms. Johnson:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of IBM went a long way to make the conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate very much the professionalism and enthusiasm you bring to the Conference with your keen participation. The presence and demonstration of real live "IBM Partner" as a result of the conference is a powerful testimony. We do get concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of IBM's participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the IBM local, regional and global connection, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

3

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MAIL TO US 04/3/7P

W.L. ROBERTS

7/10/97 10:00

1P.1

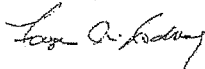
- 2 -

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

(4)

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MAR 10 09 04:39P W.L. MCKENZIE

7/16/2008

pp

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice




Date	Invoice #
7/16/2008	45122


Bill To
Wanda McKenzie IBM 2607 Jesters Court Marietta, GA 30068

Description	Amount
Sponsorship Multi-National Business Conference 2008 TAX ID 13-362060	20,000.00
Total	
	\$20,000.00

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		EXP		Pieces: 1/1
FM: 18 18 C30 1001 0490 M. Johnson C/O JASON D. LOGISTICS AUSTIN, TX 78758 UNITED STATES PERMIT 912-286-2350 7 WEST 36TH STREET, 8TH FLOOR NEW YORK, NY 10018 UNITED STATES TEL: 212-344-1091		POSTCODE: 10018		091018 1LE
Description: Item checked Weight: Light Date: 2008-10-08 DHL standard terms and conditions apply.				JRAC 0T ABH
		29287255580 (Overheadcode)		WAWBILL: 29287255580

 International Business Machines Corporation 190 South Street, New York, NY 10038-5513		Check Number: 20457 000377721
Pay to the order of: NEW YORK-CARIB NEWS 7 W 36TH ST 8TH FL NEW YORK, NY 10018 UNITED STATES	DATE: 2008/08/08	The sum of Pay \$*****20,000.00**
Per <i>Richard F. Carroll</i> Authorized signature		

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Exhibit 17

09-2222 0167

Registration

From: plouis [plouis@nycaribnews.com]
 Sent: Monday, October 15, 2007 11:00 AM
 To: 'Kelly Mobley, Dawn'
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,
 In response to your e-mail, the answer to your question is NO.

Regards and Thanks for your patience

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 38th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
 From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
 Sent: Monday, October 15, 2007 10:25 AM
 To: plouis
 Subject: FW: Carib News - Pre-trip Approval Issues

FYI

From: Olson, Susan
 Sent: Friday, October 12, 2007 2:41 PM
 To: plouis
 Cc: Kelly Mobley, Dawn; O'Kelly, Bill
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM

10/15/2007

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- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

From: plouls [mailto:plouls@nycaribnews.com]
 Sent: Thursday, October 13, 2007 10:37 AM
 To: Olson, Susan
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,
 In response to your e-mail:

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.

As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content of the other participants in the Conference.

Federally registered lobbyists or foreign agents will not be accompanying the Members or participating in the program.

Regards,

PATRICIA LEWIS
 Executive Assistant
 CARIB NEWS
 7 West 38th Street, 6th Floor
 New York, NY 10018

-----Original Message-----
 From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
 Sent: Wednesday, October 10, 2007 4:01 PM
 To: plouls@nycaribnews.com
 Cc: Dawn.KellyMoblely@mail.house.gov; O'Reilly, Bill

10/15/2007

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Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Louis:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o GoodWorks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

10/15/2007

09-2222 0170

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CN-000055

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

10/15/2007

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TEL: (212) 944-1921; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: Susan Olson	FROM: R. Louis
COMPANY: House Case on Standards	DATE: 10/12/07
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER
RE: Travel Certification Form	

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Ms. Olson,
In response to your e-mail - the Conference is paid for by CARIB NEWS
FOUNDATION.

The companies are participants. They are not sponsors or co-sponsors for any part
of the trip and play no role in the planning or content.

The program has been amended to reflect the companies as Participants.

I regret the misunderstanding and thank you for your patience as I go through and
grow through this process, which is new to me.

I look forward to hearing from you.

Regards

09-2222 0172

CONFIDENTIAL

CN-000057

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Friday, October 12, 2007 1:55 PM
To: plouis
Subject: RE: Confidential

The fax did not come through. I will be stepping out of the office for the remainder of the day, but will be in early Monday. Please follow up again with Susan. I have explained that the organizations you labeled as co-sponsors are not. They support your foundation annually, but have not and will not pay for the Members trip. This is simply a misunderstanding of the terms "sponsor", "contributor", and "participant" as used in the Ethics rules which is why I sent you the Rules book for preparation.

Once you are able to clarify these issues and make sure your itinerary passes too, you should be fine. An Ethics Committee Staff Attorney must review and approve as well. Your Staff Attorney is Susan.

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 11:55 AM
To: 'plouis'
Subject: RE: Confidential

Have you spoken with your Director and explained the problem so you can call Olson asap?

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 9:59 AM
To: 'plouis'
Subject: FW: Confidential

Please read both emails below. Give Susan a call as soon as you work through the Mnk, let her know you are following up. Please make yourself available to work through this matter today. Thank you Patricia. (These other organizations can participate in the program but should not help pay for the trip).

From: Olson, Susan
Sent: Friday, October 12, 2007 9:29 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval Issues

Bill and Dawn,
Please note the following response from Ms. Louis at Carib News. Unfortunately, it appears that if each sponsor contributes funding directly to the trip (an earmark of funds) and not simply to the general funds of Carib News, then the assumption is that all of these entities are co-sponsors of the trip for purposes of the House travel rules. If that is the case and since it is likely that at least one of these co-sponsors hires or retains a federally registered lobbyist, the trip could only be a one-day event trip. Thus the Committee can't approve the trip as it currently exists.

But, if you have a different interpretation of the below information from Ms. Louis, please do let
10/12/2007

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me know. Thanks! Susan

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

From: plous [mailto:plous@nycarbnews.com]
 Sent: Thursday, October 11, 2007 10:33 AM
 To: Olson, Susan
 Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,

In response to your e-mail:

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.

As a courtesy, each contributor is given an opportunity to be attached to a part of the program

that is being presented. They do not determine the content or the other participants in the Conference.

No federally registered lobbyist or foreign agent will be accompanying the Members or participating in the program.

Regards

Patricia Lewis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
 From: Olson, Susan [mailto:susan.olson@mail.house.gov]
 Sent: Wednesday, October 10, 2007 4:01 PM
 To: plous@nycarbnews.com
 Cc: Dawn.KellyMoblely@mail.house.gov; O'Reilly, Bill
 Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Lewis:

With regard to the pre-trip approval requests for the Carib News Foundation's

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(Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501 (c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

10/12/2007

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CN-000060

HT-2, The Capitol Building
Washington, DC 20515
Phone: 202-225-7103
Fax: 202-225-7392

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THE NEW YORK CARIBNEWS

THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
7 WEST 40TH STREET, 4 FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1991; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: Dawn Kelly Mobley	FROM: P. Louis <i>[Signature]</i>
COMPANY: Ethics Counsel for Cong. Stephanie Tubbs Jones	DATE: 10/11/97
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: Travel Form	

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Further to our conversations of this morning, following is the Travel Certification Form..

The Conference is paid for by the Carib News Foundation. The companies are participants, are not sponsors or co-sponsors for any part of the trip and play no role in the planning or content.

The program has been amended to reflect the companies as Participants

I regret the mis-understanding and thank you for holding my hand as I go through and grow through this process which is new to me.

Kindly review and advise before I send to Ms. Olsen.

I look forward to hearing from you.

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Registration

From: Thomas, Shelley [Shelley.Thomas@mail.house.gov]
Sent: Thursday, October 11, 2007 7:47 AM
To: plouis
Subject: RE: Carib News - Pre-trip Approval Issues

This is new territory since we never had to go through this before. I don't have an answer and I already turned in Donna's forms.

From: plouis [mailto:plouis@nycaribnews.com]
Sent: Wednesday, October 10, 2007 5:55 PM
To: Kelly Mobley, Dawn; Thomas, Shelley; Dalley, George
Subject: FW: Carib News - Pre-trip Approval Issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct.

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
From: Olson, Susan [mailto:Susan.Olson@mail.house.gov]
Sent: Wednesday, October 10, 2007 4:01 PM
To: plouis@nycaribnews.com
Cc: Dawn.Kelly.Mobley@mail.house.gov; O'Reilly, Bill
Subject: Carib News - Pre-trip Approval Issues

Dear Mrs. Louis:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 IAS, the draft schedule, however, indicates that the following entities are "sponsoring"

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sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson
 Counsel
 House Committee on Standards of Official Conduct
 HT-2, The Capitol Building
 Washington, DC 20515
 Phone: 202-225-7103
 Fax: 202-225-7392

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Re: Carib News - Pre-trip Approval Issues

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Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
 Sent: Wednesday, October 10, 2007 6:48 PM
 To: plouls@mycaribnews.com
 Subject: Re: Carib News - Pre-trip Approval Issues

Her question centers on whether any of these "co-sponsors" retains a lobbyist or foreign. This is the issue you and I focused on previously. It is a central problem if any do and are paying for the events. If so, you are in the same position as last year with one-day events. Call Susan back tomorrow as early as possible. Hopefully, these co-sponsors don't retain lobbyists, but you should have their representations on this matter. At a minimum, Macy's does, right? Alternatively, if they are only sponsoring event tables or the like, a lobbyist will not be problematic.

Sent Using BlackBerry

-----Original Message-----
 From: plouls@mycaribnews.com
 To: Kelly Mobley, Dawn; Thomas, Shelley; Dalley, Gáiggis
 Sent: Wed, Oct 10, 2007 5:56:25
 Subject: FW: Carib News - Pre-trip Approval Issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct.

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Patricia Louls
 Executive Assistant
 CARIBNEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
 From: Olsen, Susan [mailto:Susan.Olsen@mail.house.gov]
 Sent: Wednesday, October 10, 2007 4:01 PM

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CN-000065

cc: CARB NEWS - Pre-Trip Approval Issues

Page 2 of 3

To: plouffe@carbnews.com
 Cc: Dawn Kallys@bbsky.com; O'Reilly, Bill
 Subject: Carib News - Pre-Trip Approval Issues

Dear Mrs. Loides,

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CHTI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Kinst

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

10/10/2007

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Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval request for the Foundation's Andigua trip.

Susan L. Olson
Counsel
House Committee on Standards of Official Conduct
HT-2, The Capitol Building
Washington, DC 20515
Phone: 202-225-7163
Fax: 202-225-7392

10/10/2007

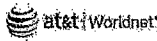
09-2222 0182

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CN-000067

From: Shelley Thomas

Page 1 of 1



[Print] [Close]

From: "Thomas, Shelley" <Shelley.Thomas@mail.house.gov>
 "James, Corliss" <Corliss.James@mail.house.gov>, "Long, Karyn" <Karyn.Long@mail.house.gov>,
 "Bishop, May" <May.Bishop@mail.house.gov>, "Chaplin, Jennie" <Jennie.Chaplin@mail.house.gov>,
 "Pickens, Crystal" <Crystal.Pickens@mail.house.gov>, "Hamlett, Bernell" <Bernell.Hamlett@mail.house.gov>,
 "Hendricks, Jasper" <Jasper.Hendricks@mail.house.gov>,
 "Dalley, Katie" <Katie.Dalley@mail.house.gov>,
 "GAD Scheduling" <GAD.Scheduling@mail.house.gov>,
 "Murray, Darlene" <Darlene.Murray@mail.house.gov>,
 "Fisher, Pat" <Pat.Fisher@mail.house.gov>,
 "Featherson, Wendy" <Wendy.Featherson@mail.house.gov>,
 "Pittman, Megan" <MPittman@mail.house.gov>,
 "King, Lalla" <Lalla.King@mail.house.gov>,
 "Resendez, Marvene" <Marvene.Resendez@mail.house.gov>,
 "Kalinga, Tatyana" <Tatyana.Kalinga@mail.house.gov>,
 "Taylor, Gert" <Gert.Taylor@mail.house.gov>,
 "Anderson, Kwamena (Waters)" <Kwamena.Anderson@mail.house.gov>,
 "Howie, Elisa" <Elisa.Howie@mail.house.gov>,
 "Borja, Angie" <Angie.Borja@mail.house.gov>,
 "Roddey, Raven" <raven.roddey@mail.house.gov>,
 "Waskow, Jean" <Jean.Waskow@mail.house.gov>,
 "Holmes, Alice M." <Alice.M.Holmes@mail.house.gov>,
 "Epps, Nkema" <Nkema.Epps@mail.house.gov>,
 "Johnson, Aya" <Aya.Johnson@mail.house.gov>
 Cc: <carib-desk@att.net>
 Subject: Emailing: 110th rule change page
 Date: Thu, 4 Oct 2007 16:36:27 +0000

To the CBC Members who indicated a "yes" or "maybe" in attending the Caribbean News Foundation Business Conference in Antigua, British West Indies on November 8-11 of 2007, I have attached the web site for the travel form that must be submitted by Members no later than THURSDAY, October 9 to the Ethics Committee. I will be bringing copies of the agenda, as well as copies of the ethics form that Caribbean News had to complete and submit to Ethics, to all of the offices indicated above, today and tomorrow by noon. If anyone has any questions, please call me at 5-1790. PLEASE MAKE SURE THAT MEMBERS HAVE THEIR OFFICIAL PASSPORT, AS WE ARE GOING TO A FOREIGN COUNTRY.

The message is ready to be sent with the following file or link attachments:

shortcut to: http://www.house.gov/ethics/110th_rule_change_page.htm

Note: To protect against computer viruses, e-mail programs may prevent sending or receiving certain types of file attachments. Check your e-mail security settings to determine how attachments are handled.
 <110th_rule_change_page.url>

Attachment 1: 110th_rule_change_page.url (application/octet-stream)

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<http://webmail.st.net/wmc/n/wm/47056C17000D0D2F00002DBD2160376229059C0A0B...> 10/4/2007

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New Travel Regulations, Forms, and Instructions (effective March 1, 2007):

The House Rules for the 110th Congress changed the travel rules for Members, staff, and outside sponsors. Regulations, forms, and instructions governing privately-sponsored travel taking place after March 1, 2007 are available below. An Advisory Memorandum providing additional guidance regarding travel was released on March 15, 2007. New versions of the forms relating to privately-sponsored travel were released April 6, 2007.

- Travel Guidelines and Regulations
- Instructions and Certification Form to be filled out by Private Sponsors
- Traveler Form to be filled out by Members, Officers, and Employees
- Post-Travel Disclosure Form for Members and Officers
- Post-Travel Disclosure Form for Employees

Please note: Both the Private Sponsor Certification and the Member/Officer/Employee Traveler Forms must be filed with the Committee at the same time in order to process your request.

New Gift Rule Changes:

The House Rules for the 110th Congress amended House Rule 25, clause 5 (the "gift rule") with respect to the acceptance of gifts and travel. Some of the guidance on this website regarding gifts and travel may therefore no longer apply. On February 6, 2007, the Committee issued an advisory memorandum on the changes to the gift rule. On May 24, 2007, the House amended the gift rule exception concerning the acceptance of offers of free attendance at charity events. In view of the amendment, on June 14, 2007, the Committee issued a revised memorandum that incorporates the change to the rule. Please contact Committee staff at 202-225-7103 with any questions.

Financial Interests under New Earmark Rules

The House Rules for the 110th Congress changed the Code of Official Conduct regarding earmarks. This provision requires that a Member, Delegate, or Resident Commissioner who requests an earmark or a limited tax or tariff benefit to provide certain information regarding the request and its purpose to the committee of jurisdiction. An Advisory Memorandum providing guidance in this matter was released on March 27, 2007.

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Travel Approval Forms for Antigua Trip

Page 1 of 1

Registration

From: Smith, Hilary [Hilary.Smith@mail.house.gov]
Sent: Thursday, October 04, 2007 4:32 PM
To: plouis@nycaribnews.com
Subject: Travel Approval Forms for Antigua Trip

Patricia,

Here is what I need fixed --

12 -- Describe the role of each sponsor in organizing and conducting the trip -- The answer should say something about how Carib News organized, planned, pulled together the invitee list and chose the destination.

13 -- Describe the organizational interest -- It is the Carib News Annual conference.

The revised forms, along with the list of invitees, should be sent to each invitee to then send to the committee so that we do not receive the wrong forms from the Congressional offices. Let me know if you have any questions.

Hilary Smith

Committee on Standards of Official Conduct

HT-2, The Capitol

Washington, DC 20515

202-226-7103

10/4/2007

09-2222 0185

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CN-000070

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, October 03, 2007 2:02 PM
To: plous
Subject: RE: Please read query below

Ignore the last email b/c we are working to meet the 30-day submission. Just stand by so we can get back in touch today; have it all resolved tomorrow. Monday is a holiday.

From: Kelly Mobley, Dawn
Sent: Wednesday, October 03, 2007 12:39 PM
To: plous
Subject: RE: Please read query below

Thank you. We have assigned your review to Staff Attorney Susan Olson. Please reach her by phone at 202/226-8808 next week if she has not reached out to you. She will need a few days of review. Thank you very much.

From: plous [mailto:plous@nycarbnews.com]
Sent: Monday, October 01, 2007 6:40 PM
To: Kelly Mobley, Dawn
Subject: RE: Please read query below

The Conference dates are November 8-11, 2007, and opens on Thursday November 8 and ends the morning of November 11, 2007- (3 nights)

CARIB NEWS FOUNDATION is the Sponsor of the event.

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and **NOT the SPONSOR**, consequently I assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

-----Original Message-----
From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
Sent: Monday, October 01, 2007 5:10 PM

10/3/2007

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Registration

From: pibuls [pibuls@nycarbinews.com]
 Sent: Monday, October 01, 2007 5:40 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Please read query below

The Conference dates are November 8-11, 2007, and opens on Thursday, November 8, and ends the morning of November 11, 2007. (3 nights)

CARIB NEWS FOUNDATION is the sponsor of the event.

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and **NOT** the **SPONSOR**, consequently, assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Patricia Lewis
 Executive Assistant
 CARIB NEWS
 7 West 38th Street, 8th Floor
 New York, NY 10018

-----Original Message-----

From: Kelly Mobley, Dawn [mailto:Dawn.Kelly.Mobley@mail.house.gov]
 Sent: Monday, October 01, 2007 5:10 PM
 To: pibuls
 Subject: RE: Please read query below

From: Kelly Mobley, Dawn
 Sent: Monday, October 01, 2007 5:08 PM
 To: Kelly Mobley, Dawn
 Subject: RE: Query

We are trying to work through a problem in approving the co-sponsors' place. Are they each paying for a one-day, widely attended event or more days? Please read the Gift Rules I forwarded you on this matter.

From: Kelly Mobley, Dawn
 Sent: Tuesday, September 25, 2007 12:42 PM
 To: pibuls

10/1/2007

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Please forward the paperwork as well to the Chairwoman's personal office so her scheduler can complete the work from that office. Please get it to Lalla King today, Her fax number is 202/225-4339. She has to complete the Member's forms and meet the same deadline.

From: plouls [mailto:plouls@nycaribnews.com]
Sent: Monday, October 01, 2007 6:40 PM
To: Kelly Mobley, Dawn
Subject: RE: Please read query below

The Conference dates are November 8-11, 2007, and opens on Thursday November 8 and ends the morning of November 11, 2007- (3 nights).

CARIB NEWS FOUNDATION is the Sponsor of the event.

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and NOT the SPONSOR, consequently I assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Raficia Lolis
Executive Assistant
CARIB NEWS
7 West 36th Street, 6th Floor
New York, NY 10018

-----Original Message-----
From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
Sent: Monday, October 01, 2007 5:10 PM
To: plouls
Subject: RE: Please read query below

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Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Monday, October 01, 2007 6:10 PM
To: plouls
Subject: RE: Please read query below

From: Kelly Mobley, Dawn
Sent: Monday, October 01, 2007 5:08 PM
To: Kelly Mobley, Dawn
Subject: RE: Query

We are trying to work through a problem in approving the co-sponsors piece. Are they each paying for a one-day, widely attended event or more days? Please read the Gift Rules I forwarded you on this matter.

From: Kelly Mobley, Dawn
Sent: Tuesday, September 25, 2007 12:42 PM
To: 'plouls'
Subject: Query

I am working through your forms before leaving them with our staff attorney and have a question. Query: Why did you not list CARIB NEWS as the sponsor as you'd indicated instead of Macy's, etc. The official sponsor for travel, lodging, and planning is still Carib News, correct?

From: Kelly Mobley, Dawn
Sent: Tuesday, September 18, 2007 12:57 PM
To: 'plouls'
Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

When you send the draft agenda, please also forward any information on your sponsor's status. If/He cannot be a lobbyist. Do you need a copy of the new travel rules?

From: Kelly Mobley, Dawn
Sent: Monday, September 17, 2007 5:53 PM
To: 'plouls'
Subject: RE: Draft Program - 2007 Business Conference

Nothing was attached to this email. Therefore, we have not received the proposed agenda you mention below and have nothing to preview.

From: plouls [mailto:plouls@nycaribnews.com]
Sent: Monday, September 17, 2007 3:49 PM
To: Kelly Mobley, Dawn
Subject: Draft Program - 2007 Business Conference

Ms. Mobley:
 We spoke earlier re permission for Members to attend the Conference and you asked for

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Page 2 of 2

The program, I have not gotten back to you because we have been working on getting the program together.
Attached is the draft for your guidance.

Regards and Thanks

Patricia Lott
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018.

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THE NEW YORK
CARIBNEWS

THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY.
7 WEST 36TH STREET, 12 FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1994; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO: <u>Dawn Kelly Mobley</u>	FROM: <u>Patricia Louis</u>
COMPANY:	DATE: <u>9/21/07</u>
TAX NUMBER: <u>202225-7392</u>	TOTAL NO. OF PAGES INCLUDING COVER:
RE: <u>SPONSOR FORMS</u>	
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION	

Following is a completed form for your advisement /review/comments.

Regards

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09-2222 0191

CN-000076

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

Cait's News Foundation

1. Sponsor(s) (who will be paying for the trip): _____
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary); each representative's spouse is invited; or one family member: _____
6. Dates of travel: November 8-11, 2007
7. Cities of departure - destination - return: _____
Notes: depending on member
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965:
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent:
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One night's lodging and meals are being offered:
 - b. Two nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

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11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: Pfizer-Education/Health AT&T and IBM - provide technology to the region. MACYS - seeks new vendor services, Citibank - opportunities in the region.

13. Describe each sponsor's organizational interest in the purpose of the trip: Regular scheduled air service, economy class.

14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a charter not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted.

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box)

16. I represent that either (check one of the following):
a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided):

17. Reason for selecting the location of the event or trip: A Caribbean country that has not previously hosted the conference.

18. Name of hotel or other lodging facility: Sandals Resort/Rex Resort

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00

20. Reason(s) for selecting hotel or other lodging facility: Has appropriate meeting rooms.

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

Practical purposes of each trip, as estimated	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	220	850	185
For each accompanying family member	220	0	185

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee:		
For each accompanying family member:		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name and title: _____

Organization: _____

Address: _____

Telephone number: _____

Fax number: _____

Email Address: _____

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 RT-2, The Capitol
 Washington, DC, 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 225-7172 (fax for travel approvals)

Version dated 4/2007 by Committee on Standards of Official Conduct.

U.S. House of Representatives
Committee on Standards of Official Conduct

INSTRUCTIONS FOR FILLING OUT THE PRIVATE SPONSOR TRAVEL
CERTIFICATION FORM.

1. *Sponsor(s) (who will be paying for the trip):* Fill in the names of each person, organization, or other entity contributing funds or in-kind support towards the trip.
2. *I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent:* House Members and staff may not accept travel funded by a lobbyist or registered foreign agent, even when the lobbyist or foreign agent will be reimbursed by a client or employer.
3. *I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip:* All financial contributors to the trip must qualify as sponsors and should be listed as a sponsor in response to question 1.
4. *Is travel being offered to an accompanying family member of the House invitee(s)?* Check yes or no. House Rules permit Members and House staff to accept travel benefits for one accompanying family member if offered by the trip sponsor.
5. *Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited:* You must list every House Member and employee who is invited on the trip, together with your reason for inviting that individual. Members and House staff may accept privately sponsored travel only when related to the individual's official duties. The explanation should demonstrate a connection between the trip and each invitee's official duties.
6. *Dates of Travel:* State the dates of departure and return.
7. *Cities of departure -- destination -- return:* For example, an appropriate entry might read: "DC -- Detroit -- DC". Include additional destinations if there will be more than one. Do not list the names of airports, times of flights, or cities where travelers will have an airport layover (this information should be included in the attached detailed agenda).
8. *Attached is a detailed agenda of the activities taking place during the travel:* The agenda should be a detailed, hour-by-hour agenda. Include the names of speakers and the subjects of briefings. The agenda should also include information regarding the time spent on travel to and from the destination, as well as local travel. Travel will not be approved if the agenda includes an excessive amount of either unscheduled time and/or opportunities for recreational activities, even if such activities are at the personal expense of the invitees.

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9. *I represent that (check one of the following):* Check only one box in response to this question. "Institutions of higher education" generally includes accredited public and private colleges or trade schools located in the U.S. and its territories; such entities should check box "a," regardless of whether they employ or retain a federal lobbyist or foreign agent. Entities other than institutions of higher education that do not employ or retain a federal lobbyist or foreign agent should check box "b." Entities other than institutions of higher education that do employ or retain a registered lobbyist or foreign agent may sponsor travel only for one-day events. For such trips, lobbyist involvement must be "de minimis" as defined by Committee regulations (see question 12).
10. *If travel is for participation in a one-day event, check one of the following:* Complete this question only if you checked box "a" in response to question 9. For travel to one-day events sponsored by an entity that retains or employs a lobbyist, lodging and meals generally may be provided only for one night. However, two nights may be authorized by the Committee in accordance with the factors set forth in Committee regulations.
11. *If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip.* House Rules prohibit Members and employees from being accompanied by registered lobbyists or foreign agents while traveling. This rule does not prohibit lobbyist or foreign agent participation in briefings or meetings that occur at the destination.
12. *Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip.* The sponsor(s) (the entity or entities paying for the trip) should be the entity primarily responsible for organizing the trip. Travel may not be accepted from an entity that merely contributes money towards the travel and is not otherwise involved in planning or conducting the trip.
13. *Describe each sponsor's organizational interest in the purpose of the trip.* Briefly describe the interest or purpose of each sponsor in the trip.
14. *Describe the type and class of transportation being provided.* See directions on form.
15. *I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s).* While Members and staff may accept local transportation necessary in facilitating their participation in officially-connected aspects of a trip, Members and staff may not accept local transportation in connection with recreation or entertainment.
16. *I represent that either:* For events that are arranged or organized without regard to congressional participation (e.g., annual meetings of business or trade associations), Members or employees may accept the meals that are provided to all other attendees as part of the event. For events put on specifically for Members or staff, meal expenses must be "reasonable" in accordance with Committee regulations.

17. *Reason for selecting the location of the event or trip:* The destination of a trip must be related to its purpose. Travel to a location of an event organized without regard to congressional participation (for example, annual meetings of business or trade associations) is presumptively reasonable.
18. *Name of hotel or other lodging facility:* Include the names of all hotels and lodging facilities to be used during the trip.
19. *Cost per night of hotel or other lodging facility:* Self-explanatory. Trip sponsors should not pay for a "package" that includes recreational or entertainment activities. However, Members and staff may generally use a pool or gym facilities that are offered free of charge to all hotel guests.
20. *Reason(s) for selecting hotel or other lodging facility:* For events held without regard to congressional participation (e.g., annual meetings of business or trade associations), an entry such as "location of annual trade association meeting" is sufficient. When the trip is held specifically for Members or staff, include rationale such as proximity to the site to be visited or to the airport.
21. *Total Expenses for Each Participant:* Indicates whether the figures provided are actual amounts or good faith estimates by checking the appropriate box. All trip expenses should be included. Expenses other than those for transportation, lodging, and meals must be individually listed and specified.
22. *I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip.* Members and staff may not accept a lump sum based on an estimate of incidental expenses.
23. *Certification Information:* Self-explanatory.

THE TRIP SPONSOR SHOULD PROVIDE A COPY OF THE COMPLETED FORM, INCLUDING ALL ATTACHMENTS, TO EACH HOUSE MEMBER OR EMPLOYEE INVITED ON THE TRIP.

Version date 02/2007 Committee on Standards of Official Conduct

U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

October 27, 2006

Ms. Michèle Rodney
Carib News Foundation
7 West 26th Street 6th Floor
New York, NY 10018

Dear Ms. Rodney:

This responds to your letter requesting Committee guidance concerning the participation of House Members in the 11th Annual Caribbean Multi-National Business Conference ("Conference") sponsored by the Carib News Foundation ("Foundation"), and other organizations. The conference is scheduled to take place in Panama City, Panama from November 9 to 12, 2006. According to your submission, the Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

The Committee on Standards of Official Conduct strongly encourages outside organizations considering whether to offer travel expenses to House Members and staff to contact our office for information about the rules governing the acceptance of such an offer. It is important to bear in mind, however, that the Committee is authorized by the Rules of the U.S. House of Representatives to render advisory opinions to Members, officers, and employees regarding the propriety of current or proposed conduct. We do not render such opinions to private organizations. Particularly in the case of travel, it is the Member, officer, or employee who is at risk in the case of a violation of the rules. Given the different circumstances in which individual Members and employees find themselves, it would be inappropriate for an outside organization to suggest that a trip had been "pre-cleared" by the Committee. However, we are able to provide you with some general information.

¹ According to your submission, the additional trip sponsors include Macy's, Inc., QZIAS, Pinar, AT&T, Golden Gate Franchises, American Airlines, Citibank, HSBC, Panama City, and the Republic of Panama.

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Ms. Michele Rodney
October 27, 2006
Page 2

House Rule 25, clause 5 (the gift rule) permits a Member or employee of the House to accept from a private source (other than a registered lobbyist or agent of a foreign principal) the necessary travel expenses to attend a meeting, speaking engagement, fact-finding trip, or similar event in connection with official duties, if the private source is directly and immediately associated with the event or location being visited. "Necessary" expenses include reasonable expenses for transportation, lodging, food, and refreshments, but they do not include expenditures for entertainment or recreational activities.

Generally, any gifts that may be offered during the trip, including any gifts of maintenance or recreational activity, must be valued at a total of less than \$50 for the trip, with the annual gift limit of less than \$100 from a single source. On any trip, the aggregate value of any such gifts that may be accepted from any source is less than \$50.

In the case of international travel, necessary expenses may be accepted for not more than seven days, excluding travel. House Members may also accept expenses to enable one family member to accompany them on the trip, if offered by the trip sponsor. In addition, each Member who participates in the trip must complete a Travel Disclosure and file this form with the Clerk of the House within 30 days after their return. See House Rule 25, cl. 5(b)(1)(A)(i), (b)(3). Members are also required to report all travel expenses totaling more than \$305 from a single source on Schedule VII of their annual Financial Disclosure Statement under the Ethics in Government Act and House Rule 26. On both forms, Members should indicate as the "trip sponsor" all of the organizations that provided financial underwriting for the trip.

Because the trip will involve meetings with foreign government representatives and the trip sponsors include the Republic of Panama and Panama City, it is important to note that government officials, including Members and employees of Congress, are prohibited by Article I, section 9, clause 8, of the United States Constitution from receiving "any present... of any kind whatever" from a foreign state or a representative of a foreign government without the consent of the Congress. In the Foreign Gifts and Decorations Act (5 U.S.C. § 7342) (FGDA), Congress has consented in advance to acceptance of gifts of travel or expenses for travel taking place entirely outside of the United States offered by a foreign government. Gifts of travel or expenses for travel include food, lodging, transportation, and entertainment related to the official duties of a Member, officer, or employee. Congress has also consented to the acceptance of gifts "of minimal value tendered as a souvenir or mark of courtesy." 5 U.S.C. § 7342(c)(1)(A). Under this provision, and implementing regulations issued by this Committee, the term "minimal value" is defined as \$305. The FGDA also permits Members and staff to accept a gift worth more than minimal value when refusal would cause offense or embarrassment; such gifts are the property of the United States and must be deposited with the Clerk of the House. Any tangible gifts valued in excess of minimal value or travel expenses of any value received from a foreign government pursuant to FGDA must be disclosed on a Form for Disclosing Gifts from Foreign Governments, in addition to the

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Ms. Michele Rodney
October 27, 2006
Page 3.

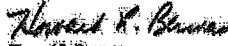
travel disclosure form described above. Copies of both forms are available on the Committee Web site.

Any House Members invited to travel at private expense should be encouraged to contact the Committee's Office of Advice and Education at (202) 225-7103 if they have any questions on the applicable rules. Please provide a copy of this letter to each invited Member.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,


Doc Hastings
Chairman


Howard L. Berman
Ranking Member

DE/BLB:elo

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Carib News Foundation
7 West 30th Street, 8th floor, New York, NY 10018
Tel (212) 944-1991; Fax (212) 944-2089
E-mail: info@caribnewsconference.com

October 16, 2006

The Honorable Dos Hastings
Chair
Standards of Official Conduct
Rm. HT-2, The Capitol
Washington, DC 20515

Via Fax: 202-225-7392 & US Mail

Dear Committee Chair Hastings:

As you might be aware, The Carib News Foundation, created to provide a forum for businesses from the United States to dialogus and establish business partnerships with communities in other parts of the world, has invited Members of Congress to participate in the 11th anniversary of the Caribbean Business Conference.

This year the conference will be held in Panama City, Panama from November 9 – 12, 2006. In cooperation with the Government of Panama, our goal is to have all interested parties joining forces to foster an atmosphere of democracy, stability and opportunity for our fellow citizens of the world. It will also allow businesses in the United States to introduce their products to a new market, thus adding to the economy of both countries.

It is important for Members of Congress to attend in order to get insights into the global economy and how current law and proposed legislation can add in assisting their constituents to move towards a global exchange.

To this effect, The Carib News Foundation and its Board of Directors, respectfully request approval for the Members to attend. If there are any questions or concerns, you may contact me at 212-944-1991. I look forward to making this event as successful in its 11th year as it has been in the past 10 conferences.

Sincerely,

Michelle Rodney
President
Carib News Foundation

cc: Congresswoman Stephanie Tubbs-Jones

Organized by Carib News Foundation. A non-profit project 501-C-3 corporation

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Registration

From: plouls [plouls@nycaribnews.com]
Sent: Friday, September 21, 2007 1:56 PM
To: 'Dawn.KellyMobley@mail.house.gov'
Subject: Re Sponsorship Information

The official sponsor of the conference is the CARIB NEWS FOUNDATION, a not-for-profit 501C3 Organization that provides educational information about the Caribbean Region and its relationship with the US in doing business and developing good neighborly ties with the United States, security, health, education etc.

The CARIB NEWS FOUNDATION does not retain or employ a registered lobbyist or registered foreign agent.

Please use me as your contact for the purposes of this Conference.

The form will be emailed to you this afternoon.

Regards

Patricia Lewis

Executive Assistant
CARIB NEWS
7 West 38th Street, 8th Floor
New York, NY 10018

9/21/2007

09-2222 0202

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Re: Sponsorship information

Page 1 of 1

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, September 19, 2007 6:32 PM
To: plouis@nycaribnews.com
Subject: Re: Sponsorship information

My condolences. No, we did not know of their loss. Is Mr. Rodney our actual contact in this matter? If so when appropriate, please impress upon him that the rules have changed dramatically and there are certain gifts and travel that can no longer be permitted so we need to clear this as early as possible. Moreover as he probably remembers, all Members have to seek approval for their individual travel...forms must be prepared, submitted, and approved for each Member's travel. Therefore, please remember to provide each of your invitees with the requisite paperwork. Hopefully by preparing early, we can circumvent the majority of problems you faced in securing their congressional travel last year. Have a good evening and please share my condolences with the Rodneys. Dawn

Sent using BlackBerry

-----Original Message-----
From: plouis <plouis@nycaribnews.com>
To: Kelly Mobley, Dawn
Sent: Wed Sep 19 18:23:58 2007
Subject: Sponsorship information

09/19/2007

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CN-000088

Registration

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Wednesday, September 19, 2007 3:23 PM
To: plouls
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

Lastly, just send the sponsor form asap for review. The new rules might prohibit some things you were able to do with so many different sponsors in the past, but until we get the form, we can't make the most informed decision. I have let the Congresswomen know we are awaiting your sponsor form. Just as you do, they would also like assurance. Thank you.

From: Kelly Mobley, Dawn
Sent: Wednesday, September 19, 2007 3:09 PM
To: 'plouls'
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

I am mailing (US Postal) a copy of the official Gifts and Travel Guide. Some pages are omitted so call with any questions not addressed in the bound pages. I am using the address you provide in your emails.

From: Kelly Mobley, Dawn
Sent: Wednesday, September 19, 2007 2:16 PM
To: 'plouls'
Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

Patricia:

I understand that several organizations will take part in sponsoring food and other parts of the Antigua travel. However, I need clarification on the official sponsor; that organization providing travel, lodging, and organizing the trip? Am I to assume it is CARIB NEWS? The official sponsoring organization must not retain or employ a registered lobbyist or registered foreign agent (and the sponsor must have a direct and immediate relationship with the event or location being visited). To assist with this, I am scanning information/guidelines and emailing in just a moment. Please provide a fax number if that method of submittal will be more helpful. If there is someone handling this matter, please provide me their direct contact information as I must ensure adherence to these new guidelines pre-travel.

In any event, I do need to know who the official sponsor will be. I have assigned a staff attorney to review your documents and will remain in contact with you until this matter is finalized.

Please respond today or tomorrow at the latest. I will be traveling for a while thereafter and must ensure that my staff attorney has all that is necessary for a safe review.

Dawn Kelly Mobley
 Ethics Counsel for Congresswoman Stephanie Tubbs Jones
 Chairwoman, Committee on Standards of Official Conduct
 U.S. of Representatives
 Washington, DC 20515
 202/225-7103

From: Kelly Mobley, Dawn [Dawn.KellyMobley@mail.house.gov]
Sent: Tuesday, September 18, 2007 7:03 PM
To: plouls@nyparlbnews.com
Subject: Re: Draft Program - 2007Business Conference (No agenda or program attached)

~~Response of these organizations is not to be made public~~

Sent using BlackBerry

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We had received the forms from Shelley.
 The sponsors to date are : MACYS, PFIZER, AMERICAN AIRLINES, GOLDEN KRUST
 BAKERY, CARIBBEAN FOOD DELIGHTS, PREFERRED HEALTH PARTNERS, IBM,
 AT&T/CINGULAR

Regards

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

-----Original Message-----

From: Kelly Mobley, Dawn [mailto:Dawn.KellyMobley@mail.house.gov]
 Sent: Tuesday, September 18, 2007 12:57 PM
 To: plouis
 Subject: RE: Draft Program - 2007Business Conference (No agenda or program attached)

When you send the draft agenda, please also forward any information on your sponsor's status.
 If he cannot be a lobbyist. Do you need a copy of the new travel rules?

From: Kelly Mobley, Dawn
 Sent: Monday, September 17, 2007 5:53 PM
 To: 'plouis'
 Subject: RE: Draft Program - 2007Business Conference

Nothing was attached to this email. Therefore, we have not received the proposed agenda you
 mention below and have nothing to preview.

From: plouis [mailto:plouis@nycaribnews.com]
 Sent: Monday, September 17, 2007 3:47 PM
 To: Kelly Mobley, Dawn
 Subject: Draft Program - 2007Business Conference

Ms. Mobley:
 We spoke earlier re permission for Members to attend the Conference and you asked for
 the program. I have not gotten back to you because we have been working on getting
 the program together.
 Attached is the draft for your guidance

Regards and Thanks

Patricia Louis
 Executive Assistant
 CARIB NEWS
 7 West 36th Street, 8th Floor
 New York, NY 10018

9/20/2007

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Exhibit 18

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U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

- 1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
- 2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
- 3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
- 4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
- 5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): EACH REPRESENTATIVE'S SPOUSE
- 6. Dates of travel: NOVEMBER 8 - 11, 2007
- 7. Cities of departure -- destination -- return: VARIES DEPENDING ON MEMBER
- 8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
- 9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
- 10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

- 11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
- 12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (SEE ATTACHED SHEET)
- 13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORMATION, TRADE AND MEANINGFUL CONNECTION BETWEEN THE UNITED STATES AND THE CARIBBEAN
- 14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS
- 15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
- 16. I represent that either (check one of the following):
 - a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ~~or~~
 - b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
 If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____
- 17. Reason for selecting the location of the event or trip: A CARIBBEAN COUNTRY THAT HAS NOT PREVIOUSLY HOSTED THE CONFERENCE
- 18. Name of hotel or other lodging facility: SANDALS RESORT/REX RESORT
- 19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$1,000
- 20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

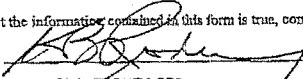
21. TOTAL EXPENSES FOR EACH PARTICIPANT

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	320	330	115
For each accompanying family member	320	0	115

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
 Name and title: KARL B. RODNEY, CEO
 Organization: CARIB NEWS FOUNDATION
 Address: 7 WEST 38TH STREET, 8TH FLOOR NEW YORK, NY 10018
 Telephone number: 212-944-1991
 Fax number: 212-944-2089
 Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date: 4/2007 by Committee on Standards of Official Conduct

2007 MULTINATIONAL BUSINESS CONFERENCE			
NOVEMBER 8 -11, 2007			
INVITED MEMBERS OF CONGRESS			
Sanford Bishop			
G. K. Butterfield			
Donna M. Christensen			
William Lacy Clay			
Sheila Jackson Lee			
Eddie Bernice Johnson			
Kendrick Meek			
Donald Payne			
Charles Rangel			
Bennie Thompson			
Stephanie Tubbs-Jones			
Carolyn Kilpatrick			
Barbara Lee			
Gregory Meeks			
Edolphus Towns			
Yvette Clarke			
Maxine Waters			
James Clyburn			
David Scott			
Laura Richardson			
Eleanor Holmes-Norton			
Elijah Cummings			
Diane Watson			
Keith Ellison			
Henry Johnson			
Melvin Watt			
Albert Wynn			
John Lewis			

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Laura Richardson			
Eleanor Holmes-Norton			
Elijah Cummings			
Diane Watson			
Keith Ellison			
Henry Johnson			
Melvin Watt			
Albert Wynn			
John Lewis			
Britt Weinsteck			
George Dalley			



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

1907

Exhibit 19

09-2222 0213

WORKING DRAFT

12th Annual Caribbean Multi-National Business Conference Program
 Thursday, November 6, 2008
 11:00 AM - 12:00 PM

CONFERENCE REGISTRATION

COMMUNITY OUTREACH PROGRAM

Value

Theme: **WTO - ROLLS BASED TRADE & POVERTY REDUCTION**

Speakers:
 Hon. Charles Kingi - Member of Congress
 Hon. Madan Velens - Member of Congress
 Hon. Dr. Errol Cort - Minister of Finance & The Economy
 Wallace Ford

Moderator: Wallace Ford

Facilitator: MICC Institute

Sponsor:

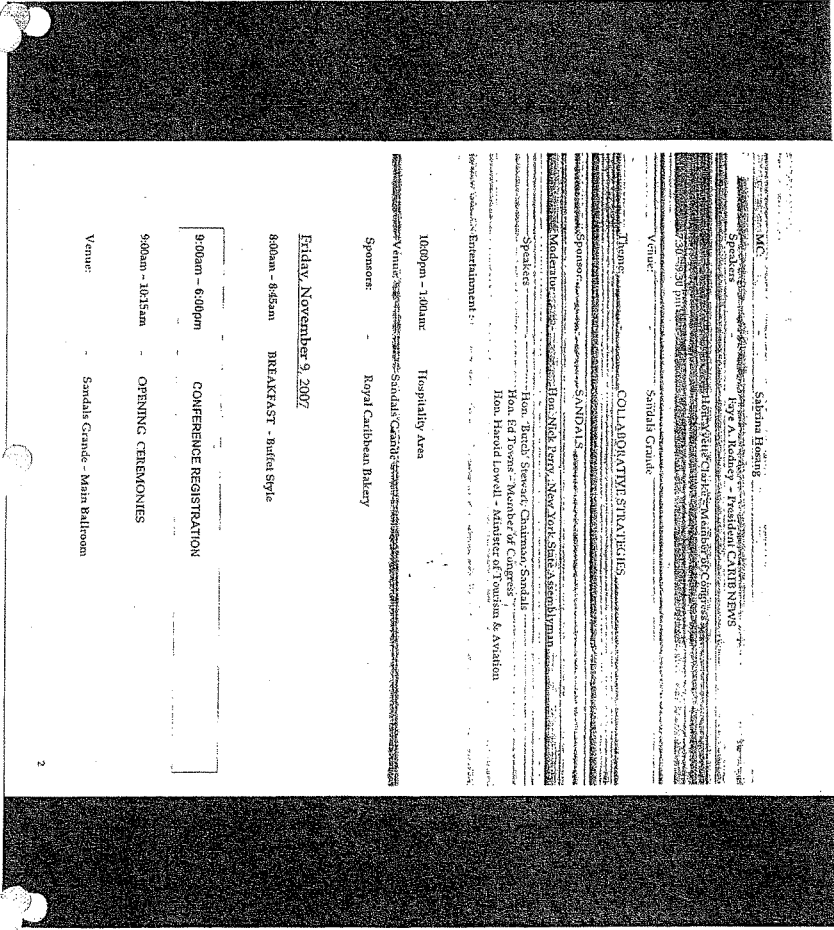
ID

6:30 - 7:30pm **OPENING RECEPTION**

Venue: Sandals Grande

Theme: **CELEBRATING DIASPORA TIES**

Sponsor: Antigua Government



09-2222 0215

MC
Speakers
Hon. Nigel Parris, New York State Assembly
Hon. Ed Power, Minister of Tourism & Aviation
Hon. Harold Lowell, Minister of Tourism & Aviation

COLLABORATIVE STRATEGIES
Sponsors: Sandals Grande
Venue: Sandals Grande

10:00pm - 11:00pm Hospitality Area
Sponsors: Sandals Grande, Royal Caribbean Bakery

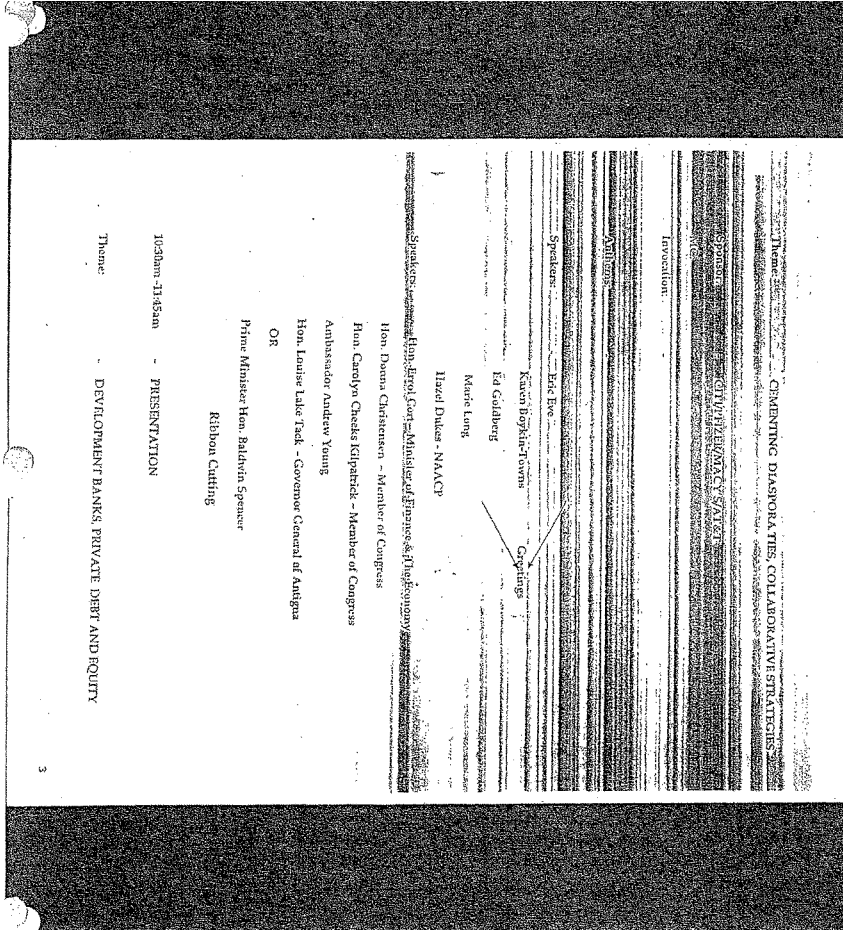
Friday, November 9, 2007

8:00am - 8:45am BREAKFAST - Buffet Style

9:00am - 6:00pm CONFERENCE REGISTRATION

9:00am - 10:15am OPENING CEREMONIES

Venue: Sandals Grande - Main Ballroom



09-2222 0216

CHINING DIASPORATES, COLLABORATIVE STRATEGIES

10:30am - 11:45am

Introduction:

Speakers: Eric Eby

Karen Fogel-Town

Ed Goldberg

Marie Lang

Hazel Dulac - NAACP

Speakers: Hon. Earl Cort - Minister of Finance & Economy

Hon. Donna Christensen - Member of Congress

Hon. Carolyn Cheeks Kilpatrick - Member of Congress

Ambassador Andrew Young

Hon. Louise Lake Task - Governor General of Antigua

OR

Prime Minister Hon. Bahadur Spencer

Rishon Cutting

10:30am - 11:45am - PRESENTATION

Theme: DEVELOPMENT BANKS PRIVATE DEBT AND EQUITY

1980-1981

Venue: Sandals Grande

Sponsors: CITI

Theme: NATIONAL SECURITY: A PRECONDITION FOR SUCCESS

Venue: Sandals Grande

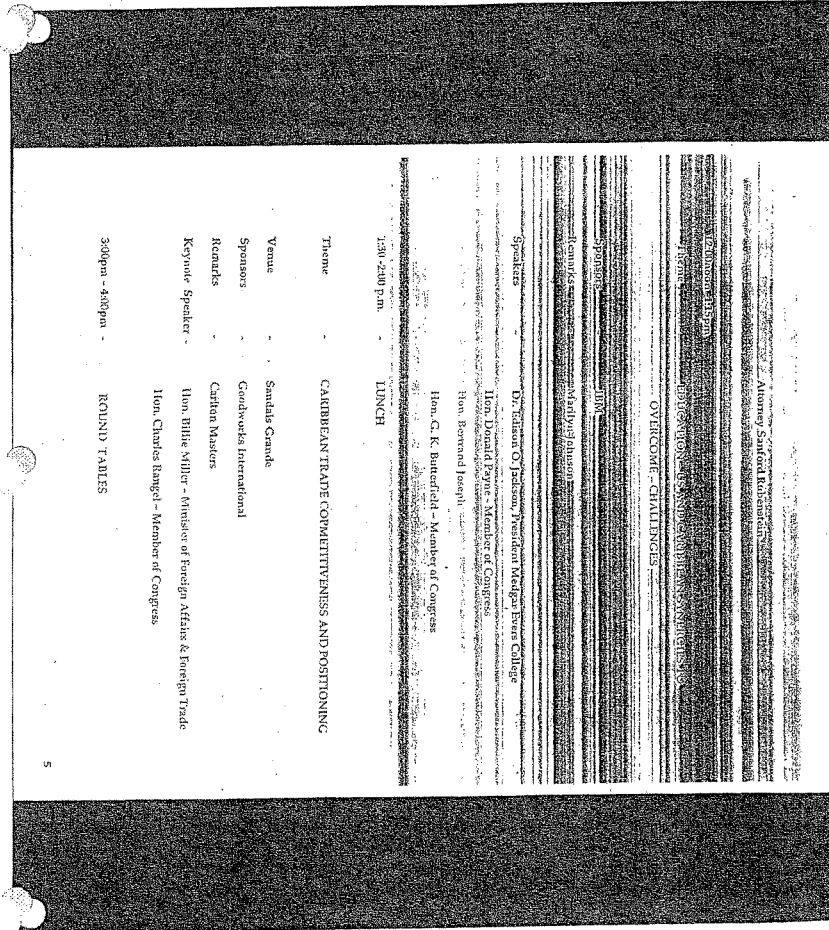
Sponsors: HSBC

Moderator: Dr. Basil Wilson

Remarks: Reginald Canal

Keynote Speaker: Hon. Bernice Thompson - Member of Congress

Panellists: Hon. William Lay Clay Jr. - Member of Congress
Hon. Donna Christensen - Member of Congress
Hon. Yvette Clarke - Member of Congress
Hon. Colin V. A. Derek - Minister of Justice



OVERCOME - CHALLENGES

Speakers

Dr. Edlan O'Jadson, President Madigan Evans College

Hon. Donald R. Nyberg, Member of Congress

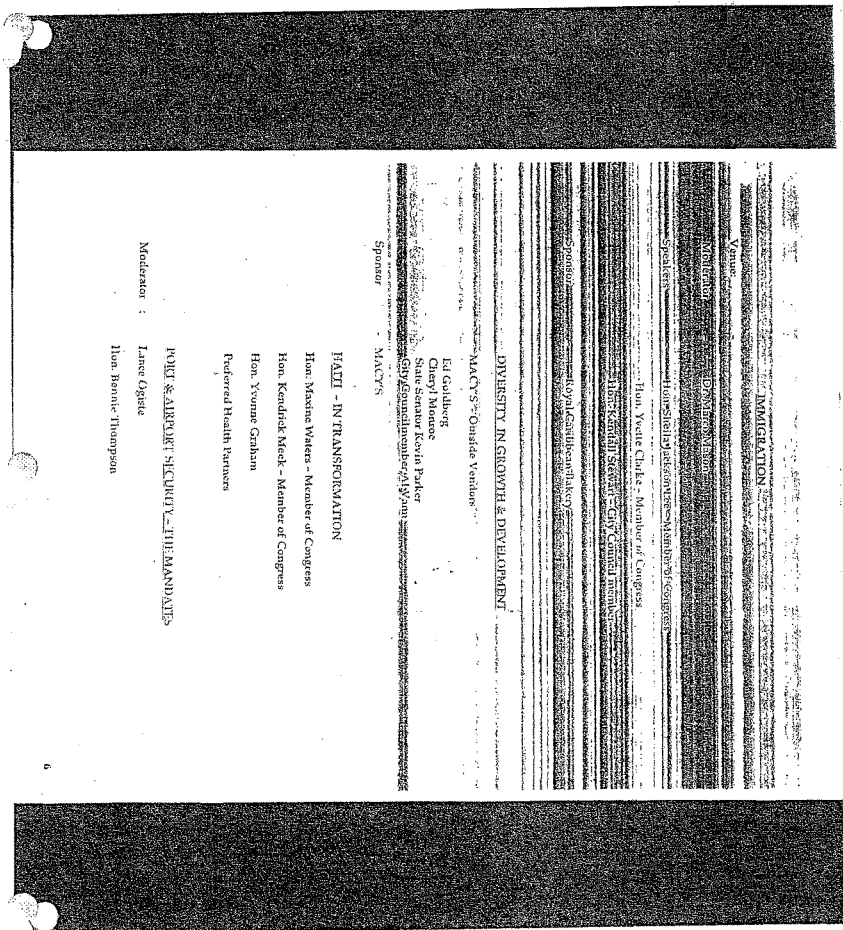
Hon. Bernard Joseph, Member of Congress

1:30 - 2:00 pm LUNCH

THEME - CARIBBEAN TRADE COMPETITIVENESS AND POSITIONING

- Venue - Sanzale Grande
- Sponsors - Goodworks International
- Remarks - Carlton Masters
- Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade
- Hon. Charles Rangé - Member of Congress

