110TH CONGRESS 1ST SESSION

H.R.363

IN THE SENATE OF THE UNITED STATES

April 25, 2007

Received; read twice and referred to the Committee on Health, Education, Labor, and Pensions

AN ACT

To authorize programs for support of the early career development of science and engineering researchers, and for support of graduate fellowships, and for other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,

1 SECTION 1. SHORT TITLE.

- This Act may be cited as the "Sowing the Seeds
- 3 Through Science and Engineering Research Act".
- 4 SEC. 2. NATIONAL SCIENCE FOUNDATION EARLY CAREER
- 5 AWARDS FOR SCIENCE AND ENGINEERING
- 6 RESEARCHERS.
- 7 (a) IN GENERAL.—The Director of the National
- 8 Science Foundation shall carry out a program to award
- 9 grants to scientists and engineers at the early stage of
- 10 their careers at institutions of higher education and orga-
- 11 nizations described in subsection (c)(2) to conduct re-
- 12 search in fields relevant to the mission of the Foundation.
- 13 The existing Faculty Early Career Development (CA-
- 14 REER) Program may be designated as the mechanism for
- 15 awarding such grants.
- 16 (b) Size and Duration of Award.—The duration
- 17 of awards under this section shall be 5 years, and the
- 18 amount per year shall be at least \$80,000.
- 19 (c) Eligibility.—Award recipients shall be individ-
- 20 uals who are employed in a tenure-track position as an
- 21 assistant professor or equivalent title, or who hold an
- 22 equivalent position, at—
- (1) an institution of higher education in the
- 24 United States; or
- 25 (2) an organization in the United States that is
- a nonprofit, nondegree-granting research organiza-

- 1 tion such as a museum, observatory, or research lab-
- 2 oratory.
- 3 (d) Selection.—Award recipients shall be selected
- 4 on a competitive, merit-reviewed basis.
- 5 (e) Selection Process and Criteria for
- 6 AWARDS.—An applicant seeking funding under this sec-
- 7 tion shall submit a proposal to the Director at such time,
- 8 in such manner, and containing such information as the
- 9 Director may require. In evaluating the proposals sub-
- 10 mitted under this section, the Director shall consider, at
- 11 a minimum—
- 12 (1) the intellectual merit of the proposed work;
- 13 (2) the innovative or transformative nature of
- the proposed research;
- 15 (3) the extent to which the proposal integrates
- research and education, including undergraduate
- education in science and engineering disciplines; and
- 18 (4) the potential of the applicant for leadership
- at the frontiers of knowledge.
- 20 (f) AWARDS.—In awarding grants under this section,
- 21 the Director shall endeavor to ensure that the recipients
- 22 are from a variety of types of institutions of higher edu-
- 23 cation and nonprofit, nondegree-granting research organi-
- 24 zations. In support of this goal, the Director shall broadly
- 25 disseminate information about when and how to apply for

- 1 grants under this section, including by conducting out-
- 2 reach to Historically Black Colleges and Universities that
- 3 are part B institutions as defined in section 322(2) of the
- 4 Higher Education Act of 1965 (20 U.S.C. 1061(2)) and
- 5 minority institutions (as defined in section 365(3) of that
- 6 Act (20 U.S.C. 1067k(3))). In awarding grants under this
- 7 section, the Director shall give special consideration to eli-
- 8 gible early-career researchers who have followed alter-
- 9 native career paths such as working part-time or in non-
- 10 academic settings, or who have taken a significant career
- 11 break or other leave of absence.
- 12 (g) AUTHORIZATION OF APPROPRIATION.—For each
- 13 of the fiscal years 2008 through 2012, the Director shall
- 14 allocate at least 3.5 percent of funds appropriated to the
- 15 National Science Foundation for Research and Related
- 16 Activities to the grants program under this section, except
- 17 to the extent that a sufficient number of meritorious grant
- 18 applications have not been received for a fiscal year.
- 19 (h) Report.—Not later than 6 months after the date
- 20 of enactment of this Act, the Director shall transmit to
- 21 the Committee on Science and Technology of the House
- 22 of Representatives and to the Committee on Commerce,
- 23 Science, and Transportation of the Senate a report de-
- 24 scribing the distribution of the institutions from which in-
- 25 dividuals have participated in the Faculty Early Career

- 1 Development Program since fiscal year 2001 among each
- 2 of the categories of institutions of higher education de-
- 3 fined by the Carnegie Foundation for the Advancement
- 4 of Teaching and the organizations in subsection (c)(2).
- 5 (i) EVALUATION.—Not later than 2 years after the
- 6 date of enactment of this Act, the Director shall transmit
- 7 to the Committee on Science and Technology of the House
- 8 of Representatives and to the Committee on Commerce,
- 9 Science, and Transportation of the Senate a report evalu-
- 10 ating the impact of the program carried out under this
- 11 section on the ability of young faculty to compete for Na-
- 12 tional Science Foundation research grants.
- 13 SEC. 3. DEPARTMENT OF ENERGY EARLY CAREER AWARDS
- 14 FOR SCIENCE AND ENGINEERING RESEARCH-
- 15 ERS.
- 16 (a) In General.—The Director of the Office of
- 17 Science of the Department of Energy shall carry out a
- 18 program to award grants to scientists and engineers at
- 19 the early stage of their careers at institutions of higher
- 20 education and organizations described in subsection (c)(2)
- 21 to conduct research in fields relevant to the mission of the
- 22 Department, giving priority to grants to expand domestic
- 23 energy production and use through coal-to-liquids tech-
- 24 nology and advanced nuclear reprocessing.

1	(b) Size and Duration of Award.—The duration
2	of awards under this section shall be up to