3:00pm - 4:00pm - ROUND TABLES



09-2222 0219

WOMEN'S MOVEMENT

Volume

Number

Editor

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Editorial Board

WOMEN'S MOVEMENT - THE MANDATES

Moderator : Lane Oystie

Hon. Benite Thompson

HAITI - IN TRANSFORMATION

Hon. Maxine Waters - Member of Congress

Hon. Kendrick Meek - Member of Congress

Hon. Yvonne Graham

Federated Health Partners

DIVERSITY IN GROWTH & DEVELOPMENT

MACTV - Outside Ventures

Ed Goldberg

Chief of Mission

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

State Senator Kevin Parker

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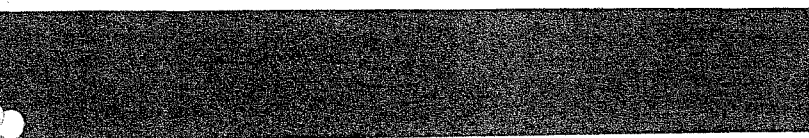
State Senator Kevin Parker

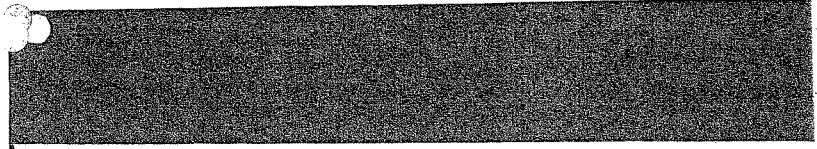
State Senator Kevin Parker

State Senator Kevin Parker

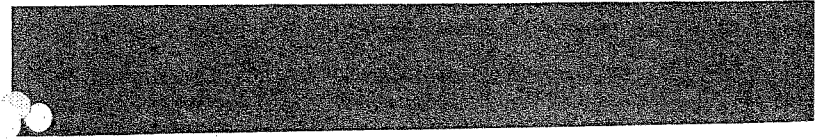
State Senator Kevin Parker

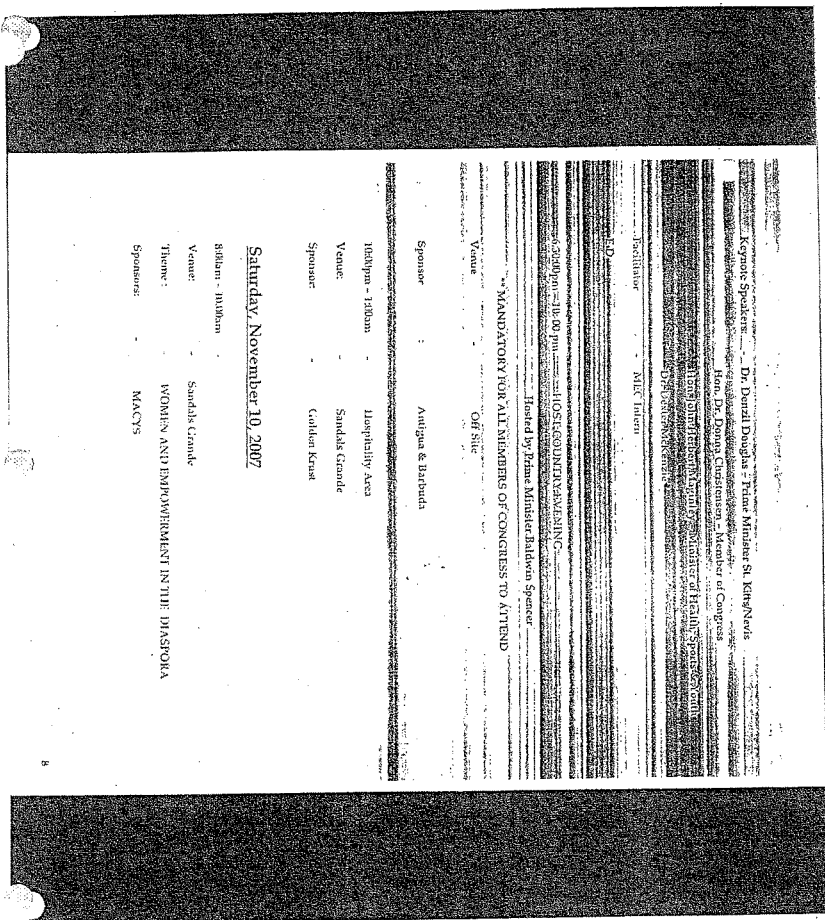
State Senator Kevin Parker





Speaker: Hon. Gregory Meeks - Member of Congress
 CARRICOMM - 11D
 4:00pm - 5:00pm
 Venue: OGE Site
 Theme: HEALTH - COMMON ISSUES - PROVEN SOLUTIONS
 Sponsor: Pfizer
 Remarks: Karen Boykin-Towns
 Moderator: Dr. Maria Byrne Smith





Dr. Donald D. Davis - Prime Minister St. Kitts/Nevis
Hon. Dr. Donna Christensen - Member of Congress

Dr. Donald D. Davis
Hon. Dr. Donna Christensen

HOSEI-GOUMIYAKIENING

Hosted by Prime Minister Baldwin Spencer

MANDATORY FOR ALL MEMBERS OF CONGRESS TO ATTEND

Sponsor : Antigua & Barbuda

Host/Hostess : Hospitality Area

Venue : Sandals Grande

Sponsor : Carlson Kemp

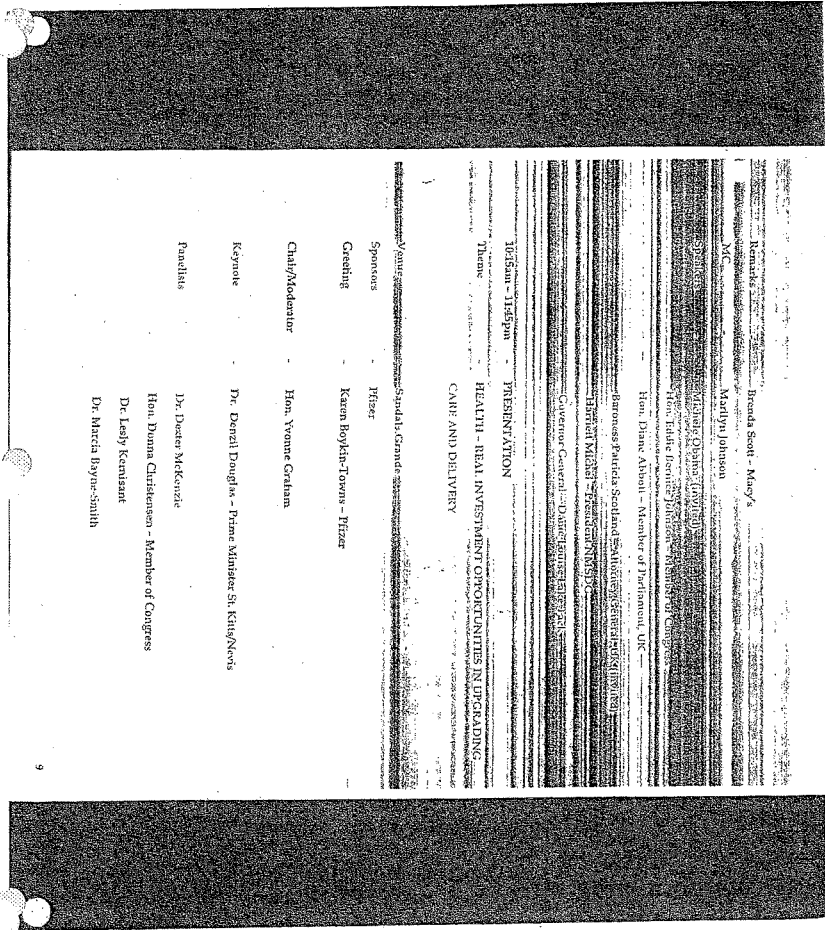
Saturday, November 10, 2007

Location : Barbados

Venue : Sandals Grande

Theme : WOMEN AND EMPOWERMENT IN THE DIASPORA

Sponsor : MACYS



09-2222 0222

Brenda Scott - Mayor
Marilyn Johnson

Hon. Diane Abbott - Member of Parliament, UK

Hon. Patricia Scotland - Secretary of State for Scotland

Governor General and Director General of the

PRESENTERS

Theme: HEALTH - REAL INVESTMENT OPPORTUNITIES IN UPGRAADING

CARE AND DELIVERY

Sponsors

Riser
Keren Boykin-Towns - Riser

Chair/Moderator

Hon. Yvonne Gethin

Keynote

Dr. Doreen Douglas - Prime Minister St. Kitts/Nevis

Panelists

- Dr. Debra McKenzie
- Hon. Diana Christensen - Member of Congress
- Dr. Leahy Kerasiari
- Dr. Marcia Bayne-Smith



Caribbean person - TBD

10:15am - 11:30am

THE CARIBBEAN TOURISM COMMISSIONS INITIATIVE

CATALYST

Chair/Modérateur: ~~Henry Williams~~

Speakers: Hon. Gregory Meade - Member of Congress
 Hon. Keith Hill - Member of Congress
 Hon. Allan Chastant - Minister of Tourism, St. Lucia
 Hon. Izard Levelt - Minister of Tourism, Antigua & Barbuda

10:15am - 11:30am

TECHNOLOGY & COMMUNICATION - SHANNING

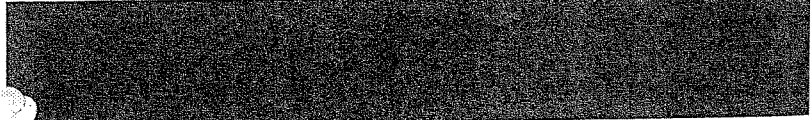
Venue: Sandale Grande

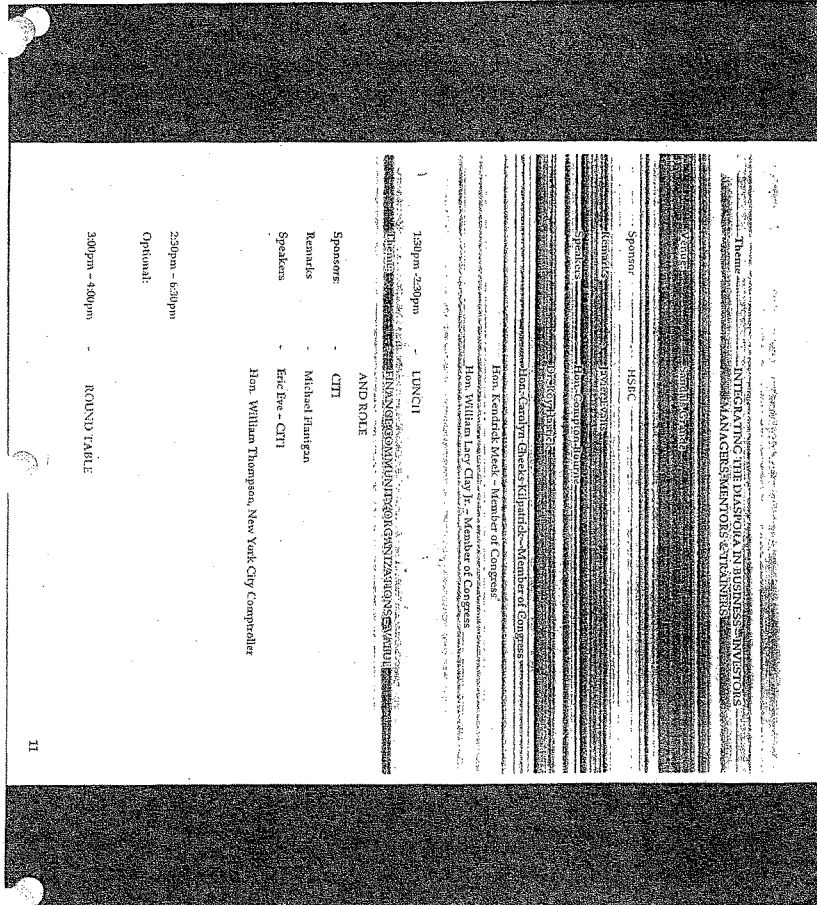
Sponsor: AT&T

Remarks: Marie Long

Keynote Speakers: Hon. Ed Towns - Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Edmund Merson - Member of State

12:00noon - 1:15pm -





INTEGRATING THE DIASPORA IN BUSINESS INVESTORS
AND MANAGERMENT CONSULTANTS

13:00pm - 1:00pm

13:00pm - 1:00pm

13:00pm - 1:00pm

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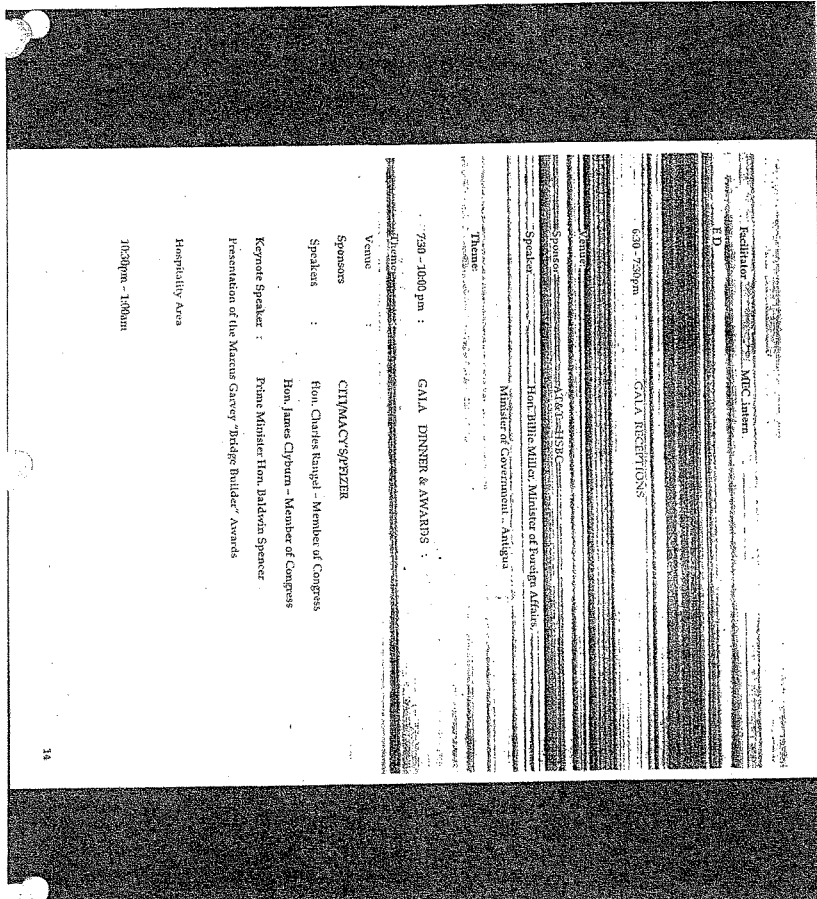
13:00pm - 1:00pm

Moderator: Michael Hanigan
 Speakers: Dr. Edson Jackson - President Medgar Evers College
 Hon. Danny Davis - Member of Congress
 Hon. David M. Dinkins - Former Mayor New York City
 Hon. Eddie Bernice Johnson - Member of Congress
 Hon. Bernard Joseph

Sponsor: American Airlines
 Hon. Gregory Meeks - Member of Congress
 Hon. H. Clayman - Member of Congress
 Mr. Lloyd Wilkins

Sponsor: CARM NEWS
 Address: 507pm
 COMMUNITY CONTACT -
 EDUCATION - ACCESS AND COLLABORATION
 Venue: Of Site
 Sponsor: CTR

North America
 Passport - ADMINISTRATIVE CONSTRAINTS ON TOURISM
 Venue:



Realtime MRC
E.D.

6:30 - 7:00pm
GALA RECEPTIONS

Sponsor :
Hon. Billie Melia, Minister of Foreign Affairs
Minister of Government of Antigua

7:30 - 10:00 pm : GALA DINNER & AWARDS

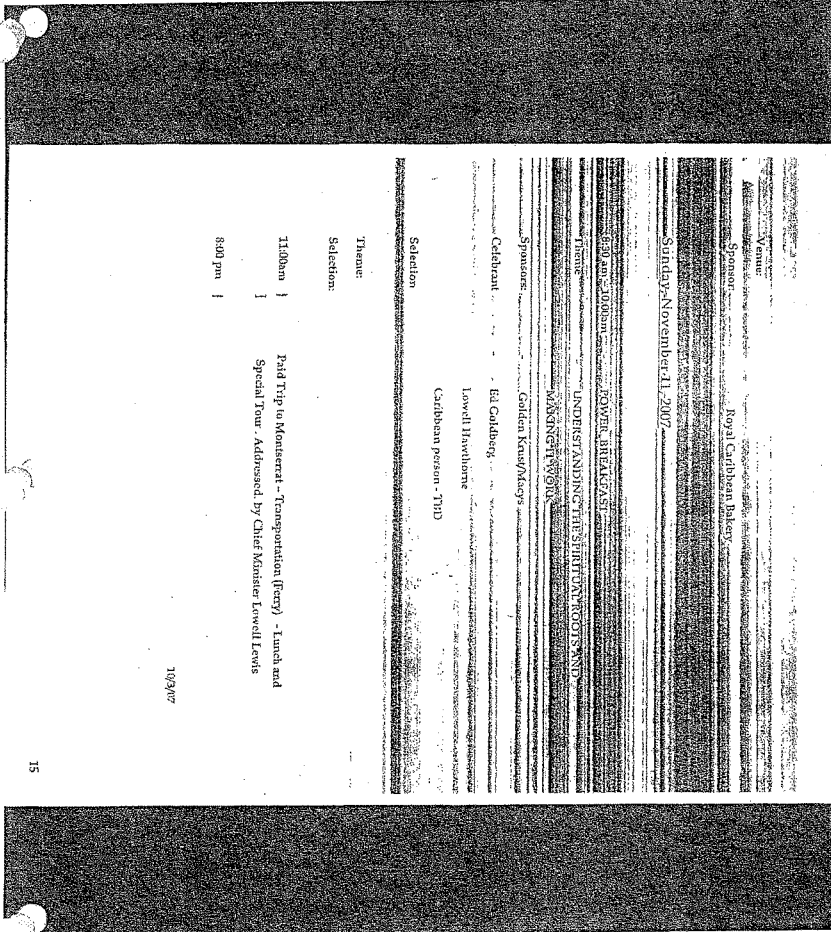
Venue :
CLYMACY SPITZER

Sponsors :
Hon. Charles Kiangi - Member of Congress
Hon. James Clyburn - Member of Congress

Keynote Speaker :
Prime Minister Hon. Jaldwin Spencer
Presentation of the Marcus Garvey "Bridge Builder" Awards

Hospitality Area

10:30pm - 1:00am



09-2222 0228

Sponsor: Royal Caribbean Bakery
Sunday-November 1, 2007

GOVERN, BREA/CVAST
UNDERSJTANDING THE SHITON/ROOISVNN
MONGT/900

Sponsors: Golden Kiosk/Kosky

Celebrant: El Goldberg
Lowell Hawthorne
Caribbean person - THD

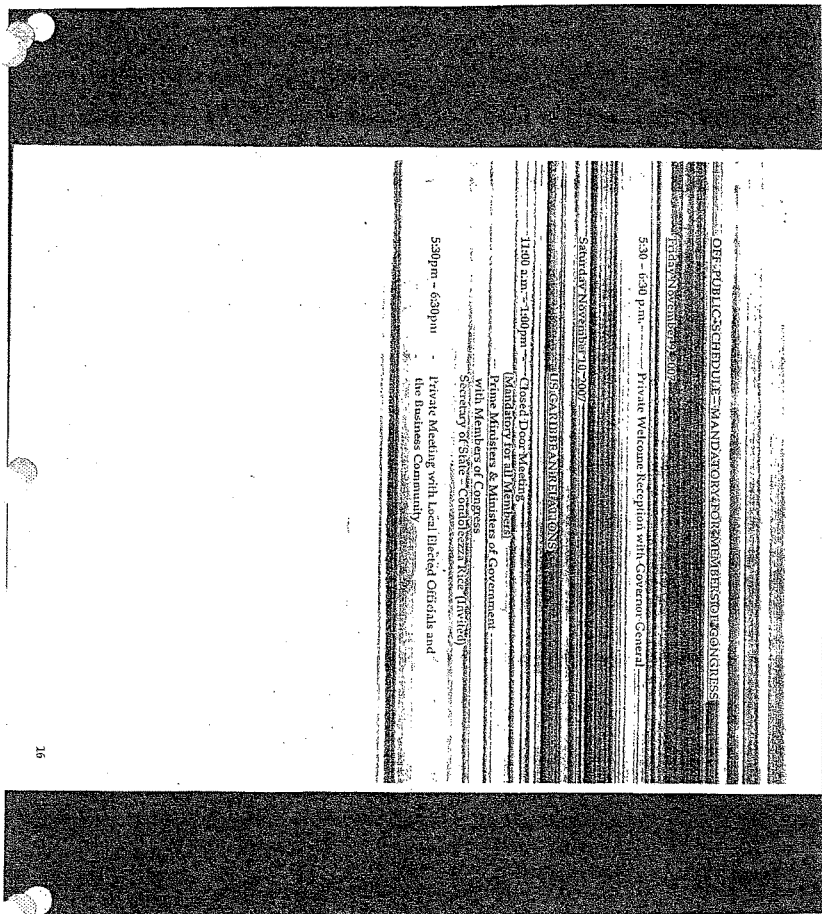
Selection

Theme

Selection

11:00am } Paid Trip to Monserrat - Transportation (Ferry) - Lunch and
1 Special Tour. Addressed by Chief Minister Lowell Lewis
8:00 pm |

10/30/07



09-2222 0229

5:30 - 6:00 pm - Private Welcome Reception with Governor General

Saturday, November 10, 2007

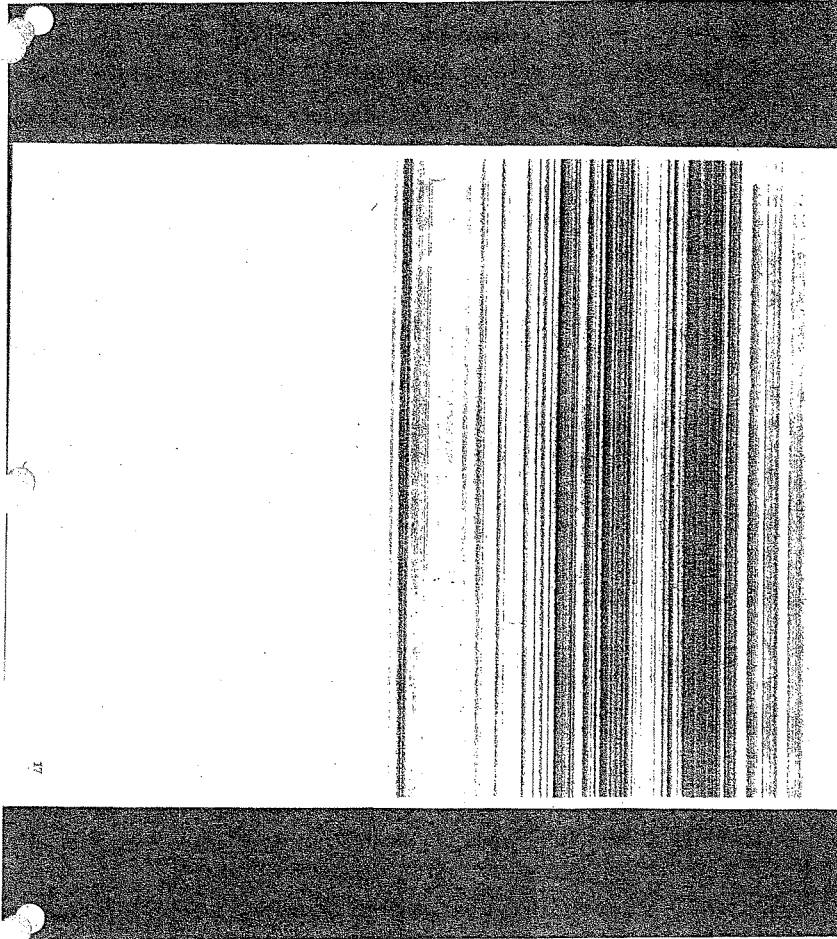
US GAMBLING REGULATIONS

1:00 pm - 1:00 pm - Closed Door Meeting

Government of Saskatchewan

Secretary of State and Minister of Government

5:30 pm - 6:30 pm - Private Meeting with Local Business Officials and the Business Community



1925

Exhibit 20

09-2222 0231

1926

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended,

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Representative 1 from Macy's
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 27, 2009
Location: 11 Penn Plaza
New York, NY 10001
Time: 11:10pm to 12:00 p.m. (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Representative 1 from Macy's is the Senior Vice President of Government and Consumer Affairs and Diversity Vendor Development at Macy's, Inc. He was interviewed pursuant to Review 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. We requested an interview with Representative 1 from Macy's and he consented to an interview. Representative 1 from Macy's made the following statements in response to our questioning:

1. Representative 1 from Macy's was given an 18 U.S.C. § 1001 warning and consented to an interview. Representative 1 from Macy's signed a written acknowledgement of the warning. [attached]
2. Representative 1 from Macy's met Karl and Faye Rodney in 1996. As a result of the large Caribbean Community in New York City and Macy's commercial interests in the Caribbean region, Representative 1 from Macy's asked Macy's advertizing division to consider the CaribNews newspaper.
3. The first conference he attended was in 1998 in St. Lucia. He attended as a participant. At the time, Macy's was considering a store in Puerto Rico and so Representative 1 from Macy's was especially interested in business in the region. He was impressed by how many government officials from the Caribbean region were present at the conference and by what they had to say about developing relationships with the United States.

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

4. In the ensuing years, Macy's became a sponsor. The conference was a place where the company looked for vendors and designers. It was also a place where they could hear from U.S. legislators about their thoughts on the region. It was also a place for Representative 1 from Macy's to connect with U.S. companies who were attending.
5. Macy's first sponsored the conference in 2000. Over the years they have sponsored the event on an annual basis at varying levels, ranging from \$10,000 to \$35,000. As women's issues are particularly important to Macy's, given their clientele, their sponsorship focuses on the conference's "Women's Power Breakfast." The event was described as very lavish. All attendee of the breakfast also get a Macy's tote bag.
6. In 2008, Macy's contribution to the conference was \$35,000 in cash and an in-kind contribution of 400 tote bags. The total value of the in-kind contribution was \$4,620. The money was earmarked specifically for the conference.
7. As a sponsor, Macy's was given the opportunity to have a representative make opening remarks, to have representation at the Women's Power Breakfast, have input into who the speaker at the breakfast will be, and attend the closed door session with Members of Congress and officials from the Caribbean governments. Representative 1 from Macy's did in fact attend the closed door session in 2008. He recalled that other corporate sponsors also attended the meeting, but he could not recall which ones.
8. Representative 1 from Macy's recalled interacting with Representative Payne, Representative Kilpatrick, Representative Thompson, Representative Rangel, and Delegate Christensen. His interactions were all social in nature.
9. Representative 1 from Macy's was not aware of any other events sponsored by or planned by the CaribNews Foundation. With the exception of the conference, he was not aware of any other instances when Macy's supported a CaribNews Foundation event. Given Representative 1 from Macy's position within the company, it is very likely he would know if Macy's had done so.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Representative 1 from Macy's on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Representative 1 from Macy's on May 27, 2009.

Omar Ashmawy
Investigative Counsel

1928

OFFICE OF CONGRESSIONAL ETHICS
U.S. HOUSE OF REPRESENTATIVES

18 U.S.C. § 1001 ACKNOWLEDGEMENT

I have been provided with a copy of the text of section 1001 of title 18, United States Code (popularly known as the false Statements Act) and hereby acknowledge that it applies to any testimony or documents I provide to the Office of Congressional Ethics.

Name: 

Signature: 

Date: 5/29/08

For Office Use:
Review(s) No. _____

1929

Exhibit 21

09-2222 0235

Updated Schedule
for Carib News

18/24/2007 19:00 2129442089

PAGE 03

WORKING DRAFT 10/22

12th Annual Caribbean Multi-National
Business Conference Program
Antigua & Barbuda

Thursday, November 8, 2007

12:00noon- 6:00pm : CONFERENCE REGISTRATION -

5:00 - 6:30am - COMMUNITY OUTREACH PROGRAM
Venue - Multi-Purpose Cultural Centre - Ferry Bay, St. John's
Theme - WTO - RULES BASED TRADE & POVERTY REDUCTION
Speakers - Hon. Charles Rangel - Member of Congress
Hon. Maxine Waters - Member of Congress
Hon. Dr. Errol Cort - Minister of Finance & The Economy
Moderator - Tony Best

6:30- 7:30pm - OPENING RECEPTION
Venue - Sandaln Grande - Main Ballroom
Theme - CEMENTING DIASPORA TIES
MC - Judge Sylvia Hinds-Radix
Speakers - Faye A. Rodney - President CARIB NEWS
Hon. Yvette Clarke - Member of Congress

7:30 - 9:30 pm -
Theme - COLLABORATIVE STRATEGIES

Venue: - Sandals Grande - Main Ballroom
 Moderator - Hon. Nick Perry, New York State Assemblyman
 Speakers - Hon. 'Butch' Stewart, Chairman, Sandals
 Hon. Ed Towns - Member of Congress
 Hon. Harold Lovell - Minister of Tourism & Aviation

10:00pm - 1:00am: - Hospitality Area
 Venue: Sandals Grande - Reflective Pool

Friday, November 9, 2007

8:00am - 8:45am BREAKFAST - Buffet Style

9:00am - 6:00pm	CONFERENCE REGISTRATION
-----------------	-------------------------

9:00am - 10:15am - OPENING CEREMONIES

Venue: - Sandals Grande - Main Ballroom
 Theme: - CEMENTING DIASPORA TIES, COLLABORATIVE STRATEGIES

Remarks: - Eric Eye
 Dr. Lowell Lewis, Chief Minister
 Montserrat
 Karen Boydin-Towns
 Ed Goldberg
 Marie Long
 Hazel Dukes - NAACP
 William Thompson, NYC Comptroller
 Commissioner Sarah Wesco-Williams, Leader of Govt. St. Maarten
 Greetings

Speakers: Hon. Erol Cort - Minister of Finance & The Economy
 Hon. Donna Christensen - Member of Congress
 Hon. Carolyn Cheeks Kilpatrick - Member of Congress

Ambassador Andrew Young
 Hon. Louise Lake Tack - Governor General of Antigua
 OR
 Prime Minister Hon. Baldwin Spencer

Ribbon Cutting

10:30am - 11:45am - . PRESENTATION
 Theme: - DEVELOPMENT BANKS, PRIVATE DEBT AND EQUITY
 Venue: - Sandals Grande
 Chair/Moderator - Dr. Enrique Riggs
 Speaker: - Hon. Madine Waters - Member of Congress
 Hon. Compton Bourne - President, CDB
 Mr. Milton Lawrence, Chairman Financial Services Corporation
 Mr. Rudolph Carryl
 Hon. Dr. Deuzil Douglas, Prime Minister/Minister of Finance, St. Kitts

10:30 - 11:45am CULTURE - PROMISE & POTENTIAL IN BUSINESS
 Remarks:
 Speaker: Professor Rex Nettleford
 Hon. Howard Dutton
 Patrick Payne
 Hon. Harold Lovell, Minister of Culture

12:00noon - 1:15pm
 Theme: - NATIONAL SECURITY: A PRE-CONDITION FOR SUCCESS
 Venue: - Sandals Grande
 Moderator: - Leyland Hazelwood
 Remarks: - Reginald Canal

Keynote Speaker: Hon. Bennie Thompson - Member of Congress
 Panelists: - Hon. Dwyer Astaphum, Minister of National Security
 Hon. Yvette Clarke - Member of Congress
 Hon. Colin V. A. Derrick - Minister of Justice
 Attorney Sanford Rubenstein

12:00noon - 1:15pm

Theme: EDUCATION - U, S AND CARIBBEAN SYNERGIES TO
 OVERCOME CHALLENGES
 Remarks: - Colin Ward
 Speakers: - Dr. Edison O. Jackson, President Medgar Evers College
 Hon. Donald Payne - Member of Congress
 Hon. Bertrand Joseph, Minister of Education

1:30pm - 2:30pm

LUNCH
 Theme: - FINANCE COMMUNITY ORGANIZATION'S - VALUE
 AND ROLE
 Remarks: - Michael Hanigan
 Speakers: - Eric Eve - CITI
 Hon. William Thompson, New York City Comptroller

3:30pm - 4:00pm

ROUND TABLES
IMMIGRATION
 Venues: - Sandals Grande
 Moderator: - Dr. Marco Mason

- Speakers - Hon. Barbara Lee - Member of Congress
- Hon. Yvette Clarke - Member of Congress
- Hon. Kendall Stewart - City Council member

DIVERSITY IN GROWTH & DEVELOPMENT

MACY'S - Outside Vendors

- Ed Goldberg
- Cheryl Monroe
- State Senator Kevin Parker
- City Councilmember Inez Dickens

HAITI - IN TRANSFORMATION

- Hon. Maxine Waters - Member of Congress
- Hon. Yvonne Guillaume
- Ambassador Andrew Young

PORT & AIRPORT SECURITY - THE MANDATES

- Moderator : Mr. Lance Ogiste
- Hon. Bernie Thompson
- Hon. Dwer Aisiphan

Venue Sandals Grande

Participant - Preferred Health Partners

Speakers - Hon. Barbara Lee - Member of Congress

Venue:

Speaker - Hon. Gregory Meeks - Member of Congress ?
CARICOM REP. - TBD

5:00pm - 6:30pm -
Venue: - Multipurpose Cultural & Exhibition Centre - Ferry Bay

Theme - HEALTH - COMMON ISSUES - PROVEN SOLUTIONS - MOVE
Healthy Eating, Healthy Living

Remarks - Ms. Karen Boykin-Townes

Moderator - Dr. Marcia Bayne Smith

Keynote Speakers: - Dr. Denzil Douglas - Prime Minister St. Kitts/Nevis
Hon. Dr. Martin Valdes - Preferred Health Partners
Hon. John Herbert Maginley - Minister of Health, Sports & Youth
Dr. John Palmer
Hon. Diane Watson, Member of Congress

6:30:00pm - 10:00 pm - HOST COUNTRY EVENING
Hosted by Prime Minister Baldwin Spencer

Venue - Multipurpose Cultural and Exhibition Centre - Ferry Bay

10:00pm - 1:00am - Hospitality Area
Venue: - Sandals Grande - Reflection Pool

Saturday, November 10, 2007

8:00am - 10:00am

Venue - Sandals Grande - Main Ballroom
 Theme - WOMEN AND EMPOWERMENT IN THE DIASPORA

Remarks - Brenda Scott
 MC - Marilyn Johnson
 Speakers - Hon. Diane Abbott, MP UK
 Commissioner Sarah Westcot-Williams,
 Hon. Caroline Cheeks Kilpatrick, Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Mamella Maxwell
 Ms. Toni Bay
 Governor General - Dame Louise Lake Tak - check
 Ms. Lucille McEwen, President/CEO HCCI
 Commissioner Westcot-Williams, Leader of Government

10:15am - 11:45pm

PRESENTATION
 Theme - HEALTH - REAL INVESTMENT OPPORTUNITIES IN UPGRADING
 CARE AND DELIVERY
 Venue - Sandals Grande
 Greeting - Karen Brydon-Towne - Pfizer
 Chair/Moderator - Hon. Yvonne Graham
 Keynote - Dr. Dearyl Douglas - Prime Minister St. Kitts/Nevis

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Panellists Hon. John Herbert McGinley, Minister of Health
 Dr. Marcia Bayne-Smith
 Dr. Carl Kenel Pierre, Preferred Health Partners

10:15am-11:45am -
 Theme - TRAVEL & TOURISM EXPANSION - THE DIASPORA AS
 CATALYST
 Venue - Sandals Grande
 Chair/Moderator - Mr. Lloyd Williams, GHCC
 Speakers - Hon. Laura Richardson - Member of Congress
 Hon. Harold Lovell, Minister of Tourism, Antigua & Barbuda
 Hon. Howard Dotson, Director Schomburg Center

12 noon- 1:15pm - PRESENTATION
 Theme - TECHNOLOGY & COMMUNICATION -
 SHARING
 Venue: - Sandals Grande
 Remarks - Ms. Marie Long
 Keynote Speakers: - Hon. Ed Towns - Member of Congress
 Hon. Eddie Bernice Johnson - Member of Congress
 Dr. Edward Mansoor - Member of State
 Dr. Emmanuel Gonsalves

12:00noon - 1:15pm -
 Theme - INTEGRATING THE DIASPORA IN BUSINESS - INVESTORS
 MANAGERS, MENTORS & TRAINERS

Venue: - Sandals Grande

Moderator - Roy Hastick

Participant - HSBC

Remarks - Mr. Javier Evans

Speakers - Hon. Compton Bennett
 Hon. Adelfa Carrion, Bronx Borough President
 Hon. Carolyn Cheeks Kilpatrick - Member of Congress
 Hon. Professor Rex Nettleford

1:30 - 2:00 p.m. - LUNCH

Theme - CARIBBEAN TRADE COMPETITIVENESS AND POSITIONING

Venue - Sandals Grande

Remarks - Wallace Ford - Goodworks International

Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade
 Hon. Charles Rangel - Member of Congress

2:30pm - 6:30pm
 Optional

3:00pm - 4:00pm - ROUND TABLE

Venue - Sandals Grande

Round Table#1

Theme : CARIBBEAN - A COMPETITIVE HEALTH CARE DESTINATION

Venue

Remarks : Dr. Lesly Kewisart

Speakers : Dr. Dexter McKenzie

Hon. Yvonne Graham

Round Table#2

Theme - HEMISPHERIC DISASTER FUND

Venue - Sandals Grande

Speakers Hon. Yvette Clarke - Member of Congress

Mr. Leyland Hazlewood

Mr. Emmanuel Gonsalves

Participant -

Round Table#3

Theme - CLOSING THE GENERATION GAP

Speaker - Hon. Yvette Clarke - Member of Congress

Karlisa Rodney

Lita Balram

Sabrina Hosung

Wintirope Wellington

Venue - Sandals Grande

Participant -

Round Table #4

Theme : PASSPORT & ADMINISTRATIVE CONSTRAINTS ON TOURISM

Venue : Sandals Grande

Speakers : Hon. Harold Lovell - Minister of Tourism
Mr. Lloyd Williams, GHCC
Hon. Darryl Towns, State Assemblyman

5:00pm - 6:30pm - COMMUNITY OUTREACH -

EDUCATION & YOUTH DIRECTION - ACCESS AND COLLABORATION

Venue : Multipurpose Cultural & Exhibition Centre

Moderator : Michael Flanigan

Speakers : Dr. Edison Jackson - President Medgar Evers College
Hon. David M. Dinkins - Former Mayor New York City
Hon. Eddie Bernice Johnson - Member of Congress
Hon. Bertrand Joseph

6:30 - 7:30pm - GALA RECEPTION

Venue : Sandals Grande

Speakers : Hon. Stephanie Tubbs-Jones, Member of Congress
Hon. Billie Miller, Minister of Foreign Affairs,
Minister of Government .. Antigua

Theme:

7:30 - 10:00 pm : GALA DINNER & AWARDS
 Theme :
 Venue : Sandals Grande - Main Ballroom
 Speakers : Hon. Charles Rangel - Member of Congress
 Hon. Maxine Waters - Member of Congress
 Keynote Speaker : Prime Minister Hon. Baldwin Spencer
 Presentation of the Marcus Garvey "Bridge Builder" Awards

Hospitality Area

10:30pm - 1:00am

Venue:

Sunday, November 11, 2007

8:30 am - 10:00am - POWER BREAKFAST
 Theme - UNDERSTANDING THE SPIRITUAL ROOTS AND
 MAKING IT WORK
 Celebrant - Ed Goldberg
 Lowell Hawthorne
 Caribbean person - TBD

Selection

Theme:

Selection:

10/16/07

12

1942

Exhibit 22

09-2222 0248

1943

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Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS
UNITED STATES HOUSE OF REPRESENTATIVES

Memorandum of Interview

In Re: Staff Member 1 of Congressman Rangel's Office
Review #: 09-6333; 09-2567; 09-4403; 09-3698; 09-2222
Date: May 27, 2009 and May 28, 2009
Location: Washington, DC (via telephone on May 27, 2009) and at Rayburn HOB 354 (on May 28, 2009)
Time: 4:10pm to 5:30 p.m. (approximately) and 3:30pm to 4:00pm (approximately)
Participants: Leo Wise
Omar Ashmawy

Summary: Staff Member 1 of Congressman Rangel's Office is currently the chief of staff of Representative Charles Rangel, a Member of the United States House of Representatives representing the Fifteenth District of New York. He was interviewed pursuant to Review 09-2567; 09-4403; 09-3698; 09-6333; and 09-2222. We requested an interview with Staff Member 1 of Congressman Rangel's Office and he consented to an interview. Staff Member 1 of Congressman Rangel's Office's counsel was present. Staff Member 1 of Congressman Rangel's Office made the following statements in response to our questioning:

1. Staff Member 1 of Congressman Rangel's Office was given an 18 U.S.C. § 1001 warning and consented to an interview. Staff Member 1 of Congressman Rangel's Office signed a written acknowledgement of the warning. [attached]
2. Staff Member 1 of Congressman Rangel's Office did not attend the 13th Annual Caribbean Multi-National Business Conference although he received an invitation. In response to a Request for Information by OCE, Staff Member 1 of Congressman Rangel's Office looked for Representative Rangel's invitation to the conference, but could not find it. He had thought Representative Rangel had received one, but because he could not find the invitation he now thinks that Representative Rangel did not receive one.

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3. Representative Rangel could have been invited orally as he has been attending the conference for years and was seen almost as a partner. He attends the conference because he is dedicated to strengthening the relationships between the Caribbean community, the African-American community of New York City and in general, and the United States.
4. The question of whether Representative Rangel would attend the conference in 2008 was a difficult one because of the negative press he had received throughout the preceding summer. Representative Rangel was cautious about attending because he didn't want to be a target for additional negative publicity, but Staff Member 1 of Congressman Rangel's Office and others on the Member's staff argued that he should go to the conference. They argued that if Representative Rangel did not attend he would be rejecting the friends with whom he helped build the conference and that the Member had to stand up and do the right thing despite the possible negative publicity.
5. Ultimately, Staff Member 1 of Congressman Rangel's Office and his staff persuaded the Member to attend. Representative Rangel decided to keep a low profile and, unlike in past years, staff would not attend the conference with the Member.
6. Staff Member 1 of Congressman Rangel's Office stated that the CaribNews Foundation invited the Member. The CaribNews Foundation is the charitable arm of the newspaper. When the rules changed in 2007 and corporations could not be involved as before – i.e. direct contributions to the conference – there was “talk” about how to do the conference in light of the new rules.
7. According to Staff Member 1 of Congressman Rangel's Office, there was “talk” that the Rodneys needed an entity to create a common fund so that the corporate money could be used to pay for the conference and that the CaribNews Foundation could be used for that purpose.
8. Staff Member 1 of Congressman Rangel's Office initially stated that he did not remember how he was aware of this “talk.” He stated that part of it was his reading of the rules and the supplemental material about how things get done. He then stated that “we” celebrated when Representative Susan Tubbs-Jones was made the chair of the Standards Committee in 2007 because she would try to create a way for Members of Congress to continue to attend the conference. Staff Member 1 of Congressman Rangel's Office then stated that he was made aware of the “talk” through the Rodneys and that the Rodneys told Staff Member 1 of Congressman Rangel's Office that Representative Tubbs-Jones was going to have her counsel, Dawn Kelly-Mobley, find a way to make the conference work. The Members would have a few more hoops to go through but “we” would find a

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way. Representative Tubbs-Jones would let Ms. Mobley know the conference was a priority and tell her to make it possible. Representative Tubbs-Jones made Ms. Mobley the point of contact on the issue of the conference.

9. Staff Member 1 of Congressman Rangel's Office stated that Representative Rangel also mentioned that Representative Tubbs-Jones told him something similar. Staff Member 1 of Congressman Rangel's Office stated that there was talk about "keeping the conference going." He also stated that "They gave us the framework to keep the conference going." "They" referred to Ms. Mobley and Representative Tubbs-Jones.
10. Staff Member 1 of Congressman Rangel's Office did not remember any specific conversations with Ms. Mobley, but he would have had them because he would have wanted to make sure he followed her advice. He stated that the 2007 conversations about Standards Committee finding a way to keep the conference going may have also been with Patricia Louis, the Rodney's office manager.
11. Regarding the structure of the CaribNews Foundation, Staff Member 1 of Congressman Rangel's Office said that the role of the foundation was more formalized as a way to handle the new rules and that it would have come from the Standards Committee staff. The Rodney's were the first people to describe the new structure to Staff Member 1 of Congressman Rangel's Office following their conversation with Ms. Mobley. The Rodney's told Staff Member 1 of Congressman Rangel's Office that they could easily comply because they had always done the conference through the foundation. The Rodney's told him that it should have always been called the CaribNews Foundation's conference.
12. Before 2007, Staff Member 1 of Congressman Rangel's Office knew that the corporations had a role in the conference because the format was in part to bring businesses together. Members of Congress would talk policy, but the Members' presence was the glamour part of the conference and a way to attract more people. However, policy was not the central focus of the conference. The central focus of the conference was business and increasing investment in the region. The Members of Congress drew government officials from the various Caribbean governments and these government officials drew the businesses.
13. Staff Member 1 of Congressman Rangel's Office was aware the involvement of other corporations like AT&T, Macy's, Citi, etc because they were pretty consistent supporters of the conference. It was clear they were supporters of the conference financially.

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14. Staff Member 1 of Congressman Rangel's Office did not talk to Representative Rangel about these matters because the Member does not require that level of detail and does not typically ask for specifics.
15. Staff Member 1 of Congressman Rangel's Office did not remember how he became aware of the 2007 rule changes and did not remember attending any briefings or training about the new rules. He thought he was aware of the limits on the number of days a Member could attend an event paid for by an entity that employs a lobbyist, but he was not certain. Mr. Daley did not think he was aware of rules that made an entity that earmarked money for a trip a sponsor of that trip.
16. In response to the question: At the time of the 2007/2008 conferences, how sponsor was defined? Staff Member 1 of Congressman Rangel's Office stated that the New York CaribNews Foundation was the sponsor of the conference because they were putting on the conference with money from a general fund that would pay for the conference. At that time he became conscious of a need for a 501(c)(3) to be the sponsor.
17. Over the course of several phone calls-- most often with Patricia Louis and Faye Rodney, Karl Rodney's wife -- Staff Member 1 of Congressman Rangel's Office learned that the CaribNews Foundation was going to raise money from their traditional donors and other entities.
18. The government of St. Maarten was the lynchpin of the 2008 conference. They were the conference's host and they had specific requirements, as host, that were financial in nature. For instance, when a host government owns a conference center and/or hotel then they give the rooms and conference space for free. If they do not own space, then they have to arrange with a hotel to give rooms and space to the conference at an affordable amount. Other host government support includes police escorts, airport greeting services, and security services. They also host an opening reception and key components of the conference.
19. Although it was not typical, Staff Member 1 of Congressman Rangel's Office became the scheduler for Representative Rangel's travel to the conference in 2008 because he played a part in convincing him to go. Staff Member 1 of Congressman Rangel's Office made the reservations for the Member, but did not remember how he did it or whether the Representative had an e-ticket or a ticket in some other form. He did not remember receiving any airline itinerary documents, but it would have been common practice for him to receive one.

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20. Staff Member 1 of Congressman Rangel's Office was shown the pre-travel clearance form submitted to the Standards Committee and stated that he filled it out and the Member signed it. He was shown the post-travel disclosure forms that were submitted to the Standards Committee and stated that he filled them out and the Representative signed them.
21. Staff Member 1 of Congressman Rangel's Office initially said he got the information to fill out the post-travel forms from the Rodneys and stated that he remembered getting a memo from the Rodneys with the cost of the various aspects of the trip on it and that the memo was where he got the dollar amounts for the post-travel forms. He was then asked to explain why the numbers on the post-travel form matched the pre-travel form and not the memo from the Rodneys. Staff Member 1 of Congressman Rangel's Office then said that he could not say for sure that he got the numbers from the memo. He did not remember if the numbers were from the memo or from the pre-travel forms. He was not sure if he checked the cost of the airfare against the airline tickets or if he got it from the pre-travel documents or from the memo.
22. Generally speaking, however, Staff Member 1 of Congressman Rangel's Office would not look behind the numbers given by the Rodneys. He would assume the Rodneys were accurate. Staff Member 1 of Congressman Rangel's Office was not certain how it was done, but thought that the accounting for the conference was simple and the information for each Member would be the same. He did not ask the Rodneys for supporting documentation for the numbers on the memo.
23. After the conference, he spoke to Representative Rangel generally about the event. When the article by Susan Crabtree was published there was a lot of talk about the subject of the article with several people. Staff Member 1 of Congressman Rangel's Office did not remember with whom he spoke. He then said that he spoke with the Rodneys and the Member. Overall there was an opinion that there could not be anything substantive to the article because the travel was approved by the Standards Committee. It was true that the corporations were at the conference, but they did not have anything to do with their Washington, DC agenda because that's not who attended the conference. His conversations with Representative Rangel were brief and were limited to reassuring him that the office followed the rules and got approval for the travel.
24. After the OCE review was initiated Staff Member 1 of Congressman Rangel's Office called other members of the Congressional Black Caucus. Representative Rangel asked him to make the calls and to tell them that he would stand by them and offered his help.

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25. Staff Member 1 of Congressman Rangel's Office recalled a conversation with Representative Carolyn Kilpatrick's chief of staff, Kim Rudolph. Ms. Rudolph addressed the comments that Representative Kilpatrick made in which she thanked the sponsors of the conference. Staff Member 1 of Congressman Rangel's Office explained Representative Kilpatrick's statements to OCE by saying that that regardless of who the real sponsor of the event was, when Members thank the corporations it helps the Rodneys put on the conference -- even if the sponsors are not sponsors under the rule. Staff Member 1 of Congressman Rangel's Office also remembered speaking to Shelly Thomas, Delegate Donna Christensen's scheduler, but did not recall the specifics of the conversation.
26. Staff Member 1 of Congressman Rangel's Office was not aware of any limits on a private foundation paying for overseas travel. He did not know whether the CaribNews Foundation was a private foundation or a public charity.
27. Staff Member 1 of Congressman Rangel's Office was shown a copy of Congressman Rangel's itinerary and a receipt for an electronic ticket. Staff Member 1 of Congressman Rangel's Office stated that he did not remember seeing it before, but that the itinerary was the type of document that would typically be placed in the Congressman's briefing book so that he could provide the information at the airport in order to get his ticket. Staff Member 1 of Congressman Rangel's Office also pointed out that the receipt for the electronic ticket was the sort of document that would only be provided after the Congressman checked in for his flight.

I prepared this Memorandum of Interview on May 28, 2009 after interviewing Staff Member 1 of Congressman Rangel's Office on May 27, 2009. I certify that this memorandum contains all pertinent matter discussed with Staff Member 1 of Congressman Rangel's Office on May 27, 2009.

Omar Ashmawy
Investigative Counsel

1949

Exhibit 23

09-2222 0263

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THE NEW YORK
CARIB NEWS
THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
7 WEST 36TH STREET, 41 FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1991; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO:	Kelly Mobley	FROM:	Patricia Louis
COMPANY:	Committee on Standards of official conduct	DATE:	8/20/08
FAX NUMBER:	202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:	
RE: 2008 MULTINATIONAL BUSINESS CONFERENCE			

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Following is the completed form and attachments re travel for Members of Congress to attend the 2008 Multinational Business Conference scheduled for November 6-9, 2008 in St. Maarten.

Regards

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09-2222 0264

CN-000112

1951



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-4991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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CN-000113

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES,
6. Dates of travel: NOVEMBER 8-9, 2008
7. Cities of departure - destination - return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

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11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (* SEE ATTACHED)

13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN

14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

16. I represent that either (check one of the following):
a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____

17. Reason for selecting the location of the event or trip: SEE ATTACHED

18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00

20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

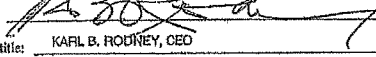
21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	260	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150	Conference Registration
For each accompanying family member	\$ 25	Local transportation

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "Yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
 Name and title: KARL B. RODNEY, CEO
 Organization: CARIB NEWS FOUNDATION
 Address: 7 WEST 36th STREET, 8th FLOOR, NEW YORK, NY 10018
 Telephone number: (212) 844-1991
 Fax number: (212) 844-2089
 Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

1955

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
Congressman Charles Rangel
Congressman Donald Payne
Congresswoman Donna M. Christensen
Congressman Edolphus Towns
Congressman G. K. Butterfield
Congressman Gregory Meeks
Congressman Jesse Jackson Jr.
Congressman Keith Ellison
Congressman Kendrick Meek
Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008

09-2222 0269

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CN-000117



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Item#17

A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

09-2222 0270

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CN-000118

**MEMBERS OF CONGRESS INVITED TO THE
13th Annual Multinational Business Conference
St. Maarten, November 6-9, 2008**

Congressman William Lacy Clay
U.S House of Representatives
131 Cannon HOB
Washington, DC 20515
Phone: 202-225-2406
Fax: 202-226-3717

Congresswoman Diane E. Watson
US House of Representatives
125 Cannon HOB
Washington , DC 20515
Phone: 202-225-7084
Fax: 202-225-2422

Congressman Kendrick Meek
U.S House of Representatives
1039 Longworth HOB
Washington, DC 20515
Phone: 202-225-4506
Fax: 202-226-0777

Congressman David Scott
U.S House of Representatives
417 Cannon HOB
Washington, DC 20515
Phone: 202-225-2929
Fax: 202-225-4268

Congressman James Clyburn
US House of Representatives
2135 Rayburn HOB
Washington, DC 20515
Phone: 202-225-3315
Fax: 202-225-9253

Congressman Robert Scott
U.S House of Representatives
1201 Longworth HOB
Washington, DC 20515
Phone: 202-225-8351
Fax: 202-225-8354

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CN-000119

Congresswoman Nydia Velazquez
U.S House of Representatives
2241 Rayburn HOB
Washington, DC 20515
Phone: 202-225-2361
Fax: 202-226-0327

Congressman Charles Rangel
U.S House of Representatives
2354 Rayburn HOB
Washington, DC 20515-3215
Phone: 202-225-4365
Fax: 202-225-0186

Congressman Donald Payne
U.S House of Representatives
2209 Rayburn HOB
Washington, DC 20515
Phone: 202-225-3436
Fax: 202-225-4160

Congresswoman Donna M. Christensen
U.S House of Representatives
1610 Longworth HOB
Washington, DC 20515
Phone: 202-225-1790
Fax: 202-225-5517

Congressman Edolphus Towns
U.S House of Representatives
2232 Rayburn HOB
Washington, DC 20515
Phone: 202-225-5936
Fax: 202-225-6018

Congressman G. K. Butterfield
U.S House of Representatives
413 Cannon HOB
Washington, DC 20515
Phone: 202-225-3101
Fax: 202-225-2256

Congressman Gregory Meeks
U.S House of Representatives
1710 Longworth HOB
Washington, DC 20515
Phone: 202-225-3461
Fax: 202-225-4169

09-2222 0272

Congressman Jesse Jackson Jr.
U.S House of Representatives
2419 Rayburn HOB
Washington, DC 20515
Phone: 202-225-0773
Fax: 202-225-0899

Congressman Keith Ellison
U.S House of Representatives
1130 Longworth HOB
Washington, DC 20515
Phone: 202-225-4755
Fax: 202-225-4886

Congressman Kendrick Meek
U.S House of Representatives
1039 Longworth HOB
Washington, DC 20515
Phone: 202-225-4506
Fax: 202-225-0777

Congresswoman Maxine Waters
U.S House of Representatives
2324 Rayburn HOB
Washington, DC 20515
Phone: 202-225-2201
Fax: 202-225-7854

Congressman Melvin Watt
U.S House of Representatives
2236 Rayburn HOB
Washington, DC 20515
Phone: 202-225-1510
Fax: 202-225-1512

Congresswoman Barbara Lée
U.S House of Representatives
2444 Rayburn HOB
Washington, DC 20515
Phone: 202-225-2661
Fax: 202-225-9817

Congresswoman Caroline Cheeks Kilpatrick
U.S House of Representatives
1610 Longworth HOB
Washington, DC 20515
Phone: 202-225-2261
Fax: 202-225-5730

09-2222 0273

Congresswoman Corrine Brown
U.S House of Representatives
2444 Rayburn HOB
Washington, DC 20515
Phone: 202-225-0123
Fax: 202-225-2256

Congresswoman Eddie Bernice Johnson
U.S House of Representatives
1511 Longworth HOB
Washington, DC 20515
Phone: 202-225-8885
Fax: 202-2256-1477

Congresswoman Sheila Jackson Lee
U.S House of Representatives
410 Canon HOB
Washington, DC 20515
Phone: 202-225-3816
Fax: 202-225-3317

Congresswoman Yvette Clarke
U.S House of Representatives
1029 Longworth HOB
Washington, DC 20515
Phone: 202-225-6231
Fax: 202-2256-0112

Congressman Danny Davis
U.S House of Representatives
1222 Longworth HOB
Washington, DC 20515
Phone: 202-225-5006
Fax: 202-225-5641

Congresswoman Stephanie Tubbs-Jones
U.S House of Representatives
1516 Longworth HOB
Washington, DC 20515
Phone: 202-225-7032
Fax: 202-2256-1539/2367

Congressman Bennie Thompson
US House of Representatives
2432 Rayburn HOB
Washington, DC 20515
Phone: 202-225-5876
Fax: 202-225-5898

09-2222 0274

Working Copy

13th Annual Caribbean Multi-National
Business Conference Program
St. Maarten, N.A.

THEME: A REGION IN TRANSITION - STRATEGY FOR
CHANGE - BUILDING ALLIANCES

Thursday, November 6, 2008

12:00 noon - 6:00pm : CONFERENCE REGISTRATION-

5:00 - 6:30 - TOWN HALL FORUM - HEALTH

Healthy Eating and Living

Innovative Solutions for Life styles

Diseases- a Collaborative Approach - Diabetes,

Hypertension, Obesity, Oral Health

Venue - ROYAL ISLANDER

Moderator - Dr. Marcia Bayne Smith

Speakers - Mrs. Karen Boydin-Towns

Congressmember Dr. Donna Christian Christensen

Commissioner Maria Buncamper Molanus, Health

Commissioner, St. Maarten

Dr. Leon Merrick

Dr. Jay Cohan

Dr. John Palmer

6:30-7:30pm - OPENING RECEPTION

Venue: - UPPER POOL

CONFIDENTIAL

09-2222 0275

CN-000123

1962

Theme: - *A REGION IN TRANSITION* -
Speakers: - Faye A. Rodney
Hon. Roy Marlin - Commissioner for Tourism & Finance - St
Maarten.

MC: - Sabrina Hosang - Royal Caribbean Bakery

7:30 - 9:30 pm - OPENING DINNER

Venue: - MAHO ROOM

Theme: - *STRATEGY FOR CHANGE*

MC - Judge Sylvia Hinds-Radix

Speakers: - Susan Taylor

Hon. Donald Payne, Member US Congress

10:00pm - 1:00am: - HOSPITALITY AREA

Friday, November 7, 2008

8:00am - 8:45am - (BREAKFAST) - OCEAN TERRACE

2

09-2222 0276

CONFIDENTIAL

CN-000124

1963

9:00am - 6:00pm	CONFERENCE REGISTRATION OCEAN TERRACE LOBBY
	CONFERENCE SECRETARIAT SABA ROOM

9:00am - 10:15am - OPENING CEREMONIES
Venue: - MAHO ROOM
Theme: - BUILDING ALLIANCES FOR PROGRESS

Invocation: - Rev. Susan Johnson-Cook

Anthems: - St. Maarten
Lift Every Voice

Remarks: - Eric Eve
Karen Boykin-Towns
Marie Long
Ed Goldberg
Patrick Gaston
Hazel Dukes

Speakers: - Hon. Carolyn Checks Kilpatrick -- Member
US Congress
Commissioner Sarah Wescot-Williams, Leader
of Government, St. Maarten
Harry Belafonte

3

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CN-000125

1964

RIBBON CUTTING CEREMONIES

10:15am - 10:30am - COFFEE BREAK -

10:30am - 11:45am - PRESENTATION

EDUCATION - TECHNOLOGICAL ADVANCES - COLLABORATION

Moderator - Dr. Emmanuel Gonsalves
Greetings - Marie Long
Speakers - Dr. Edison Jackson
Patrick Gaston
Dr. Marcia Keizs
Congressmember Eddie Bernice Johnson
Professor Rex Nettleford

12:00 noon - 1:15p.m. PRESENTATION

TRAVEL AND TOURISM -

A Transformative Approach

Moderator - Lloyd Williams
Greetings - Wallace Ford
Speakers - Commissioner Roy Marlin
Howard Dodson
Congressman Gregory Meeks
Professor Rex Nettleford
Dr. Martin Valdes

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CN-000126

1965

12:00 noon - 1:15p.m - PRESENTATION
*HEALTH - AN ECONOMIC DEVELOPMENT
STRATEGY FOR THE REGION*

Greetings - Karen Boykin-Townis

Moderator - Yvonne Graham

Speakers - Dr. Denzil Douglas
Dr. Carl Kenel Pierre
Commissioner Maria Buncamper Molanus, Health
Commissioner, St. Maarten
- Dr. Dexter McKenzie

1:30pm - 2:30pm - LUNCHEON

Venue: - Main Ballroom

Theme: - *BUILDING A WINNING STRATEGY - PARTNERSHIPS
THAT WORK*

Moderator: - Hon. Nick Perry, NYS Assembly

Speakers - Eric Eve

Keynote Speaker - Hon. David Paterson, Governor New York State

3:00pm - 4:00pm

Round Table # 1 -

Theme - *EDUCATION - THINKINITY PRESENTATION &
DEMONSTRATION*

5

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CN-000127

1966

Venue - Royal Islander A
MC - Dr. Patricia Hazlewood
Speakers - Patrick Gaston
Albert Browne

Round Table #2 -

Theme - *LOCAL BUSINESS INITIATIVES*
Opportunities with US Companies

Venue - Royal Islander B
Speakers: - Ed Goldberg
Eric Eve
Albert Browne

4:30pm - 6:00pm - *TOWN HALL FORUM - EDUCATION*

Venue - Royal Islander A
Theme : *EDUCATION - SHARING WORKING SOLUTIONS*
Greetings : Michael Flanigan
Moderator : Dr. Marcella Maxwell

Speakers: - Hon. David M. Dinkins - Former Mayor, NYC
Dr. Edilson O. Jackson
Dr. Marcia Keizs
Professor Rex Nettleford, UWI
William Payne, Assemblyman New Jersey

6

09-2222 0280

CONFIDENTIAL

CN-000128

1967

Venue:
6:30:00pm - 7:30pm - *HOST COUNTRY EVENING*
Venue:
Theme:
MC:
Speakers: **CULTURAL PRESENTATION**
7:30 - 8:00 pm:
8:00pm - 10:30 pm.
Venue:
Theme:
10:00pm - 1:00am - *HOSPITALITY AREA*
Venue:

Saturday, November 8, 2008

7:45 am - 8:15 am = **BREAKFAST**
Venue - **OCEAN TERRACE**
8:30am - 10:00am - **PRESENTATION**
Theme **WOMEN OF INFLUENCE AND POWER**
Venue: - **MAHO BEACH**

7

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CN-000129

1968

Greetings & Opening Remarks - Brenda Scott

Moderator - Marilyn Johnson

Speakers: - Commissioner Sarah Wescot-Williams, Leader of Government Business
- Dame Billie Miller, former Deputy Prime Minister, of Barbados
- Dr. Marcia Keizs, President York College
- Michelle Paterson, First Lady New York State
- Congresswoman Eddie Bernice Johnson
- Rev. Dr. Susan Johnson-Cook

10:15am - 11:45am - *PRESENTATION*

Theme - *THE CARIBBEAN, IT'S VALUE & POTENTIAL*

Venue - Royal Islander A

Greetings - Wallace Ford

Moderator - Dame Billie Miller

Speakers - Hon. E. J. Patterson, former Prime Minister, Jamaica
- Hon. Dr. Ralph Gonsalves, Prime Minister St. Vincent and the Grenadines
- Hon. Dr. Denzil Douglas, Prime Minister, St. Kitts-Nevis
- Congressman Charles Rangel

12:00 noon - 1:30 p.m. - *PRIVATE MEETING -- CLOSED DOOR SESSION*
with Members of Congress and Ministers of Government

8

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CN-000130

1969

12:00 noon - 1:15p.m. PRESENTATION

Theme - *TRADE & INVESTMENT - CREATIVE SOLUTIONS*
 - *RETOOLING FOR GROWTH - PARTNERSHIP AT WORK*

Venue - Royal Islander A

Greetings - Michael Flanigan

Moderator - Ivanhoe Ifriend

Speakers - Adolfo Carrion, Borough President, Bronx, New York
 - Compton Bourne, President Caribbean Development Bank
 - Congressman Edolphus Towns
 - Eric Eve

12:00 noon - 1:15pm - PRESENTATION

Theme - *Hemispheric Security - Ports/Airports -*
 - *Crime - Drugs - Disaster*

Venue - Royal Islander B

Greetings - Earl Brown, Deputy Borough President, Bronx
 - Judge Sylvia Ash

Moderator - Tony Best

Speakers - Congressman Beanie Thompson
 - Commissioner Theo Heyliger, St. Maarten
 - Professor Ivelaw Griffith
 - Hon. Lance Ogiste, Brooklyn District Attorney

1:30pm - 2:30 pm LUNCHEON

Venue - MAHO ROOM

9

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CN-000131

1970

Theme: *CARIBBEAN - A SOLID INVESTMENT*

Greetings - Hon. Darryl Towns

Speakers - Hon. William Thompson, NYC Comptroller
Dr. Compton Bourne, President CDB

Round Table #1 - *IMMIGRATION/DEPORTEES*

Venue - Royal Islander A

Moderator - Dr. Marco Mason

Speakers Congressman Bennie Thompson
Hon. Eddie Bernice Johnson, Member US Congress
Attorney Stanford Rubenstein
NYC Councilmember Dr. Kendall Stewart

Round Table#2: - *HAITI -- BOLD INITIATIVES -- THE DIASPORA--
CBC AND CARICOM*

Venue - Royal Islander B

Moderator - Hon. Yvonne Graham

Speakers - Hon. Charles Rangel
Hon. Gregory Meeks
Hon. Ralph Gonsalves
Dr. Lesly Kernisant

4:30 pm - 6:00pm - *TOWN HALL FORUM - TECHNOLOGY*

Venue: Royal Islander A

10

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CN-000132

1971

Greetings & Opening - Marie Long

Moderator - Colin Ward

Speakers - Congressman Edolphus Towns
Albert Brown
Commissioner of Technology

6:30 - 7:30pm - GALA RECEPTION

Speakers - Susan Taylor

7:30pm - 10:00pm - GALA DINNER & AWARDS PRESENTATION

Speaker - Dr. Harry Belafonte
Hon. Sarah Wescot-Williams, Leader of Government
Business

AWARDS PRESENTATION
ENTERTAINMENT

10:30pm - 1:00am - HOSPITALITY AREA

Venue: HOTEL CONFERENCE ROOM

Sunday, November 9, 2008

8:30 am - 10:00am - POWER BREAKFAST

11

09-2222 0285

CONFIDENTIAL

CN-000133

1972

Theme: - *SPIRITUALITY - THE BASICS IN BUSINESS*
Greetings - *Lowell Hawthorne*
Speakers - *Ed Goldberg*
Selection: - *Local Choir*

October 13, 2008

12

09-2222 0286

CONFIDENTIAL

CN-000134

1973

Exhibit 24

09-2222 0287

Jimerson, Jennifer

From: carib-desk@att.net
Sent: Thursday, October 30, 2008 11:05 AM
To: Jimerson, Jennifer
Subject: RE: FW: Flight Arrangements
Follow Up Flag: Follow up
Flag Status: Red
Attachments: Congressman Bennie Thompson.doc

The confirmation is the same as you gave to me - B3T15Y. I asked them to send me the email confirmation to me but have not got it yet. Could you call them and ask for it and also ask them to send it to me. Letter re speaking assignment attached.

Patricia Louis
 Executive Assistant
 NY Carib News
 Ph: (212) 944-1991
 Fax: (212) 944-2089

----- Original message from "Jimerson, Jennifer"
 <jjimerson@mail.house.gov>: -----

What's the confirmation #? What letter are you referring to? Is it included in the Ethics package?

From: carib-desk@att.net [mailto:carib-desk@att.net]
Sent: Thursday, October 30, 2008 12:19 AM
To: Jimerson, Jennifer
Subject: RE: FW: Flight Arrangements

The reservation has been paid for. Did you get the letter about his participation in sessions at the conference.

Patricia Louis
 Executive Assistant
 NY Carib News
 Ph: (212) 944-1991
 Fax: (212) 944-2089

----- Original message from "Jimerson, Jennifer"

1975

Page 2 of 4

<jjimerson@mail.house.gov>: -----

It can only be on hold for 24 hours. The Reservation # is B3T1SY.

From: carib-desk@att.net [mailto:carib-desk@att.net]
Sent: Monday, October 27, 2008 8:25 PM
To: Jimerson, Jennifer
Subject: Re: FW: Flight Arrangements

Jennier,
Could you go ahead and make the reservation for them, and give me the locator number and I will pay for it.
Thanks

Patricia Louis
Executive Assistant
NY Carib News
Ph: (212) 944-1991
Fax: (212) 944-2089

----- Original message from "Jimerson, Jennifer"
<jjimerson@mail.house.gov>: -----

Patricia,
Considering that the other options have several layovers the Congressman's preference would be the first itinerary with US Airways for \$750.15. Congressman Thompson seating preferences is exit row aisle only and please seat him and Dr. Thompson together. Contact me if you have any questions.
Thanks,
Jennifer

Lowest Fare Found - Personal Rate

1. #US 2422 L 06NOV TH JAN CLT 600A 839A CRJ 0 @
2. #US 877 L 06NOV TH CLT SXM 1130A 409P 757 F 0 @
3. #US 1556 L 09NOV SU SXM CLT 305P 611P 757 F 0 @
4. #US 2595 L 09NOV SU CLT JAN 825P 924P CRJ 0 @

BASE FARE- 645.00 TAX- 105.15 TOTAL- 750.15 USD

1. #AA 3750 S 06NOV TH JAN DFW 600A 735A ER4 F 0E@
2. #AA 1646 S 06NOV TH DFW MIA 1125A 305P 738 F 0E@
3. #AA 729 O 06NOV TH MIA SXM 520P 915P 738 D 0E@
4. #AA 748 O 09NOV SU SXM MIA 915A 1135A 738 B 0E@
5. #AA 1973 S 09NOV SU MIA DFW 645P 905P 757 F 0E@
6. #AA 3877 S 10NOV MO DFW JAN 745A 905A ER4 F 0E@

BASE FARE- 633.00 TAX- 115.15 TOTAL- 748.15 USD

1. #AA 3750 N 06NOV TH JAN DFW 600A 735A ER4 F 0E@
2. #AA 1646 N 06NOV TH DFW MIA 1125A 305P 738 F 0E@
3. #AA 729 N 06NOV TH MIA SXM 520P 915P 738 D 0E@

3/13/2009

09-2222 0289

1976

1 0 0 0 0 1 7

4. #AA 2160 N 09NOV SU SXM MIA 415P 635P 738 D 0E@
5. #AA 1724 N 09NOV SU MIA DFW 920P 1130P 738 F 0E@
6. #AA 3877 N 10NOV MO DFW JAN 745A 905A ER4 F 0E@

BASE FARE- 645.00 TAX- 115.15 TOTAL- 760.15 USD

1. #AA 3750 N 06NOV TH JAN DFW 600A 735A ER4 F 0E@
2. #AA 1646 N 06NOV TH DFW MIA 1125A 305P 738 F 0E@
3. #AA 729 N 06NOV TH MIA SXM 520P 915P 738 D 0E@
4. #AA 2160 N 09NOV SU SXM MIA 415P 635P 738 D 0E@
5. #AA 1724 N 09NOV SU MIA DFW 920P 1130P 738 F 0E@
6. #AA 3713 N 10NOV MO DFW JAN 1110A 1230P ER4 F 0E@

BASE FARE- 645.00 TAX- 115.15 TOTAL- 760.15 USD

1. #US 2422 L 06NOV TH JAN CLT 600A 839A CRJ 0 @
2. #US 877 L 06NOV TH CLT SXM 1130A 409P 757 F 0E@
3. #US 1556 L 09NOV SU SXM CLT 305P 611P 757 F 0E@
4. #US 2375 L 10NOV MO CLT JAN 1114A 1203P CRJ 0E@

BASE FARE- 645.00 TAX- 115.15 TOTAL- 760.15 USD

Lowest Fare Found - Government

1. #US 2422 Y 06NOV TH JAN CLT 600A 839A CRJ 0 @
2. #US 877 Y 06NOV TH CLT SXM 1130A 409P 757 F 0 @
3. #US 1556 Y 09NOV SU SXM CLT 305P 611P 757 F 0 @
4. #US 2595 Y 09NOV SU CLT JAN 825P 924P CRJ 0 @

BASE FARE- 2228.00 TAX- 105.15 TOTAL- 2333.15 USD

1. #AA 3750 G 06NOV TH JAN DFW 600A 735A ER4 F 0E@
2. #AA 1646 G 06NOV TH DFW MIA 1125A 305P 738 F 0E@
3. #AA 729 G 06NOV TH MIA SXM 520P 915P 738 D 0E@
4. #AA 2160 G 09NOV SU SXM MIA 415P 635P 738 D 0E@
5. #AA 1724 G 09NOV SU MIA DFW 920P 1130P 738 F 0E@
6. #AA 3877 G 10NOV MO DFW JAN 745A 905A ER4 F 0E@

BASE FARE- 1320.00 TAX- 115.15 TOTAL- 1435.15 USD

1. #AA 3750 G 06NOV TH JAN DFW 600A 735A ER4 F 0E@
2. #AA 1646 G 06NOV TH DFW MIA 1125A 305P 738 F 0E@
3. #AA 729 G 06NOV TH MIA SXM 520P 915P 738 D 0E@
4. #AA 2160 G 09NOV SU SXM MIA 415P 635P 738 D 0E@
5. #AA 1724 G 09NOV SU MIA DFW 920P 1130P 738 F 0E@
6. #AA 3713 G 10NOV MO DFW JAN 1110A 1230P ER4 F 0E@

BASE FARE- 1320.00 TAX- 115.15 TOTAL- 1435.15 USD

1. #AA 3750 G 06NOV TH JAN DFW 600A 735A ER4 F 0E@
2. #AA 1646 G 06NOV TH DFW MIA 1125A 305P 738 F 0E@
3. #AA 729 G 06NOV TH MIA SXM 520P 915P 738 D 0E@
4. #AA 2160 G 09NOV SU SXM MIA 415P 635P 738 D 0E@
5. #AA 1724 G 09NOV SU MIA DFW 920P 1130P 738 F 0E@
6. #AA 3857 G 10NOV MO DFW JAN 210P 330P ER4 F 0E@

BASE FARE- 1320.00 TAX- 130.65 TOTAL- 1450.65 USD

3/13/2009

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1977

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1. #AA 3876 L 06NOV TH JAN DFW 930A 1105A ER4 F 0E@
2. #AA 1819 G 06NOV TH DFW MIA 1250P 430P 757 L 0E@
3. #AA 729 G 06NOV TH MIA SXM 520P 915P 738 D 0E@
4. #AA 748 G 09NOV SU SXM MIA 915A 1135A 738 B 0E@
5. #AA 1973 G 09NOV SU MIA DFW 645P 905P 757 F 0E@
6. #AA 3877 G 10NOV MO DFW JAN 745A 905A ER4 F 0E@

BASE FARE- 1337.00 TAX- 115.15 TOTAL- 1452.15 USD

3/13/2009

09-2222 0291

1978

Exhibit 25

09-2222 0292

1979

National Institutes of Health Ethics Program - Outside Activities of NIH Employees with ... Page 2 of 4



DEPARTMENT OF HEALTH & HUMAN SERVICES

Office of the
General Counsel

Office of the
Secretary
Washington, D.C.
20201

June 2, 2000

MEMORANDUM

TO: Deputy Ethics Counselors
National Institutes of Health

FROM: Karen Santoro
Ethics Counsel

SUBJECT: Outside Activities of NIH Employees with Foundations

Recently, a provision of the Internal Revenue Code has been brought to my attention that has serious ramifications for NIH employees who have previously received approval to engage in outside employment activities with private foundations. The statute imposes a tax on "each act of self-dealing between a disqualified person and a private foundation." 26 U.S.C. § 4941(a)(1). This statute, which was enacted in 1969, was intended to curb private foundations' attempts to influence Government officials. The Internal Revenue Service has issued a number of revenue rulings with respect to Government employees and prospective activities with private foundations.

Issue: The Internal Revenue Service imposes an individual penalty tax of up to 200% of the amount of compensation from a private foundation to certain Government officials conducting outside activities with these foundations.

Conclusion: Certain Government officials should refrain from accepting compensation (other than travel expenses within the United States) for outside activities with private foundations.

Discussion: Specifically, the statute imposes a tax on each act of self-dealing by a disqualified individual equal to five percent of the amount involved for each year in the taxable period. 26 U.S.C. § 4941(a)(1) [1]. In any case in which an initial tax is imposed on an act of self-dealing which is not corrected within the taxable period, a tax equal to 200 percent of the amount involved will be imposed on the disqualified person. 26 U.S.C. § 4941(b)(1)[2].

The Code defines "self-dealing" as any direct or indirect agreement by a private foundation to make any payment to certain enumerated government officials. 26 U.S.C. § 4941(d)(1)(F)[3]. Government officials who are "disqualified persons" within the scope of this restriction are elective officials, Presidential appointees, Schedule C employees, and individuals compensated at or above GS-15-7 levels, irrespective of their appointment mechanism (Title 42, SBRs, Commissioned Corps), and personal or executive assistants or secretaries to any of the foregoing. 26 U.S.C. § 4941(c). Excluded from the definition of government employees is a "special governmental employee" as defined in 18 U.S.C. § 202(a)[4]; Treas. Reg. 53.4946-1(g)(1).

Also excluded from the definition of self-dealing are agreements to employ an individual for any period after the termination of his government service if that individual is terminating his government service within a 90 day period. 26 U.S.C. § 4941(d)(1)(F). For purposes of the statute, a "private foundation" has the same meaning as in 26 U.S.C. § 509. A website for obtaining the status of a foundation can be found at http://www.irs.ustreas.gov/bus_info/oe/ecsearch.html.

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<http://ethics.od.nih.gov/Topics/IRS509.htm>

5/28/2009

1980

National Institutes of Health Ethics Program - Outside Activities of NIH Employees with ... Page 3 of 4

Government officials who are within the scope of this statute will be required to pay the tax only if the individual participates in the act of self-dealing knowing that it is an act of self-dealing. 26 U.S.C. § 4941 (a)(1). The regulations define "knowing" to include those situations where the individual has actual knowledge of the facts that such a transaction would be self-dealing; he is aware that the act violates Federal tax law governing self-dealing; and he negligently fails to make reasonable attempts to ascertain whether the act is an act of self-dealing or he is in fact aware that it is an act of self-dealing. Treas. Reg. § 53.4941 (a)(1)-(b)(3). The burden is thus on the individual to determine whether he or she is liable under the statute.

An individual's participation in an act of self-dealing will not be considered "knowing" if the individual, after full disclosure of the factual situation to legal counsel, relies on the advice of counsel expressed in a "reasoned written legal opinion" that an act is not an act of self-dealing within the meaning of the statute, even if the act is subsequently determined to be self-dealing. Treas. Reg. § 53.4941(a)(1)-(b)(6).

Finally, a tax for self-dealing will not be imposed under the following circumstances: certain non-taxable prizes and awards if the recipients are selected from the general public; non-taxable scholarships and fellowship grants; qualifying annuity plans; contributions or gifts not exceeding an aggregate of \$25; payments made under the government employee training program in 5 U.S.C. Ch. 41; and payment of travel expenses within the United States. 26 U.S.C. § 4941 (d)(2)(B); Rev. Rut. 131, 1974-2 C.B. 385.

As a result of this statute, NIH employees paid at or above the GS 15-7 level are effectively prohibited from receiving compensation from a private foundation for activities such as teaching, writing, advising, or consulting. The Internal Revenue Service may serve a deficiency notice upon an employee, who would then be forced to pay the tax or contest the assessment.

Effective immediately, all requests for approval of outside activities must be reviewed in accordance with the statutory provision at 26 U.S.C. § 4941. The compensation level of the individual requesting approval must be considered in conjunction with an analysis of the nature of the private entity offering the opportunity for compensation. Previously approved outside activities with foundations for NIH employees who are paid at or above the GS 15-7 level should be reviewed to determine the status of the foundation. Should the status of the foundation be private, any affected employee must be advised to either accept only travel expenses within the United States for his/her service or resign from such service. Employees who have questions whether payment to them by a private foundation constitutes acts of self-dealing should consult private counsel.

For your information, the Foundation for the National Institutes of Health (FNIH) and the Foundation for Advanced Education in the Sciences (FAES) are for your information, the Foundation for the National Institutes of Health (FNIH) and the Foundation for Advanced Education in the Sciences (FAES) are not considered private foundations for purposes of this prohibition.

The NIH Office of the Legal Advisor has reviewed and concurs in this opinion.

cc: Robert Lanman, Stephen Benowitz

[1] When a tax is imposed on a self-dealer, a corresponding tax of 2.5 percent is imposed on any foundation manager unless the participation by the foundation manager is not willful and is due to reasonable care. 26 U.S.C. § 4941(a)(2). A maximum tax of \$10,000 may be imposed. 26 U.S.C. § 4941 (c) (2).

[2] The "taxable period" is defined as the period beginning with the date on which the act of self-dealing occurs, and ending on the earliest of: the date that a deficiency notice is mailed by the I.R.S.; the date on which the tax is assessed; or the date on which the correction of the act of self-dealing is completed. 26 U.S.C. § 4941 (e), Treas. Reg. § 53.4941 (e) -1 (a) (1). As with the initial tax, a corresponding additional tax of 50 percent will be imposed on any foundation manager who refuses to agree to the correction. 26 U.S.C. § 4941 (b) (2). As with the initial tax, the penalty on a foundation manager is limited to \$10,000. 26 U.S.C. § 4941 (c) (2)

[3] The statute also defines "self-dealing" to include: the sale or exchange, or leasing, of property; the lending of money or other extension of credit; the furnishing of goods, services, or facilities; payments to a disqualified person as defined in 26 U.S.C. § 4946 (a) (such as substantial contributors, foundation managers, holders of 20 percent of the voting power or beneficial interests; family members of disqualified individuals, or a corporation, partnership, or trust holding a 35 percent interest); and transfer to or use by a disqualified person of the foundation's income or assets. 26 U.S.C. § 4941 (d).

[4] 18 U.S.C. § 202 (a) defines "special Government employee" as temporary employee appointed for a term not to exceed 130 days, a part-time U.S. commissioner or magistrate, a part-time member of Congress, or a Reserve or National Guard officer.

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1981

National Institutes of Health Ethics Program - Outside Activities of NIH Employees with ... Page 4 of 4

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<http://ethics.od.nih.gov/Topics/TRS509.htm>

5/28/2009

BENNE G. THOMPSON
SECOND DISTRICT, MISSISSIPPI

COMMITTEE ON
HOMELAND SECURITY
CHAIRMAN

WASHINGTON OFFICE:
2432 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-2402
(202) 225-5876
(202) 225-5888: FAX

E-Mail: benne.thompson@mail.house.gov
Home Page: <http://www.house.gov/thompson>

Congress of the United States
House of Representatives
Washington, DC 20515-2402

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October 20, 2009

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The Honorable G. K. Butterfield
Chair, Investigative Subcommittee
U.S. House Committee on Standards of Official Conduct
HT-2, The Capitol
Washington, D.C. 20515

RE: Investigation of Certain Allegations Related to the Carib News Foundation Conferences in 2007 and 2008

Dear Chairman Butterfield:

Subsequent to my appearance at the hearing held before the Committee on Standards of Official Conduct on October, 7, 2009, I have further reviewed the allegations, the history, and the process of said hearing. Based on my review and research, I have determined that the allegations, findings, and conclusions were improper and not conducted in accordance with appropriate procedures and rules. Therefore, the actions undertaken by the Office of Congressional Ethics (OCE) are improper and egregious.

Below, I have set forth my findings and conclusions and request that based on this information, that the Investigative Subcommittee cease and desist from any further pursuit of these allegations.

The following constitute my reasons for requesting the Subcommittee's terminating this action and expunging any record thereof.

1. According to the Resolution establishing the Office of Congressional Ethics (OCE), (H.Res.895) on March 11, 2008, I question the authority of the OCE to investigate any matter that occurred in November 2007. Additionally any evidence gathered after March 11, 2008 on the November 2007 investigation should not be considered.
2. According to the Resolution establishing the OCE (H.Res.895) the investigation did not meet the required timelines set out in the "Powers" Section of said Resolution. Specifically the OCE's establishing authority, H.Res 895 of the 110th Congress, 2nd session, clearly states that OCE's review is limited. More precisely, the resolution states that:

<input type="checkbox"/> 107 WEST MADISON STREET P.O. Box 510 BOSTON, MS 39041 (601) 869-9003 (601) 866-9026: FAX	<input type="checkbox"/> 509 HIGHWAY 82 WEST GREENWOOD, MS 38930 (662) 455-9003 (662) 453-0118: FAX	<input type="checkbox"/> 915 COURTHOUSE LANE OSHEENVILLE, MS 38701 (662) 335-9003 (662) 334-1304: FAX	<input type="checkbox"/> 3657 MEDGAR EVERS BOULEVARD JACKSON, MS 39213 (601) 949-9003 (601) 982-9337: FAX	<input type="checkbox"/> 293 EAST MAIN STREET P.O. Box 356 MARKA, MS 38840 (662) 326-2003 (662) 326-9003: FAX	<input type="checkbox"/> MOUND BAYOU CITY HALL P.O. Box 678 100 GREEN AVENUE, SUITE 108 MOUND BAYOU, MS 38962 (662) 747-5003 (601) 341-6009: FAX
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Chairman Butterfield
 October 20, 2009
 Page 2

"No review shall be undertaken by the Board [i.e., OCE]... of any alleged violation that occurred before the date of adoption of this resolution [i.e., H. Res 895]."¹ *

The OCE failed to comply with this provision in several ways. First, the language of H.Res 895 specifically states that "no review shall be undertaken for any alleged violation that occurred before the date of adoption of this resolution." Because the resolution creating and authorizing the OCE was adopted on March 11, 2008, and the trip which is the subject of this inquiry occurred in November 2007, the OCE has undertaken a review of a matter which occurred prior to its formation.

3. The OCE was two days late in meeting the 30 day requirement to complete its preliminary review. By missing the deadline, according to the Powers Section of H.Res.895, the matter should be terminated. Additionally, information gathered during the second phase review should not be considered because the timeline requirements were violated. By ignoring the timelines set by Congress, any and all evidence gathered by the OCE should not be considered by the Committee on Standards of Official Conduct (The Committee).

4. The report by the OCE improperly examined a matter which occurred in 2007 and improperly reached and issued a conclusion beyond the scope of its jurisdiction. Specifically, section (1)(c)(2)(C)(i)(II)(dd) of H.Res. 895 provides that the OCE's role is limited in what it may do and set forth in these proceedings. The establishing authority states that the OCE may set forth certain information provided to the Committee, including:

"a citation of any relevant law, rule, regulations, or standard of conduct; but not [emphasis added] the names of any cooperative witnesses or any conclusions regarding the validity of the allegations upon which it is based or the guilt or innocence of the individual who is the subject of the review."²

Further, OCE exceeded its authority by reaching a conclusion and which it transmitted to the Committee (see Attachment I) that improperly contained OCE's prohibited conclusion, that I should not have traveled. OCE's improper conclusion is prohibited by H.Res. 895, which provides that after a second phase review OCE can make recommendations and transmit findings, but is expressly forbidden from reaching conclusions.³ Therefore, OCE violated its establishing authority.

5. The OCE's inquiry creates organizational uncertainty that renders the House Rules meaningless, at worse, or vague, at best, if the OCE is permitted to move forward on this matter. Because the trip in question was approved by the Committee, the OCE's review undermines the confidence Members should have had prior to the 110th Congress, should currently have, and should continue to have in the opinions issued by the Committee. Without clear guidance, Members will be unable to determine appropriate conduct under the House Rules, and instead would be required to individually interpret the rules. This would lead to chaos. Therefore, to create organizational certainty and provide Members with the ability to rely on the determinations of the Committee, the Committee should require the OCE to cease and desist and be estopped from any investigation involving a matter expressly approved by the Committee. Failure to let

¹ See Section 1(e) of H.Res 895 (110th Cong. 2d Session).

² See Section 1(c)(2)(C)(i)(I)(dd) of House Resolution 895 (110th Cong. 2d Session).

³ See Section 1(c)(2)(C)(i)(I)(dd) of House Resolution 895 (110th Cong. 2d Session).

Chairman Butterfield
October 20, 2009
Page 3

Members rely on the advice of Counsel of the Committee, undermines the Committee's purpose and will lead to organizational uncertainty and chaos.

As a matter of summation, I further state that I relied solely on the Committee to determine the legality of the trip in question. Upon Committee approval of said trip (see Attachment-II), I proceeded to travel and submit the requisite forms to the Committee. Therefore, any examination of a trip or other activity receiving prior approval by the Committee should not become the subject of an OCE inquiry. Members should be allowed to rely, in good faith, on the opinions and actions of the Committee.

Therefore, I request the Investigative Subcommittee cease and desist any further pursuit of these allegations.

Sincerely,



Bennie G. Thompson
Member of Congress

Attachment I – Report from Office of Congressional Ethics to Chairman Bennie G. Thompson, Review No. 09-2222 (Cover Page Included-remaining report excluded due to size)

Attachment II – Travel Authorization Letter to Chairman Bennie G. Thompson from the Committee, dated October 20, 2008

* Section 1 (e) of H. Res 895 (110 Cong. 2.d)

**Section 1(c)(2)(C)(i)(I)(dd) of House Resolution 895 (110th Cong. 2d Session).
“ a citation of any relevant law, rule, regulations, or standard of conduct; but not the names of any cooperative witnesses or any conclusions regarding the validity of the allegations upon which it is based or the guilt or innocence of the individual who is the subject of the review.”

District of Columbia
On this 20th day of October, 2009
Bennie G. Thompson
personally appeared before me and acknowledged
that he/she executed the foregoing instrument.
Michael J. Orrick Notary Public
Michael J. Orrick
My commission expires March 14, 2013

1985

CONFIDENTIAL

Subject to the Nondisclosure Provisions of H. Res. 895 of the 110th Congress as Amended

OFFICE OF CONGRESSIONAL ETHICS

U.S. HOUSE OF REPRESENTATIVES

REPORT

Review No. 09-2222

The Board of the Office of Congressional Ethics, by a vote of no less than four members, on May 29, 2009 adopted the following report and ordered it to be transmitted to the Committee on Standards of Official Conduct of the U.S. House of Representatives.

SUBJECT: Representative Bennie Thompson

*NATURE OF THE ALLEGED VIOLATION: There is substantial reason to believe that Congressman Bennie Thompson's participation in the 13th Annual Caribbean Multi-National Business Conference in St. Maarten, N.A. from November 6-9, 2008, his acceptance of reimbursement of expenses to attend that conference and the Member Post Travel Disclosure Form he filed violated various provisions of House Rule XXV Section 5(b).

RECOMMENDATION: The Board of the Office of Congressional Ethics recommends that the Committee on Standards of Official Conduct further review the above described allegations concerning Congressman Thompson.

VOTES IN THE AFFIRMATIVE: 6

VOTES IN THE NEGATIVE: 0

MEMBER OF THE BOARD OR STAFF DESIGNATED TO PRESENT THIS REPORT TO THE STANDARDS COMMITTEE: Leo Wise, Staff Director & Chief Counsel.

Attachment 1 – to Letter to Chairman Butterfield(10/19/09)

1986

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515

October 20, 2008

The Honorable Bennie G. Thompson
U.S. House of Representatives
2432 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip for you and your spouse to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received by you or your spouse from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

Attachment II -- to letter to Chairman Butterfield (10/19/09)

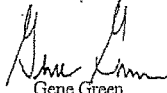
09-2222 0004


1987

The Honorable Bennie G. Thompson
October 20, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,


Gene Green
Acting Chairman


Doc Hastings
Ranking Republican Member

GG/DH:pgp

09-2222 0005

1988

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 1

RESOLUTION

Whereas Members, Delegates, or Employees of the House of Representatives participated in officially-connected travel in 2007 and 2008 that was sponsored, funded, or organized by Carib News or the Carib News Foundation;

Whereas certain allegations have arisen related to the sponsorship of officially connected travel in 2007 and 2008 that was sponsored, funded, or organized by Carib News or the Carib News Foundation;

Whereas pursuant to Committee Rule 18(a) the Chair and Ranking Member of the Committee jointly engaged in informal fact-finding to gather additional information concerning these allegations;

Whereas the conduct of a Member, Delegate, or Employee of the House, in connection with the aforementioned allegations, may violate the Code of Official Conduct or one or more law, rule, regulation, or other standard of conduct applicable to the conduct of a Member, Delegate, or Employee of the House in the performance of the duties or the discharge of the responsibilities of such individuals; and

Whereas the Committee has authority to empanel an investigative subcommittee regarding such conduct pursuant to House Rule XI, clauses 3(a)(2) and (3)(b)(2), and pursuant to Committee Rules 14(a)(3) and 18; and

Whereas the Committee has determined pursuant to Committee Rule 1(c) that the interests of justice require the adoption of special procedures in order for the Committee to carry out its investigative and enforcement responsibilities with respect to the aforementioned allegations;

It is hereby resolved by the Committee

1. That an Investigative Subcommittee be established with jurisdiction to conduct a full and complete investigation into any conduct of House Members or staff related to their participation in officially-connected travel in 2007 and 2008 that was sponsored, funded, or organized by Carib News or the Carib News Foundation;
2. That the scope of the inquiry may extend to any matters related to the jurisdiction of the Investigative Subcommittee as set forth in this resolution;
3. That at the conclusion of its inquiry, the Investigative Subcommittee shall report to the Committee its findings, conclusions, and recommendations;
4. That the Members of the Investigative Subcommittee shall be designated pursuant to Committee Rule 19(a);
5. That Committee Rules 7 (Confidentiality), 8(a) (Subcommittees – General Policy and Structure), 9 (Quorums and Member Disqualification), and 10 (Vote

Requirements) are fully applicable to this inquiry by the Investigative Subcommittee;

6. That the Investigative Subcommittee is authorized to obtain evidence and relevant information by the means and in the manner set forth in Committee Rules 19(b) – (c), except as those rules apply to respondents;
7. That witnesses before the Investigative Subcommittee shall be furnished with a copy of the special procedures for this inquiry (as set forth in this resolution), as well as accorded the rights set forth in Committee Rules 26(k) – (o);
8. That the Committee intends that all witnesses who provide testimony before the Investigative Subcommittee should be sequestered and should not communicate with any other witnesses regarding any aspect of their testimony unless the Investigative Subcommittee permits otherwise;
9. That at any point during its inquiry, or at the conclusion of its inquiry, the jurisdiction of the Investigative Subcommittee may be expanded in accordance with the requirements of Committee Rule 19(d) if the Investigative Subcommittee obtains information indicating that a Member, officer, or employee of the House may have committed a violation of the Code of Official Conduct or any law, rule, regulation, or other standard of conduct applicable to the conduct of such Member, officer, or employee in the performance of his or her duties or the discharge of his or her responsibilities. If the scope of jurisdiction of the Investigative Subcommittee is expanded to investigate the conduct of an identified Member, officer, or employee of the House, the inquiry regarding the identified Member, officer, or employee shall proceed before the same Investigative Subcommittee and in accordance with all the Rules of the Committee regarding an inquiry involving a respondent;
10. That except as otherwise provided in this Resolution, the Rules of the Committee shall be applicable in this matter and will be interpreted by the Investigative Subcommittee and the Committee in a manner not inconsistent with this Resolution.

1991

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 2

1992

Unique Vacations, Inc.

SMITH GROUPS INCORPORATED 1111 NINE EIGHT SEVENTH AVENUE BEACHES, FLORIDA 33434
 Unique Vacations' Groups Department
 4950 S.W. 72nd Avenue, 2nd Floor, Miami, FL 33155
 Phone: (305) 327-1001 FAX: (305) 688-2767



Group Contract

Mrs. Rodney
 NY Carib News Foundation
 7 West 36th Street
 8th Floor
 New York, NY 10017
 Phone: (212) 944-1991
 Email: [REDACTED]

Group Name: 12th Annual Multi-National Business Conference
 Hotel: Sandals Grande Antigua
 Room Category: Run of House Rooms (Premium or better)
 Dates of Travel: November 8 – 11, 2007

Group Room Block Grid:

Dates of Travel	11/08/2007	11/09/2007	11/10/2007	11/11/2007
ROH Rms	75	75	75	0
Totals	75	75	75	0

Group Rate:

Rooms	Rate Per Night	# of Nights	Room Rate	# of Rooms	Total Room Costs
Single ROH Rooms	\$350.00	3	\$1,050.00	0	\$0.00
Double ROH Rooms	\$420.00	3	\$1,260.00	63	\$79,380.00
Comp Concierge Upgrade	\$420.00	3	\$1,260.00	2	\$2,520.00
Comp ROH Rooms	\$0.00	3	\$0.00	10	\$0.00
Grand Total					\$81,900.00

Group Reservations and Changes:

Upon receipt of a signed copy of this contract and the required deposit, Unique Vacations will reserve the total number of rooms listed by this contract (NO ROOMS WILL BE HELD PRIOR TO RECEIPT OF THE SIGNED CONTRACT AND DEPOSIT). Addendums to this room block may be made periodically by the organization or their authorized agent and must be received in writing by Unique Vacations Groups Department. Confirmation of these changes will be remitted in the form of a new group room block breakdown.

1993

Group Rate: US\$ 1,260.00 per couple for three (3) nights based on a Run of House room category.

* Group rates are inclusive of all gratuities and taxes on property.

Airport portage and transportation gratuities are not included.

Payment Terms:

All payments must be sent to the offices of Unique Vacations, Inc.

Unique Vacations Inc.

**Attn: Groups Dept.
4950 SW 72nd Ave.
2nd Floor
Miami, FL 33155**

A deposit equal to **US\$ 10,000.00** is required to confirm the rooms.

A second deposit equal to 25% of the group value of **US\$ 20,475.00** is due ninety (90) days prior to the groups scheduled arrival date.

2nd Deposit Due Date: August 10, 2007

Final balance on the total group value of **US\$ 51,425.00** is required forty-five (45) days prior to arrival, along with a final rooming list and flight information.

Final Payment Due Date: September 24, 2007

Name changes are not permitted within 48 hours of scheduled room arrival.

Special Considerations:

One (1) private group cocktail party with open bar and hot and cold hors d'oeuvres (1 Hour) and one (1) private group buffet dinner (2 Hours) are included in the group rate.*

Additional private functions will require a set up fee and per person food and beverage fee as listed below:

Breakfast during sessions – US\$ 8.50 per person per breakfast *
Buffet breakfast – US\$ 20.00 per person per breakfast *
Receptions – US\$ 20.00 per person per reception. *
Buffet Lunch – US\$ 20.00 per person per lunch *
Buffet dinner – US\$ 45.00 per person per dinner *
Plated dinner – US\$ 55.00 per person per dinner *

*Entertainment is not included for functions.

For those guests not staying at Sandals Grande Antigua, the daily day pass rate to attend the conference is US\$ 150 per person per day. Included in this cost is the food and beverage for all working sessions/ receptions/dinners, etc.

**** All group functions must be confirmed through Unique Vacation's Groups Department.**

Concierge Upgrades:

A total of 2 upgrades to a run of house concierge room will be provided with this program. (One (1) complimentary concierge upgrade for every twenty-five (25) rooms paid.) Concierge upgrades will be guaranteed for the duration of the group's stay only.

Unique Vacations, Inc.

WORLDWIDE REPRESENTATIVE FOR SANDALS & BEACHES RESORTS
 Unique Vacations' Groups Department
 4950 52nd, 72nd Avenue, 2nd Floor, Kissimmee, FL 33155
 Phone: (800) 227-9291 FAX: (305) 685-2107



Group Contract

Mrs. Rodney
 NY Carib News Foundation
 7 West 36th Street
 8th Floor
 New York, NY 10017
 Phone: (212) 944-1991
 Email: [REDACTED]

Group Name: 12th Annual Multi-National Business Conference
 Hotel: Sandals Grande Antigua
 Room Category: Run of House Rooms (Premium or better)
 Dates of Travel: November 8 - 11, 2007

Group Room Block Grid:

Dates of Travel	11/08/2007	11/09/2007	11/10/2007	11/11/2007
ROH Rms	75	75	75	0
Totals	75	75	75	0

Group Rate:

Rooms	Rate Per Night	# of Nights	Room Rate	# of Rooms	Total Room Costs
Single ROH Rooms	\$350.00	3	\$1,050.00	0	\$0.00
Double ROH Rooms	\$420.00	3	\$1,260.00	63	\$79,380.00
Comp Concierge Upgrade	\$420.00	3	\$1,260.00	2	\$2,520.00
Comp ROH Rooms	\$0.00	3	\$0.00	10	\$0.00
Grand Total					\$81,900.00

Group Reservations and Changes:

Upon receipt of a signed copy of this contract and the required deposit, Unique Vacations will reserve the total number of rooms listed by this contract (NO ROOMS WILL BE HELD PRIOR TO RECEIPT OF THE SIGNED CONTRACT AND DEPOSIT). Addendums to this room block may be made periodically by the organization or their authorized agent and must be received in writing by Unique Vacations Groups Department. Confirmation of these changes will be permitted in the form of a new group room block breakdown.

Group Rate: US\$ 1,260.00 per couple for three (3) nights based on a Run of House room category.

* Group rates are inclusive of all gratuities and taxes on property.

Airport portage and transportation gratuities are not included.

Payment Terms:

All payments must be sent to the offices of Unique Vacations, Inc.

Unique Vacations Inc.

Attn: Groups Dept.

4850 SW 72nd Ave.

2nd Floor

Miami, FL 33155

A deposit equal to **US\$ 10,000.00** is required to confirm the rooms.

A second deposit equal to 25% of the group value of **US\$ 20,475.00** is due ninety (90) days prior to the groups scheduled arrival date.

2nd Deposit Due Date: August 10, 2007

Final balance on the total group value of **US\$ 51,425.00** is required forty-five (45) days prior to arrival, along with a final rooming list and flight information.

Final Payment Due Date: September 24, 2007

Name changes are not permitted within 48 hours of scheduled room arrival.

Special Considerations:

One (1) private group cocktail party with open bar and hot and cold hors d'oeuvres (1 Hour) and one (1) private group buffet dinner (2 hours) are included in the group rate.*

Additional private functions will require a set up fee and per person food and beverage fee as listed below:

Breakfast during sessions – US\$ 6.50 per person per breakfast *

Buffet breakfast – US\$ 20.00 per person per breakfast *

Receptions – US\$ 20.00 per person per reception. *

Buffet Lunch – US\$ 20.00 per person per lunch *

Buffet dinner – US\$ 45.00 per person per dinner *

Plated dinner – US\$ 55.00 per person per dinner *

*Entertainment is not included for functions.

For those guests not staying at Sandia Grande Antigua, the daily day pass rate to attend the conference is US\$ 150 per person per day. Included in this cost is the food and beverage for all working sessions/receptions/dinners, etc.

**** All group functions must be confirmed through Unique Vacations' Group's Department.**

Concierge Upgrades:

A total of 2 upgrades to a run of house concierge room will be provided with this program. (One (1) complimentary concierge upgrade for every twenty-five (25) rooms paid.) Concierge upgrades will be guaranteed for the duration of the group's stay only.

Meeting Facilities:

Complimentary use of meeting facilities and basic AV equipment are included in the group rates.

Guaranteed Reservations:

All group reservations are guaranteed for late arrival, any no show rooms will be charged for the entire length of their booking.

Extension Rooms:

Participants are welcome to purchase extension nights at the group program rate, from three (3) days prior until three (3) days after the official program dates (black out dates may apply). Extension reservations must be paid prior to the group's arrival. Extension reservations will be confirmed based on availability and will be bound by the same cancellation policies as the group.

Master Account Charges and Payments:

Master account for incidentals on property and any outside tours and other charges will be settled on property prior to the group's departure from the resort. Preferred method of payment will be by credit card.

Full Group Cancellation:

180 days or more prior to group arrival, there will be no fee for full group cancellation.

Between 179 and 90 days prior to the group arrival 25% of full room revenue will be charged for full group cancellation, minus rooms resold by the hotel.

Between 89 days prior and 60 days of the groups scheduled arrival 75% of full room revenue will be charged for full group cancellation, minus rooms resold by the hotel.

Within 59 days of the groups scheduled day of arrival 100% of full room revenue will be charged for full group cancellation.

Room Attrition Policy:

180 days or more prior to group arrival, there will be no fee for attrition.

Between 179 and 90 day prior to group arrival, 15% of the total room block held at the 180 day review may be canceled without a fee. Any rooms cancelled above the allowed attrition schedule will be charged 20% of full room revenue, minus rooms resold by the hotel.

Between 89 and 60 days prior to group arrival, 10% of the total room block held at the 90 days review may be canceled without a fee. Any rooms cancelled above the allowed attrition schedule will be charged 60% of full room revenue, minus rooms resold by the hotel.

Between 59 and 31 days prior to group arrival, 5% of the total room block held at the 60 days review may be canceled without a fee. Any rooms cancelled above the allowed attrition schedule will be charged 80% of full room revenue.

Within 30 days of the scheduled arrival date, no rooms in the total room block held at the 31 day review may be canceled without payment of a penalty. Any rooms canceled within 30 days prior to arrival will be charged 100% of full room revenue.

General Conditions:

On behalf of Unique Vacations, we look forward to hosting your group at Sandals Grande Antigua and ask that you return a signed copy of this contract.

Failure to comply with all the above payment dates and conditions may result in the cancellation of group space and forfeiture of all deposits being held by Unique Vacations.

1997

05/08/2007 13:22 2129442009

PAGE 05

The quoted rate is valid for thirty days from the above contract date and price is subject to availability until a deposit has been received and confirmed by Unique Vacations. If the group falls below the required number of rooms (10), the group rate and privileges are no longer valid.

Group bookings are not eligible for override commissions through the CSS/PSS program. CSS/PSS agents will earn one free night through the GO SANDALS OR GO BEACHES program for every group of ten rooms or more, redeemable after the group has traveled.

Signature Guest Discounts are not combinable with group rates. Signature Guest will earn points for their stay at the Sandals or Beaches Resorts.

Effective January 22, 2007, the US Department of State and Homeland Security will require all travelers to and from the Americas, Caribbean and Bermuda to have a passport. Non-US Citizens should contact the airline regarding the specific entry requirements that apply to their nationality.

**** Boarding will be denied without proper travel documentation. ****

If you have any questions regarding this contract please do not hesitate to contact the groups department.

1-800-327-1991 ext. 4225, 4247 or 4:179 or fax: (305) 668-2767.

Sincerely,

Tonya Hawryluk
Group Sales Manager -- Unique Vacations
For Sandals and Beaches Resorts

Date

Accepted by
May 8, 2007

Date
5/5/2007

1998

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 3

1999

CRB-000478



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

TO : Hon. Dr Errol Cort
Minister of Finance and the Economy

cc. John Lynch
Executive VP, Sandals Resorts

FROM : Karl B. Rodney, *CARIB NEWS*

DATE : October 3, 2007

SUBJECT : Basic Requirements to Host Conference

As a condition of the Basic Requirement, the Host Country agreed to provide accommodation of twenty-five (25) rooms for Members of Congress and other VIP's. This payment is now required for the Sandals Grande Antigua for the Conference, November 8-11, 2007.

The group contract rate negotiated for the conference is \$420 per night for double occupancy. The amount for the three nights stay is \$1,260. The total due for the 25 rooms is \$31,500.

SANDALS is now requesting full payment for the rooms reserved. We would appreciate if you would make the payment of \$31,500 payable to:

Unique Vacations Inc.
Att: Groups Department
4950 S.W. 72ND Avenue, 2nd floor
Miami, FL 33155
(800) 327-1991 ext 4225, 4247, 4379

A wire transfer of funds is also possible. The information is

SUNTRUST BANK
777 BRICKELL AVENUE
MIAMI, FL 33131
(305) 579-7254

ACCOUNT NAME: Unique Vacations, Inc
ACCOUNT #: [REDACTED]
ABA ROUTING #: [REDACTED]
SWIFT ID: [REDACTED]

Thanks for your kind co-operation

Karl B. Rodney

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

2000

CRB-000479



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

TO : Tonya Hawryluk
Group Sales Manager/Unique Vacations

FROM : Faye A. Rodney, *CARIB NEWS*

DATE : October 5, 2007

Please find enclosed a check for \$20,000 on account of the **CARIB NEWS BUSINESS CONFERENCE** in Antigua, November 8-11, 2007.

65 Rooms x \$1260	-	\$ 81,900
Deposit	-	\$ 10,000
Antigua Government payment	-	\$ 31,400
Check enclosed	-	<u>\$ 20,000</u>

Balance Due - \$ 20,500

Total payment will be made by October 24, 2007



Organized by Carib News Foundation. A non-profit project 501-C3 Corporation

2001

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 4

2002

JOEL COHEN
DIRECT DIAL: [REDACTED]
DIRECT FAX: [REDACTED]

DANIELLE ALFONZO WALSMAN
DIRECT DIAL: [REDACTED]
DIRECT FAX: [REDACTED]

March __, 2009

BY EMAIL AND REGULAR MAIL

Mr. Leo Wise
Staff Director & Chief Counsel
Office of Congressional Ethics
United States House of Representatives
1017 Longworth House Office Building
Washington, DC 20515

Re: Request for Information, Review No. 09-2567

Dear Mr. Wise:

We represent Mr. Karl Rodney, Carib News and the Carib News Foundation with respect to the above referenced Request for Information. While you have asked for our clients' voluntary cooperation, which they are not obligated to provide, and in light of your informal assurances that you have no basis at this time to believe that our clients have acted inappropriately with respect to this matter, we enclose herewith documents responsive to your request.¹ To the extent that the Freedom of Information Act is applicable to any submissions made to the Office of Congressional Ethics, our clients hereby request that the information provided be treated confidentially.

By way of background, Mr. Rodney is a native of Jamaica and has been active in the Caribbean American community for decades, having served as President of the Jamaica Progressive League, the largest Caribbean organization in the United States. In 1982, Mr. Rodney recognized that there was no media outlet for the growing Caribbean American community. So, after 15 years of employment with Equitable Life Insurance, Mr. Rodney and

¹ Given our clients' privacy concerns and potential publicity that may be generated from this submission, we have withheld a number of documents, including tax and banking records, having concluded that they are not required for your inquiry.

HCSOC CCK

2003

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his wife, Faye, pooled their resources to found Carib News. The weekly newspaper has been in circulation for 28 years and boasts a stellar reputation throughout the country and Caribbean region. Its mission is to disseminate news that is relevant to its readership as well as provide information for immigrant readers who are acclimating to life in the United States.

The work of Carib News is largely guided by its social mission to build a bridge of understanding and strong alliance between the Caribbean American and African American communities, and the community at large. For example, each year, Carib News hosts approximately 20 editorial board meetings at its office, inviting members of the community and local elected officials to discuss pressing issues and to educate the community on how the system works. Past speakers have included former New York Governor George Pataki, Mayor Michael Bloomberg, New York City School Chancellor Joel Klein and various health commissioners and high profile businesspersons making a difference in the community. Carib News then publishes editorials of the meeting's content for all of its readers. Carib News also organizes and sponsors community outreach meetings in the five boroughs throughout the year on key issues to the community, e.g., mortgages.

In furtherance of the goals of his organizations, Mr. Rodney has held several unpaid positions, including membership on the Board of the New York City Partnership with David Rockefeller, the Board of the United Way of New York, the Board of the New York Urban League and the Board of the Greater Harlem Chamber of Commerce. He was also an instrumental participant in the founding of the Caribbean Cultural Center, the Caribbean American Chamber of Commerce and several service organizations in the community.

In 1985, the Rodneys recognized a void in the business connection between the United States and Caribbean. Seeking to foster business and education contacts between the two regions through first-hand contact and information, the first annual Caribbean Multi-National Business Conference (the "Conference") was held. Later, in 1998, the Carib News Foundation (formerly Carib News Charities) was founded to support the community outreach program of Carib News. The Foundation receives modest annual contributions unrelated to the Conference and has sponsored cultural tributes such as Mother of the Year awards through Carib News and other Caribbean heritage events. The Foundation's involvement with the Conference has evolved and increased over the years.

The Conference has grown in size throughout the years. Its agenda includes dozens of speakers with specific areas of relevant expertise, including distinguished professionals and state and federal public officials from the United States and the Caribbean region. Over its history, the Conference has generated serious business interest and commercial opportunities in the region. While our clients are appreciative of the past participation of Members of the United States Congress, the Conference is in no way dependent on their participation, and the Conference would, indeed, proceed in their absence.

In promoting the Conference, Mr. Rodney and his organizations have welcomed the financial support and meaningful participation of corporations interested in marketing their services to the Caribbean American community and the region, as well as those with social development programs in areas of, e.g., education, health, and the environment. T HCSOC CCK

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relationships with these corporations spans several years and grew out of Carib News' record of partnership in promoting such programs.

You will note in your review of the enclosed documents that our clients have been in regular contact in recent years with staff members at the House of Representatives in completing the necessary paperwork for the Conference. In 2006, for example, then-Chair Representative Doc Hastings and then-Ranking Minority Member Representative Howard L. Berman, in a reply letter to Michele Rodney, acknowledged Ms. Rodney's explanation of the Conference's finances and the fact that Carib News Foundation would be paying for Member travel expenses while the corporate participants "provide a contribution to the overall pool of expenses of the Conference."

In 2007, when the forms and requirements had been significantly changed since the 2006 process, Dawn Kelly Mobley, Esq., the Ethics Counsel for the late Congresswoman Stephanie Tubbs Jones, as well as Susan L. Olson, Esq., Peg Perl, Esq. and Hilary Smith of the House Committee on Standards of Official Conduct each worked with Ms. Patricia Louis of Carib News regarding how to properly complete the Private Sponsor Travel Certification Form.

For example, in an email to Ms. Louis, dated September 19, 2007, Ms. Kelly Mobley clarified the definition of "sponsor" by distinguishing between organizations that "take part in sponsoring food and other parts of the [Conference] travel," as opposed to the "official sponsor...providing travel, lodging and organizing the trip." (emphasis in original). Ms. Kelly Mobley advised in her email that it was only the "official sponsor" that may not retain or employ a registered lobbyist or registered foreign agent, and who must have a direct and immediate relationship with the event.

In another email, dated October 11, 2007, Ms. Louis explained to House Counsel Susan L. Olson that the Conference's corporate participants "contribute to the overall expense of the trip and the general work of the [Carib News] Foundation." Ms. Olson responded with a question by email dated October 12, 2007, "Do the [corporate participants] pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, costs of a particular meal or reception, etc.?" Since, as discussed above, the costs specified by Ms. Olson were covered by Carib News' sponsorship of the Conference, Ms. Louis replied, "No."

After subsequent correspondence between Ms. Olson, Ms. Kelly Mobley and Mr. Bill O'Reilly, Ms. Kelly Mobley writes to Ms. Louis on October 12, 2007, "I have explained [to Susan Olson] that the organizations you labeled as co-sponsors are not. They support your foundation annually, but have not and will not pay for the Members trip. This is simply a misunderstanding of the terms "sponsor," "contributor," and "participant" as used in the Ethics rules."

It is clear that it has always been our clients' intention to complete all of the necessary paperwork, including the House's Private Sponsor Travel Certification Form, accurately and honestly, and that the above exchanges with House staff and Counsel largely informed the organizations' actions in this regard. In those exchanges, our clients openly disclosed the identities of the Conference's corporate participants and at no time tried to mislead

2005

Leo Wise, Esq.
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Page 4

or deceive the House staff or Counsel.

The Rodneys have tirelessly dedicated their life's work to promoting worldwide interest and business opportunities for the Caribbean region, and improving the lives of all Caribbean Americans. For that public service, they have had their names and organizations splashed in print and electronic news media, have seen their corporate donors publicly excoriated for any past or future support of their programs, and have needed to dedicate significant time, effort and legal fees, particularly relative to their modest operation, in order to defend their credibility and reputation in the marketplace. We ask that you be mindful of these realities in ensuring appropriate sensitivity is exercised concerning the attached materials, particularly given your representation to us that you do not believe that our clients have acted inappropriately.

If you have any questions regarding the enclosed, please do not hesitate to call.

Very truly yours,

Joel Cohen

and

Danielle Alfonzo Walsman

Enclosures

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2006

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 5

2007

Entity Information

Page 1 of 2

NYS Department of State

Division of Corporations

Entity Information

Selected Entity Name: CARIB NEWS, INC.

Selected Entity Status Information

Current Entity Name: CARIB NEWS, INC.

Initial DOS Filing Date: JUNE 08, 1982

County: WESTCHESTER

Jurisdiction: NEW YORK

Entity Type: DOMESTIC BUSINESS CORPORATION

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

KARL RODNEY
7 WEST 36TH ST
NEW YORK, NEW YORK, 10018

Chairman or Chief Executive Officer

KARL RODNEY
7 WEST 36TH ST
NEW YORK, NEW YORK, 10018

Principal Executive Office

KARL RODNEY
7 WEST 36TH ST
NEW YORK, NEW YORK, 10018

Registered Agent

NONE

This office does not require or maintain information regarding the names and addresses of officers, shareholders or directors of a corporation.

***Stock Information**

# of Shares	Type of Stock	\$ Value per Share
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200	No Par Value	
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*Stock information is applicable to domestic business corporations.

2008

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

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EXHIBIT 6

2009

Entity Information

Page 1 of 2

NYS Department of State

Division of Corporations

Entity Information

Selected Entity Name: CARIB NEWS FOUNDATION

Selected Entity Status Information

Current Entity Name: CARIB NEWS FOUNDATION

Initial DOS Filing Date: MAY 27, 1988

County: NEW YORK

Jurisdiction: NEW YORK

Entity Type: DOMESTIC NOT-FOR-PROFIT CORPORATION

Current Entity Status: ACTIVE

Selected Entity Address Information

DOS Process (Address to which DOS will mail process if accepted on behalf of the entity)

C/O KARL B. RODNEY
15 WEST 39TH STREET
13TH FL.
NEW YORK, NEW YORK, 10018

Registered Agent

NONE

This office does not require or maintain information regarding the names and addresses of officers, shareholders or directors of a corporation.

*Stock Information

# of Shares	Type of Stock	\$ Value per Share
No Information Available		

*Stock information is applicable to domestic business corporations.

Name History

Filing Date	Name Type	Entity Name
MAY 15, 2001	Actual	CARIB NEWS FOUNDATION
MAY 27, 1988	Actual	CARIB NEWS CHARITIES, INC.

2010

111th CONGRESS, 1st SESSION

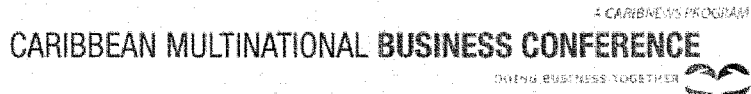
U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 7

2011



[REGISTER](#) [Agenda](#) [About The Conference](#) [The Hotel](#) [FAQ](#) [Participants](#) [Press](#) [Contact Information](#) [Home](#)

The Caribbean Multi-National Business Conference: What You Will Learn?

Building Business Strategies For Global Competitiveness

Diversity & Accountability In Corporations

- This has to do with standard that corporations are looking to in doing international business and that the Caribbean ought to keep in step with. Add to the competitiveness issues.

Travel & Tourism

- Tourism as an investment segment – to be treated as such and to direct attention to the investment potential. The use of technology is advancing the marketing and services of the Tourism Sector.
- The future look of Tourism – where are the opportunities for expansion of the Product. Competitiveness with other areas.

Health

- Health as an investment segment – Caribbean an ideal location for off-shore Medical facilities. Looking at the Apollo model in India as a possibility. We will be inviting medical institutions who might have an interest in the aspect of health. Job creation, better health care and infrastructural development.
- Management & Delivery of Health Care Services – what a collaboration can take place between health care providers in the US and those in the Caribbean. Sharing data and information to systems performance and provider performance.
- Collaboration on research between US and Caribbean Health Care institutions of chronic diseases affecting the respective population.

Safety & Security

- Issue of drugs and small arms trade and its impact on the safety and security of the region. Build awareness among US Congresspersons on how the demand in the US drives the trade. Expand Homeland Security concept to include US recognition of the Caribbean and the need to provide adequate funds. Regional and US collaboration on the problem.

Sustainable Use Of Marine Resources

- Great opportunity in the Caribbean to protect one of its treasures. Build awareness in the region, identify resources and seek collaboration to harness and protect marine resources.

Entrepreneurship In The Diaspora

- To develop a generation of entrepreneurship in the Diaspora to expand the investment pool. To enhance the Stock Market and investment opportunities in the region. Investment and Banking with a Diaspora emphasis.

2012

Pull on great examples of entrepreneurship in the Caribbean and in the US.

Cultural Industries

- Realizing the potential of the Caribbean culture in all forms; assessing the potential, strategies of development and marketing that will have a direct impact as a revenue generator for the region. Entrepreneurship again.

The Management Of Disaster & Recovery

- The concept of a Hemispheric Disaster Fund – proposed by Congressman Danny Davis at last year's Conference.
- The role of communication and technology in recovery.
- The use of Diaspora talent in this process and sensitivity to the community being served.
- Cadre of specialists – ready to be mobilized through organizations of the region.

Technology : The Role In Sustainable Development

- Impact on Education.
- Impact on Health.
- Opportunity for investment.
- Collaboration with US Corporations and institutions, i.e. strategy partnerships
- Delivery of services.

Globalization - A Reality For The Caribbean

- Understanding the Global marketplace
- Obstacles of parochial politics
- The future is now
- Public/private partnership for full advantage – i.e. the role of the entrepreneur
- Financial services.

Market Strategies In The Global Reality

- The Diaspora market opportunities
- A new look at agro-business – organic and gourmet
- Explore new distribution channels

United States/Caribbean Relations

- Special differential understanding of competitiveness and trade policy.

2013

- Fostering better investment climate.
- Security collaboration

Education

- Use of technology in bridging the divide

Strategies and application process for doing business in the Caribbean – to stimulate discussion on opportunities for specific business situations, incentives, information.

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POWERED BY JURAWAN TECHNOLOGY - WEB DESIGN AND DEVELOPMENT

2014

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 8

2015

WORKING DRAFT

**12th Annual Caribbean Multi-National
Business Conference Program
Antigua & Barbuda**

Thursday, November 8, 2007

12:00noon- 6:00pm :	CONFERENCE REGISTRATION -
---------------------	---------------------------

4:00 - 5:30om - COMMUNITY OUTREACH PROGRAM

Venue -

Theme - INTERNATIONAL TRADE - THE WTO ROLE

Speakers - Hon. Charles Rangel - Member of Congress

Hon. Maxine Waters - Member of Congress

Hon. Dr. Errol Cort - Minister of Finance & The Economy

6:30- 7:30pm - OPENING RECEPTION

Venue: - Sandals Grande

Theme: - CEMENTING DIASPORA TIES

Sponsor: - AT&T

MC: -

Speakers - Hon. Harold Lovell - Minister of Tourism & Civil Aviation

Hon. Yvette Clarke - Member of Congress



2016

7:30 – 9:30 pm -
Venue: - Sandals Grande
Theme: - COLLABORATIVE STRATEGIES
Sponsor: - SANDALS
MC: -
Speakers - Hon. 'Butch' Stewart, Chairman, Sandals
Hon. Ed Towns - Member of Congress
Minister of Government, Antigua - TBD

Entertainment :

10:00pm – 1:00am: Hospitality Area
Venue: Sandals Grande
Sponsors: - Royal Caribbean Bakery

Friday, November 9, 2007

8:00am – 8:45am BREAKFAST - Buffet Style

9:00am – 6:00pm	CONFERENCE REGISTRATION
-----------------	-------------------------

9:00am – 10:15am - OPENING CEREMONIES

Venue: - Sandals Grande - Main Ballroom
Theme: - CEMENTING DIASPORA TIES, COLLABORATIVE STRATEGIES
Sponsor: - CITI/PFIZER/MACY'S/AT&T

2017

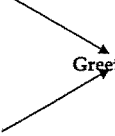
MC:

Invocation: -

Anthems: -

Speakers: - Eric Eve
Karen Boykin-Towns
Ed Goldberg
Marie Long

Greetings



Hon. Errol Cort - Minister of Finance & The Economy

Hon. Yvette Clarke - Member of Congress

Hon. Carolyn Cheeks Kilpatrick - Member of Congress

Susan Taylor - Editor in Chief - Essence

Governor General of Antigua

Ribbon Cutting

10:30am -11:45am - PRESENTATION

Theme: - FINANCIAL SERVICES - A ROLE IN HEMISPHERIC
DEVELOPMENT

Venue: - Sandals Grande

Sponsors - CITI

2018

Greeting -

Chair/Moderator - Jacqueline Ebanks

Speaker: Hon. Maxine Waters - Member of Congress
Hon. William Lacy Clay - Member of Congress
Hon. Compton Bourne - President, CDB
Caribbean Minister of Finance - TBD

12:00noon – 1:15pm

Theme: - NATIONAL SECURITY : A PRE-CONDITION FOR THE
PATHWAY TO SUCCESS

Venue : - Sandals Grande

Sponsors: - HSBC

MC: - Dr. Basil Wilson

Remarks: - Reginald Canal

Keynote Speaker: Hon. Bennie Thompson - Member of Congress

Panelists: - Minister of Security - Caribbean - TBD
Hon. Donna Christensen - Member of Congress
Hon. Yvette Clarke - Member of Congress
Professor Iwelaw Griffith

2019

12:00noon -1:15pm

Theme - EDUCATION - ISSUES & CHALLENGES ON
COLLABORATIVE APPROACH

Sponsors - IBM

Chair - Marilyn Johnson

Speakers - Dr. Edison O. Jackson, President Medgar Evers College
Hon. Donald Payne, Member of Congress
Minister of Education - Antigua & Barbuda - TBD
Youth Representative = TBD

1:30 -2:00 p.m. -

LUNCH

Theme - INTERNATIONAL TRADE - POSITIONING THE CARIBBEAN

Venue - Sandals Grande

Sponsors - Government of Antigua & Barbuda

Remarks - Hon. Errol Cort (or designee)

Keynote Speaker - Hon. Billie Miller - Minister of Foreign Affairs & Foreign Trade
Hon. Charles Rangel - Member of Congress

3:00pm - 4:00pm -

ROUND TABLES
IMMIGRATION - STRENGTHENING THE U.S.

2020

Venue: - _____

Sponsor -

Speakers - Hon. Sheila Lee Jackson - Member of Congress
Dr. Marco Mason
HAITI - THE TRANSFORMATION

Venue -

Sponsor - Preferred Health Partners

Speakers - Hon. Barbara Lee - Member of Congress
Hon. Eliot Engel - Member of Congress
CARICOM Rep. - TBD

THE VALUE OF DIVERSITY IN GROWTH & DEVELOPMENT ??

Venue:

Sponsor - Goodworks International

Speaker - Hon. Gregory Meeks - Member of Congress
CARICOM REP. - TBD

4:00pm - 5:30pm -

2021

Venue: - Off Site

Theme - HEALTH - COMMON ISSUES - TRIED SOLUTIONS

Sponsor - Pfizer/ Preferred Health Partners

Greeting: -

MC -

Keynote Speakers: - Dr. Dexter McKenzie
Dr. Denzil Douglas - Prime Minister St. Kitts
Dr. Donna Christensen - Member of Congress
Dr. Marcia Bayne-Smith

6.30:00pm - 10: 00 pm - HOST COUNTRY EVENING
Hosted by Prime Minister Baldwin Spencer

**** MANDATORY FOR ALL MEMBERS OF CONGRESS TO ATTEND**

Venue - Off Site

Sponsor : Antigua & Barbuda

10:00pm - 1:00am - Hospitality Area

Venue: - Sandals Grande

Sponsor: - Golden Krust

2022

Saturday, November 10, 2007

8:00am - 10.00am -

Venue: - Sandals Grande

Theme : - STRATEGIES FOR ?? ..DIASPORA ADVANCING
THE ROLE OF WOMEN

Sponsors: - MACYS

Remarks - Brenda Scott - Macy's

MC - Marilyn Johnson

Speakers - Michele Obama (invited)
Hon. Eddie Bernice Johnson - Member of Congress
Hon. Diane Abbott - Member of Parliament, UK
Baroness Patricia Scotland - Attorney General, UK
Harriett Michel - President NMSDC
Caribbean person - TBD

10:15am - 11:45pm - PRESENTATION

Theme - HEALTH - REAL OPPORTUNITIES FOR CARE AND INVESTMENT

Venue - Sandals Grande

Sponsors - Pfizer

Greeting - Karen Boykin-Towns - Pfizer

Chair/Moderator - Hon. Yvonne Graham

2023

- Keynote** - **Dr. Denzil Douglas - Prime Minister St. Kitts/Nevis**
- Panelists**
- Dr. Dexter McKenzie**
 - Hon. Donna Christensen - Member of Congress**
 - Dr. Lesly Kernisant**
 - Dr. Marcia Bayne-Smith**
 - Caribbean person - TBD**
- 10:15am-11:45am** -
- Theme** - **TRAVEL & TOURISM - EXPANSION IN THE DIAPORA CONNECTION**
- Venue** -
- Sponsors** - **AMERICAN AIRLINES/SANDALS**
- Chair/Moderator** - **Lloyd Williams**
- Speakers** - **Hon. Gregory Meeks - Member of Congress**
Hon. Keith Ellison - Member of Congress
Hon. Allan Chastanet - Minister of Tourism, St. Lucia
Hon. Harold Lovell, Minister of Tourism, Antigua & Barbuda
- 10:15-am-11:45am** - **PRESENTATION**
- Theme** - **TECHNOLOGY & COMMUNICATION - SHARED COLLABORATIVE ADVANCES ??**

2024

- Venue: - Sandals Grande
- Sponsors: - AT&T
- Remarks - Marie Long
- Keynote Speakers: - Hon. Ed Towns - Member of Congress
Hon. Eddie Bernice Johnson - Member of Congress
Minister of Technology - TBD
- 12:00noon - 1:15pm -
- Theme - INVESTMENT OPPORTUNITIEIS - THE DIAPORA LINKAGES
- Venue: - Sandals Grande
- Sponsor - HSBC
- Remarks - Javier Evans
- Speakers - Hon. Compton Bourne
Dr. Roy Hastick
Hon. Carolyn Cheeks Kilpatrick - Member of Congress
Hon. Kendrick Meek - Member of Congress
Hon. William Lacy Clay Jr. - Member of Congress
- 1:30pm -2:30pm - LUNCH
- Theme: - FINANCE COMMUNITY ORGANIZATIONS - VALUE
AND ROLE
- Sponsors: - CITI

2025

Remarks - Michael Flanigan

Speakers - Eric Eve - CITI
Hon. Donald Payne

2:30pm - 6:30pm

Optional:

3:00pm - 4:00pm - ROUND TABLE

Venue

Round Table #1

Theme : HEALTH TOURISM

Venue

Sponsor - Preferred Health Partners

Round Table#2 -

Theme - HEMISPHERIC DISASTER FUND

Venue -

Sponsor - Royal Caribbean Bakery

Speakers - Hon. Gregory Meeks - Member of Congress
Hon. Edolphus Towns - Member of Congress

Round Table#3

Theme - CLOSING THE GENERATION GAP
 Hon. Kendrick Meek - Member of Congress
 Hon. Yvette Clarke - Member of Congress

Venue -

Sponsor - Golden Krust Bakery

Round Table #4

Theme : PASSPORT REQUIREMENT - IMPACT ON TOURISM

Venue :

Sponsor : American Airlines

Speakers : Hon. Bennie Thompson - Member of Congress
 Hon. Sheila Jackson Lee - Member of Congress
 (Tourism officials from CTO or CHA)

Sponsor : CARIB NEWS

4:00pm - 5:30pm - COMMUNITY OUTREACH -
 EDUCATION - DIASPORAL COLLABORATION

Venue: - Off Site

Sponsor - CITI

Moderator: - Michael Flanigan

Speakers: - Dr. Edison Jackson - President Medgar Evers College
 Hon. Danny Davis - Member of Congress
 Hon. David M. Dinkins - Former Mayor New York City
 Caribbean person - TBD

2027

6:30 - 7:30pm - GALA RECEPTIONS

Venue:

Sponsor - AT&T - HSBC

Speaker - Hon. Billie Miller, Minister of Foreign Affairs,
Minister of Government .. Antigua

Theme:

7:30 - 10:00 pm : GALA DINNER & AWARDS

Theme :

Venue :

Sponsors AT&T/MACY'S/PFIZER

Speakers : Hon. Charles Rangel - Member of Congress
Hon. Stephanie Tubbs-Jones - Member of Congress

Keynote Speaker : Prime Minister Hon. Baldwin Spencer

Hospitality Area

10:30pm - 1:00am

Venue:

Sponsor: - Royal Caribbean Bakery

2028

Sunday, November 11, 2007

8:30 am - 10:00am - POWER BREAKFAST
Theme - UNDERSTANDING THE SPIRITUAL ROOTS AND
MAKING IT WORK
Sponsors: - Golden Krust/Macys
Celebrant - Ed Goldberg
Lowell Hawthorne
Caribbean person - TBD

Selection

Theme:

Selection:

11:00am } Paid Trip to Montserrat - Transportation (Ferry) - Lunch and
] Special Tour . Addressed, by Chief Minister Lowell Lewis

8:00 pm]

9/5/07

OFF PUBLIC SCHEDULE - MANDATORY FOR MEMBERS OF CONGRESS

2029

Friday November 9, 2007

5:30 - 6:30 p.m. - Private Welcome Reception with Governor General

Saturday November 10, 2007

- US CARIBBEAN RELATIONS

11:00 a.m. - 1:00pm - Closed Door Meeting
(Mandatory for all Members)
Prime Ministers & Ministers of Government
with Members of Congress
Secretary of State - Condoleezza Rice (Invited)

5:30pm - 6:30pm - Private Meeting with Local Elected Officials and
the Business Community

2030

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 9

2031

From: carib-desk@att.net
Sent: Monday, September 17, 2007 4:05 PM
To: [REDACTED]; Dalley, George
Subject: Draft Agenda, 2007 Conference
Attachments: Program Working Copy - edited Sept 13.doc

Attached draft program for your attention.
Congresswoman Strepheanie Tubbs-Jones has given us the name of Ms. Dawn Kelly Mobley
thee counsel in her office who will be working on the ethics approval. The program has also
been sent to her.

Regards

Patricia Louis
Executive Assistant
NY Carib News
Ph: (212) 944-1991
Fax: (212) 944-2089

9/17/2007

CBR-IS 000150

2032

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 10

2033

From: plouls [REDACTED]
Sent: Monday, September 17, 2007 3:47 PM
To: Kelly Mobley, Dawn
Subject: Draft Program - 2007 Business Conference

Ms. Mobley:
We spoke earlier re permission for Members to attend the Conference and you asked for the program. I have not gotten back to you because we have been working on getting the program together.
Attached is the draft for your guidance

Regards and Thanks

Patricia Loids
Executive Assistant
CARIB NEWS
7 West 38th Street, 8th Floor
New York, NY 10018

9/20/2007

CONFIDENTIAL

CN-000090

2034

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 11

2035

From: O'Reilly, Bill
Sent: Wednesday, September 19, 2007 1:37 PM
To: Olson, Susan
Subject: FW: Draft Program - 2007Business Conference
Attachments: Program Working Copy - edited Sept 13.doc

Have you dealt with the Carib news travel before? I have a hazy memory of looking at this trip last year. Can you review this and work with dawn or the Chairwoman to answer any questions they have?

Bill O'Reilly
202-225-7103

-----Original Message-----

From: Kelly Mobley, Dawn
Sent: Tuesday, September 18, 2007 7:15 PM
To: O'Reilly, Bill
Subject: Fw: Draft Program - 2007Business Conference

Would you please have someone look at this proposed travel agenda for the Chairwoman? The trip is in November but she'd like to talk with someone about it later this week. There is an entertainment component which doesn't appear to be clear yet. Let me know who will have it please? Dawn

Sent using BlackBerry

2036


111th CONGRESS, 1st SESSION


U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT


**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 12





*Prime Minister & Minister of
Foreign Affairs
The Hon. Sir Paul Scoones*



















*Minister of Business &
Tourism
The Hon. Dr. Carlisle*

ARE PLEASED TO HOST

THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE

JOIN

 <small>Congressman Charles Douglas</small>	 <small>Hon. Bill Miller</small>	 <small>Congressman David Thompson</small>	 <small>Pdl David Douglas</small>	 <small>Hon. Nicker Wilson</small>	 <small>Jd O'Flaherty</small>	 <small>Hon. Carolyn Clarke-McIntosh</small>	 <small>Jorja Baylis-Torres</small>
 <small>Hon. Bruce St. Christopher</small>	 <small>Malcolm H. Gibson</small>	 <small>Hon. Jim Lewis</small>	 <small>Vernon D'Souza</small>	 <small>Eric Fox</small>	 <small>Congressman Donald Payne</small>	 <small>Hon. David D'Arino</small>	 <small>Rogério Cruz</small>

AND MORE



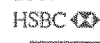



AT

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**ANTIGUA & BARBUDA
NOVEMBER 8 - 11, 2007
SANDALS - GRAND ANTIGUA**

For more information visit: www.caribnewsconference.com

SPONSORS

2

2038

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 13

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

Carb News Foundatin

1. Sponsor(s) (who will be paying for the trip): _____
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): _____
each representatives spouse is invited or one family member.
6. Dates of travel: November 6-11, 2007
7. Cities of departure - destination - return: _____
Varies depending on member
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
 - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - b. The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - c. The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *determined* under the Committee's travel regulations:
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
 - a. One-night's lodging and meals are being offered: or
 - b. Two-nights' lodging and meals are being offered:
 If "b" is checked, explain why the second night is warranted: _____

CONFIDENTIAL

CN-000077

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: Pfizer-Education/Health AT&T and IBM - provide technology to the region. MACYS - seeks new vendor sources, Citibank - opportunities in the region.
13. Describe each sponsor's organizational interest in the purpose of the trip: Regular scheduled air service, economy class.
14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted.
15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box)
16. I represent that either (check one of the following):
- The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
 - The trip involves events that are arranged or organized *specifically with regard* to congressional participation:
- If "B" is checked, detail the cost per day of meals (approximate cost may be provided):
17. Reason for selecting the location of the event or trip: A Caribbean country that has not previously hosted the conference.
18. Name of hotel or other lodging facility: Sandals Resort/Resort
19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00
20. Reason(s) for selecting hotel or other lodging facility: Has appropriate meeting rooms

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> Actual amounts <input checked="" type="checkbox"/> Good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	320	330	195
For each accompanying family member	320	0	195

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name and title: _____

Organization: _____

Address: _____

Telephone number: _____

Fax number: _____

Email Address: _____

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 RT-2, The Capitol
 Washington, D.C. 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 225-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

U.S. House of Representatives
Committee on Standards of Official Conduct

INSTRUCTIONS FOR FILLING OUT THE PRIVATE SPONSOR TRAVEL
CERTIFICATION FORM

1. *Sponsor(s) (who will be paying for the trip):* Fill in the names of each person, organization, or other entity contributing funds or in-kind support towards the trip.
2. *I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent:* House Members and staff may not accept travel funded by a lobbyist or registered foreign agent, even when the lobbyist or foreign agent will be reimbursed by a client or employer.
3. *I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip:* All financial contributors to the trip must qualify as sponsors and should be listed as a sponsor in response to question 1.
4. *Is travel being offered to an accompanying family member of the House invitee(s)?* Check yes or no. House Rules permit Members and House staff to accept travel benefits for one accompanying family member if offered by the trip sponsor.
5. *Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited:* You must list every House Member and employee who is invited on the trip, together with your reason for inviting that individual. Members and House staff may accept privately sponsored travel only when related to the individual's official duties. The explanation should demonstrate a connection between the trip and each invitee's official duties.
6. *Dates of Travel:* State the dates of departure and return.
7. *Cities of departure -- destination -- return:* For example, an appropriate entry might read: "DC -- Detroit -- DC". Include additional destinations if there will be more than one. Do not list the names of airports, times of flights, or cities where travelers will have an airport layover (this information should be included in the attached detailed agenda).
8. *Attached is a detailed agenda of the activities taking place during the travel:* The agenda should be a detailed, hour-by-hour agenda. Include the names of speakers and the subjects of briefings. The agenda should also include information regarding the time spent on travel to and from the destination, as well as local travel. Travel will not be approved if the agenda includes an excessive amount of either unscheduled time and/or opportunities for recreational activities, even if such activities are at the personal expense of the invitees.

9. *I represent that (check one of the following):* Check only one box in response to this question. "Institutions of higher education" generally includes accredited public and private colleges or trade schools located in the U.S. and its territories; such entities should check box "a," regardless of whether they employ or retain a federal lobbyist or foreign agent. Entities other than institutions of higher education that do not employ or retain a federal lobbyist or foreign agent should check box "b." Entities other than institutions of higher education that do employ or retain a registered lobbyist or foreign agent may sponsor travel only for one-day events. For such trips, lobbyist involvement must be "de minimis" as defined by Committee regulations (see question 12).
10. *If travel is for participation in a one-day event, check one of the following:* Complete this question only if you checked box "c" in response to question 9. For travel to one-day events sponsored by an entity that retains or employs a lobbyist, lodging and meals generally may be provided only for one night. However, two nights may be authorized by the Committee in accordance with the factors set forth in Committee regulations.
11. *If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip.* House Rules prohibit Members and employees from being accompanied by registered lobbyists or foreign agents while traveling. This rule does not prohibit lobbyist or foreign agent participation in briefings or meetings that occur at the destination.
12. *Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip.* The sponsor(s) (the entity or entities paying for the trip) should be the entity primarily responsible for organizing the trip. Travel may not be accepted from an entity that merely contributes money towards the travel and is not otherwise involved in planning or conducting the trip.
13. *Describe each sponsor's organizational interest in the purpose of the trip.* Briefly describe the interest or purpose of each sponsor in the trip.
14. *Describe the type and class of transportation being provided.* See directions on form.
15. *I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s).* While Members and staff may accept local transportation necessary in facilitating their participation in officially-connected aspects of a trip, Members and staff may not accept local transportation in connection with recreation or entertainment.
16. *I represent that either:* For events that are arranged or organized without regard to congressional participation (e.g., annual meetings of business or trade associations), Members or employees may accept the meals that are provided to all other attendees as part of the event. For events put on specifically for Members or staff, meal expenses must be "reasonable" in accordance with Committee regulations.

17. *Reason for selecting the location of the event or trip:* The destination of a trip must be related to its purpose. Travel to a location of an event organized without regard to congressional participation (for example, annual meetings of business or trade associations) is presumptively reasonable.
18. *Name of hotel or other lodging facility:* Include the names of all hotels and lodging facilities to be used during the trip.
19. *Cost per night of hotel or other lodging facility:* Self-explanatory. Trip sponsors should not pay for a "package" that includes recreational or entertainment activities. However, Members and staff may generally use a pool or gym facilities that are offered free of charge to all hotel guests.
20. *Reason(s) for selecting hotel or other lodging facility:* For events held without regard to congressional participation (e.g., annual meetings of business or trade associations), an entry such as "location of annual trade association meeting" is sufficient. When the trip is held specifically for Members or staff, include rationale such as proximity to the site to be visited or to the airport.
21. *Total Expenses for Each Participant:* Indicate whether the figures provided are actual amounts or good faith estimates by checking the appropriate box. All trip expenses should be included. Expenses other than those for transportation, lodging and meals must be individually listed and specified.
22. *I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip.* Members and staff may not accept a lump sum based on an estimate of incidental expenses.
23. *Certification Information:* Self-explanatory.

THE TRIP SPONSOR SHOULD PROVIDE A COPY OF THE COMPLETED FORM, INCLUDING ALL ATTACHMENTS, TO EACH HOUSE MEMBER OR EMPLOYEE INVITED ON THE TRIP.

Version date 1/2007 Committee on Standards of Official Conduct

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 14

2046

Olson, Susan

From: Olson, Susan
Sent: Friday, October 12, 2007 11:16 AM
To: Dixon, Carol; Sassaman, John; Van Hartesveldt, Peter; Smith, Tonia; Kellner, Ken; 'Kim, Morgan'
Cc: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: RE: Carib News - Pre-trip Approval Issues

Per Bill's request, I'm forwarding the following string of emails to you which includes my email from earlier today regarding my analysis of the "co-sponsors" situation for the Carib News trip. Let me know what you think. Thanks!

Susan

From: O'Reilly, Bill
Sent: Friday, October 12, 2007 11:09 AM
To: Olson, Susan; Kelly Mobley, Dawn
Subject: RE: Carib News - Pre-trip Approval Issues

That's always been my understanding of the rules – can you also run this by others to see if anyone has any other thoughts.

Bill O'Reilly
202-225-7103
[REDACTED]

From: Olson, Susan
Sent: Friday, October 12, 2007 9:29 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval issues

Bill and Dawn,
Please note the following response from Ms Louis at Carib News. Unfortunately, it appears that if each sponsor contributes funding directly to the trip (an earmark of funds) and not simply to the general funds of Carib News, then the assumption is that all of these entities are co-sponsors of the trip for purposes of the House travel rules. If that is the case and since it is likely that at least one of these co-sponsors hires or retains a federally registered lobbyist, the trip could only be a one-day event trip. Thus the Committee can't approve the trip as it currently exists.

But, if you have a different interpretation of the below information from Ms. Louis, please do let me know. Thanks! Susan

Susan L. Olson
Counsel

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 15

2048

Olson, Susan

From: Kelly Mobley, Dawn
Sent: Monday, October 15, 2007 10:56 AM
To: Olson, Susan; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval issues

If you feel the need to do so. It is my understanding that the Rodneys will be in the office this morning. If you call soon, you will probably get one of them directly.

From: Olson, Susan
Sent: Monday, October 15, 2007 10:53 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval issues

Bill and Dawn,
In light of the following response from Ms. Louis, shall I still pursue the funding issue with Mr. and Mrs Rodney?

Susan

From: plouis [mailto:]
Sent: Monday, October 15, 2007 10:48 AM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Olson,
In response to your e-mail, the answer to your question is NO.

Regards and Thanks for your patience

Patricia Louis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

-----Original Message-----

From: Olson, Susan [mailto:]
Sent: Friday, October 12, 2007 2:41 PM
To: plouis
Cc: Kelly Mobley, Dawn; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the

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expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

From: plouis [mailto:]
Sent: Thursday, October 11, 2007 10:37 AM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,

In response to your e-mail :

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.

As a courtesy, each contributor is given an opportunity to be attached to a part of the program

that is being presented. They do not determine the content or the other participants in the

Conference.

Federally registered lobbyists or foreign agents will not be accompanying the Members or participating in the program.

Regards

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Olson, Susan

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 6:18 PM
To: O'Reilly, Bill; Olson, Susan
Subject: Re: Carib News - Pre-trip Approval issues

Their Directors are Mr and Mrs. Rodney who could be queried at the same number.

Sent using BlackBerry

-----Original Message-----

From: O'Reilly, Bill
To: Olson, Susan; Kelly Mobley, Dawn
Sent: Fri Oct 12 18:12:57 2007
Subject: RE: Carib News - Pre-trip Approval issues

I'd like an answer to that question, but am wondering if she is the right person to provide it at this point. I'm certainly not confident that those entities are not sponsors, as it looks like we said last year that they were sponsors. But if they can affirmatively and cleanly state what you've asked below I'd be inclined to accept the representation.

Bill O'Reilly
202-225-7103
[REDACTED]

From: Olson, Susan
Sent: Friday, October 12, 2007 4:28 PM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval issues

Do you want me to ask Ms. Louis this follow-up question, as I'm concerned that she doesn't specifically address the funding issue, or is this simply "beating the issue to death?"

Ms. Louis:

While you indicate that "the companies are not sponsors or co-sponsors of any part of the trip and play no role in the planning or content," I also need a specific assurance from you that the companies do not pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.

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Thank you!

Susan

From: plouis [mailto: [REDACTED]]
Sent: Friday, October 12, 2007 3:08 PM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Olsen,

I sent you a fax indicating that the companies are not sponsors or co-sponsors of any part of the trip and play no role in the planning or content. They are participants .The program has been amended to reflect this.

I am sorry for the misunderstanding and confusion and thank you for your patience.

Regards and Thanks

Patricia Louis

Executive Assistant

CARIB NEWS



-----Original Message-----
From: Olson, Susan [mailto: [REDACTED]]
Sent: Friday, October 12, 2007 2:41 PM
To: plouis
Cc: Kelly Mobley, Dawn; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval issues

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Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Susan L. Olson
Counsel
House Committee on Standards of Official Conduct HT-2, The Capitol Building Washington, DC
20515
Phone: 202-225-7103
Fax: 202-225-7392

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From: plouis [mailto:]
Sent: Thursday, October 11, 2007 10:37 AM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Olson,

In response to your e-mail :

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.
As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Federally registered lobbyists or foreign agents will not be accompanying the Members or participating in the program.

Regards

Patricia Louis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

-----Original Message-----
From: Olson, Susan [mailto:]
Sent: Wednesday, October 10, 2007 4:01 PM
To: []

2054

Cc: [REDACTED]; O'Reilly, Bill
Subject: Carib News - Pre-trip Approval issues

Dear Mrs. Louis:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

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The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson
Counsel
House Committee on Standards of Official Conduct HT-2, The Capitol Building Washington, DC
20515
Phone: 202-225-7103
Fax: 202-225-7392

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 16

2057

From: Kelly Mobley, Dawn [mailto:████████████████████]
Sent: Monday, September 17, 2007 5:53 PM
To: plouis
Subject: RE: Draft Program - 2007Business Conference

Nothing was attached to this email. Therefore, we have not received the proposed agenda you mention below and have nothing to preview.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 17

2059

From: plouis <[REDACTED]>
To: Kelly Mobley, Dawn
Sent: Tue Sep 18 15:23:55 2007
Subject: RE: Draft Program - 2007Business Conference

Sorry for the omission. Program now attached

Patricia Louis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 18

2061

From: Kelly Mobley, Dawn
Sent: Tuesday, October 02, 2007 12:05 PM
To: Olson, Susan
Subject: FW: Please read query below

Susan: See CARIB News' email below in response to my voice message about sponsorship. Please speak with Patricia Lewis of CARIB News so we can start to process this travel; if possible.

I have kept the Chairwoman updated on this matter and will let her know you will begin the process.

I still have the information on my desk. Feel free to grab it at your convenience.

Dawn

From: plouis [mailto:]
Sent: Monday, October 01, 2007 6:40 PM
To: Kelly Mobley, Dawn
Subject: RE: Please read query below

The Conference dates are November 8-11, 2007: and opens on Thursday November 8 and ends the morning of November 11, 2007- (3 nights)

CARIB NEWS FOUNDATION is the Sponsor of the event.

Item No. 12: The companies like Pfizer, AT&T are donors/contributors of grants to the Foundation for educational purposes and NOT the SPONSOR, consequently I assume the information contained in that item will have to be changed.

Awaiting your advice.

Regards

Patricia Lewis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 19

2063

Cc: [REDACTED]; O'Reilly, Bill
Subject: Carib News - Pre-trip Approval issues

Dear Mrs. Louis:

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]," which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's Antigua trip.

Susan L. Olson
Counsel
House Committee on Standards of Official Conduct HT-2, The Capitol Building Washington, DC
20515
Phone: 202-225-7103
Fax: 202-225-7392

2065

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 20

Registration

From: Kelly Mobley, Dawn [REDACTED]
Sent: Wednesday, October 10, 2007 6:48 PM
To: [REDACTED]
Subject: RE: Carib News - Pre-trip Approval issues

Her question centers on whether any of these "co-sponsors" retains a lobbyist or interloper. This is the issue you and I focused on previously. It is a crucial problem if any do and are paying for the events. If so, you are in the same position as last year with one-day events. Call Susan back tomorrow as early as possible. Hopefully, these co-sponsors don't retain lobbyists, but you should have their representations on this matter. As a minimum, Macy's does, right? Alternatively, if they are only sponsoring event tables or the like, a lobbyist will not be problematic.

Don't list BlackBerry

----- Original Message -----
From: [REDACTED]
To: Kelly Mobley, Dawn; Thomas, Shelley; Dalley, George
Sent: Wed Oct 10 17:56:55 2007
Subject: RE: Carib News - Pre-trip Approval issues

Please see e-mail I received from Ms. Olson of the Committee on Standards of Official Conduct.

A possible response could be: The sponsors contribute to the overall expense of the trip and the general work of the Foundation. As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Please advise us.

Regards and Thanks

Patricia Lewis
Executive Assistant
CARIB-NEWS
7 West 30th Street, 8th Floor
New York, NY 10018

----- Original Message -----
From: Olson, Susan [mailto:[REDACTED]]
Sent: Wednesday, October 10, 2007 4:01 PM



09-2222 0180

CONFIDENTIAL

CN-000065

To: [REDACTED]
 Cc: [REDACTED]; O'Reilly, Bill
 Subject: Carib News - Pre-trip Approval issues

Dear Mr. Louis,

With regard to the pre-trip approval requests for the Carib News Foundation's (Foundation) trip to Antigua, the issues involving sponsorship are as follows:

While the sponsor form that you completed indicates that the Foundation is the sole sponsor of the 2007 trip, the draft schedule, however, indicates that the following entities are "sponsoring" sessions, events, etc. during this trip:

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CHI
- o Prize
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Last year the Foundation provided the following information regarding these "additional sponsors" for the November 9 to 12, 2006 trip:

The Foundation, which is a 501(c)(3) nonprofit organization, will be paying for the Members' travel expenses including transportation, meals, and lodging. The background materials for the Conference also indicate that the additional trip sponsors provide a "contribution to the overall pool of expenses in contribution to the [Foundation]" which is used to "underwrite the overall expenses of the Conference," primarily for meal expenses. These materials further provide that these additional sponsors have representatives from their organization who will be attending and participating in the Conference.

Accordingly, the Committee's Advisory Opinion, dated October 27, 2006, provided that all of the organizations that provided financial underwriting for the trip along with the Foundation were required to be listed as the "trip sponsors" on the participating Members' post-travel disclosure form and their 2006 annual financial disclosure statements.

10/10/2007

09-2222 0181

CONFIDENTIAL

CN-000066

2068

Accordingly, you need to provide information describing the role of these "additional sponsors" as listed in the 2007 trip draft schedule for this current trip. Without such information, I cannot proceed with the review of the pre-trip approval requests for the Foundation's August trip.

Susan L. Olson
Counsel
House Committee on Standards of Official Conduct
RT-2, The Capitol Building
Washington, DC 20515
Phone: 202-225-7103
Fax: 202-225-7392

10/10/2007

09-2222 0182

CONFIDENTIAL

CN-000067

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 21

2070

From: plouis [mailto:]
Sent: Thursday, October 11, 2007 10:37 AM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval Issues

2

Ms. Olson,

In response to your e-mail :

The sponsors contribute to the overall expense of the trip and the general work of the Foundation.

As a courtesy, each contributor is given an opportunity to be attached to a part of the program that is being presented. They do not determine the content or the other participants in the Conference.

Federally registered lobbyists or foreign agents will not be accompanying the Members or participating in the program.

Regards

Patricia Louis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 22

2072

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 1:49 PM
To: Olson, Susan; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval issues

Did their travel form indicate that the others directly fund the trip? I had difficulty speaking with Ms. Louis. She is Carribean and simply did not understand terminology; specifically the meaning of "sponsor". Before I asked you to look into this matter, Ms. Louis said Macy's and the others were not paying for the trip or organizing. Carib News organized, funded, and arranged the travel. It is my understanding that the groups she calls "sponsors" below, will be participants at the program. As such, she uses the terms "contributors", "sponsors", and "participants" interchangeably. Ms. Louis indicated that these other organizations support the Carib News Foundation on an annual basis.

Would you speak with her or the Director, Mrs. Rodney, directly about this? I think this is a matter of misunderstanding and needs direct clarification.

Thanks,

Dawn

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111th CONGRESS, 1st SESSION

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Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 23

2074

-----Original Message-----

From: Olson, Susan [mailto: [REDACTED]]
Sent: Friday, October 12, 2007 2:41 PM
To: plouis
Cc: Kelly Mobley, Dawn; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Louis,

Please explain in more detail your email message below relative to the "sponsors contribute to the overall expense of the trip." In this regard, do the following entities pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.?

- o Antigua Government
- o Sandals
- o Royal Caribbean Bakery
- o CITI
- o Pfizer
- o Macy's
- o AT&T
- o HSBC
- o IBM
- o Goodworks International
- o Preferred Health Partners
- o Antigua & Barbuda
- o American Airlines
- o Golden Krust

Susan L. Olson

Counsel

House Committee on Standards of Official Conduct

HT-2, The Capitol Building

Washington, DC 20515

Phone: 202-225-7103

Fax: 202-225-7392

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 24

2076

From: plouis [mailto:]
Sent: Friday, October 12, 2007 3:08 PM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Olsen,
I sent you a fax indicating that the companies are not sponsors or co-sponsors of any part of the trip and play no role in the planning or content. They are participants .The program has been amended to reflect this.

I am sorry for the misunderstanding and confusion and thank you for your patience.

Regards and Thanks

Patricia Lewis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 25



TEL: (212) 944-1921 FAX: (212) 944-2089
 E-MAIL ADDRESS: NYCARIB@AOL.COM
 CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET	
TO: Susan Olsen	FROM: R. Louis [REDACTED]
COMPANY: Home Care Standards	DATE: 10/12/07
FAX NUMBER: 202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:
RE: Travel Certification Form	
<input type="checkbox"/> URGENT <input type="checkbox"/> FOR REVIEW <input type="checkbox"/> PLEASE COMMENT <input type="checkbox"/> PLEASE REPLY <input type="checkbox"/> YOUR INFORMATION	

Ms. Olsen,
 In response to your e-mail - the Conference is paid for by CARIB NEWS FOUNDATION.

The companies are participants. They are not sponsors or co-sponsors for any part of the trip and play no role in the planning or content.

The program has been amended to reflect the companies as Participants.

I regret the misunderstanding and thank you for your patience as I go through and grow through this process, which is new to me.

I look forward to hearing from you.

Regards

1

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 26

2080

Olson, Susan

From: O'Reilly, Bill
Sent: Friday, October 12, 2007 6:13 PM
To: Olson, Susan; Kelly Mobley, Dawn
Subject: RE: Carib News - Pre-trip Approval issues

I'd like an answer to that question, but am wondering if she is the right person to provide it at this point. I'm certainly not confident that those entities are not sponsors, as it looks like we said last year that they were sponsors. But if they can affirmatively and clearly state what you've asked below I'd be inclined to accept the representation.

Bill O'Reilly
202-225-7103
[REDACTED]

From: Olson, Susan
Sent: Friday, October 12, 2007 4:28 PM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval Issues

Do you want me to ask Ms. Louis this follow-up question, as I'm concerned that she doesn't specifically address the funding issue, or is this simply "beating the issue to death?"

Ms. Louis:

While you indicate that "the companies are not sponsors or co-sponsors of any part of the trip and play no role in the planning or content," I also need a specific assurance from you that the companies do not pay for any of the expenses of the trip for the invited House Members, including roundtrip air transportation costs, lodging costs, meal costs, cost of a particular meal or reception, etc.
Thank you!

Susan

From: plouis [mailto:[REDACTED]]
Sent: Friday, October 12, 2007 3:08 PM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval issues

Ms. Olsen,
I sent you a fax indicating that the companies are not sponsors or co-sponsors of any part of the trip and play no role in the planning or content. They are participants. The program has been amended to reflect this.

I am sorry for the misunderstanding and confusion and thank you for your patience.

Regards and Thanks

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111th CONGRESS, 1st SESSION

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Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 27

2082

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 6:18 PM
To: O'Reilly, Bill; Olson, Susan
Subject: Re: Carib News - Pre-trip Approval Issues

Their Directors are Mr and Mrs. Rodney who could be queried at the same number.

Sent using BlackBerry

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 28

2084

From: plouis [mailto:]
Sent: Monday, October 15, 2007 10:48 AM
To: Olson, Susan
Subject: RE: Carib News - Pre-trip Approval Issues

Ms. Olson,
In response to your e-mail, the answer to your question is NO.

Regards and Thanks for your patience

Patricia Lewis
Executive Assistant
CARIB NEWS
7 West 36th Street, 8th Floor
New York, NY 10018

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 29

2086

From: Olson, Susan
Sent: Monday, October 15, 2007 10:53 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval issues

Bill and Dawn,
In light of the following response from Ms. Louis, shall I still pursue the funding issue with Mr. and Mrs
Rodney?

Susan

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 30

2088

From: Kelly Mobley, Dawn
Sent: Monday, October 15, 2007 10:56 AM
To: Oison, Susan; O'Reilly, Bill
Subject: RE: Carib News - Pre-trip Approval issues

If you feel the need to do so. It is my understanding that the Rodneys will be in the office this morning. If you call soon, you will probably get one of them directly.

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Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 31

Registration

From: Kelly Mobley, Dawn [REDACTED]
Sent: Friday, October 12, 2007 1:55 PM
To: plouis
Subject: RE: Confidential

The fax did not come through. I will be stepping out of the office for the remainder of the day, but will be in early Monday. Please follow up again with Susan. I have explained that the organizations you labeled as co-sponsors are not. They support your foundation annually but have not and will not pay for the Members trip. This is simply a misunderstanding of the terms "sponsor", "contributor", and "participant" as used in the Ethics rules which is why I sent you the Rules book for preparation.

Once you are able to clarify these issues and make sure your itinerary passes too, you should be fine. An Ethics Committee Staff Attorney must review and approve as well. Your Staff Attorney is Susan.

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 11:55 AM
To: 'plouis'
Subject: RE: Confidential

Have you spoken with your Director and explained the problem so you can call Olson asap?

From: Kelly Mobley, Dawn
Sent: Friday, October 12, 2007 9:59 AM
To: 'plouis'
Subject: FW: Confidential

Please read both emails below. Give Susan a call as soon as you work through the kbk, let her know you are following up. Please make yourself available to work through this matter today. Thank you Patricia. (These other organizations can participate in the program but should not help pay for the trip).

From: Olson, Susan
Sent: Friday, October 12, 2007 9:29 AM
To: O'Reilly, Bill; Kelly Mobley, Dawn
Subject: FW: Carib News - Pre-trip Approval Issues

Bill and Dawn,
Please note the following response from Ms. Louis at Carib News. Unfortunately, it appears that if each sponsor contributes funding directly to the trip (an earmark of funds) and not simply to the general funds of Carib News, then the assumption is that all of these entities are co-sponsors of the trip for purposes of the House travel rules. If that is the case and since it is likely that at least one of these co-sponsors hires or retains a federally registered lobbyist, the trip could only be a one-day event trip. Thus the Committee can't approve the trip as it currently exists.

But, if you have a different interpretation of the below information from Ms. Louis, please do let 10/12/2007

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COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 32

Registration

From: Kelly Mobley, Dawn [REDACTED]
 Sent: Wednesday, September 19, 2007 3:23 PM
 To: plouls
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

Lastly, just send the sponsor form asap for review. The new rules might prohibit some things you were able to do with so many different sponsors in the past, but until we get the form, we can't make the most informed decision. I have let the Congresswomen know we are awaiting your sponsor form. Just as you do, they would also like assurance. Thank you.

From: Kelly Mobley, Dawn
 Sent: Wednesday, September 19, 2007 3:09 PM
 To: 'plouls'
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

I am mailing (US Postal) a copy of the official Gifts and Travel Guide. Some pages are omitted so call with any questions not addressed in the bound pages. I am using the address you provide in your emails.

From: Kelly Mobley, Dawn
 Sent: Wednesday, September 19, 2007 2:16 PM
 To: 'plouls'
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

Patricia:

I understand that several organizations will take part in sponsoring food and other parts of the Antigua travel. However, I need clarification on the *official* sponsor; that organization providing travel, lodging, and organizing the trip? Am I to assume it is CARIB NEWS? The official sponsoring organization must not retain or employ a registered lobbyist or registered foreign agent (and the sponsor must have a direct and immediate relationship with the event or location being visited). To assist with this, I am scanning information/guidelines and emailing in just a moment. Please provide a fax number if that method of submittal will be more helpful. If there is someone handling this matter, please provide me their direct contact information as I must ensure adherence to these new guidelines pre-travel.

In any event, I do need to know who the official sponsor will be. I have assigned a staff attorney to review your documents and will remain in contact with you until this matter is finalized.

Please respond today or tomorrow at the latest. I will be traveling for a while thereafter and must ensure that my staff attorney has all that is necessary for a safe review.

Dawn Kelly Mobley
 Ethics Counsel for Congresswoman Stephanie Tubbs Jones
 Chairwoman, Committee on Standards of Official Conduct
 U.S. of Representatives
 Washington, DC 20515
 202/225-7103

From: Kelly Mobley, Dawn [REDACTED]
 Sent: Tuesday, September 18, 2007 7:03 PM
 To: [REDACTED]
 Subject: Re: Draft Program - 2007 Business Conference (No agenda or program attached)

~~Remove this information from the original document~~
 Sent using BlackBerry

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 33

2094

Dawn Kelly Mobley
[REDACTED]

RECEIVED
2009 NOV 30 PM 4:47
COMMITTEE ON STANDARDS

November 30, 2009

R. Blake Chisam, Esq.
Chief Counsel & Staff Director
Committee on Standards of Official Conduct
Suite HT-2, The Capitol
Washington, DC 20515

Dear Mr. Chisam:

In response to the Committee's letter of July 31, 2009, requesting my witness testimony before the Investigative Subcommittee concerning certain allegations related to the 2007 and 2008 Carib News Foundation conferences, I continue to offer as much assistance as possible. I would, however, like to respond to your letter and ask that you share my response with the Chairman, Ranking Member, and Reps. Miller and Simpson, of the Investigative Subcommittee.

On August 11, 2009, you took my sworn testimony.¹ During the solicitation of my testimony, Clifford Stoddard referred to several emails secured from the Office of Congressional Ethics (OCE) containing communication between a Carib News employee and me. Questions were also posed concerning a video taken during one of the Carib News conferences. I subsequently communicated with Clifford and requested a copy of the OCE report. He told me he would request the report of the OCE for me since a significant portion of it concerned me, and later, offered to speak with Members of the Subcommittee about my obtaining a copy.

However on November 19, 2009, I received a message from my intern that Clifford had just called about a 10 AM meeting at the Committee. Though we had previously discussed meeting, Clifford and I had not confirmed the meeting because I still awaited a response on my request for the OCE report and review of my sworn testimony. Despite the lack of confirmation, I rushed to the Committee offices and briefly met the Members of the Investigative Subcommittee. Of the Subcommittee, I requested the OCE report and a review of my transcript. The Members asked Clifford to speak with me outside the Committee hearing room. During my conversation with Clifford, I told him he had not given me notice of the interview, and again, requested the OCE report. Clifford indicated that in speaking with his Staff Director, it was decided I could not receive a copy of the report since I had not been a target of the OCE referrals concerning this investigation. He then volunteered that the "only things the report contained (about me) were the emails". Clifford also said the interview would be rescheduled because the Members had to be finished by 11:30 AM.

For a number of reasons, I request this matter be dismissed without disclosure of the OCE findings and report including the email communications from Carib News.² First, investigation of the 2007 Carib

¹ You were accompanied by Clifford Stoddard and Donald Sherman. Mr. Stoddard posed the majority of questions and made all Committee references to the findings and evidence obtained from the Office of Congressional Ethics (OCE) or other investigative sources.

² Because I was not allowed the OCE report, I was unable to review whether applicable timelines were honored by the OCE in reviewing and referring the complaint, OCE report, and findings to the Committee on Standards.

News CODEL violates Resolution 895 which states that “No review shall be undertaken by the board of any alleged violation of law, rule, regulation, or other standard of conduct not in effect at the time of the alleged violation; nor shall any review be undertaken by the board of any alleged violation that occurred before the date of adoption of this resolution.” Resolution section 1(e). The Resolution was adopted on March 11, 2008. Because the OCE investigated and referred this matter to the Committee which occurred before March 11, 2008, the OCE violated H. Res 895 and had no jurisdictional authority. As a result, I request that the matter be dismissed without public disclosure of the report and findings. Indeed if the OCE referred the matter for further review, the Committee apparently honored that referral by creating the Investigative Subcommittee, investigating, securing and using physical and documentary evidence, and taking sworn testimony. Given such, the Committee’s actions have been consistent with any recommendation for further review which could have been lodged by the OCE Board and a dismissal of the complaint without disclosure of the report and findings would be proper.³

Moreover improperly or illegally obtained evidence should likewise be dismissed without public disclosure. Indeed, the National Legal and Policy Center (NLPC), through its media reports, web listings, and distributions has made no mystery about its provision of audio and video tapes it improperly and secretly made during one of the Carib News conferences which it submitted to the OCE. On May 22, 2009, the NLPC states the Standards Committee also requested NLPC’s recordings; which it furnished.⁴ However in its May 18, 2009 letter to supporters and contributors, the NLPC describes how it crashed the 2008 Carib News conference, entered the event uninvited, and took video and audio tapes.⁵ The NLPC letter states of the 2008 conference, “The *New York Post* reporter and photographer, who were with me, were denied entry to the event. **But I made it inside.**” The letter further reads in part, “I mingled and acted like I belonged. I knew my act was over, however when a burly guy approached me and asked if I was Peter Flaherty. I could see he had my picture in his hand. He said he was with the Police Korps of St. Maarten and I was being detained.” It states “I (Peter Flaherty) raced back to my hotel room to get my camera, recording device and my laptop out of there. I handed them off to the *Post* reporter in case the “Kops” or anyone else came looking for them.”⁶ Mr. Flaherty trespassed on the conference because “the trip was supposed to be for an annual Caribbean business conference. We figured it would be worth monitoring this year’s event.”⁷ Mr. Flaherty’s trespass resulted in improperly obtained evidence in violation of H. Res. 895 which states, “The Office shall not review any document, recording or physical evidence that was obtained in violation of any law, rule, or regulation. When an individual submits evidence to the Office, s/he shall be asked to affirm to the best of their ability that the evidence was not obtained in violation of any law, rule, or regulation.”⁸ NLPC was not an invited guest. It, Mr. Flaherty, and the reporters who accompanied him, crashed the conference. As a result, the evidence was unlawfully obtained and should not have been reviewed by the OCE or referred to the Standards Committee. It should be dismissed without disclosure as a part of the originating complaint.⁹

³ House Comm. On Standards of Official Conduct Rule 17 (A)(e). (“If the Committee votes to dismiss a matter referred from the Board, the Committee is not required to make public the written report and findings of the Board pursuant to paragraph (c) unless the Committee’s vote is inconsistent with the recommendation of the Board.”)

⁴ See attachments from: nlpc.org, *Roll Call* Nov 5, 2009.

⁵ See, NLPC May 18, 2009, letter (attached)

⁶ See, footnote above.

⁷ See, footnote 4 (pg 3)

⁸ OCE Rule 4 (C)

⁹ Moreover, NLPC’s May 18, 2009, letter, trespass, and all resulting fruits of the poisonous tree should be disclosed as exculpatory evidence.

If the Committee's position is that this investigation was self-initiated pursuant to Committee Rule 18 (a), this review, resulting from improperly obtained evidence and email messages formed in violation of H. Res 895, should likewise be dismissed without public disclosure; for the same reasons stated above.

Finally, my review of this matter came only at the behest of the former Committee Staff Director, Bill O'Reilly, who asked that I return a call to the Carib News number he then handed me. In speaking with the Carib News representative, Pat, I took her concerns and questions to Susan Olson, who advised me on how to address them. Susan had been on the Committee much longer than other Advice attorneys. I also shared these conversations with Bill O'Reilly as they progressed. I discussed the telephone calls and emails with Susan and Bill O'Reilly, who assigned Susan as Advice Counsel. At all times, I only attempted and intended to further the work of the Committee.

For all the reasons stated above and as a witness to this investigation, I request that my previous testimony stand, this investigation be terminated, and the complaint dismissed without public disclosure.

Respectfully Submitted,

A black rectangular redaction box covering the signature of Dawn Kelly Mobley.

Dawn Kelly Mobley

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ATTACHMENTS

PROMOTING ETHICS IN PUBLIC LIFE
National Legal and Policy Center



House Ethics Committee Asks NLPC For Info on Rangel-Led, Citigroup-Funded Caribbean Junket

Submitted by Peter Fishbein, fishbein@nlpc.org on Tue, 06/02/2009 - 15:48

On May 22, the House Ethics Committee [asked NLPC](mailto:ethics@nlpc.org) if it could provide

photographs, audio recordings and other materials related to a trip to the sunny Caribbean island of St. Maarten in November 2008 by the following House members:

Charles Rangel (D-NY), Donald Payne (D-NJ), Sheila Jackson-Lee (D-TX), Carolyn Cheeks Kilpatrick (D-MI), Bennie Thompson (D-MS) and Donna Christensen (D-VT).

I was present in St. Maarten, where I documented violations of House Rules that prohibit corporate sponsorship of travel and hospitality.

When I inquired whether this matter was under investigation, I was told that House Rules prevented the Committee from confirming an investigation. We provided the material on May 29 along with a formal request for an investigation.

[Click here to download a 4-page pdf of the Complaint, without exhibits](http://www.nlpc.org/sites/default/files/CaribEthicsComplaint_0.PDF)

The sponsor of the trip was the Carib News Foundation, but it was funded by "lead sponsor" Citigroup, a major recipient of bailout funds, which contributed \$100,000. Other sponsors included IBM, AT&T, Verizon, Pfizer, Macy's and American Airlines.

As Susan Crabtree points out (<http://thehill.com>, <http://thehill.com/policy/ethics/2009/06/02/house-ethics-committee-asks-nlpc>), *The Hill*:

Democrats imposed stricter limits on corporate-sponsored travel to prevent the type of abuse unearthed during the Jack Abramoff scandal. Lawmakers who attended Abramoff-organized golf junkets to London and Scotland, as well as other luxurious locales, listed several nonprofits as having paid for the travel even though corporations footed the bill.

This junket was described in a major article in the *New York Post* on November 20, 2008, and was also the subject of an article in *The Hill* on January 28, 2009. Moreover, I discussed it on CNBC on January 29, 2009. Yet it took months for the Committee to request the evidence from NLPC.

PROMOTING ETHICS IN PUBLIC LIFE
National Legal and Policy Center



Charles Rangel

Rangel Says Ethics Committee Has Not Asked About His Finances

submitted by Peter Flaherty on Fri, 10/30/2009 - 11:14

The *Washington Post* reports

today that staff members of the House Ethics Committee met with Ways and Means Committee Chairman Charles Rangel (D-NY) in July and questioned him about a trip by Rangel and four other members of the Congressional Black Caucus to the Caribbean island of St. Maarten. According to the *Post*:

Rangel said he has not been interviewed by the committee to discuss other elements of the investigation, which involve his personal finances and whether House resources were improperly used to raise funds for a college center named for him.

It was NLPC that exposed both the Caribbean junket and Rangel's failure to disclose, or pay taxes on, rental income from his Dominican Republic "villa." While we welcome the investigation of the junket, we believe that tax evasion is a serious matter, and that Rangel should be treated no differently than any other citizen.

Flaherty: Rangel Dirty Even After Coming Clean

submitted by NLPC Staff on Fri, 10/09/2009 - 08:29



October 8, 2009- CNN's Anderson Cooper reports on the House Ethics

National Legal and Policy Center

"promoting ethics in public life"



Board of Directors

Ken Boehm, Chairman
Peter Flaherty, President
Kate Hinton
Michael Falcone
David Wilkinson

Founded 1991

May 18, 2009

1730 Rhode Island Ave N.W. Suite 608
Washington, DC 20036

Dear

Rep. Charles Rangel (D-NY) is Chairman of the House Ways and Means Committee. He is a very powerful man.

And we have him on the run!

I am writing to ask for your help. We want to finish him off. Let me explain why this is so important.

Barack Obama is President. The Democrats control Congress. They are raising taxes, but they are a bunch of hypocrites.

Obama nominees Timothy Geithner and Tom Daschle did not pay their taxes. Neither did Rangel.

The American people are growing sick and tired of the double standard for those in power and everyone else.

If you will help, we can take out Rangel and fan the flames of opposition to massive tax hikes planned by Obama and Rangel.

NLPC EXPOSES TAX CHEAT RANGEL

We have been furiously digging on Rangel's financial affairs. Every time we flip over a rock, there is something there.

We have now exposed three major controversies which have received significant media coverage. All three were based on our original research. All three were provided to the *New York Post* on an exclusive basis.

Follow-on coverage has appeared in the *New York Times*, *Washington Post* and almost everywhere else. Even Jay Leno has joked about Rangel not paying his taxes.

May 18, 2009
Page Three

Moreover, to be eligible for the homestead exemption, one must pay DC income tax, from which members of Congress are exempt.

Again, we provided the story to the *New York Post*, which again put it on the front page.

On November 26, we filed a Complaint asking the House Ethics Committee to add this matter to the ones it is already purportedly investigating.

**RANGEL LEADS JUNKET
VIOLATING HOUSE RULES**

We also meticulously reviewed Rangel's travel forms.

Members of Congress are required to file forms when they travel, including who paid for the trip. The rules were tightened considerably in the wake of the Abramoff scandal -- and the infamous golf trip to Scotland.

A Rangel Caribbean trip last year jumped out at us. He filed the form months after the deadline, when he knew we were looking at him.

The trip was supposed to be for an annual Caribbean business conference. We figured it would be worth monitoring this year's event.

I traveled to sunny St. Maarten on November 6 and attended the event. The *New York Post* reporter and photographer, who were with me, were denied entry to the event.

But I made it inside. I snapped photos and made audio recordings. It was quickly obvious that the event was funded by big corporations and was crawling with lobbyists -- all in violation of Pelosi's new House rules!

The *New York Post* did a feature article on November 30 based on my eyewitness account, photos and recordings.

The conference events were lightly attended. St. Maarten is half Dutch and half French, making for an interesting culture and cuisine. The beaches are beautiful. Everyone had a great time.

RANGEL SICKS "KOPS" ON ME

I mingled and acted like I belonged.

I knew my act was over, however, when a burly guy approached and asked if I was Peter Flaherty. I could see he had my picture

May 18, 2009
Page Five

The media is hungry. Please help us bring them fresh meat.
We've worked most closely with the *New York Post* but we have good relationships with all the other reporters working on this.

BY EXPOSING RANGEL, WE EXPOSE PELOSI

When she took over as House Speaker, Nancy Pelosi pledged to "lead the most honest, the most open and the most ethical Congress in history."

Rangel has already filed a Complaint against himself with the House Ethics Committee, no doubt confident of a whitewash.

The problem is that new stuff keeps coming out, and Rangel keeps putting Pelosi on the spot.

Rangel boasted to a reporter that Pelosi has assured him that he can serve as House Ways and Means Chairman as long as he wants. Pelosi had to deny the report.

Rangel's problems are now too big to be swept under the rug. Even the *New York Times* and *Washington Post* have editorialized that Rangel must step down as Ways and Means Chairman.

PLEASE SUPPORT OUR UNIQUE ROLE

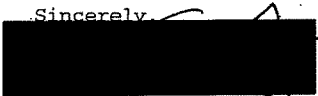
Your gift will allow us to show that the Empress Pelosi has no clothes! And soon, Barack Obama will have to take a stand.

I think we have proven NLPC's investigative skills. How many "good government" groups would actually crash a Congressional junket?? We have the expertise and audacity to take down Rangel.

Please consider a tax-deductible gift today.

If you will help, we will continue to dig into Rangel's finances. He has been in Congress for 38 years - since 1971! There are plenty of rocks yet to flip over.

Sincerely,


Peter Flaherty
President

P.S. your gift will help us expose the hypocrisy of Obama and his allies in Congress like Rangel who want to raise our taxes, while they evade theirs!

Registration

From: Kelly Mobley, Dawn [REDACTED]
 Sent: Wednesday, September 19, 2007 3:23 PM
 To: plouis
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

Lastly, just send the sponsor form asap for review. The new rules might prohibit some things you were able to do with so many different sponsors in the past, but until we get the form, we can't make the most informed decision. I have let the Congresswomen know we are awaiting your sponsor form. Just as you do, they would also like assurance. Thank you.

From: Kelly Mobley, Dawn
 Sent: Wednesday, September 19, 2007 3:09 PM
 To: plouis
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

I am mailing (US Postal) a copy of the official Gifts and Travel Guide. Some pages are omitted so call with any questions not addressed in the bound pages. I am using the address you provide in your emails.

From: Kelly Mobley, Dawn
 Sent: Wednesday, September 19, 2007 2:16 PM
 To: plouis
 Subject: RE: Draft Program - 2007 Business Conference (No agenda or program attached)

Patricia:

I understand that several organizations will take part in sponsoring food and other parts of the Antigua travel. However, I need clarification on the official sponsor; that organization providing travel, lodging, and organizing the trip? Am I to assume it is CARE NEWS? The official sponsoring organization must not retain or employ a registered lobbyist or registered foreign agent (and the sponsor must have a direct and immediate relationship with the event or location being visited). To assist with this, I am scanning information/guidelines and emailing it just a moment. Please provide a fax number if that method of submittal will be more helpful. If there is someone handling this matter, please provide me their direct contact information as I must ensure adherence to these new guidelines pre-travel.

In any event, I do need to know who the official sponsor will be. I have assigned a staff attorney to review your documents and will remain in contact with you until this matter is finalized.

Please respond today or tomorrow at the latest. I will be traveling for a while thereafter and must ensure that my staff attorney has all that is necessary for a safe review.

Dawn Kelly Mobley
 Ethics Counsel for Congresswoman Stephanie Tubbs Jones
 Chairwoman, Committee on Standards of Official Conduct
 U.S. of Representatives
 Washington, DC 20515
 202/226-7103

From: Kelly Mobley, Dawn [REDACTED]
 Sent: Tuesday, September 18, 2007 7:03 PM
 To: [REDACTED]
 Subject: Re: Draft Program - 2007 Business Conference (No agenda or program attached)

~~Remove this information from the distribution list~~

Sent using BlackBerry

2104

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 34

2105

KELLEY DRYE & WARREN LLP
A LIMITED LIABILITY PARTNERSHIP

WASHINGTON HARBOUR, SUITE 400
3050 K STREET, NW
WASHINGTON, D.C. 20007-5108

NEW YORK, NY
CHICAGO, IL
STAMFORD, CT
PARSIPPANY, NJ

BRUSSELS, BELGIUM

AFFILIATE OFFICES
MUMBAI, INDIA

(202) 342-8400

FACSIMILE
(202) 342-8451
www.kelleydrye.com

DAVID H. LAUFMAN

EMAIL: [REDACTED]

October 26, 2009

VIA FACSIMILE AND MAIL (202) 225-7392

Clifford C. Stoddard, Jr.
Counsel to Investigative Subcommittee
Committee on Standards of Official Conduct
U.S. House of Representatives
U.S. Capitol, Suite HT-2
Washington, DC 20515-6328

Re: Patricia Louis

Dear Mr. Stoddard:

I am writing in connection with the staff interview of Patricia Louis that occurred on October 14, 2009.

Near the end of the interview, you asked Ms. Louis about an e-mail that she received on October 12, 2009, from Susan Olson, Counsel to the Committee on Standards of Official Conduct, in which Ms. Olson asked whether certain named entities "pay for any of the expenses of the trip for the invited House Members..." (See CRB #000053-54.) Specifically, you asked whether anyone told Ms. Louis to respond "no" in a subsequent e-mail she sent to Dawn Kelly Mobley, but addressed to Ms. Olson, on October 15, 2009. (See CRB #000053.)

At the staff interview, Ms. Louis answered without qualification that no one had told her to respond "no" to Ms. Olson's question. In fact, upon further reflection, Ms. Louis does not have a specific recollection of whether anyone told her to respond "no" to Ms. Olson's question. It was Patricia's previous custom and practice, however, to share with Karl Rodney incoming e-mails from the Committee on matters of consequence, and to respond to such e-mails as directed by Mr. Rodney.

Please take the appropriate steps to ensure that this correction is incorporated within the investigative record.

RECEIVED
2009 NOV - 2 PM 3:48
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

2106

KELLEY DRYE & WARREN LLP

Clifford C. Stoddard, Jr.
October 26, 2009
Page Two

Thank you for your cooperation.

Sincerely /



David H. Laufman

cc: Patricia Louis

2107

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 35

Acknowledgment of Charitable Contribution	
IMPORTANT: Please acknowledge the enclosed charitable contribution by completing and returning this form. Donors cannot deduct contributions unless they are acknowledged in this manner and a failure to return this form in a timely manner may make you ineligible for future contributions by Verizon Foundation.	
1. I hereby acknowledge the Verizon Foundation 2008 contribution of: \$35,000.00 for 13th Annual Multi-National Business Conference - November 6-9, 2008.	
(or describe property or services other than money received)	
Received on (date): 11/26/08	Date of check: 11/21/08
2. Please indicate whether or not any goods or services were provided by your organization in consideration for this contribution (e.g., the value of food, beverage, ticket or entertainment at a fundraising event): <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
If YES, please describe what was provided and give a good faith estimate of the value to the goods or services, understanding that the amount of the contribution that is deductible as a charitable contribution is limited to the excess of money and the value of property contributed over the value of the goods and services provided by the charity.	
Description: Registration + Conference Meal Plan	Est. Value: \$ 1300.00
3. The information above is provided for the purpose of complying with IRS reporting requirements and is accurate to the best of my knowledge. I understand that penalties may be imposed by the IRS on charities that fail to make the required disclosure or for making a disclosure that is inaccurate or incomplete.	
Name: KARE B. RODNEY	
Title: PUBLISHER / CEO	
Organization: Carib News Charities, Inc.	
Organization Tax I.D.#: [REDACTED]	
Signature: [REDACTED]	Date: 12/3/08
Please return to: Anita Coleman-Hunt Verizon Foundation One Verizon Way, VC633427 Basking Ridge, NJ 07920	
Telephone: 908-559-3516 Fax: 908-630-2660	

2109

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 36



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 23, 2007

Ms. Marie Long
 Vice President Constituency Relations
 AT&T Foundation
 1120 20th Street, 10th floor
 Washington, DC 20036

Dear Ms. Long:

We want to once again express our deep appreciation to you and AT&T (The new AT&T) for sponsorship of the Eleventh Annual Multi-National Business Conference in Panama City, Panama. AT&T sponsorship and your presence and participation contributed to the level of success of the conference, and certainly highlighted AT&T as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

Thanks to AT&T support over the years, the conference is growing in scope and significance. This the eleventh year, we are extending the reach to include Central America, touching more countries in the region in the mission of expanding business opportunities.

This November 8 - 11, 2007, a very special conference of international significance is scheduled to occur in Antigua & Barbuda, and I would like to invite AT&T to become one of the corporate sponsors for this history-making event. Of course, we will always highlight AT&T's role and position AT&T to get the full advantage of all aspects of the Conference.

The continuing broadening of the conference base also allows for the broadening of the awareness of AT&T and its significance regionally and in local markets with affinity to the region. This significant role of AT&T will not be lost on participants, regionally and locally, elected officials, Presidents, Prime Ministers and Ministers of Government who would be attending.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean, Panamanian entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing** : Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development**: Meet potential customers and potential partners
- **Strategic Analysis**: Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image**: Demonstrate your commitment to diversity & equal opportunity

- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: *Antigua & Barbuda*

As a Prime Sponsor, *AT&T* will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc. *AT&T* will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.

By becoming a sponsor of this year's conference *AT&T* will be joining other Fortune 1000 companies like Pfizer, IBM, and JP Morgan-Chase, who support the growth and development of the multicultural business market.

A complete summary of the Prime Sponsor package is included with this sponsorship request letter, and I invite you to review that document to ensure your understanding of all the features of the Prime Sponsor level of support.


The conference is significant for another important reason. It provides host countries and their leaders' access to American congressmen and women, senators, mayors and other elected officials who have pledged to support international trade initiatives and economic incentives that encourage business development in Caribbean countries and Central America. Their presence and participation in the conference add another dimension of support to the economic agenda of sponsors and conference participants alike and an appreciation of the economic power of the local communities.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the US., Caribbean and Panamanian businesses, leaders, and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards,


Karl B. Rodney
Publisher/CEO

cc. Congressman Charles Rangel

Organized by Carib News Foundation. A non-profit project 501-C3 Corporation

2112

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 37



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 23, 2007

Mr. Will Ris
 Senior Vice President
 Government Affairs
 American Airlines
 1101 17th Street, Suite 600
 Washington, DC 20036

Dear Mr. Ris:

We want to once again express our deep appreciation for American Airlines sponsorship of the Annual Multi-National Business Conference last year in Panama City, Panama. Thank you and the fine American Airlines colleagues at all levels - the conference was a success.

The conference is constantly growing in scope and significance and we have extended its reach to include Central America, touching more countries in the Caribbean Basin in the mission to expand business opportunities.

This *November 8 - 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda, West Indies*, and I would like to invite *American Airlines* to become one of the corporate sponsors for this history-making event. We will of course highlight *American Airlines'* role in the Conference and provide the widest exposure for *American Airlines* in all aspects of the Conference.

This broadening of the conference base also allows for the broadening of the awareness of *American Airlines'* regional base and to highlight the significance of *American Airlines* activities in this hemisphere. This significance will not be lost on the conference participants, elected officials and Ministers of Government.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this, our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing** : Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development**: Meet potential customers and potential partners
- **Strategic Analysis**: Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image**: Demonstrate your commitment to diversity & equal opportunity

- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: Antigua & Barbuda.

As was the case last year, we are expecting about 20 Members of Congress or more who will be attending along with other Civic Leaders and VIP's. Again, we will position American Airlines as the main carrier to the Caribbean transporting the elected officials and celebrities. To help us move the process along we would like to propose the following, that American Airlines participate as a **Gold Sponsor** of the conference in consideration for which American Airlines would receive the following:

- American Airlines will be recognized as a main sponsor.
- American Airlines name and logo will be included on all printed material.
- American Airlines will be included in all radio and television promotions.
- American Airlines will be in all Internet promotions.
- American Airlines will be a designated sponsor of a main event and/or a workshop with speaking opportunities.
- American Airlines will receive prominent space for display or exhibition.
- American Airlines banners will be displayed in the main conference area and other designated locations.
- American Airlines will receive complimentary hotel accommodations.
- American Airlines can develop specific promotional materials.


In return for the above, American Airlines will provide *Carib News* with:

- Maximum of fifty (50) roundtrip coach class tickets from the 48 contiguous United States to Antigua. Reservations to be done by *Carib News* and ticketing to be by American Airlines.
- Maximum of thirty-five (35) first-class roundtrip tickets from the 48 contiguous United States to Antigua.
- Maximum of five (5) coach class tickets and five (5) first class tickets to be used for site inspection and conference planning prior to the conference.
- American Airlines will provide group fares for registered attendees. Fare and reservations & ticketing to be negotiated by American Airlines.

Site Inspection is part of the sponsorship package. We do plan to do a site inspection in Antigua from July 20-23, 2007 when we will officially announce the Conference and work out broad issues.

Thanks for your kind consideration and we are looking forward to a continued strong and meaningful partnership with American Airlines

Best regards,


Karl B. Rodney
Publisher/CEO

c.c. Congressman Charles Rangel
Congressman Gregory Meeks
Congresswoman Eddie Bernice Johnson
Dale Morris

2115

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 38

2116

From: Olson, Susan
Sent: Wednesday, October 17, 2007 4:12 PM
To: Kelly Mobley, Dawn; O'Reilly, Bill
Subject: RE: Emailing: CARIB WORLD RADIO.htm

How about an email (so we have this in writing) like the following:

Dear Mrs. Rodney:

Please note that I recently received a copy of this document on the Carib News website. Accordingly, based on our prior conversations relative to the entities/companies listed at the bottom of this document, I suggest that the "sponsor" listing be changed to "participants" to reflect the involvement of these entities/companies as participants in the Carib News Foundation conference and that this updated document be redistributed.
Thank you!

2117

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 39



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991 Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 30, 2007

Mr. Eric Eve
 Senior Vice President, Community Relations
 850 Third Avenue, 12th floor
 New York, NY 10022

Dear Mr. Eve:

We want to once again express our deep appreciation to you and Citibank for sponsorship of the Eleventh Annual Multi-National Business Conference in Panama City, Panama. Citibank's sponsorship and your presence and participation contributed to the level of success of the conference, and certainly highlighted Citibank as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

We appreciate very much your personal interest in the mission of the conference and the commitment to its growth and expansion. This year provides some very unique opportunities and we would appreciate a timely meeting with you to explore the possibilities.

This November 8 – 11, 2007, a very special conference of international significance is scheduled to occur in Antigua & Barbuda, and I would like to invite Citibank to become one of the corporate sponsors for this event. We will, of course, highlight Citibank's role and position Citibank to get the full advantage of all aspects of the Conference.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean, Panamanian entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- Marketing : Understand the market, in the U.S., the Caribbean and Central America.
- Business Development: Meet potential customers and potential partners
- Strategic Analysis: Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- Corporate Image: Demonstrate your commitment to diversity & equal opportunity
- Community Relations: Establish lasting relationships with key community leaders
- Government Relations: Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

Organized by Carib News Foundation. A non-profit project 501-C3 Corporation

And do all of this in the most beautiful of all settings: Antigua & Barbuda.

As a Prime Sponsor, Citibank will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on Carib World Radio and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

~~Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc. Citibank will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.~~


By becoming a sponsor of this year's conference Citibank will be joining other Fortune 1000 companies like Pfizer, IBM, who support the growth and development of the multicultural business market.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the US, Caribbean businesses, leaders and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards,



Karl B. Rodney
Publisher/CEO

Cc: Michael Flanigan

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 40



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991 Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 23, 2007

Ms. Marilyn Johnson
 VP Marketing Development
 IBM Corporation
 1133 Westchester Avenue
 White Plains, NY 10604

Dear Ms. Johnson:

We want to once again say thanks for *IBM* sponsorship of the Eleventh Annual Multi-National Business Conference in Panama City, Panama last year. *IBM* sponsorship and the presence and participation of you and your colleague Drew Valentine contributed to the level of success of the conference. It also certainly highlighted *IBM* as a significant player to participants, entrepreneurs, elected officials, Prime Ministers and Ministers of Government.

Over the last eleven years, the conference has been growing in scope and significance. We have extended the reach to include Central America, touching more countries in the Caribbean Basin region in the mission of expanding business opportunities.

This *November 8 - 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *IBM* to become one of the corporate sponsors for this history-making event. We will of course highlight *IBM's* role in the conference and provide the widest exposure for *IBM* in all aspects of the conference.

This broadening of the conference base also allows for the broadening of the awareness of *IBM* and its significance regionally and in the local markets with affinity to the region. The significance of *IBM* presence will not be lost on the participants, elected officials, Presidents and Prime Ministers who will be attending.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean, Panamanian entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing** : Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development**: Meet potential customers and potential partners
- **Strategic Analysis**: Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image**: Demonstrate your commitment to diversity & equal opportunity

- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: **Antigua & Barbuda.**

As a Prime Sponsor, **IBM** will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc. **IBM** will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.

We are of course mindful of **IBM** role in the Technology development and would look to facilitate that. The wider interest in Women and Minority Economic development which you so ably represented in the past will also be of keen consideration to the Conference.

By becoming a sponsor of this year's conference **IBM** will be joining other Fortune 1000 companies like AT&T, Pfizer and JP Morgan-Chase, who support the growth and development of the multicultural business market.

A complete summary of the Prime Sponsor package is included with this sponsorship request letter, and I invite you to review that document to ensure your understanding of all the features of the Prime Sponsor level of support.


The conference is significant for another important reason. It provides host countries and their leaders' access to American congressmen and women, senators, mayors and other elected officials who have pledged to support international trade initiatives and economic incentives that encourage business development in Caribbean countries and Central America. Their presence and participation in the conference add another dimension of support to the economic agenda of sponsors and conference participants alike and an appreciation of the economic power of the local communities.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the U.S., Caribbean and Panamanian businesses, leaders, and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards



Karl B. Rodney
Publisher/CEO

2123

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 41



Carib News Foundation

7 West 36th Street, 8th floor, New York, NY 10017

Tel: (212) 944-1991 Fax: (212) 944-2089

E-mail: info@caribnewsconference.com

April 23, 2007

Mr. Edward J. Goldberg
Senior Vice President
Government & Consumer Affairs
Macy's East
151 West 34th Street
New York, NY 10001

Dear Mr. Goldberg:

We want to once again express our deep appreciation to you and *Macy's* for sponsorship of the Tenth Annual Multi-National Business Conference in Panama City, Panama. *Macy's* sponsorship and your presence and participation contributed to the level of success of the conference, and certainly highlighted *Macy's* as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

Thanks to *Macy's* support over the years, the conference is growing in scope and significance. We have extended the reach to include Central America, touching more countries in the region in the mission of expanding business opportunities.

This *November 8 - 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *Macy's* to become one of the corporate sponsors for this event. We will, of course, highlight *Macy's* role and position *Macy's* to get the full advantage of all aspects of the Conference.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing :** Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development:** Meet potential customers and potential partners
- **Strategic Analysis:** Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image:** Demonstrate your commitment to diversity & equal opportunity
- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: *Antigua & Barbuda*.

As a Prime Sponsor, *Macy's* will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services. . .

Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc. *Macy's* will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.

By becoming a sponsor of this year's conference *Macy's* will be joining other Fortune 1000 companies like Pfizer, IBM, and JP Morgan-Chase, who support the growth and development of the multicultural business market.

A complete summary of the Prime Sponsor package is included with this sponsorship request letter, and I invite you to review that document to ensure your understanding of all the features of the Prime Sponsor level of support.

The Women in Business session that *Macy's* sponsored last year was so successful, we hope we can expand it to a greater international outreach of world women leaders. The session on *Macy's* role in assisting emerging companies to do business with Corporate America, we plan to continue. The Ecumenical Breakfast on Sunday morning will be highlighted by *Macy's* involvement.

It is our hope that *Macy's* will once again agree to provide the Conference Bags. Your selection is always warmly received.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the US., Caribbean and Panamanian businesses, leaders, and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards,



Karl B. Rodney
Publisher/CEO

cc. Brenda Scott

2126

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 42



Carib News Foundation

7 West 36th Street, 8th floor, New York, NY 10017

Tel: (212) 944-1991 Fax: (212) 944-2089

E-mail: info@caribnewsconference.com

April 30, 2007

Mr. Reginald Canal
Vice President and Premier
Center Representative
HSBC Bank
452 Fifth Avenue
New York, NY 10018

Dear Mr. Canal:

We want to once again express our deep appreciation to you and *HSBC* for sponsorship of the Eleventh Annual Multi-National Business Conference in Panama City, Panama. *HSBC's* sponsorship and your presence and participation contributed to the level of success of the conference, and certainly highlighted *HSBC* as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

This *November 8 – 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *HSBC* to become one of the corporate sponsors for this event. We will, of course, highlight *HSBC's* role and position *HSBC* to get the full advantage of all aspects of the Conference.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean, Panamanian entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing :** Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development:** Meet potential customers and potential partners
- **Strategic Analysis:** Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image:** Demonstrate your commitment to diversity & equal opportunity
- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: *Antigua & Barbuda*.

Organized by Carib News Foundation. A non-profit project 501-C3 Corporation

As a Prime Sponsor, *HSBC* will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc. *HSBC* will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.

By becoming a sponsor of this year's conference *HSBC* will be joining other Fortune 1000 companies like Pfizer, IBM, and Citibank, who support the growth and development of the multicultural business market.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the US., Caribbean businesses, leaders and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards,



Karl B. Rodney
Publisher/CEO

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 43



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 30, 2007

Ms. Karen Boykin-Towns
 Director/Team Leader
 Government Relations & Public Affairs
 Pfizer
 235 East 42nd Street
 New York, NY 10017

Dear Ms. Boykin-Towns:

We want to once again express our deep appreciation to you and *Pfizer* for sponsorship of the Eleventh Multi-National Business Conference in *Panama City, Panama*. *Pfizer* sponsorship and your presence and participation contributed to the level of success of the conference and certainly highlighted *Pfizer* as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

We appreciate very much your personal interest and support for the mission of the conference and your ongoing commitment to assist in its growth. The opportunities for growth and expansion continue to exist particularly in the target area of health and its many components. We would be anxious to meet with you to explore the potential.

Over the last eleven years, the conference has been growing in scope and significance. We have extended the reach to include Central America, touching more countries in the Caribbean Basin region in the mission of expanding business opportunities.

This *November 8 - 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *Pfizer* to become one of the corporate sponsors for this history-making event. We will of course highlight *Pfizer's* role in the conference and provide the widest exposure for *Pfizer* in all aspects of the conference.

This broadening of the conference base also allows for the broadening of the awareness of *Pfizer* and its significance regionally and in the local markets with affinity to the region. The significance of *Pfizer's* presence will not be lost on the participants, elected officials, Presidents and Prime Ministers who will be attending.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean, Panamanian entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing**: Understand the market in the U.S., the Caribbean and Central America.
- **Business Development**: Meet potential customers and potential partners

Organized by Carib News Foundation. A non-profit project 501-C3 Corporation

- **Strategic Analysis:** Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image:** Demonstrate your commitment to diversity & equal opportunity
- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: **Antigua & Barbuda.**

As a Prime Sponsor, *Pfizer* will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc. *Pfizer* will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.

By becoming a sponsor of this year's conference *Pfizer* will be joining other Fortune 1000 companies like AT&T, Pfizer and JP Morgan-Chase, who support the growth and development of the multicultural business market.

A complete summary of the Prime Sponsor package is included with this sponsorship request letter, and I invite you to review that document to ensure your understanding of all the features of the Prime Sponsor level of support.


The conference is significant for another important reason. It provides host countries and their leaders' access to American congressmen and women, senators, mayors and other elected officials who have pledged to support international trade initiatives and economic incentives that encourage business development in Caribbean countries and Central America. Their presence and participation in the conference add another dimension of support to the economic agenda of sponsors and conference participants alike and an appreciation of the economic power of the local communities.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the US, Caribbean and Panamanian businesses, leaders, and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards,



Karl B. Rodney
Publisher/CEO

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 44



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991 Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 30, 2007

Mr. Lowell Hawthorne
 President/CEO
 Golden Krust Bakeries
 1381 East Gunhill Road
 Bronx, NY 10469

Dear Mr. Hawthorne:

We want to once again express our deep appreciation to you and *Golden Krust* for sponsorship of the Eleventh Annual Multi-National Business Conference in Panama City, Panama. *Golden Krust's* sponsorship and your presence and participation contributed to the level of success of the conference, and certainly highlighted *Golden Krust* as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

We appreciate very much your support for the mission of the conference and for the very important participation of the *Golden Krust* Family. The participation is always enthusiastic, and at the Ecumenical Power Breakfast – inspiring.

This *November 8 – 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *Golden Krust* to become one of the corporate sponsors for this event. We will, of course, highlight *Golden Krust's* role and position *Golden Krust* to get the full advantage of all aspects of the Conference.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean, Panamanian entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing:** Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development:** Meet potential customers and potential partners
- **Strategic Analysis:** Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image:** Demonstrate your commitment to diversity & equal opportunity

Organized by Carib News Foundation. A non-profit project 501-C3 Corporation

- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: *Antigua & Barbuda*.

As a Prime Sponsor, *Golden Krust* will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

Prime Sponsors get prime access to key elected officials through private reception, seating at Luncheon and Dinner, photo opportunities, etc *Golden Krust* will be able to host special receptions, conduct workshops and chat rooms on topics that are strategic to your business and industry.


By becoming a sponsor of this year's conference *Golden Krust* will be joining other Fortune 1000 companies like Pfizer, IBM, and Citibank, who support the growth and development of the multicultural business market.

Your agreement to sponsor this year's Carib News Multi-National Business Conference will enable the Carib News Foundation to continue establishing linkages between the US., Caribbean businesses, leaders and citizens in this hemisphere, and the local markets in the U.S.

I look forward to your positive response to this sponsorship request, and will contact your office to arrange a time to discuss sponsorship terms and any questions you may have.

Thank you.

Best regards,



Karl B. Rodney
Publisher/CEO

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 45



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 23, 2007

Mr. Vincent Hosang
 Chairman/CEO
 Royal Caribbean Bakery
 117 Route 303, Suite#B
 Tappan, NY 10983

Dear Mr. Hosang:

We want to once again express our deep appreciation to you and *Royal Caribbean Bakery* for sponsorship of the Tenth Annual Multi-National Business Conference in Panama City, Panama. *Royal Caribbean Bakery* sponsorship and your presence and participation contributed to the level of success of the conference, and certainly highlighted *Royal Caribbean Bakery* as a significant player to the participants, entrepreneurs, elected officials and Ministers of Government.

Thanks to *Royal Caribbean Bakery* support over the years, the conference is growing in scope and significance. We have extended the reach to include Central America, touching more countries in the region in the mission of expanding business opportunities. We will of course highlight *Royal Caribbean Bakery/Jerk Q'Zine's* role in the Conference and provide the widest exposure for *Royal Caribbean Bakery/Jerk Q'Zine* in all aspects of the Conference.

This *November 8 - 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *Royal Caribbean Bakery* to become one of the corporate sponsors for this history-making event.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing** : Understand the market, in the U.S., the Caribbean and Central America.
- **Business Development**: Meet potential customers and potential partners
- **Strategic Analysis**: Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image**: Demonstrate your commitment to diversity & equal opportunity
- **Community Relations**: Establish lasting relationships with key community leaders
- **Government Relations**: Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

And do all of this in the most beautiful of all settings: *Antigua & Barbuda*.

As a Prime Sponsor, *Royal Caribbean Bakery* will be highlighted in conference marketing materials, advertising, brochures, invitation letters and public placement banners both in the U.S., Panama and other Caribbean countries. Your selected representatives will be included in a New York Carib News feature article that will be published in the weeks prior to the conference on *Carib World Radio* and on the Conference website. This media exposure will give your representatives the opportunity to deliver key corporate messages, and highlight your products and services.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 46



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10018
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

July 12, 2007

Mr. Wallace Ford
 Executive Vice President
 GWI Consulting
 Good Works International, LLC
 1700 K Street NW, Suite 430
 Washington, DC 20006

Dear Mr. Ford:

This November 8 – 11, 2007, a very special conference of international significance is scheduled to occur in Antigua & Barbuda, and I would like to invite GWI Consulting to become one of the corporate sponsors for this history-making event.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this, our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

The past eleven conferences held in Jamaica, Barbados, St. Lucia and St. Croix, the U. S. Virgin Islands, Jamaica, Grenada, St. Maarten, and Nassau, Bahamas, St. Kitts-Nevis, St. Thomas, Panama City, Panama resulted in the consummation of several major business deals.

I would like to invite GWI Consulting to become one of the corporate sponsors. We will of course highlight GWI Consulting's role in the conference and provide the widest exposure for GWI Consulting in all aspects of the conference.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing :** Understand the market in the U.S. the Caribbean & Central America
- **Business Development:** Meet potential customers and potential partners
- **Strategic Analysis:** Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image:** Demonstrate your commitment to diversity & equal opportunity
- **Community Relations:** Establish lasting relationships with key community leaders
- **Government Relations:** Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 47



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10018
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

July 27, 2007

Ms. Daphnee Champagne
 Director of Marketing
Brooklyn Medical Group
 200 Montague Street, 4th floor
 New York, NY 11201

Dear Ms. Champagne:

This *November 8 – 11, 2007*, a very special conference of international significance is scheduled to occur in *Antigua & Barbuda*, and I would like to invite *the Brooklyn Medical Group* to become one of the corporate sponsors for this history-making event.

The Multi-National Business Conference is a bridge between businesses from the US, and commercial, civic and governmental representatives from their local communities from countries in the Caribbean region and Central America. In this, our 12th year, we will be bringing together an impressive list of Fortune 1000 companies, African-American, African-Caribbean entrepreneurs, social and civic leaders, and elected officials from across the U.S. and throughout the Caribbean to stimulate the creation of commercial projects benefiting companies and individuals desiring to do business in the region, to bring together businesses, community and governmental leaders interested in understanding the economic opportunities of the Caribbean marketplace, locally and in the Caribbean.

The past eleven conferences held in Jamaica, Barbados, St. Lucia and St. Croix, the U. S. Virgin Islands, Jamaica, Grenada, St. Maarten, and Nassau, Bahamas, St. Kitts-Nevis, St. Thomas, Panama City, Panama resulted in the consummation of several major business deals.

I would like to invite *Brooklyn Medical Group* to become one of the corporate sponsors. We will of course highlight *Brooklyn Medical Group's* role in the conference and provide the widest exposure for *Brooklyn Medical Group* in all aspects of the conference.

Whether it is your goal to grow your business with the Caribbean community in your current marketplace, or expand to the opportunities in the Caribbean, Central America and the U.S., you need to sponsor the conference for the following opportunities:

- **Marketing** : Understand the market in the U.S. the Caribbean & Central America
- **Business Development**: Meet potential customers and potential partners
- **Strategic Analysis**: Understand the possibilities of emerging markets in the U.S., the Caribbean and Central America
- **Corporate Image**: Demonstrate your commitment to diversity & equal opportunity
- **Community Relations**: Establish lasting relationships with key community leaders
- **Government Relations**: Meet with and discuss topics of interest with significant Elected officials from the U. S. and the Caribbean

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 48

2142

CRB-000303

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
8/2/2007	██████████

Bill To
MICHAEL FLANIGAN DIRECTOR, COMMUNITY RELATIONS CITY 1992 RALPH AVENUE BROOKLYN, NY 11234

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATION AL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████████	\$0,000.00
Total	\$50,000.00

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 49

2144

CRB-000308

Jun 15 07 12:52p W.L. McKenzie

11/09/11-8565

p.2

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

ATTN: Faye Rodney

Invoice

Date	Invoice #
6/6/2007	██████████

Bill To MS. MARILYN JOHNSON VICE PRESIDENT MARKET DEVELOPMENT - IBM 1193 WESTCHESTER AVENUE WHITE PLAINS, NY 10604
--

Description	Amount
SPONSORSHIP FOR: "THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE" NOVEMBER 8 - 11, 2007 ANTIGUA & BARBUDA TAX ID NO. ██████████	18,000.00
CC: WANDA MCKENZIE EMAIL: ██████████ FOR FURTHER INFORMATION, CONTACT PATRICIA LEWIS AT 212-944-1991	Total

Page 1

2145

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 50

2146

CRB-000316

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
8/22/2007	██████████

Bill To
BRENDA SCOTT MACY'S EAST 151 WEST 34TH STREET NEW YORK, NY 10001

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████████	17,500.00
Total	\$17,500.00

2147

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 51

2148

CRB-000327

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
10/11/2007	██████████

Bill To
KAREN BOYKIN-TOWNS SENIOR DIRECTOR/TEAM LEADER - WORLDWIDE PUBLIC AFFAIRS & POLICY, FEIZER, INC. 235 EAST 42ND STREET NEW YORK, NY 10017

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATION AL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████████	25,000.00
Total	\$25,000.00

2149

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 52

2150

CRB-000334

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
9/5/2007	██████

Bill To
PREFERRED HEALTH PARTNERS 200 MONTAGUE STREET, 4TH FLOOR BROOKLYN, NY 11201 ATTN: MS. DAPHNE CHAMPAGNE

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████	\$10,000.00
Total	\$10,000.00

2151

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 53

2152

CRB-000338

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
10/11/2007	██████

Bill To
GOODWORKS INTERNATIONAL 300 PEACHTREE, STREET NE, STE 4420 ATLANTA, GA 30308 ATTN: WALLACE FORD

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████	10,000.00
Total	\$10,000.00

2153

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 54

2154

CRB-000342

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
9/5/2007	██████

Bill To
ROYAL CARIBBEAN BAKERY 620 SOUTH FULTON AVENUE MOUNT VERNON, N.Y. 10550

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████	5,000.00
Total	\$5,000.00

2155

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 55

2156

CRB-000346

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
9/5/2007	██████████

Bill To
GOLDEN KRUST CARIBBEAN BAKERY, INC. CORPORATE OFFICES 3958 PARK AVENUE BRONX, N.Y. 10457 ATTN: WINSTON WELLINGTON

PAID

Description	Amount
SPONSOR FOR THE TWELFTH ANNUAL CARIBBEAN MULTI-NATIONAL BUSINESS CONFERENCE SANDALS GRANDE ANTIGUA RESORT & SPA ANTIGUA & BARBUDA NOVEMBER 8 - 11, 2007 TAX ID NO. ██████████	\$10,000.00
Total	\$10,000.00

2157

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
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EXHIBIT 56

From: "Guerrero, MarieFrance" <[REDACTED]>
 To: <carib-desk@att.net>
 Subject: RE: Re Award letters
 Date: Fri, 20 Jul 2007 14:46:24 +0000

Patricia,

Sorry, but we have been waiting for the spreadsheet so we could do your booking for you. We are giving you 10 First class tickets and 10 Coach tickets and 5 coach tickets for the site inspection.

If you need any thing else please let me know.

MarieFrance Guerrero
 Executive Assistant
 Government Affairs
 American Airlines
 [REDACTED]

10 F
 10 C
 5 C

28th Jul



From: carib-desk@att.net [mailto:carib-desk@att.net]
 Sent: Friday, July 20, 2007 10:14 AM
 To: Guerrero, MarieFrance
 Subject: Re Award letters

Hello Marie France,

I still do not have any correspondence (award lettes) from American Airlines re the 2007 Business Conference so that we can know how many tickets we have and so plan accordingly..
 Could you help?
 Regards and Thanks

 Patricia Louis
 Executive Assistant
 NY Carib News
 Ph: (212) 944-1991
 Fax: (212) 944-2089

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EXHIBIT 57

For 212-944-2089

Eisenman, Donna

From: Eisenman, Donna
Sent: Wednesday, October 24, 2007 9:12 AM
To: 'plouis'
Cc: Guerrero, MarieFrance
Subject: RE: Congressional reservations

Karl B Rodney

1009

Patricia,
Recap for promotional free tickets:

10 First Class/Business

- 1.) Karl Rodney
- 2.) Faye Rodney
- 3.) Charles Rangel
- 4.) Eric Eve (11/3 - confirmed coach since business class sold out/11/11 return business class)
- 5.) Maxine Waters
- 6.) Sydney Williams
- 7.) Bennie Thompson
- 8.) Dr. London Thompson
- 9.) Stephen Rangel
- 10.) Eddie Bernice Johnson (10/24 - authorized per your e-mail)

12 Coach Class (2 from site inspection plus 10 for conference)

- 1.) Carolyn Cheeks Kilpatrick (reservation not completed pending guest info *Morvas*)
- 2.) Stephanie Tubbs Jones (10/24 Carib News Authorized to pay for guest - plan to contact today *ok for now*)
- 3.) Donna Christensen (10/24 - plan to reserve today - 11/8 from NYC and return to St. Croix on 11/9 per Patricia)
- 4.) Yvette Clarke (10/23 - pending ethics per Mary Bishop/scheduler- no firm reservation)
- 5.) Edolphus Towns (10/19 - pending guest authorization - no reservation *OK his wife*)
- 6.) Sheila Jackson-Lee (10/24 - no reservation)
- 7.) Donald Payne (10/24- reservation completed pending final ok)
- 8.) Barbara Lee (10/19 - pending guest authorization - no firm reservation)
- 9.) Rodney Hall *Ed Towns* (EB Johnson guest?? Need Carib News Authorization *Tax*)
- no reservation booked
- 10.) Laura Richardson (10/23 - Reservation completed pending final ok)
- 11.) Diane Watson (10/13 - Reservation completed pending final ok) *- Paid off*
- 12.) George Dailey (10/19 - pending Congressional schedule / no firm reservation)

??
Please let me know if you want to use one of the coach free promotional tickets for EB Johnson's guest. Or should the guest be also confirmed in first/business class? I will be speaking to this office this morning. Thank you.

2161

111th CONGRESS, 1st SESSION

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Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 58

Eisenman, Donna

From: Guerrero, MarieFrance
Sent: Friday, September 21, 2007 11:36 AM
To: Eisenman, Donna
Subject: FW: 2007 Multinational Business Conference

*Member
Donna
Lox
Stacie
Theresa
to Kayven Cox*

Here are the names:

MarieFrance.Guerrero
Executive Assistant
Government Affairs
American Airlines



From: carib-desk@att.net [mailto:carib-desk@att.net]
Sent: Friday, September 21, 2007 9:55 AM
To: Guerrero, MarieFrance
Subject: 2007 Multinational Business Conference

*4029
942*

Hello Marie France,
It's that time of the year again for the Business Conference. This year it will be held in Antigua from November 8 - 11, 2007.

Your e-mail below refers - we do not have the award letters, but we need to make the following first class reservations:

- DAN* Eric Eve - JFK to Antigua - November 4 - 11, 2007
- GUKPA* La Toya Murphy - JFK to Antigua - November 7 to 11, 2007
- NE GW* Karl & Faye Rodney - JFK to Antigua - November 6 to 12, 2007
- NE GW* Congressman Charles Rangel - JFK to Antigua - November 8 - 11, 2007 *LXTFFX*
- Congressman Bennie Thompson - Jackson, Mississippi
- Dr. Londen Thompson (wife) *GULVDR* Nov. 8 - 11, 2007 *Rangel*
- ~~Congresswoman Maxine Waters~~ *LWN*
- MUYFE* Ambassador Sydney William (husband) - Los Angeles - November 8 - 11, 2007

I will also need to make two reservations for site inspections for October 10 - 12, 2007 for Karlisa Rodney and Lita Balram - JFK/ANTIGUA/JFK

If you need additional information, do not hesitate to ask.
Regards
Patricia Louis -



POC [unclear] 8/17/80
 [unclear] 5/20/07

10/12/07

CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE

AIR TRAVEL TRAVEL RESERVATIONS

CARIB NEWS STAFF

No.	Last Name	First Name	Travel Date
1	Best <i>NEW</i>	Richard	11/8 - 11/11
2	Chase <i>NW</i>	Desmond	11/8 - 11/11
3	Chase	Sara	11/8 - 11/11
4	Hazlewood	Patricia	11/8 - 11/11
5	Jordan	Margo	11/8 - 11/12
6	Little	Godfrey	11/6 - 11/11
7	Louis	Patricia	11/7 - 11/11
8	Rodney	Karlisa	11/7 - 11/11
9	Dover	Frances	11/8 - 11/11
10	Roberts	Michael	11/8 - 11/11
11	Austin	Paulette	11/8 - 11/11
12	Balram	Lita	11/7 - 11/11
13	Hazlewood	Leyland	11/8 - 11/11

[Redacted] PA

APR 52 M 6T EYA
ANU - IAD

LGARSW

3 NYC

JDXLIX NIK

These 13 Staff members will be met from the remaining 8:
 4 Barbers REF# [redacted], AWARD# [redacted] / 6 / 7 / and 8, and 1 total of 13

Andrew
Rowe
John Lisiewski

FIRST CLASS			
1	Rodney	Karl	11/6 - 11/12
2	Rodney	Faye	11/6 - 11/12
3	Rangel	Charles	11/8 - 11/11
4	Eve	Eric	11/4 - 11/11
5	Waters	Maxine	11/8 - 11/11
6	Williams	Sydney	11/8 - 11/11
7	Thompson	Bennie	11/8 - 11/11
8	Thompson	Dr. London	11/8 - 11/11

George
Benck Bks

Morgan
GULVDQ

glory

Eight First class tickets to be met from the 10 allotted, with

OTHER MEMBERS OF CONGRESS

4	Kilpatrick	Carolyn-Cheeks	11/8 - 11/11
2	Tubbs-Jones	Stephanie	11/8 - 11/11
3	Christensen	Donna	11/8 - 11/11
4	Clarke	Yvette	11/8 - 11/11

DTT' Member
Luba @ NO GUEST
Shelley Link 10/17
11/23 Link
Pending Ethics

Bryan, Chris
And
Christy

5	Towns <i>OK</i>	Edolphus <i>OK</i>	11/8 - 11/11	- Mary	NO Guest ??
6	Jackson-Lee	Sheila ?	11/8 - 11/11	(HARP)	Not certain about
7	Payne <i>OK</i>	Donald <i>OK</i>	11/8 - 11/12	- Phillip	-
8	Payne	William	11/8 - 11/12		?? <i>Go in</i>
9	Butterfield	G.K. <i>NOT GO</i>	11/8 - 11/11	NOT -	NOT GO
10	Meek	Kendrick <i>OK</i>	11/8 - 11/11		NOT GO
11	Meeks	Gregory	11/8 - 11/11		NOT GO
12	Lee <i>OK</i>	Barbara <i>OK</i>	11/8 - 11/11	Tatiana	Tatiana
13	Johnson <i>OK</i>	Eddie <i>OK</i>	11/8 - 11/11	Cheryl	Cheryl on gun
14	Clyburn	James	11/8 - 11/11		NOT GO
15	Clay	William Lacy	11/8 - 11/11		NOT GO
16	Richardson	Laura <i>OK</i>	11/8 - 11/11	McGee	class - 225-4851
17	Watson	Diane <i>OK</i>	11/8 - 11/11	Alice	225-7086
18	Dalley	George	11/8 - 11/11		421 1595
19	Rangel	Stephen	11/8 - 11/11		

Nine Economy class tickets remaining - We will use them:
i.e. Donna Christensen, Edolphus Towns, Kendrick Meek,

\$572 Ed
Towns
with

\$572.20

201
201

20

2165

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
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EXHIBIT 59

2166

2611 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
SALES PERSON: GC ITINERARY DATE: 08 NOV 07
H0KEFW PAGE: 01
TO: CONGRESSWOMAN CLARKE
ATTN MARY BISHOP 202-226-0112-FAX

FOR: CLARKE/YVETTE CONGRESSWOMAN

10 NOV 07 - SATURDAY
AIR AMERICAN AIRLINES FLT:1635 ECONOMY FOOD FOR PURCHASE
LV NEW YORK JFK 800A EQP: AIRBUS A300-600
DEPART: TERMINAL 8 03HR 50MIN
AR SAN JUAN PR 1250P NON-STOP
ARRIVE: TERMINAL D
CLARKE/YVETTE C SEAT-7G
AIR AMERICAN AIRLINES FLT:891 ECONOMY EQP: BOEING 757
LV SAN JUAN PR 200P 01HR 10MIN
DEPART: TERMINAL D NON-STOP
AR ANTIGUA 310P
CLARKE/YVETTE C SEAT-9D
12 NOV 07 - MONDAY
AIR AMERICAN AIRLINES FLT:1270 ECONOMY EQP: BOEING 757
LV ANTIGUA 420P 01HR 10MIN
AR SAN JUAN PR 530P NON-STOP
ARRIVE: TERMINAL D
CLARKE/YVETTE C SEAT-10F
AIR AMERICAN AIRLINES FLT:1742 ECONOMY FOOD FOR PURCHASE
LV SAN JUAN PR 745P EQP: AIRBUS A300-600
DEPART: TERMINAL D 03HR 55MIN
AR NEW YORK JFK 1040P NON-STOP
ARRIVE: TERMINAL 8
CLARKE/YVETTE C SEAT-8C

PASSENGER TICKET AND BAGGAGE CHECK
SUBJECT TO CONDITIONS OF CONTRACT
ELECTRONIC TICKET
American Airlines
PASSENGER RECEIPT 1 OF 1
08NOV07
CLARKE/YVETTE CONGRESSWOMAN
NEW YORK JFK
SAN JUAN
ANTIGUA
SAN JUAN
NEW YORK JFK
NOT VALID FOR TRAVEL
*****DUPLICATE*****

2167

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 60

2168

2611 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
SALES PERSON: GC ITINERARY DATE: 08 NOV 07
DHBZNV PAGE: 01
TO: ATTN AARON 202-225-1339-FAX

FOR: JONES/STEPHANIE TUBBS

10 NOV 07 - SATURDAY
AIR AMERICAN AIRLINES FLT:891 ECONOMY FOOD FOR PURCHASE
LV WASHINGTON DULLES 800A EQP: BOEING 757
06HR 10MIN
AR ANTIGUA 310P 1-STOP
JONES/STEPHANIE SEAT-9B AA-L85U100
VIA SAN JUAN PR

12 NOV 07 - MONDAY
AIR AMERICAN AIRLINES FLT:1270 ECONOMY EQP: BOEING 757
LV ANTIGUA 420P 01HR 10MIN
NON-STOP
AR SAN JUAN PR 530P
ARRIVE: TERMINAL D
JONES/STEPHANIE SEAT-14C AA-L85U100
AMERICAN AIRLINES FLT:1944 ECONOMY FOOD FOR PURCHASE
LV SAN JUAN PR 635P EQP: BOEING 757
DEPART: TERMINAL D 03HR 50MIN
AR WASHINGTON DULLES 925P NON-STOP
JONES/STEPHANIE SEAT-9B AA-L85U100

AIRFARE FOR BARB WALKER IS 572.20 COACH NON REFUNDABLE

PASSENGER TICKET AND BAGGAGE CHECK
SUBJECT TO CONDITIONS OF CONTRACT
ELECTRONIC TICKET
American Airlines
PASSENGER RECEIPT 1 OF 1
08NOV07
DL S F
DULLES LWB FLD
NAME OF PASSENGER: JONES/STEPHANIE TUBBS
NOT VALID FOR TRANSPORTATION THROUGHOUT YOUR JOURNEY
VALID AA/TW/VOID IF SLD/PURCHSD OR BRIBD @ ADX. MIL
ES /CT0010921571700
ISSUED AS EVIDENCE FOR
MAGAZINATION
US\$ 0.00
US\$ 10.00
US\$ 7.00
US\$ 5.00
US\$ 22.00
2104003100
American Airlines
WASHINGTON DULLES
RR 891 T 10NOV 7/PRONH
ANTIGUA
RR 1270 T 12NOV 7/PRONH
SAN JUAN PR
RR 1944 T 12NOV 7/PRONH
WASHINGTON DULLES
NOT VALID FOR TRAVEL
001
*****DUPLICATE*****

2169

111th CONGRESS, 1st SESSION

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COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
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EXHIBIT 61

2170

2611 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
SALES PERSON: GC ITINERARY DATE: 08 NOV 07
KTGJGQ PAGE: 01
TO: ATTN SHELLY 202-225-5517-FAX

FOR: CHRISTENSEN/DONNA

08 NOV 07 - THURSDAY
AIR AMERICAN AIRLINES FLT:1635 ECONOMY FOOD FOR PURCHASE
LV NEW YORK JFK 800A EQP: AIRBUS A300-600
DEPART: TERMINAL 8 03HR 50MIN
AR SAN JUAN PR 1250P NON-STOP
ARRIVE: TERMINAL D
CHRISTENSEN/DON SEAT-33C AA-Y3R5588
SERVICE IMMEDIATELY ABOVE NOT YET CONFIRMED
AIR AMERICAN AIRLINES FLT:891 ECONOMY
LV SAN JUAN PR 200P EQP: BOEING 757
DEPART: TERMINAL D 01HR 10MIN
AR ANTIGUA 310P NON-STOP
CHRISTENSEN/DON SEAT-10A AA-Y3R5588
SERVICE IMMEDIATELY ABOVE NOT YET CONFIRMED
09 NOV 07 - FRIDAY
AIR AMERICAN AIRLINES FLT:1270 ECONOMY
LV ANTIGUA 420P EQP: BOEING 757
01HR 10MIN
NON-STOP
AR SAN JUAN PR 530P
ARRIVE: TERMINAL D
CHRISTENSEN/DON SEAT-17D AA-Y3R5588
AIR AMERICAN AIRLINES FLT:5142 ECONOMY
OPERATED BY AMERICAN EAGLE/EXECUTIVE
LV SAN JUAN PR 750P EQP: ATR72 TURBOPROP
DEPART: TERMINAL D 34MIN
AR ST THOMAS 824P NON-STOP
CHRISTENSEN/DON SEAT-2C AA-Y3R5588

TAXES 22.00

PASSENGER TICKET AND BAGGAGE CHECK
SUBJECT TO CONDITIONS OF CONTRACT
ELECTRONIC TICKET
American Airlines
PASSENGER RECEIPT 1 OF 1
2104549052
07NOV07
US
NAME OF PASSENGER (NOT TRAVELER)
CHRISTENSEN/DONNA
DATE OF BIRTH
06/15/60
ISSUE DATE
07/15/07
ISSUE LOCATION
NEW YORK JFK
TO
SAN JUAN
PR
FROM
NEW YORK JFK
PR 1635 T 08NOV T/PROMM
SAN JUAN PR
PR 801 T 08NOV T/PROMM
ANTIGUA
FROM
SAN JUAN
PR
TO
ST THOMAS
PR 5142 T 08NOV T/PROMM
ST THOMAS
NOT VALID FOR TRAVEL
001
*****DUPLICATE*****
FEE
USD 0.00
WK 10.00
XY 7.00
XA 5.00
USD 22.00

2171

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 62

2611 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
 SALES PERSON: GC ITINERARY DATE: 08 NOV 07
 BCNLZS PAGE: 01
 TO: CONGRESSMAN BENNIE THOMPSON
 ATTN MEGAN 202-225-5898-FAX

FOR: THOMPSON/BENNIE CONGRESSMAN

12 NOV 07 - MONDAY
 AIR AMERICAN AIRLINES FLT:5027 ECONOMY
 OPERATED BY AMERICAN EAGLE/EXECUTIVE
 LV ANTIGUA 942A EQP: ATR72 TURBOPROP
 01HR 33MIN
 NON-STOP
 AR SAN JUAN PR 1115A
 ARRIVE: TERMINAL D
 THOMPSON/BENNIE SEAT-1C
 AIR AMERICAN AIRLINES FLT:633 BUSINESS LUNCH
 LV SAN JUAN PR 1220P EQP: BOEING 757
 05HR 10MIN
 DEPART: TERMINAL D
 AR DALLAS FT WORTH 330P NON-STOP
 THOMPSON/BENNIE SEAT-4E
 AIR AMERICAN AIRLINES FLT:3809 ECONOMY FOOD FOR PURCHASE
 OPERATED BY AMERICAN EAGLE
 LV DALLAS FT WORTH 505P EQP: EMBAER 145 JET
 01HR 20MIN
 DEPART: TERMINAL B
 AR JACKSON MS 625P NON-STOP
 THOMPSON/BENNIE SEAT-8C

PASSENGER TICKET AND BAGGAGE CHECK
 SUBJECT TO CONDITIONS OF CONTRACT
American Airlines
 ELECTRONIC TICKET
 2104305011
 PASSENGER RECEIPT 1 OF 1
 08NOV07
 US
 NAME OF PASSENGER (NOT EMPLOYEES)
 THOMPSON/BENNIE CONGRESSMAN
 NOT VALID FOR TRANSPORTATION
 RETAIN THIS RECEIPT THROUGHOUT YOUR JOURNEY
 VALID AA/TW/ VOID IF SLD/PURCHSD OR BTRD 0 ADV. MII
 ES /CT0010921571703
 FARE CALCULATION
 ANU RR X/SJUB.00T/PRONRY RR X/DFJUB.00U/PRONRY RR JFWB.00T /PRONRY NUD9.00END R0E1.00S
 FARE
 USD 0.00
 XY 7.00
 XA 5.00
 TOTAL USD 12.00
 001
 *****DUPLICATE*****
 American Airlines
 THOMPSON/BENNIE CONGRESSMAN
 ANTIGUA
 RR 5827 T 12NOV T/PRONRY
 SAN JUAN PR
 RR 633 U 12NOV U/PRONRY
 DALLAS FT WORTH
 RR 3809 J 12NOV J/PRONRY
 JACKSON MS
 NOT VALID FOR TRAVEL
 001

2173

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 63

2174

2611 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
SALES PERSON: GC ITINERARY DATE: 08 NOV 07
DNEQWH PAGE: 01

TO: CARIB NEWS CONFERENCE
TRAVEL VALID ON AMERICAN AIRLINES ONLY
ATTN - GEORGE/HOLLY 202-225-0816-FAX
202-226-9731- GEORGE

FOR: RANGEL/CHARLES

10 NOV 07 - SATURDAY
 AIR AMERICAN AIRLINES FLT:1635 BUSINESS BREAKFAST
 LV NEW YORK JFK 800A EQP: AIRBUS A300-600
 DEPART: TERMINAL 8 03HR 50MIN
 AR SAN JUAN PR 1250P NON-STOP
 ARRIVE: TERMINAL D
 RANGEL/CHARLES AA-HAD6146
 SERVICE IMMEDIATELY ABOVE NOT YET CONFIRMED
 AIR AMERICAN AIRLINES FLT:769 BUSINESS BREAKFAST
 LV NEW YORK JFK 630A EQP: AIRBUS A300-600
 DEPART: TERMINAL 8 03HR 45MIN
 AR SAN JUAN PR 1115A NON-STOP
 ARRIVE: TERMINAL D
 RANGEL/CHARLES SEAT-3H AA-HAD6146
 AIR AMERICAN AIRLINES FLT:891 BUSINESS EQP: BOEING 757
 LV SAN JUAN PR 200P 01HR 10MIN
 DEPART: TERMINAL D NON-STOP
 AR ANTIGUA 310P
 RANGEL/CHARLES SEAT-2E AA-HAD6146

11 NOV 07 - SUNDAY
 AIR AMERICAN AIRLINES FLT:1270 BUSINESS EQP: BOEING 757
 LV ANTIGUA 420P 01HR 10MIN
 AR SAN JUAN PR 530P NON-STOP
 ARRIVE: TERMINAL D
 RANGEL/CHARLES SEAT-2B AA-HAD6146
 AIR AMERICAN AIRLINES FLT:1742 BUSINESS DINNER
 LV SAN JUAN PR 745P EQP: AIRBUS A300-600
 DEPART: TERMINAL D 03HR 55MIN
 AR NEW YORK JFK 1040P NON-STOP
 ARRIVE: TERMINAL 8
 RANGEL/CHARLES SEAT-2H AA-HAD6146

2104303310
 PASSENGER TICKET AND BAGGAGE CHECK
 SUBJECT TO CONDITIONS OF CONTRACT
 ELECTRONIC TICKET
 American Airlines
 PASSENGER RECEIPT 1 OF 1
 08NOV07
 DE SF
 NAME OF PASSENGER: RANGEL/CHARLES
 TICKET NUMBER: 001
 CLASS OF SERVICE: U
 CARRIER: AA
 FLIGHT: 1742
 ORIGIN: ANTIGUA
 DESTINATION: NEW YORK JFK
 DATE OF ISSUE: 08NOV07
 TIME OF ISSUE: 11:15
 ISSUED AT: NEW YORK JFK
 ISSUED BY: [REDACTED]
 NAME OF PASSENGER (NOT TRANSFERRED): RANGEL/CHARLES
 TITLE: [REDACTED]
 ADDRESS: [REDACTED]
 CITY: [REDACTED]
 STATE: [REDACTED]
 COUNTRY: [REDACTED]
 PHONE: [REDACTED]
 OCCASION: [REDACTED]
 PURPOSE OF TRIP: [REDACTED]
 FARE BASIS: [REDACTED]
 FARE CLASS: [REDACTED]
 FARE CALCULATION: NYC RR X/SJ RR ANJ0.00U/PRONM RR X/SJ RR NYCB.00U/PRONM NUCB.00END RDE1.00
 FARE: 0.00
 TAX: 10.00
 TOTAL: 10.00
 KY 7.00
 XA 5.00
 (T) 22.00
 001
 *****DUPLICATE*****
 NOT VALID FOR TRAVEL

2175

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 64

2176

From: plouis [mailto:]
Sent: Friday, November 16, 2007 12:37 PM
To: Eisenman, Donna
Subject: re unused tickets

Hello Donna,

Thought you had got rid of me huh! Not so fast.

The conference went well, except for a few mosquitoes who were too happy to see us.

Thanks so much for all your help!

Would you be able to tell me which Members of Congress you actually charged tickets for. I know we had

Maxine Waters and her husband on, but she did not travel. Diane Abbott also did not travel.

Latoya Murphy also did not travel. I would like to use her ticket for KARLISA RODNEY to travel

From New York (JFK) to Montego Bay, Jamaica, leaving on December 19th returning on January 04, 2008.

Pls let me know.

Thanks and Have a wondereful week-end

Patricia Louis

Executive Assistant

CARIB NEWS

7 West 36th Street, 8th Floor

New York, NY 10018

2177

Eisenman, Donna

From: Coffey, Antoinette
Sent: Monday, January 07, 2008 10:03 AM
To: Eisenman, Donna
Cc: Guerrero, MarieFrance; Ris, Will
Subject: RE: re unused tickets

Donna,

The original agreement was 5 coach tickets for site inspections; 5 were used 10 coach- 4 were used 10 first- 6 were used.

Will said the award letters were to be used for that event only and not to be carried over.

Please note they have award letters from our NY Sales office from another event that have not been used. They were trying to those tickets for this event when they thought they would need more tickets.

Those tickets have not been used to my knowledge.

Antoinette

-----Original Message-----

From: Eisenman, Donna
Sent: Monday, January 07, 2008 8:01 AM
To: Coffey, Antoinette
Cc: Guerrero, MarieFrance
Subject: FW: re unused tickets

Antoinette, please check on the final count for TAC tickets used.

Thanks,

Donna

From: plouis [mailto:]
Sent: Thursday, January 03, 2008 3:07 PM
To: Eisenman, Donna
Subject: FW: re unused tickets

Hello Donna,

Happy New Year to you - hope your wishes and dreams come to fruition in

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 65

2179

10/16/2007 15:26 FAX 3056692787

SANDALS GROUP

001

*** TX REPORT ***

TRANSMISSION OK

TX/RX NO [REDACTED]
CONNECTION TEL [REDACTED] 912685621717
SUBADDRESS
CONNECTION ID
ST. TIME 10/16 15:26
USAGE T 00'26
PGS. SENT 1
RESULT OK

Unique Vacations, Inc.

WORLDWIDE REPRESENTATIVE FOR SANDALS & BEACHES RESORTS

Unique Vacations Group Department
4950 S.W. 72nd Avenue, 2nd Floor, Miami, FL 33155
Phone: (800) 327-1991 FAX: (305) 638-2787



Invoice

October 16, 2007

To: Hon. Dr. Errol Cort
Minister of Finance
Phone:
Fax: 268-562-1717

From: Natalie Bercoa
Group Accounting Generalist
Phone: 800-327-1991
Email: [REDACTED]

Re: 12th Annual Multi National Conference

Sandals Grande Antigua

Dates of Travel: November 8-11, 2007
Rate per room: \$ 1,250.00
Total No. of rooms: 25

Total due at this time: \$ 31,500.00

Please make checks payable to:

Unique Vacations Inc.
Attn: Group Dept

013

2180

Unique Vacations, Inc.
YOUR IDEAL REPRESENTATIVE FOR SANDALS & BEACHES RESORTS
Unique Vacations Groups Department
4950 S.W. 72nd Avenue, 2nd Floor, Miami, FL 33155
Phone: (800) 327-1991 FAX: (305) 658-2787



Invoice

October 16, 2007

To: Hon. Dr. Errol Cort
Minister of Finance
Phone:
Fax: 268-562-1717

From: Natalie Berroa
Group Accounting Generalist
Phone: 800-327-1991
Email: [REDACTED]

Re: 12th Annual Multi National Conference

Sandals Grande Anitgua

Dates of Travel: November 8-11, 2007
Rate per room: \$ 1,260.00
Total No. of rooms: 25

Total due at this time: \$ 31,500.00

Please make checks payable to:

Unique Vacations Inc.
Attn: Groups Dept.
4950 SW 72nd Ave.
Miami, FL 33155

014

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 66

Unique Vacations, Inc

Incoming Wire Detail Report
Printed on Oct 31, 2007 12:57 PM

Process Information

Wire system reference: [REDACTED]
Transfer Amount: USD 31,500.00
Exchange Rate: .000000000
Credit Amount: USD 31,500.00

10-25-07

Sending Bank: [REDACTED]

Sending Party:

Message Reference: IMAD: 1025B1QGC03C00390010251344FT01 Ref: 2339800298FS

Transfer Text: ORG=A [REDACTED]
J P MORGAN CHASE NYC
NEW YORK, NY
ORG=
PS MINISTRY OF FINANCE AND THE
ECONOMY
ST JOHN'S
ANTIGUA
OBK=S ROYCAGAG
ROYAL BANK OF CANADA
MARKET AND HIGH STREETS
ST. JOHN'S,AG
SRF=[REDACTED]
ORF=SWF OF 07 10 25
BBK=D 0873003270117
UNIQUE VACATIONS INC
RECEIPTS ACCOUNT
4950 SW 72ND AVE
MIAMI FL 33155-5533
BNF=[REDACTED]
UNIQUE VACATIONS INC

Close

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 67

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): EACH REPRESENTATIVE'S SPOUSE
6. Dates of travel: NOVEMBER 8 - 11, 2007
7. Cities of departure -- destination -- return: VARIES DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
- The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations:
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
- One-night's lodging and meals are being offered: or
 - Two-nights' lodging and meals are being offered:
- If "b" is checked, explain why the second night is warranted: _____

10/05/07 15:34 FAX

007
PAGE 83

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: _____
 CARIB NEWS FOUNDATION (SEE ATTACHED SHEET)
13. Describe each sponsor's organizational interest in the purpose of the trip: _____
 THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORMATION, TRADE AND
 MEANINGFUL CONNECTION BETWEEN THE UNITED STATES AND THE CARIBBEAN
14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: _____
 REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS
15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
16. I represent that either (check one of the following):
 a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
 b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
 If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____
17. Reason for selecting the location of the event or trip: A CARIBBEAN COUNTRY THAT HAS NOT
 PREVIOUSLY HOSTED THE CONFERENCE
18. Name of hotel or other lodging facility: SANDALS RESORT/REX RESORT
19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$1,000
20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> Actual amounts <input checked="" type="checkbox"/> Good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	320	330	165
For each accompanying family member	320	0	165

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name and title: KARL S. RODNEY, CEO

Organization: CARIB NEWS FOUNDATION

Address: 7 WEST 38TH STREET, 8TH FLOOR NEW YORK, NY 10018

Telephone number: 212-944-1891

Fax number: 212-944-2089

Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
U.S. House of Representatives
BT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7292 (general fax)
(202) 225-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 68



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

TO : Members of Congress who attended the 12th Annual
Caribbean Multi-National Business Conference

FROM : Karl B. Rodney

DATE : November 28, 2007

SUBJECT : Business Conference Travel Information

The following is the breakdown of the value for the 12th Annual Caribbean Multi-National Business Conference held in Antigua & Barbuda from November 8-11, 2007.

Airfare - \$490.00

Hotel - \$410.00

Total amount of package = \$990.00



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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 69

Original Amendment

U.S. House of Representatives
110th Congress
MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

- 1. Name of Traveler: Charles B. Rangel
- 2. a. Name of Accompanying Family Member (if any): none
- b. Relationship to Member/Officer: Spouse Child Other (specify): _____
- 3. a. Date of Departure and Date of Return: November 10, 11, 2007
- b. Dates at personal expense (if any): none
- 4. Itinerary (cities of departure - destination - return): New York City - Antigua - New York City
- 5. Sponsor(s) (who paid for the trip): The New York Carib News Foundation

6. Describe meetings and events attended (attach additional pages if necessary): The Conference, schedule attached, was to explore initiative and programs to expand and strengthen economic and cultural relationships between New York and some island nations of the Caribbean.

- 7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; and
 - c. the Committee on Standards' letter approving my participation on this trip.
- 8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
- b. If not, explain: Due to Congressional schedule I was only able to participate on Saturday afternoon and Sunday morning. I participated fully in all of the events in this period of time.

RANGEL.

9. **TRIP EXPENSES:** Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$ 490.00	\$ 410.00 (incl meals)	
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: _____

DATE: 9/29/08

Version date 9/2008 by Committee on Standards of Official Conduct

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 70

LEGISLATIVE RESOURCE CENTER

U.S. House of Representatives 2007 NOV 29 PM 3:08

110th Congress

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MEMBER / OFFICER

POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with Clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Member or Officer (print or type): Bennie G. Thompson

Name of Accompanying Family Member (if any): London Thompson

Relationship to Member/Officer: Spouse Child Other (specify):

Date of Departure and Date of Return: November 10-12, 2007

Dates at personal expense: N/A

Itinerary (cities of departure - destination - return): Jackson, MS to Antigua to Jackson, MS

Sponsor(s) (who paid for the trip): Carib News

Describe meetings and events attended (attach additional pages if necessary): Attended meetings and events that involved the promotion, trade and meaningful connection between the United States and the Caribbean.

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

- 1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
- 2. the Privately-Sponsored Travel Approval Form completed by the Member or officer; and
- 3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: I was unable to attend all events due to the House being in session for legislative business on

Thursday, November 8th and Friday November 9th.

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	364.30	410.00	195.00
For accompanying family member:	364.30	0	195.00

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration, etc.)
For Member or Officer:	N/A	
For accompanying family member:	N/A	

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: _____

DATE: 11/29/07

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008**

EXHIBIT 71

RESOURCE CENTER
2008 SEP 15 AM 10:55

U.S. House of Representatives
110th Congress

Original Amendment
9/12/08 *DM*

U.S. HOUSE OF REPRESENTATIVES

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

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Name of Member or Officer (print or type): DONNA M. CHRISTENSEN

Name of Accompanying Family Member (if any): NONE

Relationship to Member/Officer: Spouse Child Other (specify): _____

Date of Departure and Date of Return: NOVEMBER 8 - 9, 2007

Dates at personal expense: NONE

Itinerary (cities of departure - destination - return): SEE ATTACHED

Sponsor(s) (who paid for the trip): CARIB NEWS FOUNDATION

Describe meetings and events attended (attach additional pages if necessary): OPENING RECEPTION on 11/8 6:30 PM, 11/9 9:00 AM - OPENING CEREMONY, 1:30 PM - LUNCH

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

- 1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
- 2. the Privately-Sponsored Travel Approval Form completed by the Member or officer; and
- 3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: _____

CHRISTENSEN

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$ 490.00	\$ 410.00	INCLUSIVE WITH HOTEL EXPENSES
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER:



DATE: 12/2/07

Version date 4/2007 by Committee on Standards of Official Conduct

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008

EXHIBIT 72

LEGISLATIVE RESOURCE CENTER
2007 NOV 19 PM 3: 53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
110th Congress

Original Amendment
2007 NOV 20 PM 2: 03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

**MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM**

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Name of Member or Officer (print or type): Yvette D. Clarke

Name of Accompanying Family Member (if any): N/A

Relationship to Member/Officer: Spouse Child Other (specify): _____

Date of Departure and Date of Return: 11-10-07 — 11-12-07

Dates at personal expense: _____

Itinerary (cities of departure - destination - return): New York JFK - ANTIGUA - New York JFK

Sponsor(s) (who paid for the trip): CARIB NEWS

Describe meetings and events attended (attach additional pages if necessary): Orla Dinner - Award

Roundtable - Topic - HEMISPHERIC DISASTER FUND

Roundtable - Topic - Community outreach + EDU + Youth Director

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
2. the Privately-Sponsored Travel Approval Form completed by the Member or officer; and
3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: _____

2200

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	320	330	195
For accompanying family member:	N/A	N/A	N/A

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: _____

DATE: 11/29/07

Version date 4/2007 by Committee on Standards of Official Conduct

2201

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 73

2202

CRB-000112



**THE NEW YORK
CARIBNEWS**
THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
7 WEST 36TH STREET, 4TH FLOOR, NEW YORK, NY 10018

TEL: (212) 944-1991; FAX: (212) 944-2089
E-MAIL ADDRESS: NYCARIB@AOL.COM;
CARIBNEWS@ATT.NET

FACSIMILE TRANSMITTAL SHEET

TO:	Kelly Mobley	FROM:	Patricia Louis
COMPANY:	Committee on Standards of official conduct	DATE:	8/20/08
FAX NUMBER:	202-225-7392	TOTAL NO. OF PAGES INCLUDING COVER:	
RE: 2008 MULTINATIONAL BUSINESS CONFERENCE			

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY YOUR INFORMATION

Following is the completed form and attachments re travel for Members of Congress to attend the 2008 Multinational Business Conference scheduled for November 6-9, 2008 in St. Maarten.

Regards

CONFIDENTIAL

CN-000112

2203

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 74

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES,
6. Dates of travel: NOVEMBER 6-9, 2008
7. Cities of departure -- destination -- return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
- The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
- One-night's lodging and meals are being offered: or
 - Two-nights' lodging and meals are being offered:
- If "b" is checked, explain why the second night is warranted: _____

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):

12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: CARIB NEWS FOUNDATION (* SEE ATTACHED)

13. Describe each sponsor's organizational interest in the purpose of the trip: THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN

14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS

15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):

16. I represent that either (check one of the following):
a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: or
b. The trip involves events that are arranged or organized specifically with regard to congressional participation:
If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____

17. Reason for selecting the location of the event or trip: SEE ATTACHED

18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT

19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00

20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	260	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150 \$ 25	Conference Registration Local transportation
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):

23. I certify that the information furnished in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name and title: KARL B. RODNEY, CEO

Organization: CARIB NEWS FOUNDATION

Address: 7 WEST 36th STREET, 8th FLOOR, NEW YORK, NY 10018

Telephone number: (212) 944-1991

Fax number: (212) 944-2089

Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
Congressman Charles Rangel
Congressman Donald Payne
Congresswoman Donna M. Christensen
Congressman Edolphus Towns
Congressman G. K. Butterfield
Congressman Gregory Meeks
Congressman Jesse Jackson Jr.
Congressman Keith Ellison
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Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991, Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

Item #12

CARIB NEWS FOUNDATION is organized to provide education and information about the Caribbean in the area of Business, Education, Health and Culture, Promote Good neighborly within the United States and the hemisphere.

The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Item#17


A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

A CARIBBEAN NEWS CONFERENCE

CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE

2008 NOVEMBER 6TH - 7TH 2008



[REGISTER](#) [AGENDA](#) [ABOUT THE CONFERENCE](#) [HOTEL](#) [FAQ](#) [PARTICIPANTS](#) [PRESS](#) [CONTACT US](#) [HOME](#)

[The 2008 Program Agenda](#)

[\[View 2007 Agenda\]](#)

Theme : A Region In Transition - Strategy For Change - Building Alliances

THURSDAY NOVEMBER 6TH 2008

13TH ANNUAL CARIBBEAN MULTI NATIONAL BUSINESS CONFERENCE REGISTRATION
Time - 12:00 Noon to 6:00 PM

TOWN HALL FORUM - HEALTH
Time - 5:00 PM to 6:30 PM

- Healthy Living - 'The Worldwide Challenge'
- Innovative Solutions For Life Styles
- Diseases - A Collaborative Approach - Diabetes, Hypertension, Obesity, Oral Health

Venue - Royal Islander
Moderator - Dr. Marcia Bayne Smith

Speakers - Mrs. Karen Boykin-Towns, Congress Member Dr. Donna Christian Christensen, Commissioner Maria Buncamper Molarus, Health Commissioner, St Maarten, Dr. John Palmer, Harlem Hospital Center Dr. Jay Cowan, National Medical Association, Dr. Leon Merrick - Merrick's Dental Studio, First Lady Michelle Paige Paterson, Health Advocate

MULTI-NATIONAL BUSINESS CONFERENCE OPENING RECEPTION
Time - 6:30 PM to 7:30 PM
Venue - Upper Pool
Theme - A Region In Transition

MC - Sabrina Hosang - Royal Caribbean Bakery

Speakers - Faye A. Rodney, Hon. Roy Merlin - Commissioner For Tourism & Finance Of St Maarten

MULTI-NATIONAL BUSINESS CONFERENCE OPENING DINNER
Time - 7:30 PM to 9:30 PM
Venue - The Maho Room
Theme - A Strategy For Change

MC - Judge Sylvia Hinds-Radix

Speakers - Susan Taylor & Hon. Donald Payne, Member Of The United States Congress

HOSPITALITY AREA
Time - 10:00 PM to 1:00 AM

FRIDAY NOVEMBER 7TH 2008

BREAKFAST - OCEAN TERRACE
Time - 8:00 AM to 8:45 AM

CONFERENCE REGISTRATION (OCEAN TERRACE LOBBY) * CONFERENCE SECRETARIAT (SABA ROOM)
Time - 9:00 AM to 6:00 PM

BUSINESS CONFERENCE OPENING CEREMONIES
Time - 9:00 AM to 10:15 AM
Venue - Maho Room
Theme - Building Alliances For Progress

Invocation - Rev. Susan Johnson-Cook
Anthems - Saint Maarten 'Lift Every Voice'

Remarks - Eric Eve, Karen Boykin-Towns, Marie Long, Ed Goldberg, Patrick Gaston, Hazel Dukes

Speakers - Hon. Carolyn Cheeks Kilpatrick, Member US Congress * Commissioner Sarah Wescot Williams, Leader of Government, St. Maarten * Harry Belafonte

RIBBON CUTTING CEREMONIES
Time - 10:15 AM to 10:30 AM Coffee Break * 10:30 AM to 11:45 AM Presentation

EDUCATION - TECHNOLOGICAL ADVANCES COLLABORATION

Moderator - Dr. Emmanuel Gonsalves

Greetings - Marie Long

Speakers - Dr. Edison Jackson, Patrick Gaston, Dr. Marcia Keizs, Congresswoman Eddie Bemice Johnson, Professor Rex Nettieford

PRESENTATION - INTERNATIONAL TRAVEL & TOURISM TODAY'S ECONOMIC ENGINE'
Time - 12:00 Noon to 1:15 PM

Moderator - Lloyd A Williams, President & CEO of The Greater Harlem Chamber Of Commerce

Greeting - Wallace Ford

Speakers - Commissioner Roy Marlin, Howard Dodson, Congressman Gregory Meeks, Professor Rex Nettieford, Dr. Martin Valdes

PRESENTATION - HEALTH AN ECONOMIC DEVELOPMENT STRATEGY FOR THE REGION
Time - 12:00 Noon to 1:15 PM

Moderator - Yvonne Graham

Greeting - Karen Boykin Towns

Speakers - Dr. Denzil Douglas, Dr. Carl Kenel Pierre, Commissioner Maria Buncamper Motanus, Health Commissioner of St Maarten, Dr Dexter McKenzie

LUNCHEON

Time - 1:30 PM to 2:30 PM

Venue - Main Ballroom

Theme - Building A Winning Strategy - Partnerships That Works

Moderator - Hon. Nick Perry, NYS Assembly

Speaker - Eric Eve * Keynote Speaker - Hon. David Paterson, Governor New York State

ROUND TABLE ONE - EDUCATION - THINKFINITY PRESENTATION & DEMONSTRATION

Time - 3:00 PM to 4:00 PM

Venue - Royal Islander A

MC - Dr. Patricia Hazlewood

Speakers - Patrick Gaston & Albert Browne

ROUND TABLE TWO - LOCAL BUSINESS INITIATIVES

Time - 3:00 PM to 4:00 PM

Venue - Royal Islander B

Speaker - Ed Goldberg, Eric Eve, Albert Browne

TOWN HALL FORUM - EDUCATION SHARING WORKING SOLUTIONS

Time - 4:30 PM to 6:00 PM

Venue - Royal Islander A

Greetings - Michael Flanigan

Moderator - Dr. Marcella Maxwell

Speakers - Hon David M. Dinkins (Former Mayor, NYC), Dr. Edison O. Jackson, Dr. Marcia Keizs, Professor Rex Netteford, UWI and William Payne, Assemblyman NJ

HOST COUNTRY EVENING - CULTURAL PRESENTATION

Time - 6:30 PM to 7:30 PM

HOSPITALITY AREA

Time - 10:00 PM to 1:00 AM

SATURDAY NOVEMBER 8 2008

BREAKFAST - OCEAN TERRACE

Time - 7:45 AM to 8:15 AM

PRESENTATION - WOMEN OF INFLUENCE AND POWER

Time - 8:30 AM to 10:00 AM

Venue - Maho Beach

Greeting & Opening Remarks - Brenda Scott

Moderator - Marilyn Johnson

Speakers - Commissioner Sarah Wescot Williams, Leader of Government Business, Dame Billie Miller, Former Deputy Prime Minister of Barbados, Dr. Marcia Keizs, President of York College, Michelle Paterson, First Lady New York State, Congresswoman Eddie Bernice Johnson, Rev. Dr. Susan Johnson Cook

PRESENTATION - THE CARIBBEAN, ITS VALUE & POTENTIAL IN TIMES OF CRISIS

Time - 10:15 AM to 11:45 AM

Venue - Royal Islander A

Greeting - Wallace Ford

Moderator - Dame Billie Miller

Speakers - Hon. P.J. Patterson, former Prime Minister, Jamaica, Hon. Dr. Ralph Gonsalves, Prime Minister St. Vincent and the Grenadines, Hon. Dr. Denzil Douglas, Prime Minister of St. Kitt-Nevis, Congressman Charles Rangel

PRIVATE MEETING CLOSED DOOR SESSION With Members Of Congress & Minister Of Government

Time - 12:00 Noon to 1:30 PM

PRESENTATION - TRADE & INVESTMENT - CREATIVE SOLUTIONS RETOOLING FOR GROWTH -

PARTNERSHIP AT WORK

Time - 12:00 Noon to 1:15 PM

Venue - Royal Islander A

Greeting - Michael Flanigan

Moderator - Ivanhoe Friend

Speakers - Hon William Thompson, Comptroller, NYC, Adolfo Carrion, Borough President, Bronx, NY,

Congressman Edolphus Towns, Eric Eve

PRESENTATION - HEMISPHERIC SECURITY - PORTS/AIRPORTS - CRIME, DRUGS, DISASTER IN CHALLENGING TIMES

Time - 12:00 Noon to 1:15 PM
Venue - Royal Islander B

Greeting - Earl Brown, Deputy Borough President, Bronx, Judge Sylvia Ash, Tony Best, Congressman Bennie Thompson, Commissioner Theo Heyliger, St Maarten, Professor Ivelaw Griffith, Hon Lance Ogista, Brooklyn District Attorney

LUNCHEON - AN AGENDA FOR PARTNERSHIP

Time - 1:30 PM to 2:30 PM
Venue - Maho Room

Greeting - Lloyd Brown

Speaker - Most Hon. P.J. Patterson, former Prime Minister of Jamaica & President of Heis Consults, Dr. Compton Bourne, President CDB

ROUND TABLE ONE - IMMIGRATION & DEPORTEES

Venue - Royal Islander A

Moderator - Dr. Marco Mason

Speakers - Congressman Bennie Thompson, Hon. Eddie Bernice Johnson, Member US Congress, Attorney Stanford Rubenstein, NYC Councilmember Dr. Kendall Stewart

ROUND TABLE TWO - HAITI BOLD INITIATIVES - THE DIASPORA - CEC & CARICOM

Venue - Royal Islander B

Moderator - Hon. Yvonne Graham

Speakers - Hon. Charles Rangel, Hon. Gregory Meeks, Hon. Ralph Gonsalves, Dr. Lesly Kernisant

TOWN HALL FORUM - TECHNOLOGY

Venue - Royal Islander A

Moderator - Colin Ward

Greeting & Opening - Marie Long

Speakers - Congressman Edolphus Towns, Albert Browne, Commissioner of Technology, Congresswoman Eddie Bernice Johnson

GALA RECEPTION

Time - 6:30 PM to 7:30 PM

Speaker - Susan Taylor

GALA DINNER & AWARDS PRESENTATION

Time - 7:30 PM to 10:00 PM

Speakers - Dr. Harry Belafonte, Hon. Sarah Wescot Williams, Leader of Government Business

HOSPITALITY AREA

Time - 10:30 PM to 1:00 AM

Venue - Hotel Conference Room

SUNDAY NOVEMBER 9TH 2008

POWER BREAKFAST - SPIRITUALITY THE BASICS IN BUSINESS
Time - 8:30 AM to 10:00 AM

Greeting - Lowell Hawthorne
Speakers - Ed Goldberg Local Minister

Selection - Local Choir

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POWERED BY JUPAWAN TECHNOLOGY



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10017
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

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The participants are selected to participate in the various workshops during the Conference based on their demonstrated interest, expertise and assignment in the United States Congress.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL MULTI-NATIONAL BUSINESS
CONFERENCE, NOVEMBER 6-9, 2008, ST. MAARTEN, N.A.

Congresswoman Diane E. Watson
Congressman David Scott
Congressman James Clyburn
Congresswoman Nydia Velazquez
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Congresswoman Donna M. Christensen
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Congressman G. K. Butterfield
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Congressman Jesse Jackson Jr.
Congressman Keith Ellison
Congressman Kendrick Meek *D/V*
Congresswoman Maxine Waters
Congressman Melvin Watt
Congressman Robert Scott
Congressman William Lacy Clay
Congresswoman Barbara Lee
Congresswoman Caroline Cheeks Kilpatrick
Congresswoman Corrine Brown *D/V*
Congresswoman Eddie Bernice Johnson
Congresswoman Sheila Jackson Lee
Congresswoman Yvette Clarke
Congressman Danny Davis
Congressman Bennie Thompson
Congresswoman Laura Richardson

July 2008



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A Caribbean country whose proximity to other Caribbean Countries makes it conveniently located for delegates from the other Caribbean countries to attend.

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**MEMBERS OF CONGRESS INVITED TO THE
THIRTEENTH ANNUAL CARIBBEAN MULTI-NATIONAL
BUSINESS CONFERENCE
ST. MARTEN, N.A.,
NOVEMBER 6-9, 2008**

NAME	REASON FOR PARTICIPATION
Charles Rangel	To address trade issues that impact on the Caribbean/US Relations as Chairman of the Committee on Ways and Means. Follow up on Trade Discussions held in New York, June 2009
Bennie Thompson	Chairman of the Committee of Homeland Security, Regional Security, Coast Guard, TSA - cooperation within the Hemisphere.
Martina Walters	Financial Services Initiatives between US and the Caribbean. Initiatives on Haiti development, Immigration and Border Security
Stephanie Tubbs-Jones	Trade and taxation issues between the US and the Caribbean, as a member of the Ways and Means Committee
Donna Christensen	Health related collaboration with the US and the Caribbean, AIDS and other related diseases. Member of the Committee on Emergency Threats, Cyber-security, Science & Technology
Yvette Clarke	Represents a large Caribbean population and to the Caribbean/US relations on Immigration and Homeland Security
Barbara Lee	Member of the Sub-committee on State, Foreign operation and related programs. Member of sub-committees on Labor, Health and Human Services, Education and related agencies
Danny Davis	Member of the Sub-committee on Early Childhood, Elementary and Secondary education - Member of sub-committee on Higher Education, Lifelong learning and competitiveness. Issues of importance in developing economies of the Caribbean.
Carolyn Cheeks-Kilpatrick	Member of the Sub-committee on Financial Services, and sub-committee on the Department of Homeland Security
Edolphus Towns	Member of the Committee on Energy and Commerce - and sub-committee on Telecommunications and the Internet
Donald Payne	Member of the Committee on Foreign Affairs; Chair of the sub-committee on Africa and Global Health
Eddie Bernice Johnson	Member of the Sub-committee on Aviation: critical for the Caribbean economy. Member of the sub-committee on Research and Science Education - addressing the technological divide

NAME	REASON FOR PARTICIPATION
Shelia Jackson-Lee	Member of the Committee on the Judiciary, Immigration issues. Member of the sub-committee on Border, Migration and Global Counter-terrorism. Chair of sub-committee on Transportation and Infrastructure protection.
Melvin Watt	Member of Financial Services Committee, important issue for the emerging Financial Services Sector in the Caribbean. Member of the committee on the Judiciary - Immigration issues.
William Lacy Clay	Member of the Sub-committee on Domestic and International Monetary Policy, Trade and Technology, Member of the sub-committee on Financial Services.
G. K. Butterfield	Member of the sub-committee on Commerce, Trade and Consumer Protection. Member of the Committee on Energy and Commerce.
Jesse Jackson, Jr.	Member of the sub-committee on Foreign Operations, Export Financing and Related programs. Member of sub-committee on Labor, Health and Human Services, and Education and Related Services.
Diane E. Watson	Member of the Committee on Foreign Affairs. Vice-Chair of the sub-committee on Africa and Global Health.
Kendrick Meek	Member of the Sub-committee on Trade and member of the Committee on Ways and Means.
Gregory A. Meeks	Member of the Committee on Financial Services - Member of the Committee on Foreign Affairs - Member of the sub-committee on the Western Hemisphere.
David Scott	Member of the Committee on Financial Services - Member of the Committee on Foreign Affairs - Member of the Committee on Agriculture.
James Clyburn	Member, House Committee on Appropriations, Energy and Water Development and Related Agencies, Transportation, Treasury and Housing and Urban Development, The Judiciary.
Keith Ellison	Member of the Committee on Judiciary, Member of the sub-committee on Immigration, Citizenship, Refugees, Border Security and International Law. Member of the Committee on Financial Services.
Corrine Brown	Member of the Committee on Transportation and Infrastructure. Member of the sub-committee on Aviation - Member of the sub-committee on Coast Guard and Maritime Transportation.
Robert Bobby Scott	Chair of the sub-committee on Crime, Terrorism and Homeland Security, Member of Committee on Education and Labor.

NAME	REASON FOR PARTICIPATION
Nydia Velazquez	Chairwoman of the House Small Business Committee, Member of the Financial Services Committee, member of sub-committee on Housing and Community Opportunity, Capital Markets, Insurance and Government-sponsored Enterprise, Oversight and Investigations.



**BASIC REQUIREMENTS
TO HOST
CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE**

- * Invitation to host conference to be issued by Prime Minister (Head of Government). This is to facilitate the attendance of several elected officials from the United States, United Kingdom and other countries.
- * Prime Minister to be keynote speaker at one of the major events of the conference and participate in the closed door session with attending elected officials.
- * Local host committee to be organized with all interested ministers, agencies and associations. The chairman of this committee would be someone designated by the Prime Minister to coordinate all the activities.
- * To provide the care and comfort for the VIP's attending i.e.
 - o To provide 25 all-inclusive rooms for the VIP's and members of Congress attending
 - o To provide appropriate mode of transportation for VIP's and members of Congress - cars or specialized vans - not buses.
- * To be a designated sponsor in one of the categories: *Title, Main or Regular Sponsor* (See Attachment)
- * To organize a "Host Country Evening" - The Friday evening, the host country will host at an appropriate location an evening of food, refreshment, cultural presentation and entertainment.
- * Provide for local entertainment for the various functions -
 - o The Opening Ceremonies
 - o The Reception
 - o The Gala Dinner
- * To assist in Promotion and Publicity of the conference both locally and through international offices and official publications.
- * Assist in securing competitive hotel rates.
- * Equip and staff conference secretariat.
- * Prime Minister to issue invitations along with CARIB NEWS to members of the US Congress, other Prime Ministers and Ministers of Government
- * Participate in the Forum with Members of Congress.
- * Arrange tours and activities of interest for VIP's and/or their spouse.

**MEMBERS OF CONGRESS INVITED TO THE
13th Annual Multinational Business Conference
St. Maarten, November 6-9, 2008**

Congressman William Lacy Clay
U.S House of Representatives
131 Cannon HOB
Washington, DC 20515
Phone: 202-225-2406
Fax: 202-226-3717

Congresswoman Diane E. Watson
US House of Representatives
125 Cannon HOB
Washington, DC 20515
Phone: 202-225-7084
Fax: 202-225-2422

Congressman Kendrick Meek
U.S House of Representatives
1039 Longworth HOB
Washington, DC 20515
Phone: 202-225-4506
Fax: 202-226-0777

Congressman David Scott
U.S House of Representatives
417 Cannon HOB
Washington, DC 20515
Phone: 202-225-2929
Fax: 202-225-4268

Congressman James Clyburn
US House of Representatives
2135 Rayburn HOB
Washington, DC 20515
Phone: 202-225-3315
Fax: 202-225-9253

Congressman Robert Scott
U.S House of Representatives
1201 Longworth HOB
Washington, DC 20515
Phone: 202-225-8351
Fax: 202-225-8354

Congresswoman Nydia Velázquez
U.S House of Representatives
2241 Rayburn HOB
Washington, DC 20515
Phone: 202-225-2361
Fax: 202-226-0327

Congressman Charles Rangel
U.S House of Representatives
2354 Rayburn HOB
Washington, DC 20515-3215
Phone: 202-225-4365
Fax: 202-225-0186

Congressman Donald Payne
U.S House of Representatives
2209 Rayburn HOB
Washington, DC 20515
Phone: 202-225-3436
Fax: 202-225-4160

Congresswoman Donna M. Christensen
U.S House of Representatives
1510 Longworth HOB
Washington, DC 20515
Phone: 202-225-1790
Fax: 202-225-5517

Congressman Edolphus Towns
U.S House of Representatives
2232 Rayburn HOB
Washington, DC 20515
Phone: 202-225-5936
Fax: 202-225-6018

Congressman G. K. Butterfield
U.S House of Representatives
413 Cannon HOB
Washington, DC 20515
Phone: 202-225-3101
Fax: 202-225-2256

Congressman Gregory Meeks
U.S House of Representatives
1710 Longworth HOB
Washington, DC 20515
Phone: 202-225-3461
Fax: 202-225-4169

Congressman Jesse Jackson Jr.
U.S House of Representatives
2419 Rayburn HOB
Washington, DC 20515
Phone: 202-225-0773
Fax: 202-225-0899

Congressman Keith Ellison
U.S House of Representatives
1130 Longworth HOB
Washington, DC 20515
Phone: 202-225-4755
Fax: 202-225-4886

Congressman Kendrick Meek
U.S House of Representatives
1039 Longworth HOB
Washington, DC 20515
Phone: 202-225-4506
Fax: 202-225-0777

Congresswoman Maxine Waters
U.S House of Representatives
2324 Rayburn HOB
Washington, DC 20515
Phone: 202-225-2201
Fax: 202-225-7854

Congressman Melvin Watt
U.S House of Representatives
2236 Rayburn HOB
Washington, DC 20515
Phone: 202-225-1510
Fax: 202-225-1512

Congresswoman Barbara Lee
U.S House of Representatives
2444 Rayburn HOB
Washington, DC 20515
Phone: 202-225-2661
Fax: 202-225-9817

Congresswoman Caroline Cheeks Kilpatrick
U.S House of Representatives
1610 Longworth HOB
Washington, DC 20515
Phone: 202-225-2261
Fax: 202-225-5730

Congresswoman Corrine Brown
U.S House of Representatives
2444 Rayburn HOB
Washington, DC 20515
Phone: 202-225-0123
Fax: 202-225-2256

Congresswoman Eddie Bernice Johnson
U.S House of Representatives
1511 Longworth HOB
Washington, DC 20515
Phone: 202-225-8885
Fax: 202-2256-1477

Congresswoman Sheila Jackson Lee
U.S House of Representatives
410 Canon HOB
Washington, DC 20515
Phone: 202-225-3816
Fax: 202-225-3317

Congresswoman Yvette Clarke
U.S House of Representatives
1029 Longworth HOB
Washington, DC 20515
Phone: 202-225-6231
Fax: 202-2256-0112

Congressman Danny Davis
U.S House of Representatives
1222 Longworth HOB
Washington, DC 20515
Phone: 202-225-5006
Fax: 202-225-5641

Congresswoman Stephanie Tubbs-Jones
U.S House of Representatives
1516 Longworth HOB
Washington, DC 20515
Phone: 202-225-7032
Fax: 202-2256-1539/2367

Congressman Bennie Thompson
US House of Representatives
2432 Rayburn HOB
Washington, DC 20515
Phone: 202-225-5876
Fax: 202-225-5898

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 75



From: "Smith, Hilary" <[redacted]>
 To: <carib-desk@att.net>
 Subject: Congressional Travel
 Date: Friday, August 22, 2008 8:57:53 AM [View Source]

Mr. Rodney,

Thank you for your letter to the Committee requesting permission for Members to participate in your trip to St. Maarten. However, due to the new travel rules, the Committee no longer approves trips in general for privately sponsored travel. Each invitee must get individual permission to attend the trip. The process is as follows:

1. The sponsor of the trip fills out the Private Sponsor Form and sends that to all the invitees, including a detailed daily agenda and a list of all House invitees.
2. The person going on the trip then fills out the Traveler Form and sends that and the sponsor form in to the Committee for approval.
3. The Committee will look over the trip and if everything is in order, the staff member will receive a letter back stating that they are approved to attend the trip.

This process and the forms are located on our website, www.ethics.house.gov. Let me know if you have any questions. Thanks.

Hilary Smith
 Committee on Standards of Official Conduct
 HT-2, The Capitol
 Washington, DC 20515
 202-226-7103

> Change your options to hide images within all messages.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 76

2229

CRB-000214

From: Peg Perl

Page 1 of 1



[Print] [Close]

From: "Perl, Peg" <[REDACTED]>
To: <carib-desk@att.net>
Subject: Carib News Foundation sponsorship of Member of Congress travel.
Date: ~~Wednesday, September 24, 2008 1:55:07 PM~~

I need to speak with someone about the 13th Annual Caribbean Multi-National Business Conference on Nov. 6-9th in St. Maarten. The Committee has received the Private Sponsor Travel Certification Form requesting approval for the Carib News Foundation to pay the transportation, lodging and meals expenses for several Members of Congress. I have a number of questions about the conference and your organization and the paperwork that was filled out. Is there someone I can schedule to speak with via phone about these matters tomorrow (Thursday) some time after 11am?

Thank you,

Peg Perl

Counsel

Committee on Standards

of Official Conduct

U.S. House of Representatives

HT-2, The Capitol

Washington DC 20515

(202) 225-7103

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 77

MEMORANDUM TO FILE

September 30, 2008 Conference Call with Karl & Fay Rodney of Carib News

(PGP typed up on 12/22/08 based on notes taken contemporaneous with the phone call)

Karl & Fay Rodney are the co-founders & directors of Carib News. My general approach was to walk through the questions on the Private Sponsor Travel Certification Form submitted 9/10/08 to verify some issues.

Sponsorship of Conference, funding by lobbyists or other sources (Questions 1-3):

I asked about the Foundation and any corporate giving to the Foundation that was specifically for financing the conference or earmarked for congressional travel. I specifically referenced things I had seen on the website from last year's conference that listed "corporate sponsors" of the conference, etc. *Responses and explanations given by the Rodneys:*

- Carib News Foundation is a 501(c)(3) organization that does numerous educational and outreach activities" and the conference is one of them.
- Corporations with lobbyists give money generally to the Foundation all year that goes into a general fund that is used for multiple program activities, including the conference.
- There is no designation or earmarking of funds by corporations for the conference or congressional travel, and no specific request to get corporate funding for congressional travel.
- There will not be parts of the conference "sponsored" by a particular corporation recognized – such as a banner with "this lunch sponsored by AT&T." This is the plan for 2008's conference, regardless of past years. Any website indicates to the contrary are wrong and outdated

Trip arranged without regard to Congressional participation & air/hotel costs (Questions 16-21):

I asked about the apparent difference between the online registration packages and the costs quoted here for Congressional travel in order to make sure the Congressional participation was at the same level as all other attendees at the conference. *Responses and explanations given by the Rodneys:*

- There will be about 300 attendees total at the conference.
- They are purchasing a conference package with the Resort to cover lodging, meals, etc. including all conference luncheons as well.
- The hotel negotiated rate is \$110 for the most basic room, which is the same for Members and everyone else. This only applies for the dates of the conference, so if Members want to add personal days, they will be adding nights at a much higher rate for personal expense just like all other attendees.
- The meals expenses are that many meals are included with the room (all-inclusive resort breakfast, cocktail hours, etc.), but there is an additional \$145 per person that covers some additional conference dinners and receptions.
- The Members' flights are all commercial economy class – no charter flight or anything special from other attendees. The \$410 per person estimate is the average for a flight from NYC, but it will vary for Members who come from other cities.

Other Costs not included on form/Local transportation or events:

Based upon problems from last year, I specifically asked about any local transportation or any other expenses that were not on the sponsor certification form. I also generally asked whether everything on the agenda was at the resort hotel or if other events were happening around the island(s). *Responses and explanations given by the Rodneys:*

- They are waiving the conference fee for participants and might provide ground transportation or taxis from airport, etc.
- Carib News is paying for everything – no local government or private company paid dinners.
- The meeting with foreign ministers is at the Resort hotel and is not open to the public – only Members are invited and all other conference attendees will be in other panels at that time.
- The “host country cultural program” is a dinner at the hotel paid for by Carib News. The only thing the host country pays is the entertainment.
- A revised sponsor certification form with these additional expenses and details about locations on the itinerary will be provided.

Follow-up after 9/30 phone call

Carib News did provide a revised form and itinerary after the conversation. I followed up with an email with questions and another revised itinerary was provided. Through these revisions and additional phone calls with Fay Rodney in October, Carib News stated that all events would be held on the resort grounds and there would not be any meals or events anywhere else on the island.

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111th CONGRESS, 1st SESSION

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COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 78

TOCES AS Orig

Form 990-PF **Return of Private Foundation or Section 4947(a)(1) Nonexempt Charitable Trust Treated as a Private Foundation** **2005**

OMB No 1545-0052

Department of the Treasury Internal Revenue Service Note: The organization may be able to use a copy of this return to satisfy state reporting requirements

For calendar year 2005, or tax year beginning June 1, 2005, and ending May 31, 20 06

G Check all that apply. Initial return Final return Amended return Address change Name change

Use the IRS label. **Carib News Charities Inc**

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
15 West 39th Street 13

City or town, state, and ZIP code
New York, NY 10018

A Employer identification number
 B Telephone number (see page 10 of the instructions)

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year from Part II, col. (c), line 16) **\$** J Accounting method: Cash Accrual Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 11 of the instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities				
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11				
13	Compensation of officers, directors, trustees, etc				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see page 14 of the instructions)				
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23				
25	Contributions, gifts, grants paid				
26	Total expenses and disbursements. Add lines 24 and 25				
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements				
b	Net investment income (if negative, enter -0-)				
c	Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	0		0
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Less: accumulated depreciation (attach schedule) ▶				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation (attach schedule) ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see page 16 of the instructions. Also, see page 1, item f)				
Liabilities	17 Accounts payable and accrued expenses	0	0	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Organizations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	27 and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances (see page 17 of the instructions)	0	0		
31 Total liabilities and net assets/fund balances (see page 17 of the instructions)	0	0		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2 Enter amount from Part I, line 27a	2	
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gain (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 { If (loss), enter -0- in Part I, line 7		2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).		{ If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). { If (loss), enter -0- in Part I, line 8		3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2004			
2003			
2002			
2001			
2000			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2005 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XII, line 4			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling letter: (attach copy of ruling letter if necessary—see Instructions)		
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b.	1	
c	All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-).	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	
6	Credits/Payments.		
a	2005 estimated tax payments and 2004 overpayment credited to 2005	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld.	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	
11	Enter the amount of line 10 to be credited to 2006 estimated tax	11	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a		<input checked="" type="checkbox"/>
1b		<input checked="" type="checkbox"/>
1c		<input checked="" type="checkbox"/>
2		<input checked="" type="checkbox"/>
3		<input checked="" type="checkbox"/>
4a		<input checked="" type="checkbox"/>
4b		<input checked="" type="checkbox"/>
5		<input checked="" type="checkbox"/>
6		<input checked="" type="checkbox"/>
7		<input checked="" type="checkbox"/>
8b		<input checked="" type="checkbox"/>
9		<input checked="" type="checkbox"/>
10		<input checked="" type="checkbox"/>
11		<input checked="" type="checkbox"/>

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the organization (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the organization agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the organization engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2005? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the organization was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2005, did the organization have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2005? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years: 20....., 20....., 20....., 20.....		
b Are there any years listed in 2a for which the organization is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see page 20 of the instructions.) <input type="checkbox"/>	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here: 20....., 20....., 20....., 20.....		
3a Did the organization hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2005 as a result of (1) any purchase by the organization or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the organization had excess business holdings in 2005.) <input type="checkbox"/>	3b	X
4a Did the organization invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2005? <input type="checkbox"/>	4b	X
5a During the year did the organization pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? <input type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	5b	X
c If the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax because it maintained expenditure responsibility for the grant? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a Did the organization, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? <input type="checkbox"/> Yes <input type="checkbox"/> No		
b Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <input type="checkbox"/> Yes <input type="checkbox"/> No If you answered "Yes" to 6b, also file Form 8870	6b	X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see page 21 of the instructions).

(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
.....				
.....				
.....				
.....				
.....				

2 Compensation of five highest-paid employees (other than those included on line 1—see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
.....				
.....				
.....				
.....				

Total number of other employees paid over \$50,000 ▶

3 Five highest-paid independent contractors for professional services—(see page 21 of the instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 none	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see page 22 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1	
2	
All other program-related investments. See page 22 of the instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	0
b Average of monthly cash balances	1b	
c Fair market value of all other assets (see page 23 of the instructions)	1c	
d Total (add lines 1a, b, and c)	1d	
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	
6 Minimum investment return. Enter 5% of line 5	6	

Part XI Distributable Amount (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	0
2a Tax on investment income for 2005 from Part VI, line 5	2a	
b Income tax for 2005. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	
6 Deduction from distributable amount (see page 24 of the instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see page 24 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a
b Program-related investments—total from Part IX-B	1b
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3 Amounts set aside for specific charitable projects that satisfy the:	
a Suitability test (prior IRS approval required)	3a
b Cash distribution test (attach the required schedule)	3b
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed income (see page 24 of the instructions)

	(a) Corpus	(b) Years prior to 2004	(c) 2004	(d) 2005
1 Distributable amount for 2005 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2004:				
a Enter amount for 2004 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2005:				
a From 2000				
b From 2001				
c From 2002				
d From 2003				
e From 2004				
f Total of lines 3a through e				
4 Qualifying distributions for 2005 from Part XII, line 4: ▶ \$ _____				
a Applied to 2004, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see page 25 of the instructions)				
c Treated as distributions out of corpus (Election required—see page 25 of the instructions)				
d Applied to 2005 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2005 (If an amount appears in column (c), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see page 25 of the instructions				
e Undistributed income for 2004. Subtract line 4a from line 2a. Taxable amount—see page 25 of the instructions				
f Undistributed income for 2005. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2006				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)				
8 Excess distributions carryover from 2000 not applied on line 5 or line 7 (see page 25 of the instructions)				
9 Excess distributions carryover to 2006. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2001				
b Excess from 2002				
c Excess from 2003				
d Excess from 2004				
e Excess from 2005				

Part XIV Private Operating Foundations (see page 26 of the instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2005, enter the date of the ruling
- b** Check box to indicate whether the organization is a private operating foundation described in section 4942(i)(3) or 4942(i)(5)

	Prior 3 years				(e) Total
	(a) 2005	(b) 2004	(c) 2003	(d) 2002	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(i)(3)(B)(i)					
b "Endowment" alternative test—enter % of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see page 26 of the instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
-
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
-
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number of the person to whom applications should be addressed:
-
- b** The form in which applications should be submitted and information and materials they should include:
-
- c** Any submission deadlines:
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
-

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Total				3a
b Approved for future payment				
Total				3b

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 79



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

June 6, 2008

Mr. Dale Morris
Manager, Community & Media Relations
American Airlines
MD6576
P.O. Box 619616
Dallas, Fort Worth, Texas 75261

Dear Mr. Morris:

We want to once again express our deep appreciation for American Airlines sponsorship of the Annual Multi-National Business Conference last year in Antigua. Thank you and your fine American Airlines colleagues at all levels - the conference was a success.

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Since Air Transportation is such a vital issue for the Caribbean Region, it is going to be one of the Agenda items in the travel & Tourism sector. American's success is vital to the Caribbean region and the US role in world travel; we would expect significant input into any initiatives discussed.

We expect to have elected officials of the US and the Caribbean, Civic Leaders and Business- persons who can influence policies and encourage worthwhile initiatives to be at the Conference. We would welcome American Airlines' participation.

We are open to our customary barter of tickets for sponsorship levels, and have enclosed a proposed arrangement guide similar to last year.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. It is our sincere wish that this presentation motivates your sponsorship.

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@mycaribnews.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CEO

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 80



Carib News Foundation
7 West 36th Street, 8th floor, New York, NY 10018
Tel: (212) 944-1991; Fax: (212) 944-2089
E-mail: info@caribnewsconference.com

April 04, 2008

Ms. Marle Long
Vice-President Constituency Relations
AT&T
1133 21st Street, NW
Washington, DC 20036

Dear Ms. Long:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of AT&T went a long way to make the Conference a success, as it continues to foster on-going growth in business achievements and impactful policy decisions. We appreciate very much your personal commitment and the consistent support of AT&T from the inception of the Conference. Your presence and participation add to the quality of the Conference, and has helped the Conference to grow, and for us to achieve concrete results and expressed appreciation of new opportunities.

We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of yours and AT&T'S participation in this very important mission.

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the AT&T local, regional and global connections, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

- 2 -

We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: KBRodney@nycaribnews.com or (212) 944-1991.

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,



Faye A. Rodney
President



Karl B. Rodney
Publisher/CFO

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 81

2253

CRB-000396

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
9/10/2008	██████████

Bill To
AT&T Foundation 1133 - 21st Street, NW Suite # 900 Washington, DC 20036 ATTN: MARIE LONG, VP

Description	Amount
Multi-National Business Conference 2008 Tax ID ██████████	25,000.00
Total	\$25,000.00

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COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 82



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10018
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

April 04, 2008

Mr. Eric Bye
 Senior Vice President
 Community Relations
 Global Consumer Group
 Citigroup Inc.
 850 Third Avenue, 12th floor
 New York, NY 10022

Dear Mr. Bye:

For the past twelve years, the annual Caribbean Multi-National Business Conference has been instrumental in encouraging investment, cross-border trade and business partnerships, the role played by executives of major corporations, medium and small-size businesses and entrepreneurs, and deepens the connections with key elected officials.

Your support and that of PFIZER went a long way to make the conference a success, and has fostered on-going growth in business achievements and impactful policy decisions. We appreciate very much your encouragement, your personal outreach to your colleagues for support, and your own keen participation at the Conference. It has helped the Conference to grow and for us to achieve concrete results and expressed appreciation of new opportunities.

~~We can assure you that all the key stakeholders, Members of Congress, Heads and Ministers of Government, Elected Officials, Community and Business Leaders are all aware and appreciative of yours and PFIZER'S participation in this very important mission.~~

This year, the Thirteenth Annual Conference is scheduled to be held in St. Maarten, November 6 - 9, 2008, and promises to further strengthen and expand on the work done by many of the Caribbean countries' developing economies, in collaboration with the emerging markets initiatives in the U.S. The quality of the sessions and the high level of participation is now legendary, and is anxiously awaited each year.

Enclosed is a Power Point presentation of the sponsorship opportunities the Conference provides, and also a program and recap of the 2006-2007 Conference. We know of your interest to deepen the PFIZER local, regional and global connections, and I know the Conference can achieve that. It is our sincere wish that this presentation motivates your sponsorship.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

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We would be happy to answer any questions you might have or to fill in any detail. I can be reached by e-mail: [REDACTED] or [REDACTED].

Because we know of your busy schedule, I will also follow up with your office to ascertain interest level.

Thanks for your kind consideration.

Best regards,

[REDACTED]

Faye A. Rodney
President

[REDACTED]

Karl B. Rodney
Publisher/CEO

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111th CONGRESS, 1st SESSION
U.S. HOUSE OF REPRESENTATIVES
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 83

2258

CRB-000400

CARIB NEWS INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, 10018
212-944-1991

Invoice

Date	Invoice #
11/06/2008	██████████

Bill To
ERIC EVE DIRECTOR, GLOBAL CONSUMER GROUP COMMUNITY RELATIONS, CITI 850 THIRD AVENUE, 12 FLOOR NEW YORK, NY 10022

Description	Amount
SPONSOR FOR THE THIRTEENTH ANNUAL CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE ST. MARTEN ANTIGUA & BARBUDA NOVEMBER 6 - 9, 2008 THE PLATINUM LEVEL TAX ID NO. ██████████	75,000.00
Total	\$75,000.00

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U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 84

MEMORANDUM

To: Hon. Charles B. Rangel
From: Michelle Sherwood
Date: September 25, 2008
Re: **HSBC Bank Pulls Support from November 2008 Carib
News Foundation Conference in St. Marteen**
cc: George, Emile, Jim

This afternoon Faye Rodney called to express her distress, displeasure and great concern that executives from HSBC bank informed her that they intend to pull their financial support from the 2008 Carib News Foundation Conference. When asked why--the bankers responded-- because of the front page New York Post Story. The Rodney's have been advised that although the bank has made a decision on sponsorship the President of HSBC bank is willing to meet with them at 1 pm on Monday.

Mrs. Rodney explained to Jim, Elbert and me that as of today the Foundation's other major sponsor ATT is holding strong, but the revelation that HSBC is pulling out might well rattle other sponsors and put the future of the Conference in jeopardy.

Mrs. Rodney has spoken to Lanny Davis who advised her to speak directly to the New York Post. Lanny assured her he could put her in contact with a Post reporter who would be fair and correct the inaccuracies of the initial story. Mrs. Rodney declined this offer because she is very skeptical about the intentions and sincerity of the Post reporters. Rodney implored Lanny to defend her newspaper and the Congressman.

Carib News has retained George Artz, a public relations expert, to assist in drafting a letter to the editor of the Post; however the Rodney's are

reluctant to send the letter because they are afraid of retribution. The Rodney's expressed to us several times their displeasure because they have always followed the rules, have done nothing wrong, and have lost support in the business community because of the Post's hatchet job.

POSSIBLE SOLUTIONS

After conferring with George and Emile, we determined that there are several options we might explore to preserve HSBC's commitment to the Conference.

1. Bill Thompson was honored at the luncheon sponsored by Carib News for the CARICOM Conference, and was praised by HSBC bank executives as being instrumental in bringing opportunity to the Caribbean in the form of the NYC pensions fund investments. Moreover, Thompson has consistently indicated that he would do whatever is necessary to support you at this time. It might be helpful if Thompson reach out directly to HSBC to see if reconsideration is an option.

2. Mayor Bloomberg is very fond of Mrs. Rodney and has expressed support for her over the years. Perhaps Thompson might go through back channels with the Mayor or the Council Speaker to see if HSBC bank will reconsider (or) to determine if another bank might intercede on the Rodney's behalf.

3. Congressmen Meeks and Towns have participated in the trip in the past, and might offer letters of support for Carib News and the Conference. This might enable the Rodney's to reclaim support in the community without your direct involvement-- which could appear hollow or self serving.

NOTE:

Rupert Murdoch owns the "Caribbean Life" newspaper which is the chief competition of Carib News. Perhaps this attach at the Rodney's was an effort to boost his own newspaper.

Please advise how you wish to proceed.

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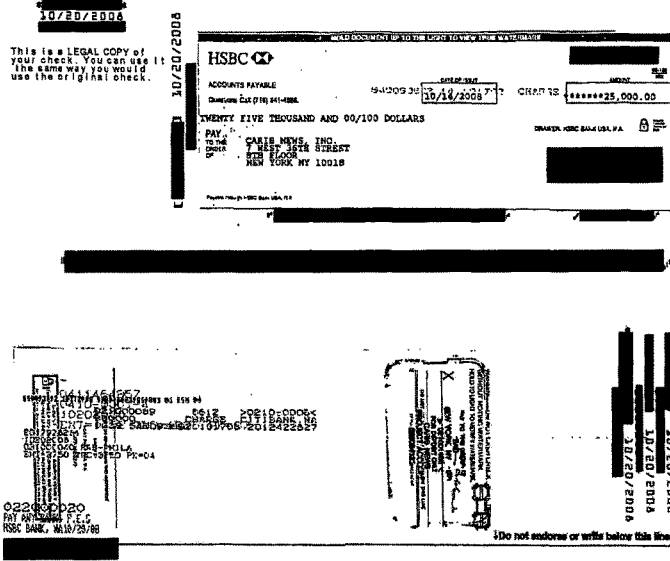
U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 85

HSBC Bank USA, N.A. - Image Archive



Account Number	Date Posted	Trd Ind	Check Number	Amount	Sequence Number	Tran Code
[REDACTED]	10/20/2008	4	[REDACTED]	25000.00	[REDACTED]	[REDACTED]

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U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 86



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991 Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

To : Commissioner Sarah Wescot- Williams
 Commissioner Roy Marlin

From : Karl B. Rodney
 CARIB NEWS

Date : October 14, 2008

SUBJECT : VIP's for Conference

Please find following, the VIP's currently confirmed for the Conference, and their room requirements. We have a strong list of real powerful participants and should make for a very dynamic conference.

As per the Basic Requirements to host the Conference, you are required to pay for twenty-five of these rooms. We have allotted the rooms as follows:

5 Suites @ \$310 per night x 3 = \$930	= \$4,650
10 Double Occupancy - \$810 x 10	= \$8,100
10 Single Occupancy - \$588 x 10	= <u>\$5,880</u>
	<u>\$18,630</u>

This amount can be paid directly to the Sonesta Maho as we have provided Mr. Hunt with this breakdown. There is an additional charge per person for the All Inclusive meal served for private dining. The hotel will determine that and allocate the cost accordingly

Thanks for your kind cooperation.



Karl B. Rodney

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 87



**BASIC REQUIREMENTS
TO HOST
CARIBBEAN MULTINATIONAL BUSINESS CONFERENCE**

- * Invitation to Host conference to be issued by Prime Minister (HEAD of Government). This is to facilitate the attendance of several elected officials from the United States, United Kingdom and other countries.
- * Prime Minister to be keynote speaker at one of the major events of the conference and participate in the closed door session with attending elected officials.
- * Local host committee to be organized with all interested ministers, agencies and associations. The chairman of this committee would be someone designated by the Prime Minister to coordinate all the activities.
- * To provide the care and comfort for the VIP's attending i.e.
 - o To provide 25 all inclusive rooms for the VIP's and members of Congress attending;
 - o To provide appropriate mode of transportation for VIP's and members of Congress - cars or specialized vans - not buses;
- * To be a designated sponsor in one of the categories: *Title, Main, or Regular Sponsor* (See Attachment).
- * To organize a "Host Country Evening" - The Friday evening, the host country will host at an appropriate location an evening of food, refreshment, cultural presentation and entertainment.
- * Provide for local entertainment for the various functions -
 - o The Opening Ceremony
 - o The Reception
 - o The Gala Dinner
- * To assist in Promotion and Publicity of the conference both locally and through international offices and official publications.
- * Assist in securing competitive hotel rates.
- * Equip and staff conference secretariat.
- * Prime Minister to issue invitations along with CARIBNEWS to members of the US Congress, other Prime Ministers and Ministers of Government.
- * Participate in the Forum with Members of Congress.
- * Arrange tours and activities of interest for VIP's and/or their spouse.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 88

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OLDAKER, BELAIR & WITTIE, LLP

ATTORNEYS AT LAW
818 CONNECTICUT AVENUE, N.W.
SUITE 1100
WASHINGTON, D.C. 20006

(202) 728-1010
FACSIMILE (202) 464-0669

William J. Farah
Direct Dial: (202) 498-3476
E-mail: [REDACTED]

September 25, 2009

DELIVERED BY HAND

Clifford C. Stoddard, Jr., Counsel
Committee on Standards of Official Conduct
Suite HT-2, The Capitol
U.S. House of Representatives
Washington, D.C. 20515

In re: Carib News

Dear Mr. Stoddard:

Per our conversation last week, I am attaching copies of the electronic tickets issued by American Airlines ("American") to the seven (7) congressional travelers who attended the 2007 Carib News Multinational Business Conference in Antigua. (Tab A) These tickets were issued pursuant to American's donation to the Carib News Foundation ("Carib News"), which consisted of eighteen (18) roundtrip tickets (6 first class and 12 coach).¹

For the 2008 conference held in St. Maarten, American initially agreed to donate ten (10) first class tickets, but later, at Carib News's request, American agreed that a first class ticket could be exchanged for two coach tickets. Carib News ultimately utilized 10 tickets - (7) business class and three (3) economy class² - all of which were issued to non-congressional travelers. Attached is a chart listing the names of the ten (10) individuals who received the tickets, along with the corresponding "eTicket Itinerary and Receipt Confirmation" each was provided. (Tab B) (Please note that a second chart we are providing includes the name of a non-congressional traveler, D. Higgins, who was ticketed but canceled prior to the flight.)

¹ Fifteen (15) of the tickets were issued by American Airlines' government affairs office and three (3) were issued by its New York sales office.

² For travel to the Caribbean, "business class" is equivalent to "first class" and "economy" is equivalent to "coach."

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Per your request, we also are providing other documentation identified in relation to American's donations to Carib News for the 2007 and 2008 conferences, including Carib News' solicitation of American for those donations. (Tabs C & D)

Finally, you have asked American Airlines to provide the tax valuation for the tickets it donated to Carib News in connection with the 2007 and 2008 conferences. The tickets American Airlines donates to outside organizations are referred to as "Travel Authorization Certificates" ("TACs"). Because American Airlines does not seek a business deduction for TACs donated to outside organizations, the TACs donated to Carib News were not assigned a tax value, and, to the best of our knowledge, Carib News never requested a valuation for the tickets donated by American.

I hope the documentation we are providing is satisfactory. If we identify any additional documents that are responsive to your requests, we will provide them to you as soon as possible. Please let me know if you have any questions or require additional information.

Sincerely,

A black rectangular redaction box covering the signature of William J. Farah.

William J. Farah

(Enclosures)

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 89

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CRB-000378

SONESTA Sonesta Maabo Beach Resort & Casino
 St. Maarten
 RHINE ROAD#1
 MAHO BAY
 ST. MAARTEN, N.A.
 TELE: 011 699 545 2116 FAX: 011 699 545 3400

INVOICE [REDACTED]
 REVISED DATE: 11/4/2008

CARIB NEWS FOUNDATION
 November 6 - 9 2008

DESCRIPTION	# ROOMS	# NIGHTS	RATE	AMOUNT
ROH SINGLES	89	3	\$ 198.00	\$ 82,332.00
ROH DOUBLE	33	3	\$ 270.00	\$ 28,730.00
ROH TRIPLE	1	2	\$ 365.00	\$ 730.00
JUNIOR SUITE UPGRADE	8	3	\$ 270.00	\$ 6,480.00
TOTAL ROOM WITH AJ PACKAGE	131			\$ 86,272.00
AUDIO VISUAL				
ESTIMATED AV				\$ 1,600.00
ADDITIONAL F & B				
WELCOME RECEPTION	198	1	\$ 21.00	\$ 4,733.40
PRIVATE EVENT FEE	158	6	\$ 10.00	\$ 9,480.00
COFFEE BREAK	198	4	\$ 10.00	\$ 9,018.00
TOTAL F & B				\$ 23,229.40
GRAND TOTAL				\$ 111,091.40
LESS DEPOSITS				
CK.# 28941				\$ (3,000.00)
CK.# 1008				\$ (20,000.00)
CK.# 1010				\$ (25,000.00)
CK.# 1011				\$ (25,000.00)
Balance				\$ 38,091.40

THIS IS A TENTATIVE INVOICE - AWAITING FINAL CONFIRMATION OF THE GROUP'S REQUIREMENTS.
ACTUAL F & B AND AUDIO VISUAL REQUIREMENTS NEED TO BE DETERMINED SO THAT THESE
CHARGES CAN BE CORRECTLY FACTORED INTO THE INVOICE AND PAID BY 10/31/08.
THE GOVERNMENT OF ST. MAARTEN HAS BEEN INVOICED SEPARATELY FOR THEIR CHARGES.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 90

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM
(provide directly to each House invitee)

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers or employees under House Rule XXV, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer or employee, who will then forward it to the Committee. The trip sponsor should NOT submit the form directly to the Committee.

Private sponsors are urged to submit this form to each House invitee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. Before completing this form, sponsors are urged to carefully review the Committee's private travel guidelines and advisory memoranda detailing the rules and restrictions for private travel, as well as the instructions for completing this form. Sponsors should call the Committee with any questions prior to submitting the form. Please type form.

1. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION
2. I represent that the trip will not be financed (in whole or in part) by a federally-registered lobbyist or a registered foreign agent (signify "yes" by checking box):
3. I represent that the trip sponsor(s) has not accepted from any other source funds earmarked directly or indirectly to finance any aspect of the trip (signify "yes" by checking box):
4. Is travel being offered to an accompanying family member of the House invitee(s)? Yes No
5. Provide names and titles of ALL House invitees; for each invitee, provide explanation of why the individual was invited (include additional pages if necessary): BASED ON COMMITTEE OR SUB-COMMITTEE WHICH IMPACTS US/CARIBBEAN RELATIONS ON WHICH THE MEMBER SERVES,
6. Dates of travel: NOVEMBER 8-9, 2008
7. Cities of departure - destination - return: VARIES - DEPENDING ON MEMBER
8. Attached is a detailed agenda of the activities taking place during the travel (i.e., an hourly description of planned activities) (signify "yes" by checking box):
9. I represent that (check one of the following):
- The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: or
 - The sponsor of the trip does not retain or employ a federally registered lobbyist or registered foreign agent: or
 - The trip is for attendance at a one-day event and lobbyist involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations.
10. If travel is for participation in a one-day event (i.e., if you checked Question 9(c)), check one of the following:
- One-night's lodging and meals are being offered: or
 - Two-nights' lodging and meals are being offered:
- If "b" is checked, explain why the second night is warranted: _____

11. If the trip is not sponsored by an institution of higher education, I represent that a federally-registered lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify "yes" by checking box):
12. Private sponsors must have a direct and immediate relationship to the purpose of the trip or location being visited. Describe the role of each sponsor in organizing and conducting the trip: _____
 CARIB NEWS FOUNDATION (* SEE ATTACHED)
13. Describe each sponsor's organizational interest in the purpose of the trip: _____
 THE INTEREST OF CARIB NEWS FOUNDATION IS TO PROMOTE, INFORM, TRADE AND
 ESTABLISHMENT OF MEANINGFUL CONNECTIONS BETWEEN THE U.S AND THE CARIBBEAN
14. Describe the type and class of the transportation being provided. Indicate whether coach, business-class or first-class transportation will be provided. In addition, for travel via aircraft, please indicate if travel is being offered on a commercial flight, chartered flight or on an aircraft operated or paid for by a carrier not licensed by the Federal Aviation Administration to operate for compensation or hire (i.e., a private aircraft). If first-class fare is being provided, or if travel is via chartered or private aircraft, please provide an explanation describing why such travel is warranted: _____
 REGULAR SCHEDULED AIR SERVICE, ECONOMY CLASS
15. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify "yes" by checking box):
16. I represent that either (check one of the following):
 a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: *or*
 b. The trip involves events that are arranged or organized *specifically with regard* to congressional participation:
 If "b" is checked, detail the cost per day of meals (approximate cost may be provided): _____
17. Reason for selecting the location of the event or trip: SEE ATTACHED
18. Name of hotel or other lodging facility: SONESTA MAHO BEACH RESORT
19. Cost per night of hotel or other lodging facility (approximate cost may be provided): \$110.00
20. Reason(s) for selecting hotel or other lodging facility: HAS APPROPRIATE MEETING ROOMS

21. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	410	260	145
For each accompanying family member	410	0	145

	Other Expenses (dollar amount)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$150 \$ 25	Conference Registration Local transportation
For each accompanying family member		

22. I represent that reimbursement for miscellaneous travel expenses for the trip, such as travel to and from airports, security costs, interpreter fees, visa application fees, and similar expenses, will be for actual costs incurred and are necessary for the purpose of the trip (signify "yes" by checking box):
23. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____
 Name and title: KARL B. RODNEY, CEO
 Organization: CARIB NEWS FOUNDATION
 Address: 7 WEST 36th STREET, 8th FLOOR, NEW YORK, NY 10018
 Telephone number: (212) 944-1991
 Fax number: (212) 944-2089
 Email Address: CARIB-DESK@ATT.NET

The Committee staff may contact the above individual above if additional information is required.

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Standards of Official Conduct
 U.S. House of Representatives
 HT-2, The Capitol
 Washington, DC 20515
 (202) 225-7103 (phone)
 (202) 225-7392 (general fax)
 (202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 91

SONESTA MAHO BEACH RESORT & CASINO
ST. MAARTEN

Group Contract

Company Name: Carib News Foundation
Contact Name: Karl and Faye Rodney
Address: 7 West 36th Street, 8th floor
New York, NY 10017

Telephone Number: 212-944-1991
Fax Number: 212-944-2089
Email: carib-desk@att.net

Hotel Contact: Bernard Hunt
Telephone Number: 599-545-2115
Fax Number: 599-545-3604
Email: [REDACTED]

**EVENT NAME: CARIB NEWS CONFERENCE 2008
DATES OF MEETING:**

In Accordance with your request, the Sonesta Maho Beach Resort & Casino is pleased to reserve the following tentative room block for CARIB NEWS CONFERENCE. Once this contract is accepted, we will remove from our inventory and consider sold for your use room nights pursuant to the following arrival and departure pattern:

Day	Thu	Fri	Sat	Sun
November	6 th	7 th	8 th	8 th
Standard	125	125	125	c/o
JR Suite	5	5	5	c/o
Total	130	130	130	c/o

GUEST ROOM RATES: (ALL INCLUSIVE)

The resort is pleased to confirm the following special all inclusive rates and categories based upon the entire program as outlined within this agreement:

\$196.00 per room per night based on Single occupancy
\$135.00 per person per night based on Double occupancy (As per Sales, 5 Junior Suites can be obtained at this group rate)

Junior Suites:

\$145.00 per person per night based on Double occupancy

Suite:

\$155.00 per person per night based on Double occupancy

Staff & International Media rates:

\$166 per room per night based on Single occupancy

\$106 per person per night based on Double occupancy

- Rates are person, per night
- Rates are net, non-commissionable
- Rates are valid 4 days before and after Group Contract dates
- Bellman charge of \$8.00 per person in and out – At individual's discretion

Children and Extra Persons All Inclusive conditions:

Children 2 – 6: Free of Charge when accompanied by two paying adults

Children 6 – 10: \$40.00 per child per day

Extra adult and Children 11 years and over: \$95.00 per person, per day

Extra person charges are based on usage of existing bedding. The charge for an extra bed in the rooms is \$35.000 per night.

All Inclusive Features:

- Nightly accommodations
- Buffet Breakfast, lunch and dinner daily
- Unlimited alcoholic and non-alcoholic drinks from 11:00am to bar closing
- Complimentary entrance to Casino Royal Theater once per week (drinks additional)
- Complimentary round trip transfers at specified times to Orient Beach
- Complimentary use of towels and chaise lounges at pools or beach
- Complimentary \$20.00 match play coupon daily per room
- Complimentary use of tennis courts
- Complimentary use of kids club
- All taxes and gratuities included.

Important notes:

All rates quoted in US Dollars. Maximum occupancy is 3 adults or 2 adults, 2 children. No refunds on unused features in any plan. All rates are quoted person, per night.

SPECIAL CONSIDERATIONS/COMPLIMENTARY FEATURES:

- 1 complimentary room per 25 paying rooms (maximum of 4)
- 3 upgrades at group rate

CHECK IN/OUT TIME:

Check In time is 3:00pm. Check out time is 11:00am. Arrivals prior to standard check in time can be guaranteed immediate room availability. However, for early arrivals, we will do our best to accommodate as soon as possible.

RESERVATIONS PROCEDURE: (Rooming List)

It is our procedure that CARIB NEWS CONFERENCE will provide the room reservations directly to our Reservations Office for the attention to Ms. Lisa Sue - email address: lsue@mahobeach.com or resv@mahobeach.com. All reservations must be received by the cut-off date, stipulated below, after which the Best Available Rate will apply. Cut-off date October 24, 2008

AIRPORT TRANSFERS:

Scheduled round trip airport transfers with meet and greet service are available at the current rate of \$10.00 per person. Rate is subject to change and at the discretion of the ground company. Taxi and Van service is also available.

DEPOSIT/PAYMENT SCHEDULE:

Advance payment for your event by hotel approved method including major credit cards or company check will be required with the following deposit schedule:

Initial Deposit:

Our policy requires a **non refundable** deposit in the amount of: \$3,000.00 to be received with this signed agreement. The deposit will be applied to your master account.

Ninety Days (September 5, 2008)

At 60 days prior to group arrival, 50% of estimated F&B balance will be due

Sixty Days (October 6, 2008)

At 30 days prior to group arrival 75% of estimated F&B balance will be due.

Thirty Days (October 24, 2008)

At 15 days prior to group arrival the balance of master account (100%) will be due. On or before this date the resort will send an invoice for the total estimated amount of additional services order for your event. These additional services will include but not be limited to: Banquet Event Orders (BEO's), Audio Visual, Meeting Room rental/set up fees, Room Amenities, Delivery Charges and any/all miscellaneous expenses.

Bank Wire Transfer Information

Bank of Nova Scotia - St. Maarten

Account: [REDACTED]

Swift #: [REDACTED]

ATTRITION POLICY:

Contract Signature to 60 days prior to arrival (September 5, 2008):

50% attrition allowed (50). Any rooms in excess of this allowance will result in liquidated damages totaling two nights' revenue.

89 days to 30 days prior to arrival (October 6, 2008):
 30% attrition allowed (30). Any rooms in excess of this allowance will result in liquidated damages totaling two nights room revenue.

59 days to 15 days prior to arrival (October 24, 2008):
 10% attrition allowed (10). Any rooms in excess of this allowance will result in liquidated damages totaling two nights room revenue.

GROUP CANCELLATION:

Upon entering this agreement, the hotel is removing the room block and meeting space from its inventory for your use. In the event that these reserved facilities and related services are not used, the hotel will experience significant financial loss. For example, having turned away other groups or guest room reservations, the ancillary revenue associated with each guestroom, meetings or functions, including the additional food & beverage generated for the hotel.

Therefore CARIB NEWS CONFERENCE agrees to pay the resort liquidated damages based upon the following schedule:

Contract signature to 180 days prior to arrival: 25% of F&B revenue

89 to 45 days prior (August 7 – September 22, 2008) to arrival: 50% of total estimated F&B revenue

44 days to program commencement (September 23 – November 6, 2008): 100% of total estimated F&B revenue

MEETING AND BANQUET ARRANGEMENTS:

Currently, we are holding the meeting space as described noted below. Function rooms are assigned according to the number of persons expected to attend and may be changed by the hotel if attendance or other circumstances change. In such an event the hotel will provide alternative suitable arrangements to meet the requirements of your group. **Meeting space: complimentary.**

Friday	7 th	8:00 AM – 5:00 PM	Meeting	150	RI A	
Friday	7 th	8:00 AM – 5:00 PM	Meeting	150	RI B	
Saturday	8 th	8 - 11 PM	Dinner	300	Maho	
Thursday	6 th	7:00 PM	Reception	200	Upper Pool	

ACTS OF GOD:

Neither party shall be responsible for failure to perform this contract if circumstances beyond their control, including but not limited to: acts of God, shortage of communities or supplies to be furnished by the hotel, government authority, or war that makes it impossible or illegal for the hotel to hold the event.

PAYMENT RESPONSIBILITIES: (Please mark where applicable)

communities or supplies to be furnished by the hotel, government authority, or war that makes it impossible or illegal for the hotel to hold the event.

PAYMENT RESPONSIBILITIES: (Please mark where applicable)

Item	Individual	Master Account	Other
Room Rate (ATP)	X		
Organized events		X	
Incidentals	X		
Other			

GENERAL TERMS:

This agreement constitutes the entire understanding between the parties and supersedes any previous communications, representations, or agreements whether written or oral.

This agreement shall be binding upon the executors, administrators, assigns and successors of each party hereto; however, this agreement may not be assigned or transferred by group without the hotels express written consent.

The persons executing this agreement set forth below represent and agree that he/she has the requisite legal power and capacity to execute this agreement and bind the parties hereto, and this agreement constitutes a valid and binding obligation on CARIB NEWS CONFERENCE.

GROUP NAME:
CARIB NEWS CONFERENCE

Resort of the World N.V d/b/a
Sonesta Maho Beach Resort & Casino

[Redacted Name]

[Redacted Name]

Karl Rodney

Bernard Hunt/Director of Sales

[Redacted Signature]

[Redacted Signature]

Signature

Signature

6/12/08
Date

6/12/08
Date

Resort of the World N.V d/b/a
Sonesta Maho Beach Resort & Casino

[Redacted Name]

Helen Bayne/Gensabi Manager

3/01/08
Date

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 92

Scott, Roxanne

From: carib-desk@att.net
Sent: Wednesday, November 19, 2008 3:37 PM
To: carib-desk@att.net
Subject: Post-Travel information
Attachments: Disclosure information for Business Conference 2008.doc

Please disregard previous e-mail.

Attached is information for the post-travel disclosure form, with regard to the 2008 Business Conference held in St. Maarten, November 6-9, 2008.

Regards

Patricia Louis
Executive Assistant
NY Carib News
Ph: (212) 944-1991
Fax: (212) 944-2089

11/19/2008

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Carib News Foundation

7 West 36th Street, 8th
New York, NY 10018

14-2089

Tel: (212) 944-1991: Fax:

E-mail:

info@caribnewsconference.com

TO : Congressman Charles Rangel
Congresswoman Donna Christensen
Congressman Bennie Thompson
Congressman Donald Payne
Congresswoman Carolyn Cheeks Kilpatrick

FROM : Karl B. Rodney


DATE : November 18, 2008

SUBJECT : Business Conference Travel Information

The following is the breakdown of the value for the 13th Annual Caribbean Multi-National Business Conference held in St. Maarten, N.A. from November 6-9, 2008.

Airfare	-	\$410.00
Hotel	-	\$405.00
Registration/	-	\$175.00
Transportation		

Total amount of package = \$990.00



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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 93

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY-SPONSORED TRAVEL APPROVAL FORM

For Members, Officers and Employees
(submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel for reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 60 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. The submission of an incomplete form will delay the review process. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 226-7172.

1. Name of Member, officer or employee (traveler): Bennie G. Thompson

2. Sponsor(s) (who will be paying for the trip): Carb News Foundation

3. Dates of travel: November 8-11, 2007

b. Will you be extending the trip at your personal expense? Yes No

If yes, dates at personal expense:

4. If travel is for participation a one-day event (per trip sponsor question 10), check one of the following:

a. Approval for one-night's lodging and meals is being requested: or

b. Approval for two-nights' lodging and meals is being requested:

If "b" is checked, explain why the second night is warranted: Request up to 3 nights lodging due to length of conference, travel flights available to location and wish to fully participate in all seminars

5. Travel destination(s): Washington, DC or home district to Antigua back to Washington, DC or home district

6. Explain why participation in the trip is connected to your official or representational duties:

As Chairman of Homeland Security Committee I will be able to provide my views and experiences to those attending the Multinational Business Conference.

7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (signify "yes" by checking box):



I hereby certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: *[Handwritten Signature]*

Name of Signatory (if other than traveler): *[Handwritten Name]*

For staff, name of employing Member/Committee:

Office address: 2432 Rayburn House Office Building

Phone number: 202 225 5876

Email address:

Committee staff may contact you if additional information is required.

**FOR STAFF:
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member _____

Date: _____

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (general fax)
(202) 226-7172 (fax for travel approvals)

Version date 4/2007 by Committee on Standards of Official Conduct

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 94

U.S. House of Representatives
Committee on Standards of Official Conduct

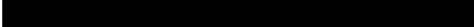
PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification Form and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. *Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.*

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Congressman Bennie G. Thompson

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of Signatory (if other than traveler): Jennifer Jimerson

For staff, name of employing Member/Committee: _____

Office address: _____

Phone number: _____

Email address of contact person: _____

- Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 95

STEPHANIE TUBBS JONES, OHIO
CHAIRWOMAN

GENE GREEN, TEXAS
LUCILLE ROYAL-ALLARD, CALIFORNIA
MICHAEL F. DOYLE, PENNSYLVANIA
WILLIAM D. DELAHANT, MASSACHUSETTS
WILLIAM V. O'REILLY,
CHIEF COUNSEL/STAFF DIRECTOR
DAWN KELLY MOBLEY,
COUNSEL TO THE CHAIRWOMAN

DOC HASTINGS, WASHINGTON
RANKING REPUBLICAN MEMBER

JO BONNER, ALABAMA
J. GRESHAM BARNETT, SOUTH CAROLINA
JOHN KLUNE, MINNESOTA
MICHAEL LITTON, TEXAS

ONE HUNDRED TENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

WASHINGTON, DC 20515-6328

TODD LINGRECHT, MINNESOTA
COUNSEL TO THE RANKING
MEMBER
REPUBLICAN MEMBER

QUITE HIT-CRIME CAPTION
2007-10-16 11:54

October 16, 2007

The Honorable Bennie G. Thompson
U.S. House of Representatives
2432 Rayburn House Office Building
Washington, D.C. 20515

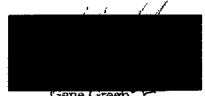
Dear Colleague:

Pursuant to House Rule XXV, clause 3(d)(2), the Committee on Standards of Official Conduct hereby approves the proposed trip for you and your spouse to Antigua, West Indies scheduled for November 8 to 12, 2007 sponsored by the Carib News Foundation, of which one day will be spent at your own personal expense.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form including attachments. You must also report all travel expenses totaling more than \$305 from a single source on Schedule VII of your annual Financial Disclosure Statement.

In addition, Members and staff who receive from a foreign government any tangible gifts in excess of \$305, or gifts of travel or travel expenses related to official duties in any amount (i.e., for food, lodging, transportation, or entertainment), must disclose such items on the Form for Disclosing Gifts from a Foreign Government. Those forms should be filed with the Standards Committee (not the Clerk) within 30 days. Any tangible gifts valued in excess of \$305 received from a foreign government must, within 60 days of acceptance, be turned over to the Clerk of the House.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.



Gene Green
Acting Chairman

Sincerely,



Doc Hastings
Ranking Republican Member

GG/DH:slo

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 96

1.032-106-REBQRCE-Attachment

U.S. House of Representatives 2007 NOV 29 PM 3: 08

110th Congress

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MEMBER / OFFICER

POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with Clause 3 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Member or Officer (print or type): Bennie G. Thompson

Name of Accompanying Family Member (if any): London Thompson

Relationship to Member/Officer: Spouse Child Other (specify):

Date of Departure and Date of Return: November 10-12, 2007

Dates at personal expense: N/A

Itinerary (cities of departure - destination - return): Jackson, MS to Antigua to Jackson, MS

Sponsor(s) (who paid for the trip): Carib News

Describe meetings and events attended (attach additional pages if necessary): Attended meetings and events that involved the promotion, trade and meaningful connection between the United States and the Caribbean.

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

- 1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
- 2. the Privately-Sponsored Travel Approval Form completed by the Member or officer; and
- 3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: I was unable to attend all events due to the House being in session for legislative business on

Thursday, November 8th and Friday November 9th.

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	364.30	410.00	195.00
For accompanying family member:	364.30	0	195.00

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration, etc.)
For Member or Officer:	N/A	
For accompanying family member:	N/A	

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: [REDACTED]

DATE: 1/29/07

2296

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 97

Original Amendment

1
 THE RESOURCE CENTER
 2001 DEC -5 PM 4: 02
 CLERK OF THE HOUSE OF REPRESENTATIVES

U.S. House of Representatives
 110th Congress

MEMBER / OFFICER
 POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

1. Name of Traveler: Congressman Bennie G. Thompson
2. a. Name of Accompanying Family Member (if any): Dr. London Thompson
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
3. a. Date of Departure and Date of Return: November 6, 2008 to November 9, 2008
 b. Dates at personal expense (if any): NONE
4. Itinerary (cities of departure - destination - return): Jackson, MS to St. Maarten, N.A. to
 Jackson, MS
5. Sponsor(s) (who paid for the trip): Carib News Foundation
6. Describe meetings and events attended (attach additional pages if necessary): Attended Meetins
 to give a perspective on Committee Chairmanship and Legislation
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; *and*
 - c. the Committee on Standards' letter approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

9. **TRIP EXPENSES:** Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	410.00	405.00	part of general program
For accompanying family member:	410.00	n/a	part of general program

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	175.00	Registration Fees and Ground Transportation
For accompanying family member:	n/a	n/a

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: _____

DATE: November 26, 2008

2299

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 98

HAND DELIVERED

Original Amendment

U.S. House of Representatives
110th Congress

LEGISLATIVE RESOURCE CENTER

2008 NOV 20 PM 1:56

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.


1. Name of Traveler: Carolyn Cheeks Kilpatrick
2. a. Name of Accompanying Family Member (if any): Marsha Cheeks
 b. Relationship to Member/Officer: Spouse- Child Other (specify): Sister
3. a. Date of Departure and Date of Return: Depart- Nov 6, 2008, Return- Nov 9, 2008
 b. Dates at personal expense (if any): NONE
4. Itinerary (cities of departure - destination - return): - Attached -
5. Sponsor(s) (who paid for the trip): Carib News Foundation
6. Describe meetings and events attended (attach additional pages if necessary): I participated in a Business Conference to give appropriate Congressional and current legislative perspective on the topic of discussion "U.S. - Caribbean relations."
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; and
 - c. the Committee on Standards' letter approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

9. **TRIP EXPENSES:** Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$410.00	\$405.00	
For accompanying family member:	\$410.00	\$405.00	

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	\$175.00	Registration Fee
For accompanying family member:	\$175.00	Registration Fee

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: 

DATE: Nov. 19, 2008

2302

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 99

RECEIVED

U.S. House of Representatives
Committee on Standards of Official Conduct

2007 OCT -4 PM 3:53
COMMITTEE ON STANDARDS

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers and Employees
(submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.


Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

1. Name of Member, officer or employee (traveler): Yvette D. Clarke
2. Sponsor(s) (who will be paying for the trip): CARIB NEWS Foundation
3. Travel destination(s): ANTIGUA + BARBUDA
4. a. Dates of travel: Nov 8-11-2007
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Name of accompanying family member (if any): _____
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following:
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your official or representational duties:

To meet on issues directly relating to my
constituency and affecting my community.

9. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of Signatory (if other than traveler): Ian Campbell

For staff, name of employing Member/Committee: _____

Office address: 1029 24013

Phone number: (202) 225-6231

Email address: 

NOTE: You must complete the contact information fields above, as Committee staff may need contact you if additional information is required.

**FOR STAFF:
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date: _____

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 9/2007 by Committee on Standards of Official Conduct

2305

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 100

LEGISLATIVE RESOURCE CENTER
2007 NOV 19 PM 3: 53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
110th Congress

Original ~~Attachment~~ CENTER
2007 NOV 20 PM 2: 03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

**MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM**

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Member or Officer (print or type): Yvette D. Clarke

Name of Accompanying Family Member (if any): N/A

Relationship to Member/Officer: Spouse Child Other (specify): _____

Date of Departure and Date of Return: 11-10-07 — 11-12-07

Dates at personal expense: _____

Itinerary (cities of departure - destination - return): New York JFK - Antigua - New York JFK

Sponsor(s) (who paid for the trip): CARIB NEWS

Describe meetings and events attended (attach additional pages if necessary): Carib Dinner + Award

Round table - Topic - HEMISPHERIC DISASTER FUND

Round table - Topic - Community outreach + EDU + Youth Director

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
2. the Privately-Sponsored Travel Approval Form completed by the Member or officer; and
3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: _____

2307

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	320	320	125
For accompanying family member:	N/A	N/A	N/A

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: _____

DATE: 11/20/07

Version date 4/2007 by Committee on Standards of Official Conduct

2308

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 101

2309

2611 JEFF DAVIS 204 ARLINGTON, VA 22202 800-433-7300
SALES PERSON: GC ITINERARY DATE: 08 NOV 07
HQRKFW PAGE: 01
TO: CONGRESSWOMAN CLARKE
ATTN MARY BISHOP 202-226-0112-FAX

FOR: CLARKE/YVETTE CONGRESSWOMAN

10 NOV 07 - SATURDAY
AIR AMERICAN AIRLINES FLT:1635 ECONOMY FOOD FOR PURCHASE
LV NEW YORK JFK 800A EQP: AIRBUS A300-600
DEPART: TERMINAL B 03HR 50MIN
AR SAN JUAN PR 1250P NON-STOP
ARRIVE: TERMINAL D
CLARKE/YVETTE C SEAT-7G
AIR AMERICAN AIRLINES FLT:891 ECONOMY
LV SAN JUAN PR 200P EQP: BOEING 757
DEPART: TERMINAL D 01HR 10MIN
AR ANTIGUA 310P NON-STOP
CLARKE/YVETTE C SEAT-9D
12 NOV 07 - MONDAY
AIR AMERICAN AIRLINES FLT:1270 ECONOMY
LV ANTIGUA 420P EQP: BOEING 757
AR SAN JUAN PR 530P 01HR 10MIN
ARRIVE: TERMINAL D NON-STOP
CLARKE/YVETTE C SEAT-10F
AIR AMERICAN AIRLINES FLT:1742 ECONOMY
LV SAN JUAN PR 745P FOOD FOR PURCHASE
DEPART: TERMINAL D EQP: AIRBUS A300-600
AR NEW YORK JFK 1040P 03HR 55MIN
ARRIVE: TERMINAL B NON-STOP
CLARKE/YVETTE C SEAT-8C

PASSENGER TICKET AND BAGGAGE CHECK
ELECTRONIC TICKET
PASSENGER RECEIPT 1 OF 1
American Airlines
CLARKE/YVETTE CONGRESSWOMAN
NEW YORK JFK
SAN JUAN
ANTIGUA
SAN JUAN
NEW YORK JFK
NOT VALID FOR TRAVEL
DUPLICATE

2310

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 102

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers and Employees
(submit directly to the Committee)

RECEIVED
2007 OCT -5 AM 11: 21
COMMITTEE ON STANDARDS

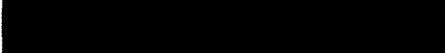
This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

1. Name of Member, officer or employee (traveler): Donna M. Christensen
2. Sponsor(s) (who will be paying for the trip): Carib News Foundation
3. Travel destination(s): Antigua, West Indies
4. a. Dates of travel: November 8 - 9, 2007
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Name of accompanying family member (if any): Christians
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following:
 (1) Approval for one-night's lodging and meals is being requested:
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your official or representational duties:
To explore business and trade opportunities and strengthen the bond
between the Caribbean countries and the United States, the US Virgin Islands
in particular

9. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 
Name of Signatory (if other than traveler): Shelley M. Thomas

For staff, name of employing Member/Committee: _____

Office address: 1510 Longworth HOB

Phone number: 202-225-1790

Email address: 

NOTE: You must complete the contact information fields above, as Committee staff may need contact you if additional information is required.

**FOR STAFF:
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date: _____

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 9/2007 by Committee on Standards of Official Conduct

2313

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 103

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers and Employees
(submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

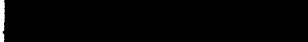
1. Name of Member, officer or employee (traveler): DONNA M. CHRISTENSEN
2. Sponsor(s) (who will be paying for the trip): CARIB NEWS FOUNDATION

3. Travel destination(s): SAINT MAARTEN, NETHERLAND ANTILLES
4. a. Dates of travel: NOV 6, 2008 to NOVEMBER 8, 2008 *allowing 1 day setup*
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Name of accompanying family member (if any): CHRISTIAN CHRISTENSEN
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following:
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____

7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your official or representational duties: *THE MEMBER'S CONSTITUENCY CONSISTS OF PERSONS FROM THE GREATER CARIBBEAN WHO NOW RESIDES IN THE VIRGIN ISLANDS, AND HAVE AN INTEREST IN KEEPING CONNECTED THROUGH BUSINESS + EDUCATIONAL OPPORTUNITIES*

9. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of Signatory (if other than traveler): *Shelley M. Thomas*

For staff, name of employing Member/Committee: _____

Office address: *1510 LHOB*

Phone number: *202-225-1790*

Email address: 

NOTE: You must complete the contact information fields above, as Committee staff may need contact you if additional information is required.

**FOR STAFF:
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date: _____

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 9/2007 by Committee on Standards of Official Conduct

2316

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 104

Original Amendment

LEGISLATIVE RESOURCE CENTER

2007 DEC -6 AM 11:25

U.S. House of Representatives
110th Congress

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Member or Officer (print or type): DONNA M. CHRISTENSEN

Name of Accompanying Family Member (if any): NONE

Relationship to Member/Officer: Spouse Child Other (specify): _____

Date of Departure and Date of Return: NOVEMBER 8-9, 2007

Dates at personal expense: NONE

Itinerary (cities of departure - destination - return): SEE ATTACHED

Sponsor(s) (who paid for the trip): CARIB NEWS FOUNDATION

Describe meetings and events attended (attach additional pages if necessary): OPENING RECEPTION ON 11/8 6:30 PM, 11/9 9:00 AM - OPENING CEREMONY, 1:30 PM - LUNCH

Attached to this form are EACH of the following (signify "yes" for each item by checking the corresponding box):

- 1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
- 2. the Privately-Sponsored Travel Approval Form completed by the Member or officer; and
- 3. the Committee on Standards' letter approving my participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: _____

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$ 490.00	\$ 410.00	INCLUSIVE WITH HOTEL EXPENSES
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: _____

DATE: 12/3/07

Version date 4/2007 by Committee on Standards of Official Conduct

2319

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 105

12/16/08 11:22 AM
200812161122

Original Amendment

U.S. House of Representatives
110th Congress

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 3 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

1. Name of Traveler: Donna M. Christensen
2. a. Name of Accompanying Family Member (if any): None
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
3. a. Date of Departure and Date of Return: 11/6 to 11/8
 b. Dates at personal expense (if any): None
4. Itinerary (cities of departure -- destination -- return): St. Croix - St. Maarten - St. Thomas
5. Sponsor(s) (who paid for the trip): Carib News Foundation
6. Describe meetings and events attended (attach additional pages if necessary): See attached
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments; --
 - b. the Traveler Form completed by the Member or officer; and
 - c. the Committee on Standards' letter approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: _____

CHRISTENSEN

9. TRIP EXPENSES: Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$410.00	\$270.00	Included in lodging
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	\$175.00	Ground transportation & registration fee
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: _____

DATE: 12/18/08

Version date 9/2008 by Committee on Standards of Official Conduct

2322

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 106

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers and Employees
(submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

1. Name of Member, officer or employee (traveler): Donald M Payne
2. Sponsor(s) (who will be paying for the trip): Carib News Foundation

3. Travel destination(s): Newark, NJ - St. Maarten, Newark, NJ
4. a. Dates of travel: Nov. 6-11, 2008
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: Nov. 10-11, 2008
5. a. Name of accompanying family member (if any): -
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following:
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____

7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your official or representational duties:
Based on Committee/ Subcommittee which impacts US/Caribbean relations on which the Member serves

9. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: _____

Office address: 2209 RHOB

Phone number: 5-3436

Email address: _____

NOTE: You must complete the contact information fields above, as Committee staff may need contact you if additional information is required.

**FOR STAFF:
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member

Date: _____

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 9/2007 by Committee on Standards of Official Conduct

2325

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 107

2326

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 16, 2008

The Honorable Donald M. Payne
U.S. House of Representatives
2209 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to St. Maarten, N.A. scheduled for November 6 to 11, 2008 sponsored by the Carib News Foundation. We note that this trip includes 2 days at your personal expense.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.



2327

The Honorable Donald M. Payne
October 16, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Gene Green
Acting Chairman



Doc Hastings
Ranking Republican Member

GG/DH:pgp

2328

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 108

2009 NOV 13 P.1 3:39
U.S. HOUSE OF REPRESENTATIVES

Original Amendment

U.S. House of Representatives
110th Congress

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received. Obtain the dollar amounts from the sponsor; if exact dollar amounts are unavailable, provide a good faith estimate.

Name of Member or Officer (print or type): Donald Payne

Name of Accompanying Family Member (if any): N/A

Relationship to Member/Officer (check one): Spouse Child Other (specify): _____

Date of Departure and Date of Return: Nov. 6-11, 2008

Dates at personal expense: Nov. 10-11, 2008

Itinerary (Cities of departure - destination - return): Newark, NJ - St. Maarten, Newark, NJ

Sponsor (Who paid for the trip): Carib News foundation

Describe meetings and events attended (attach additional pages if necessary): See attached.

Attached to this form are ALL of the following (signify "yes" for each item by checking the corresponding box):

- 1. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments
- 2. the Privately-Sponsored Travel Approval Form completed by the Member or Officer; and
- 3. the Committee on Standards' letter approving participation on this trip.

I represent that I participated in each of the activities reflected in the sponsor's agenda (signify "yes" by checking box):

If not, explain: _____

PAYNE

TRIP EXPENSES:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$410.00	\$405.00	
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		\$175.00
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER OR OFFICER: _____

DATE: Nov. 19, 2008

Version date 3/2007 by Committee on Standards of Official Conduct

EXECUTIVE RESOURCE CENTER
 2008 NOV 19 PM 3:40
 U.S. HOUSE OF REPRESENTATIVES

2331

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 109

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers and Employees
(submit directly to the Committee)

This form should be completed by House Members, officers or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule XXV, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer or employee, together with the completed and signed Private Sponsor Travel Certification Form.

Members, officers and employees seeking approval for travel are urged to submit all forms to the Committee at least 30 days before travel is scheduled to begin. The failure to provide the Committee with adequate time to review the form and attachments may result in the invitee not receiving approval for the trip. A copy of this form will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

1. Name of Member, officer or employee (traveler): Cong. Charles B. Rangel
2. Sponsor(s) (who will be paying for the trip): New York City's News Foundation
3. Travel destination(s): Antigua
4. a. Dates of travel: 9 November 8-11
- b. Will you be extending the trip at your personal expense? Yes No
If yes, dates at personal expense: _____
5. a. Name of accompanying family member (if any): Steven Rangel
- b. Relationship to Member/Officer: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
- b. If yes, check one of the following:
(1) Approval for one-night's lodging and meals is being requested: or
(2) Approval for two-nights' lodging and meals is being requested:
If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your official or representational duties:
Issues to be discussed with Congressional leaders from the VA Subgroup of the Committee on Ways and Means

9. I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: _____

Name of Signatory (if other than traveler): _____

For staff, name of employing Member Committee:

Office address: *2354 U.S. House of Representatives, NW, DC 20515*

Phone number: *(202) 225-4445*

Email address: _____

NOTE: You must complete the contact information fields above, as Committee staff may need contact you if additional information is required.

**FOR STAFF:
TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Signature of Employing Member _____

Date: _____

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

Version date 9/2007 by Committee on Standards of Official Conduct

2334

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 110

Original Amendment

U.S. HOUSE OF REPRESENTATIVES
2007 SEP 20 11 31 28
U.S. HOUSE OF REPRESENTATIVES

U.S. House of Representatives
110th Congress

MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

- 1. Name of Traveler: Charles B. Rangel
- 2. a. Name of Accompanying Family Member (if any): none
- b. Relationship to Member/Officer: Spouse Child Other (specify): _____
- 3. a. Date of Departure and Date of Return: November 10, 11, 2007
- b. Dates at personal expense (if any): none
- 4. Itinerary (cities of departure - destination - return): New York City - Antigua - New York City
- 5. Sponsor(s) (who paid for the trip): The New York Carib News Foundation

6. Describe meetings and events attended (attach additional pages if necessary): The Conference, schedule attached, was to explore initiative and programs to expand and strengthen economic and cultural relationships between New York and some island nations of the Caribbean.

- 7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; and
 - c. the Committee on Standards' letter approving my participation on this trip.

- 8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
- b. If not, explain: Due to Congressional schedule I was only able to participate on Saturday afternoon and Sunday morning. I participated fully in all of the events in this period of time.

RANGEL.

9. **TRIP EXPENSES:** Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$ 490.00	\$ 410.00 (incl meals)	
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:		
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: _____

DATE: 9/29/08

Version date 9/2008 by Committee on Standards of Official Conduct

2337

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 111

THE NEW YORK
CARIBNEWS
 THE WEEKLY VOICE OF THE CARIBBEAN-AMERICAN COMMUNITY
 7 WEST 36TH STREET, NEW YORK, NY 10018; Ph: (212) 944-1991

TO : Mr. George Dalley
 Chief of Staff
 Congressman Charles Rangel's Office

FROM : Faye & Karl Rodney
CARIB NEWS

DATE : September 11, 2007

SUBJECT : Re-Cap of Discussion with Congressman Charles Rangel

We were very delighted to have had a direct, most informative and very inspirational talk with the Congressman, and he requested that we summarize the talk and send it on to you to start the implementation process. The discussion fell essential in three parts:

1. The 12th Annual Caribbean Multi-National Business Conference
2. The initiative to host the *CARICOM* Prime Ministers in June 2008
3. Arrangements for the Congressman to participate in a CARIB NEWS Editorial Board Meeting with community leaders

The Caribbean Multi-National Business Conference scheduled to be held in Antigua/Barbuda on November 8-11, 2007. As usual, the Congressman offered to be helpful in any situation that is possible, and we advised him that we were already working with you on some specific items and that you will brief him on those. We also told him we are planning a meeting in Washington with you and other key players.

We expressed the idea of building on specific segments of the conference around a presentation by him. He had no problem with that, but he was more concerned about the priority setting of the *CARICOM* Leaders. We might want to find a way prior to the conference to focus on some specific achievable. I will speak with Dame Billie Miller.

He expressed concern that the *CARICOM* leaders were not leveraging their 15+ votes at the U.N. to play a more impactful role in the court of world opinion. He was passionate about the case of Haiti and *CARICOM's* ability to be more effective there.

He expressed a vision of Haiti as being -

- The Mecca of Black consciousness
- The Triumph of Haiti over the French
- The Heroism of Toussaint L'Ouverture

should be a major draw from Blacks around the world.

While we might not be able to develop a specific Haitian plan in November, we might want to highlight Haiti more and the Round Table on Haiti could be used as a planning session for a stronger future initiation.

He expressed the need for CARICOM to move seriously to achieve a Uniform Customs System. He expressed the possibility of inviting representatives down to be more practical around the issue facing the Caribbean.

Understood the issue of the WTO and Antigua, and wanted to be helpful. (Congresswoman Maxine Waters is very hot on this issue).

On the question of Criminal Deportees, he felt the Caribbean emphasis was misplaced on stopping the deportations. More emphasis should be on getting funds to help in the Transition Program.

The Caribbean needs to look more seriously beyond the Sun and Sea as the economic underpinning of the economy and expand the vision.

He was concerned that sometimes the National Pride of the Caribbean countries affect their effectiveness as a region.

He said he was prepared and available to offer whatever leadership he could in the various areas; he suggested we meet to provide the Forum and the Focus.

He expressed the notion that Secretary of State Condoleezza Rice might be at a point where she is looking at her broader legacy. He suggested that working with you, we should invite her. He felt even in her final year, there may be things she can do for the Caribbean.

2. TO HOST THE CARICOM PRIME MINISTERS IN JUNE 2008 IN NEW YORK

The Congressman took the position that the Prime Ministers visit to New York could benefit the Region significantly, by raising awareness, mobilizing the Diaspora and taking advantage of the intrinsic values of New York - Financial, Communication, Entertainment.

He did not want to take the lead openly and to be in conflict with the elected officials from the Caribbean laden districts, but at the same time provide a distinctive agenda and leadership fitting the Prime Ministers visit.

We told him of preliminary discussions we have had regarding the inclusion of a Wall Street Activities Corporate outreach that is so clearly New York.

He suggested *CARIB NEWS* might be the host and bring all the parties together at least initially for a planning session. He expressed the desire for the Harlem Experience and certainly an Apollo event, simply because Harlem was the International Capital of Black people.

He was very complimentary of Dame Billie Miller, Barbados Minister of Foreign Affairs and Foreign Trade and also noted that Barbados Prime Minister Owen Arthur was very receptive to the suggestion. We have since spoken to Dame Miller and she is enthusiastically committed to the concept.

The Congressman and us agreed that we should consider opening concept and preliminary structure and we could meet around informally and thus develop in the next two months with the plan that we would be able to make some announcement at the Conference in Antigua & Barbuda.

The Elements of the Concepts:

- Cementing the Good Neighbors Ties - US/Caribbean – a Pathway to Success
- The Visit would last over 3 days
- Officials –
 - ◊ **First Day** - Business Sessions with CBC Meetings and local elected officials
Afternoon – Press Briefing
 - ◊ **Second Day** - Breakfast – Wall Street
 Opening bell of Stock Market
 Corporate Luncheon – Key Multinational Corporations
Afternoon & Evening: Community Session
 - Brooklyn – Medgar Evers College (Markowitz, Dr. Edison Jackson)
 - Queens – York College (Helen Marshall, Dr. Marcia Keizs)
 - Bronx - BCC (Adolpho Carrion, Dr. Carolyn Williams)
 - Manhattan – BMCC – (Scott Stringer, Dr. Antonio Perez)
 - ◊ **Third Day** - **Morning** - **Tour of Harlem**
Lunch – Columbia University) African American
Evening - Gala at the Apollo) Business persons

Structure:

Constituency For The Caribbean - a not for profit 501c3 organization formed more than 5 years ago at the suggestion of Congressman Rangel; was inactive because of the death of Vernon Hazel.

Reactivate:

Board:

Including Karl Rodney, Chair
 Lloyd Williams, Roy Hastick, Dennis Walcott, George Dalley,
 Patrick Gaston, Harriet Michel, Ambassador Betty King, Dr. Megan McLaughlin
 Yvonne Graham, Rosemonde Pierre Louis
 (to be asked) – board in formation

Counsel: Edward Babb Esq., Michele Rodney Esq.,

Advisory Board

Hon. Chair - Congressman Charles Rangel
 Co-Chair - Dame Billie Miller
 Ambassador Andrew Young Congresswoman Yvette Clarke
 David M. Dinkins Congressman Gregory Meeks
 Susan Taylor Congressman Edolphus Towns
 Edison O. Jackson Congressman Eliot Engel
 Harry Belafonte Congresswoman Donna Christensen
 Rex Nettleford
 Howard Dotson (to name a few – but keep it at a very high level)

Organizations who can be listed as supportive are:

- CARICOM Consul Generals
- CARIB NEWS
- Caribbean American Chamber of Commerce
- Greater Harlem Chamber of Commerce
- WIACDA
- AFUWI
- ICS
- CWAHA

to name a few – but open to more credible organizations.

Leyland Hazlewood - who has done some great international development work and was instructional in the Launch of the South Africa American Chamber of Commerce, can be persuaded to take on the Executive Director position.

Apart from hosting the June Prime Ministers, the Constituency for the Caribbean can take on its original role of maintaining ongoing linkages between the Region, the Community, Congress and other significant organizations or institutions.

I envision the Advisory Board meeting twice a year, one at the Multi-National Business Conference and again during the June Caribbean Heritage month when the leaders of the Caribbean are invited to the US.

The Regular Board would probably meet 4 times for the year.

3. EDITORIAL BOARD MEETING WITH CONGRESSMAN RANGEL

We are flexible and can accommodate the Member's schedule. It would be great on his visit to have key points of initiatives the Congressman has consistently taken on behalf of the Region from the Garvey exoneration to the Haiti Rescue Plan.

As soon as we can arrange a visit to Washington, we can further discuss the plan. We will continue to come up with ideas and solicit support from some of the people listed.

Mr. Jeff Eaton from the Congressman's office called enquiring about the PM visit; if appropriate you might wish to share this with him.

Thanks as always for the kind consideration.

Sincerely,



Faye A. Rodney



Karl B. Rodney

2342



Taking Our Rightful Place In The Sun

CBR-IS 000045

5

2343

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 112

MEMORANDUM

To: Hon. Charles B. Rangel

From: Michelle Sherwood

Date: October 23, 2008

Re: The Carib News Foundation Conference in Saint Maarten (Nov. 6th-9th 2008)

You have been invited again (invitation attached) this year to participate in the 12th Annual Carib News Foundation's 2008 Conference in the Caribbean. This forum was created to provide an opportunity for organizations and individuals from the United States to dialogue and learn more about regional issues of common interest. George, Jim, Emile and I have also been invited. Emile will not attend, I will not attend, November 7th is my Mother's birthday and I would like to share the day with her. I am not sure if George or Jim plan to attend attend.

Unfortunately on September 14, 2008 the New York Post wrote an unfair and knowingly false article "Another Power 'Trip' By Rangel" (attached) which falsely accused you of participation in a high priced vacation to the Caribbean.

Pursuant to the rules adopted at the beginning of the 110th Congress a Member may accept travel in connection with the individuals official duties that is paid for by a private source, provided that the Member receives written approval by the Standards Committee, the private sponsor receives approval from the Standards Committee and 15 days post travel the appropriate trip disclosures are filed explaining meeting and events attended. The same rules apply to Members staff traveling with the Member. The main prohibition for such trips is that participation by a lobbyist is strictly prohibited. Notably lobbyists do not attend or participate in the Carib News Foundation's Conferences.

Contrary to the NYP's allegations, in 2007 you did file the appropriate Member disclosure forms with the Standards Committee before you went on

the trip. However the only omission was that the post trip Member disclosure forms were not filed. It has since been filed with the Committee.

Presently you must consider your 2008 invitation to attend the Carib News Foundations Annual Conference. You have participated in almost every Conference since its inception 12 years ago. You are beloved by Faye and Karl Rodney. You have very strong support in the Caribbean Community.

You must not permit the sleazy NYP from interfering with your congressional duties. That said, I am certain that the NYP will have a reporter covering the trip; and will publish a story whether you attend or not. If you do not attend, the NYP will write that you were afraid to attend based on their reports. If you do attend, ALL NECESSARY and APPROPRIATE Committee filings must be made and filed in a timely manner. George has filed the pre trip 2008 Member disclosure forms.

You might consider only attending for one day similar to Governor Paterson's plans. And although more casual dress might be appropriate, it would be best to be photographed in business attire reflecting the seriousness of the Conference.

2346

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 113

Original Amendment

U.S. House of Representatives
110th Congress
MEMBER / OFFICER
POST-TRAVEL DISCLOSURE FORM

2008 NOV 24 PM 3: 05

This form is for disclosing the receipt of travel expenses from a private source for meetings, speaking engagements, fact-finding trips or similar events in connection with official duties. You need not disclose government-funded or political travel on this form, or travel that is unrelated to official duties. This form does not eliminate the need to report all privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with clause 5 of House Rule 25, complete this form and file it with the Clerk of the House of Representatives, B-106 Cannon House Office Building, within 15 days after travel is completed. The Clerk is to make these forms available to the public as soon as possible after they are received.

1. Name of Traveler: Representative Charles B. Rangel
2. a. Name of Accompanying Family Member (if any): N/A
 b. Relationship to Member/Officer: Spouse Child Other (specify): _____
3. a. Date of Departure and Date of Return: 11/7/08 - 11/9/08
 b. Dates at personal expense (if any): N/A
4. Itinerary (cities of departure - destination - return): NY (JFK) - St. Maarten - NY (JFK)
5. Sponsor(s) (who paid for the trip): Carib News Foundation
6. Describe meetings and events attended (attach additional pages if necessary): The conference focused on advancing trade and commercial relations between the City of New York and the nations of the Caribbean which are governed by U.S. trade law under the jurisdiction of the Committee on Ways and Means which I Chair.
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
 - a. the Private Sponsor Travel Certification Form completed by trip sponsor, including all attachments;
 - b. the Traveler Form completed by the Member or officer; *and*
 - c. the Committee on Standards' letter approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box):
 b. If not, explain: I did not depart for the conference until 11/7/08 but I participated until the end when I left on 11/9/08.

RANGEL.

11/21/08 13:57 FAX 212 663 4277
 Nov-21-2008 01:09pm From-Congressman Rangel

HOUSE OF REPRESENTATIVES
 1 202 2250016

41004
 T-824 P.069/005 F-581

9. TRIP EXPENSES: Obtain actual dollar amounts from the sponsor. If exact dollar amounts are unavailable by the due date, provide a good faith estimate and file an amended form once the correct amounts are received.

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses
For Member or Officer:	\$410.00	\$260.00	\$145.00
For accompanying family member:			

	Other Expenses (dollar amount)	Specific Nature of Expenses (e.g., taxi, parking, registration fee, etc.)
For Member or Officer:	\$150.00; \$25.00	Conference Registration; Local Transportation
For accompanying family member:		

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses listed above were necessary and that the travel was in connection with my duties as a Member or Officer of the U.S. House of Representatives and would not create the appearance that I am using public funds for private gain.

SIGNATURE OF MEMBER: [Redacted]

DATE: 11-21-08

Version date 9/2002 by Committee on Standards of Official Conduct

2349

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 114

[\[Print\]](#) [\[Close\]](#)

From: "Helen P. Bayne" <[REDACTED]>
 To: "[REDACTED]" <[REDACTED]>, "carib-desk@att.net" <carib-desk@att.net>
 Marla Chemont <[REDACTED]>, Jean-Jacques Vodak <[REDACTED]>, Melissa Gumbs <[REDACTED]>
 Cc: <[REDACTED]>, Fiona Taylor <[REDACTED]>, Bernard Hunt <[REDACTED]>, Valda Hazel <conventionsales@mahobeach.com>, Julie Judd <conventions@mahobeach.com>, Kent Hull <[REDACTED]>
 Subject: Sonesta Maho Beach Resort & Casino
 Date: Sunday, September 28, 2008 7:38:36 PM

Dear Ms. Louis,

Thank you for forwarding to Melissa Gumbs, a preliminary list of registrants for the upcoming CaribNews conference, to be held at the Sonesta Maho Beach Resort & Casino.

Myself, Jean-Jacques Vodak (Director of Convention Services) and Melissa Gumbs (Asst. Sales Manager) attended the meeting with officials of the St. Maarten government and other agencies last Thursday afternoon to discuss the plans for this important upcoming event.

Based on the outcome of this meeting and the Group Contract signed by myself, Mr. Karl Rodney and Mr. Bernard Hunt, following are some updates :

1. Reservations procedures : In order to process the rooming list, we would need to receive payment for each individual to guarantee the first night. This could be made via credit card, that would be processed, by certified check or cash for the amount due. Based on this list, only one reservation is for a Junlor suites, all others are in Standard rooms, which is the main category contracted. We have noted the requests for room locations. We trust that said payment will be forthcoming by September 30.

Government has indicated that they may be paying for a select number of VIP rooms; however, without more accurate information we are not able to prepare an invoice to ensure their agreement to the charges and payment before arrival.

2. Attrition Policy : Based on the rooming list with only 44 rooms, we will release the other 21 rooms, as per contract. Please note that as of October 6th, we would need to have an additional 52 room reservations with payment.

3. Meeting and Banquet arrangements : As per contract, we have blocked the Royal Islander A & B for one full daytime on November 7th, the Maho Room for a Dinner on November 8th and the Upper Pool area for a Welcome Reception on November 6th. These meeting spaces are complimentary; however, Convention Services has not been able to confirm your set-up, audio-visual or Food & Beverage requirements at this time. There is an urgency to receiving this information in order to coordinate preparations and,

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CRB-000523

From: Helen P. Bayne

Page 2 of 2

ofcourse, to adhere to the signed agreement. Your group is on the all-inclusive plan, which offers a credit to any menus you may choose for these events. For your convenience, I am again attaching our current Banquet menus, pages 20 and 21 reflect the applicable credits.

[2008 Banquet & Catering Menus.pdf](#)

Additionally, I am attaching a spreadsheet prepared by Convention Services and following receipt of the draft agenda, indicating the events for which spaces have not been reserved. Other members in attendance could give no indication as to where these events would be held. Kindly let us know more specifics as soon as possible.

[caribnews nov 08 events questions.xls](#)

With regard to the reservations for Mr. and Mrs. Rodney on October 2nd, Marla Chemont had not received the request as of last Thursday.

Due to the high importance of this conference to all parties concerned, I am available to assist and will be involved in ensuring that the Sonesta Maho Beach Resort and Casino successfully comply with the stipulations of the contract and remain in close cooperation with the Government of St. Maarten.

Yours sincerely,

Helen P. Bayne, CHA

General Manager

Sonesta Maho Beach Resort & Casino - St. Maarten

Tel. [REDACTED]

Fax (599) 545-3714

www.sonesta.com/mahobeach

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St. Maarten.

No virus found in this outgoing message.

Checked by AVG.

Version: 7.5.524 / Virus Database: 270.7.5/1696 - Release Date: 9/29/2008 1:30 PM

Attachment 1: [2008 Banquet & Catering Menus.pdf](#) (application/pdf)

Attachment 2: [caribnews nov 08 events questions.xls](#) (application/vnd.ms-excel)

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 115



Carib News Foundation
 7 West 36th Street, 8th floor, New York, NY 10017
 Tel: (212) 944-1991; Fax: (212) 944-2089
 E-mail: info@caribnewsconference.com

June 25, 2008

Commissioner Sarah Wescot-Williams
 Leader of Government Business
 Government Administration Building
 Clem Labega Square
 Philipsburg, St. Maarten, NA

Dear Commissioner Wescot-Williams:

Plans are coming in place for the Thirteenth Annual Caribbean Multi-national Business Conference scheduled for November 6-9 at your invitation to the beautiful country of St. Maarten.

We were very involved with the Conference on the Caribbean held in New York last week with some fifteen Heads of CARICOM Governments, which was a success, but took a large part of our time. All attention is now set for St. Maarten.

We have signed the contract with the Maho Resort and we are in conversation with many of our sponsors. Already we have some important names agreeing to come, including Congressman Charles Rangel and Governor of New York, David Paterson. We are mindful of the issues of interest to St. Maarten and so we have issued invitations to several Members of Congress, Heads of Education and Health institutions. A strong financial sector is expected; already Citibank and HSBC are on board.

There is very strong interest in the conference and all the information about the conference as St. Maarten is now on the website, in print and on radio. The promotion has begun in earnest.

We would now wish to start liaison with the local host committee to coordinate our planning with yours. Could you be kind enough to please identify the committee and the contact person and other information. We would wish that the person would report to you directly or Commissioner Marlin to expedite any matter.

Please find attached ideas for the Theme and some areas of concentration from which we can develop the program. The Host Committee should use the basic requirement as the basis for their planning. We will send a matrix of some time line and arrange for our next planning visit.

Organized by Carib News Foundation. A non-profit project 501-C3 corporation

Page 2

I trust all is going well and we are looking forward to hear from you.

We will certainly keep you updated.

Very Truly Yours,

Faye A. Rodney

[REDACTED]

President

[REDACTED]

Karl B. Rodney
Publisher/CEO

cc. Commissioner Roy Marlin

2355

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 116

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 20, 2008

The Honorable Bennie G. Thompson
U.S. House of Representatives
2432 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip for you and your spouse to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received by your or your spouse from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

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The Honorable Bennie G. Thompson
October 20, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Gene Green
Acting Chairman



Doc Hastings
Ranking Republican Member

GG/DH:pgp

2358

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 117

STEPHANIE TUBBS JONES, OHIO
CHAIRWOMAN
ENE GREEN, TEXAS
JIC - ROYAL ALLARD, CALIFORNIA
IK - K. DOYLE, PENNSYLVANIA
AL - D. DELAHUNT, MASSACHUSETTS
ALLAN V. O'NEILLY,
CHIEF COUNSEL/STAFF DIRECTOR
LAWN KELLY MOBLEY,
COUNSEL TO THE CHAIRWOMAN

DOC HASTINGS, WASHINGTON
RANKING REPUBLICAN MEMBER

JO BONNER, ALABAMA
J. O'BRIEN BARRETT, SOUTH CAROLINA
JOHN KLONE, MINNESOTA
MICHAEL T. MCCALL, TEXAS

TODD UNGERRECHT,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER

SUITE HT-2, THE CAPITOL
2022 226-7199

ONE HUNDRED TENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

October 16, 2007

The Honorable Donna Christensen
U.S. House of Representatives
1510 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule XXV, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves the proposed trip for you and your child to Antigua, West Indies scheduled for November 8 to 9, 2007 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$305 from a single source on Schedule VII of your annual Financial Disclosure Statement.

In addition, Members and staff who receive from a foreign government any tangible gifts in excess of \$305, or gifts of travel or travel expenses related to official duties in any amount (i.e., for food, lodging, transportation, or entertainment), must disclose such items on the Form for Disclosing Gifts from a Foreign Government. Those forms should be filed with the Standards Committee (not the Clerk) within 30 days. Any tangible gifts valued in excess of \$305 received from a foreign government must, within 60 days of acceptance, be turned over to the Clerk of the House.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Ene Green
Acting Chairman

Sincerely,

Doc Hastings
Ranking Republican Member

GG/DH:slo

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 118

2361

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 16, 2008

The Honorable Donna Christensen
U.S. House of Representatives
1510 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip for you and your spouse to St. Maarten, N.A. scheduled for November 6 to 8, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received by you or your spouse from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

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The Honorable Donna Christensen
October 16, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.



Gene Green
Acting Chairman

Sincerely,



Doc Hastings
Ranking Republican Member

GG/DH:pgp

2363

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 119

STEPHANIE TUBBS JONES, OHIO
CHAIRWOMAN
GENE GREEN, TEXAS
LUCILLE ROYBAL-ALLARD, CALIFORNIA
MICHAEL F. DOYLE, PENNSYLVANIA
WILLIAM D. DELAHUNT, MASSACHUSETTS
WILLIAM V. O'REILLY,
CHIEF COUNSEL/STAFF DIRECTOR
DAWN KELLY MOBLEY,
COUNSEL TO THE CHAIRWOMAN

DOC HASTINGS, WASHINGTON
RANKING REPUBLICAN MEMBER
JO BONNER, ALABAMA
J. GRESHAM BARRETT, SOUTH CAROLINA
JOHN KLING, MINNESOTA
MICHAEL T. MCCALL, TEXAS
TODD UNSERRECHT
COUNSEL TO THE RANKING
REPUBLICAN MEMBER
SUITE HT-2, THE CAPITOL
(202) 225-7103

ONE HUNDRED TENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

October 16, 2007

The Honorable Yvette D. Clarke
U.S. House of Representatives
1029 Longworth House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule XXV, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to Antigua, West Indies scheduled for November 8 to 11, 2007 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$305 from a single source on Schedule VII of your annual Financial Disclosure Statement.

In addition, Members and staff who receive from a foreign government any tangible gifts in excess of \$305, or gifts of travel or travel expenses related to official duties in any amount (*i.e.*, for food, lodging, transportation, or entertainment), must disclose such items on the Form for Disclosing Gifts from a Foreign Government. Those forms should be filed with the Standards Committee (not the Clerk) within 30 days. Any tangible gifts valued in excess of \$305 received from a foreign government must, within 60 days of acceptance, be turned over to the Clerk of the House.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.



Gene Green
Acting Chairman

Sincerely,



Doc Hastings
Ranking Republican Member

GG/DH:slo

2365

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 120

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

RECEIVED
2008 OCT 21 10:08
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification Form and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Congresswoman Carolyn C. Kilpatrick

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

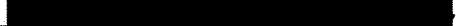
Signature: 

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: _____

Office address: 2264 Rayburn House Office Building

Phone number: (202) 225-2261

Email address of contact person: 

Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

1. Name of Traveler: Congresswoman Carolyn C. Kilpatrick
2. Sponsor(s) (who will be paying for the trip): Carib News Foundation
3. Travel destination(s): St. Maarten, Na
4. a. Date of Departure and Date of Return: Depart- November 6, 2008, Return November 9, 2008
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No
 b. If yes, name of accompanying family member: _____
 c. Relationship to traveler: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following: N/A - Sponsor checked 9(a) or 9(b)
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):
8. Explain why participation in the trip is connected to your individual official or representational duties:
I will participate in a Business Conference to give appropriate Congressional and current legislative perspective on the topic of discussion
"U.S. - Caribbean relations"
9. FOR STAFF:
 TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: _____
 Signature of Employing Member

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 121

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 16, 2008

The Honorable Carolyn C. Kilpatrick
U.S. House of Representatives
2264 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

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The Honorable Carolyn C. Kilpatrick
October 16, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Gene Green
Acting Chairman



Doc Hastings
Ranking Republican Member

GG/DH:pgp

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 122

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 21, 2008

The Honorable Carolyn C. Kilpatrick
U.S. House of Representatives
2264 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip for you and your sister to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

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The Honorable Carolyn C. Kilpatrick
October 21, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.



Gene Green
Acting Chairman

Sincerely,



Doc Hastings
Ranking Republican Member

GG/DH:pgp

2374

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 123

STEPHANIE TUBBS JONES, OHIO
CHAIRWOMAN
GENE GREEN, TEXAS
LUCILLE ROYAL ALLARD, CALIFORNIA
MICHAEL F. DOYLE, PENNSYLVANIA
WILLIAM D. DELAHUNT, MASSACHUSETTS
WILLIAM V. O'REILLY,
CHIEF COUNSEL/STAFF DIRECTOR
DANN KELLY MOBLEY,
COUNSEL TO THE CHAIRWOMAN

DOC HASTINGS, WASHINGTON
RANKING REPUBLICAN MEMBER
JO BONDAR, ALABAMA
J. GRESHAM BARRETT, SOUTH CAROLINA
JOHN KLING, MINNESOTA
MICHAEL T. HOGAN, TEXAS
TODD UNGERICH,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER
SUITE 511-2, THE CAPITOL
WDC 205-7102

ONE HUNDRED TENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

October 16, 2007

The Honorable Charles B. Rangel
U.S. House of Representatives
2354 Rayburn House Office Building
Washington, D.C. 20515

Dear Colleague:

Pursuant to House Rule XXV, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves the proposed trip for you and your child to Antigua, West Indies scheduled for November 8 to 11, 2007 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$305 from a single source on Schedule VII of your annual Financial Disclosure Statement.

In addition, Members and staff who receive from a foreign government any tangible gifts in excess of \$305, or gifts of travel or travel expenses related to official duties in any amount (i.e., for food, lodging, transportation, or entertainment), must disclose such items on the Form for Disclosing Gifts from a Foreign Government. Those forms should be filed with the Standards Committee (not the Clerk) within 30 days. Any tangible gifts valued in excess of \$305 received from a foreign government must, within 60 days of acceptance, be turned over to the Clerk of the House.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.



Gene Green
Acting Chairman

Sincerely,



Doc Hastings
Ranking Republican Member

GG/DH:slo

2376

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 124

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification Form and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Charles B. Rangel

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

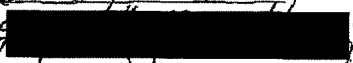
X Signature: 

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: _____

Office address: 2554 Radburn HOB U.S. House of Representatives, Washington, D.C.

Phone number: (202) 225-4365

Email address of contact person: reach at 

Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

- 1. Name of Traveler: Congressman Charles B. Rangel
- 2. Sponsor(s) (who will be paying for the trip): New York Caribbean News Foundation
- 3. Travel destination(s): St. Maarten
- 4. a. Date of Departure and Date of Return: November 6 - 9, 2008
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
- 5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No
 b. If yes, name of accompanying family member: _____
 c. Relationship to traveler: Spouse Child Other (specify): _____
- 6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following: N/A - Sponsor checked 9(a) or 9(b)
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____

7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):

8. Explain why participation in the trip is connected to your individual official or representational duties:

The cooperative advances trade and commerce and relations between the City of New York and the nations of the Caribbean which are governed by U.S. Trade Law. I am Director of the Committee on Ways and Means which is

9. FOR STAFF: TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: _____ Signature of Employing Member

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 125

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U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 23, 2008

The Honorable Charles B. Rangel
U.S. House of Representatives
2354 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

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The Honorable Charles B. Rangel
October 23, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Gene Green
Acting Chairman



Doc Hastings
Ranking Republican Member

GG/DH:pgp

2382

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 126

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

RECEIVED
2008 OCT - 3 PM
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification Form and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Congresswoman Carolyn C. Kilpatrick

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: _____

Office address: 2264 Rayburn House Office Building

Phone number: (202) 225-2261

Email address of contact person:  (or)

N/A

Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7103 (phone)
(202) 225-7392 (fax)

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

1. Name of Traveler: Congresswoman Carolyn C. Kilpatrick
2. Sponsor(s) (who will be paying for the trip): Carib News Foundation
3. Travel destination(s): St. Maarten, Na
4. a. Date of Departure and Date of Return: Depart - November 6, 2008, Return November 9, 2008
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No
 b. If yes, name of accompanying family member: _____
 c. Relationship to traveler: Spouse Child Other (specify): _____
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following: N/A - Sponsor checked 9(a) or 9(b) *per attached Form*
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):
8. Explain why participation in the trip is connected to your individual official or representational duties:
I will participate in a Business Conference to give appropriate Congressional and current legislative perspective on the topic of discussion "U.S. - Caribbean relations"
9. FOR STAFF:
 TO BE COMPLETED BY YOUR EMPLOYING MEMBER:

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: _____

Signature of Employing Member _____

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 127

Revised

U.S. House of Representatives
Committee on Standards of Official Conduct

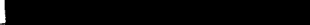
PRIVATELY SPONSORED TRAVEL: TRAVELER FORM
For Members, Officers, and Employees

This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed Private Sponsor Travel Certification Form and any attachments. A copy of this form, minus the initial page, will be made available for public inspection. Please type form. Form (and any attachments) may be faxed to the Committee at (202) 225-7392.

YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 14 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE. Absent exceptional circumstances, permission will not be granted for requests received less than 14 days before the trip commences.

Name of Traveler: Congresswoman Carolyn C. Kilpatrick

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of Signatory (if other than traveler): _____

For staff, name of employing Member/Committee: _____

Office address: 2264 Rayburn House Office Building

Phone number: (202) 225-2261

Email address of contact person:  @

Check this box if the sponsoring entity is a media outlet and the traveler is a Member traveling to make a media appearance sponsored by that entity and these forms are being submitted to the Committee less than 14 days before the trip departure date.

NOTE: You must complete the contact information fields above, as Committee staff may need to contact you if additional information is required.

If there are any questions regarding this form please contact the Committee:

Committee on Standards of Official Conduct
U.S. House of Representatives
HT-2, The Capitol
Washington, DC 20515
(202) 225-7303 (phone)
(202) 225-7392 (fax)

U.S. House of Representatives
Committee on Standards of Official Conduct

PRIVATELY SPONSORED TRAVEL: TRAVELER FORM

1. Name of Traveler: Congresswoman Carolyn C. Kilpatrick
2. Sponsor(s) (who will be paying for the trip): Carib News Foundation
3. Travel destination(s): St. Maarten, Na
4. a. Date of Departure and Date of Return: Depart - November 6, 2008, Return - November 9, 2008
 b. Will you be extending the trip at your personal expense? Yes No
 If yes, dates at personal expense: _____
5. a. Will you be accompanied by a family member at the sponsor's expense? Yes No
 b. If yes, name of accompanying family member: Marsha Cheeks
 c. Relationship to traveler: Spouse Child Other (specify): Sister
6. a. Did the trip sponsor answer "yes" to Question 9(c) on the Trip Sponsor form (i.e., the travel is being sponsored by an entity that employs a lobbyist)? Yes No
 b. If yes, check one of the following: N/A - Sponsor checked 9(a) or 9(b)
 (1) Approval for one-night's lodging and meals is being requested: or
 (2) Approval for two-nights' lodging and meals is being requested:
 If "(2)" is checked, explain why the second night is warranted: _____
7. Private Sponsor Travel Certification Form is attached, including agenda, invitee list, and any other attachments (indicate that form is attached by checking box):
8. Explain why participation in the trip is connected to your individual official or representational duties:
I will participate in a Business Conference to give appropriate Congressional and current legislative perspective on the topic of discussion: "U.S. - Caribbean relations"
9. FOR STAFF:
 TO BE COMPLETED BY YOUR EMPLOYING MEMBER:
- I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.
- Date: _____

 Signature of Employing Member

NOTE: This page must be submitted with your post-travel disclosure form within 15 days of your return, so you should maintain a photocopy of the completed form for your records.

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111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 128

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515

October 16, 2008

The Honorable Carolyn C. Kilpatrick
U.S. House of Representatives
2264 Rayburn House Office Building
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Standards of Official Conduct hereby approves your proposed trip to St. Maarten, N.A. scheduled for November 6 to 9, 2008 sponsored by the Carib News Foundation.

You must complete a Member Travel Disclosure Form and file it with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are required to attach a copy of this letter and the Private Sponsor Travel Certification Form, including attachments. You must also report all travel expenses totaling more than \$335 from a single source on Schedule VII of your annual Financial Disclosure Statement.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act, gifts "of minimal value [currently \$335] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of \$335 received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

However, we would note that since this trip is scheduled to commence after the general election on November 4, 2008 and because officially-connected travel must be related to official duties, it may be questionable whether a Member who was not reelected in the general election should to accept an invitation for a trip that is fact-finding in nature. Arguably, as of that time, the official responsibilities that may justify the acceptance of travel expenses for such a purpose will have come to an end. This consideration generally will not limit the Committee's authority to approve travel of a departing Member to participate substantially in an officially-related event (for example, to give a speech). Please keep this guidance in mind, as you may need to reevaluate your ability to participate in the trip following the election.

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The Honorable Carolyn C. Kilpatrick
October 16, 2008
Page 2

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Gene Green
Acting Chairman



Doc Hastings
Ranking Republican Member

GG/DH:pgp

2391

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 129

2392

Unique Vacations, Inc.

WORLDWIDE REPRESENTATIVE FOR SANDALS & BEACHES RESORTS

Unique Vacation's Groups Department
4950 S.W. 72nd Avenue, 2nd Floor, Miami, FL 33155
Phone: (800) 327-1991 FAX: (305) 668-2767



November 7, 2007

To: Mrs. Rodney
NY Carib News Foundation
7 West 36th Street 8th Floor
New York, NY 10017
Ph: 212-944-1991
Email: rodnevfave@aol.com

From: Tonya Hawryluk
(800) 327-1991 Ext: 4225
(305) 668-2767

Re: 12th Annual Multi National Business Conference

Sandals Grande Antigua

Bk# 4252014 1 Double Run of House Room 1 Eric & Felicia Eve - VIP	November 3 - 11, 2007 US\$ 4,200.00 Net per room	1 Room
Bk# 4252007 01 Double Run of House Suite Upgrade 1 Karl & Faye Rodney	November 6 - 12, 2007 US\$ 2,520.00 Net per room	1 Room
Bk# 4268691 02 Single Run of House Rooms 1 Edison Jackson- VIP 2 Wallace Ford	November 7 - 10, 2007 US\$ 1,050.00 Net per room	4 Rooms
02 Double Run of House Rooms 1 Dr. Marco Mason 2 Inez Dickens	US\$ 1,260.00 Net per room	
Bk# 4252015 2 Double Run of House Room 1 Jacqueline O'garrow & Albert Banks 2 Mcihael & Belinda Flanigan	November 7 - 11, 2007 US\$ 2,100.00 Net per room	2 Rooms
Bk# 4252009 7 Single Run of House Room 1 Cheryl Monroe 2 Brenda Scott 3 Senator Kevin Parker - VIP 4 Daphne Champagne 5 Lesly Kernisant	November 7 - 12, 2007 US\$ 1,750.00 Net per room	15 Rooms

041

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6 Dr. martin Valdes		
7 Colin Ward		
8 Double Run of House Room	US\$ 2,100.00 Net per room	
1 Elmer Blackburne & Judge Laura Blackburn		
2 Ed & Judi Goldberg		
3 Herbert and Yosette Johnson		
4 Dr. Carl and Danielle Kenel-Pierre		
5 Lloyd and Loretta Lovell		
6 Lucille and Reece McEwen		
7 Dr. Enrique and Carole Riggs		
8 Corren Simpson and Ruth Clark		
Bk# 4252041	November 7 - 13, 2007	1 Room
1 Single Run of House Room	US\$ 2,100.00 Net per room	
1 Gary Lightbourne		
Bk# 4252011	November 7 - 14, 2007	2 Room
2 Double Run of House Rooms	US\$ 2,940.00 Net per room	
1 Jacqueline Arrington & Roy Pinkard		
2 Gary & Carol Calneck		
Bk# 4268731	November 8 - 10, 2007	1 Room
1 Single Run of House Room	US\$ 700.00 Net per room	
1 Hon. Sarah Westcot-Williams - VIP		
Bk# 4086409	November 8 - 11, 2007	48 Rooms
33 Single Run of House Rooms	US\$ 1,050.00 Net per room	
1 Michele Chow-Tai		
2 Jewel Moolenaar Marsh		
3 Elizabeth Custodio		
4 C Virginia Fields		
5 Yvonne Graham		
6 Professor Gill Cariton		
7 Sabrina Hosang		
8 Vincent Hosang		
9 Marie Long		
10 Cheryl Morrison		
11 LaToya Murphy		
12 Pauline O'Leary		
13 Leslie Regis		
14 Roy Reid		
15 Neville Reid		
16 Stephen Rangel		
17 Patricia Thomas		
18 Dr. Marcia Bayne-Smith		
19 Letitia James		
20 Valerie-Oliver Durrah		
21 Elizabeth Buchanan-Hind		
22 George Dalley		
23 Donna Christensen- Congressional for 2 nights and Hon Billie Miller 1 Night		
24 Sanford Rubenstein - VIP		
25 Honorable Dianne Abbott		

2394

26	Shella Jackson Lee		
27	Laura Richardson		
28	Diane Watson		
29	Professor Rex Nettleford		
30	TBA		
31	Ambassador Andrew Young		
32	Hon Dwyer Astaphan		
33			
15	Double Run of House Rooms	US\$ 1,260.00 Net per room	
	1 Javier Evans and t. Savory		
	2 Bernell Grier & Kevin Lonesome		
	3 MM Lowell Hawthorne		
	4 George Hulse & Rudlania Medrano		
	5 Marilyn Johnson & Exa Madison		
	6 Dr. Marcella Maxwell & Hazel Dukes		
	7 Nikolas & Joyce Perry		
	8 Dr. Joseph Radix & Judge Sylvia Radix		
	9 Gwenneth Gibbons & Jean Hinds		
	10 Bennie Thompson & Guest - Congressional		
	11 Edolphus Towns & Guest - Congressiona		
	12 Stephanie Tubbs-Jones & Guest - Congressiona		
	13 Maxime Waters & Guest - Congressiona		
	14 Eddie Bernice Johnson and guest		
	15 TBA		
Bk# 4086411		November 8 - 11, 2007	1 Room
01	Double Run of House Suite Upgrade	US\$ 1,050.00 Net per room	
	1 Charles B Rangel - Congressional		
Bk# 4252012		November 8 - 12, 2007	3 Rooms
2	Double Run of House Rooms	US\$ 1,680.00 Net per room	
	1 Karen Boykin Towns & Darryl Towns		
	2 Donald Payne		
01	Single Run of House room	US\$ 1,400.00 Net per room	
	1 Howard Dodson - VIPS		
Bk# 4271590		November 9 - 11, 2007	2 Rooms
2	Single Run of House Rooms	US\$ 700.00 Net per room	
	1 Kilpatrick, Carolyn		
	2 Kilpatrick, Ana		
Bk# 4271592		November 9 - 12, 2007	1 Room
1	Single Run of House Rooms	US\$ 1,050.00 Net per room	
	1 Yvette Clarke		

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Booking Number	Number of Rooms	Category	Rate	Amount
4252014	1	Run of House Room	\$ 2,450.00	\$ 2,450.00
4252007	1	Run of House Suite Upg	\$ 2,520.00	\$ 2,520.00
4268691	2	Run of House Room	\$ 1,050.00	\$ 2,100.00
4268691	2	Run of House	\$ 1,260.00	\$ 2,520.00
4252015	2	Run of House Room	\$ 2,100.00	\$ 4,200.00
4252009	7	Run of House Room	\$ 1,750.00	\$ 12,250.00
4252009	8	Run of House Room	\$ 2,100.00	\$ 16,800.00
4252041	1	Run of House Room	\$ 2,100.00	\$ 2,100.00
4252011	2	Run of House Room	\$ 2,940.00	\$ 5,880.00
4268731	1	Run of House Room	\$ 700.00	\$ 700.00
4086409	33	Run of House Room	\$ 1,050.00	\$ 34,650.00
4086409	15	Run of House Room	\$ 1,260.00	\$ 18,900.00
4086411	1	Run of House Suite Upg	\$ 1,260.00	\$ 1,260.00
4252012	2	Run of House Room	\$ 1,680.00	\$ 3,360.00
4252012	1	Run of House Room	\$ 1,400.00	\$ 1,400.00
4271590	2	Run of House Room	\$ 700.00	\$ 1,400.00
4271592	1	Run of House Room	\$ 1,050.00	\$ 1,050.00
			Balance	\$ 113,540.00
			Less 10 Comp Rooms	\$ 12,600.00
			Balance	\$ 100,940.00

Deposits	Payment	Date	Amount
Less Deposit		5/14/2007	\$ 10,000.00
Less Deposit		10/9/2007	\$ 20,000.00
Less Deposit	Wire 10/25/2007	10/31/2007	\$ 31,500.00
Less Deposit	Collection on Property	11/21/2007	\$ 39,440.00
		Balance	\$ -

Thank you,

2396

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 130

CARIB NEWS
PROJECT ACCT [REDACTED]
SCHEDULE Mahe Beach Resort

11/6/2008

CRB-000382
[REDACTED]
8,500.00

PROJECT ACCT [REDACTED] BUS CONF 11/06/08 -11/09/08

8,500.00

CARIB NEWS
PROJECT ACCT [REDACTED]
SCHEDULE Mahe Beach Resort

11/6/2008

[REDACTED]
8,500.00

PROJECT ACCT [REDACTED] BUS CONF 11/06/08 -11/09/08

8,500.00

CRB-000384

NEWS
PROJECT ACCOUNT

Sonata Maho Beach Resort \$10,255.50

Ten Thousand, Three Hundred & Fifty Five 50

Bus. Conference 11/05 - 11/08
PLATE PRESENT

CARIB NEWS
PROJECT ACCOUNT

CARIB NEWS
PROJECT ACCOUNT

November 9, 2008

Walwyn Consulting
Three Thousand Nine Hundred & Sixty Five $\frac{65}{100}$

Business Conference Event Planning
Inv # [REDACTED]

CARIB NEWS
PROJECT ACCOUNT



MEMO Deposit Carib News,Conference Nov 8-9 2008.

6/12/2008

3,000.00

CARIB NEWS
Sonesta Maho Beach Resort

CITIBANK, N.A. 1195 Deposit Carib News Conference Nov 8-9 2008.

3,000.00

CARIB NEWS
Sonesta Maho Beach Resort

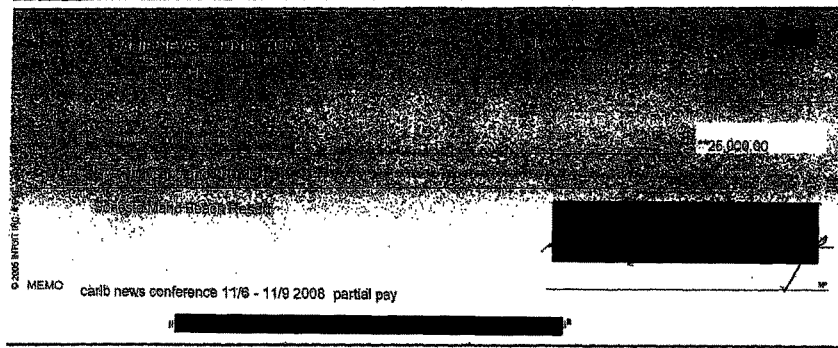
6/12/2008

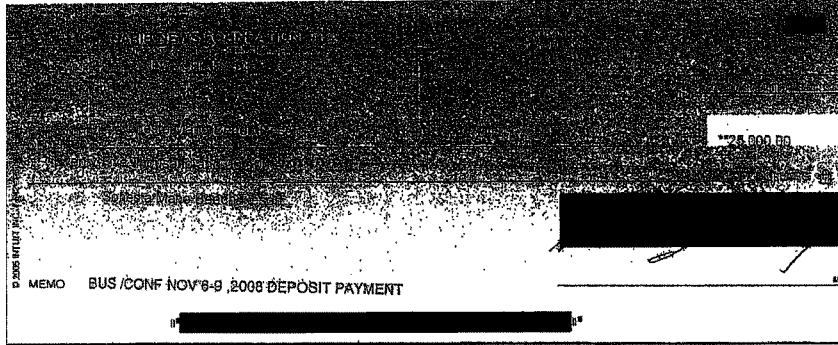
3,000.00

PAYMENT
RECORD

CITIBANK, N.A. 1195 Deposit Carib News Conference Nov 8-9 2008.

3,000.00





CARIB NEWS FOUNDATION
Sonesta Maho Beach Resort

10/30/2008

25,000.00

Faxed 10/30/08

CARIB NEWS CHARI BUS /CONF NOV 6-9 ,2008 DEPOSIT PAYMEN

25,000.00

CARIB NEWS FOUNDATION
Sonesta Maho Beach Resort

10/30/2008

25,000.00

PAYMENT
RECORD

CARIB NEWS CHARI BUS /CONF NOV 6-9 ,2008 DEPOSIT PAYMEN

25,000.00

2405

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 131

2406

CRB-000339

WOODWORKS INTERNATIONAL, LLC
(404) 927-6484
303 PEACHTREE ST NE STE 4420
ATLANTA, GA 30308

BANK OF AMERICA
NATIONAL ASSOCIATION
ATLANTA, GA

10/16/2007

PAY TO THE ORDER OF Carib News \$ 10,000.00

Ten Thousand and 00/100 ***** DOLLARS

Carib News
7 West 36th Street
6th Floor
New York, NY 10018

MEMO

2407

CRB-000347

Bank of America
ACH R/T

CHECK

1-32/218 NY
80314

GOLDEN KRUST FRANCHISING, INC.
8986 PARK AVENUE
BRONX, NY 10457

PAY

Five Thousand Dollars and 00 Cents

DATE

AMOUNT

TO THE
ORDER
OF

CARIB NEWS, INC.
7 WEST 36TH STREET
8TH FLOOR
NEW YORK, NY 10018

11/30/2007

\$5,000.00

GOLDEN KRUST FRANCHISING, INC.

CAR1	CARIB NEWS, INC.	11/30/2007		
44173	9/5/2007	\$5,000.00	\$5,000.00	\$0.00

\$5,000.00 \$5,000.00 \$0.00 \$5,000.00

99101

THE BACK OF THIS CHECK CONTAINS A SECURITY MARK - DO NOT ACCEPT WITHOUT HOLDING AT AN ANGLE TO VERIFY SECURITY MARK

at&t JPMorgan Chase Bank, N.A. New York, NY

62-24 811 4548 -09

Date 10/24/2008 Check Number [REDACTED]

Pay this amount [REDACTED]

PAY TWENTY-FIVE THOUSAND AND 00/100 DOLLARS

To the order of CARIB NEWS INC 7 WEST 56TH ST 8TH FLOOR NEW YORK NY 10018

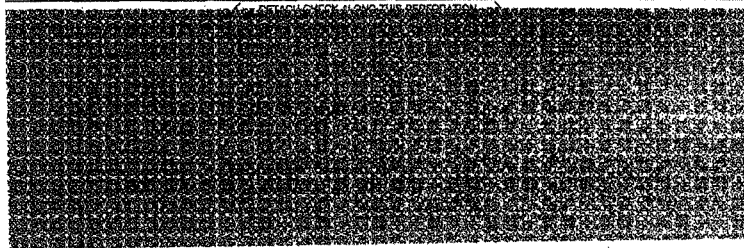
Void 180 days from check date

Security Features: Security Features: [REDACTED]

DRAFT # [REDACTED] DATED 10/24/2008 ** TOTAL DRAFT AMOUNT: \$25,000.00 **

INV 45245 09/10/2008 \$25,000.00

Non-Negotiable Draft Stub - Detach and Retain for Your Records



14001 1540 Pfizer Inc Page: 1 OF 1
 31 FOR INQUIRES CONCERNING THIS PAYMENT 235 East 42nd Street
 434090031 TELEPHONE (901) 215-1111 New York, NY 10017-0753

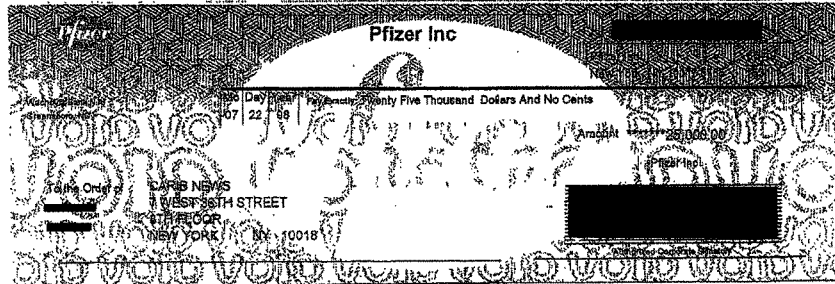
Vendor #	Voucher #	Invoice #	Invoice Date	Invoice Amount	Discount	Net Amount
[REDACTED]	[REDACTED]	[REDACTED]	07/21/08	25,000.00	0.00	25,000.00

Internal Ref: [REDACTED]
 Participant in the Multi-National
 Business Conference 2008

Stop waiting by the mailbox for your check. You may be eligible to receive payments directly into your bank account. Email ACH@Pfizer.com to find out more about Pfizer's Electronic Payment (ACH) program.

You can now access your account information 24 hours a day, 7 days a week at www.pfizaraccounts payable.com

[REDACTED] 25,000.00 0.00 25,000.00

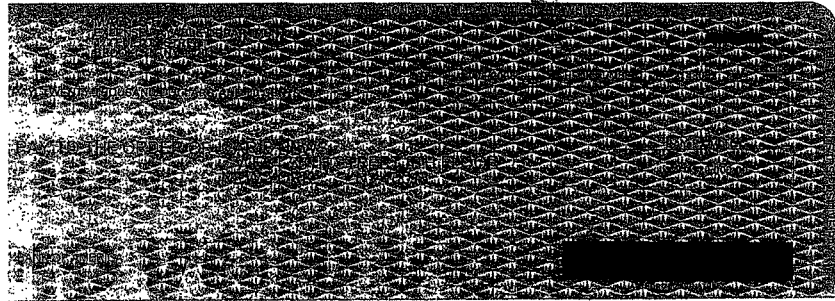


MACY'S EAST
EXPENSE PAYABLE DEPARTMENT
422 FULTON STREET
BROOKLYN, NY 11201

CARIB NEWS
7 WEST 36TH STREET 8TH FLOOR
NEW YORK NY 10018

		CARIB NEWS	12/05/2008		
12/01/2008			CARIB NEWS BUSINESS CONFERENCE	20000.00	20000.00
			TOTALS =	20000.00	20000.00

PLEASE DETACH AND RETAIN FOR YOUR RECORDS



2412

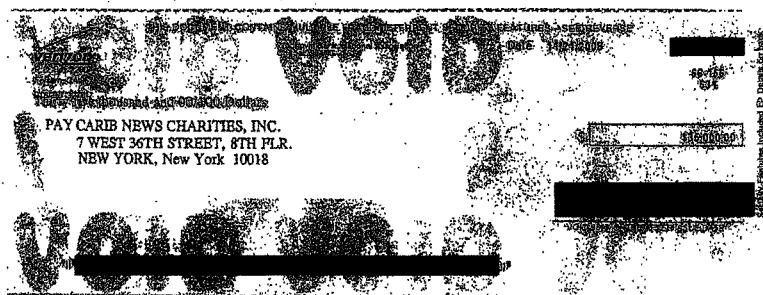
111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 132



PAY CARIB NEWS CHARITIES, INC.
 7 WEST 36TH STREET, 8TH FLR.
 NEW YORK, New York 10018

PAGE 1 of 1

INVOICE NUMBER	INVOICE DATE	DESCRIPTION	GROSS	DISCOUNT	NET AMOUNT
[REDACTED]	11/17/2008	005-19TH ANNUAL MULTI-NATIONAL	35,000.00		35,000.00
Total			\$35,000.00	0.00	\$35,000.00

Questions? Call 916/357-3270 BU APCRP

INVOICE NUMBER	INVOICE DATE	DESCRIPTION	GROSS	DISCOUNT	NET AMOUNT
[REDACTED]	11/17/2008	005-19TH ANNUAL MULTI-NATIONAL	35,000.00		35,000.00
Total			\$35,000.00	0.00	\$35,000.00

Questions? Call 916/357-3270 BU APCRP

2414

111th CONGRESS, 1st SESSION

U.S. HOUSE OF REPRESENTATIVES

COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT

**Investigation into Officially Connected Travel of House Members to Attend the Carib
News Foundation Multinational Business Conferences in 2007 and 2008.**

EXHIBIT 133

2415



EMBASSY OF ANTIGUA & BARBUDA
WASHINGTON, D.C.

Facsimile transmittal

To: Mr. Clifford Stoddard, Fax: (202)225-3784
C/o Committee on Standards of
Official Conduct

From: Her Excellency Deborah-Mae Lovell, Date: 2/4/2010
Ambassador

Re: Letter concerning Carib News Business Forum Pages: 3, including cover

Urgent For Review Please Comment Please Reply Please Recycle

3216 New Mexico Ave., NW Washington, D.C.20016
Tele: (202)362-5122 Fax: (202)362-5225 E-mail: embantbar@aol.com

PAGE 01/03

EMBASSY OF ANTIGUA

2023625225

02/04/2010 16:43

2416

EMBASSY OF ANTIGUA & BARBUDA
WASHINGTON, D.C.



February 4, 2010

Mr. Clifford Stoddard
C/o Committee on Standards of Official Conduct
Room HT-2 The Capitol
Washington, D.C., 20515

Dear Mr. Stoddard,

The Embassy of Antigua and Barbuda is pleased to refer to telephone conversation (Layne/Stoddard) held last month in which clarification was sought on certain matters pertaining to the Carib News Business Forum, which was held in Antigua and Barbuda during the fall of 2007.

The Embassy is pleased, after consultation with Senator the Hon. Dr. Errol Cort, Minister of National Security, to provide the following answers to the questions posed in the aforementioned telephone conversation.

1. **Question:** What is the understanding of Antigua and Barbuda with regards to the US\$31, 500 which was paid to Sandals Hotel?

Answer: The arrangement between Carib News and the Government of Antigua and Barbuda was that the Government would be responsible for the cost of the rooms for the Members of Congress who attended the Conference. In this regard, Carib News had informed that approximately 25 Members of Congress would attend and, as a consequence, the Government would need to book and pay for 25 rooms. The Government was subsequently advised by Sandals' office in Florida that the cost of the 25 rooms was US\$31, 500. Further, the Government was informed by Sandals that this money had to be paid in advance of the conference and this was done by the Government.

2. **Question:** Was it always the Government of Antigua and Barbuda's intention to cover the cost of accommodation for the Members of Congress?

Answer: It was always the intention of the Government of Antigua and Barbuda to cover the cost of accommodation for the Members of Congress.

3. **Question:** Would the Government of Antigua and Barbuda have covered the cost of accommodation if the attendees were not Members of Congress.

Answer: The Minister is unable to speculate on any actions which the Government would or would not have taken if the attendees were not Members of Congress. The agreement with the Government and Carib News was specific to covering the cost of accommodation for the Members of Congress. They played an integral role in the Conference.

In closing, the Embassy wishes to reiterate the willingness of the Government of Antigua Barbuda to cooperate with the Committee on Standard of Official Conduct in providing any further clarification which may be needed on this matter.

Sincerely,



Deborah-Mae Lovell
Ambassador

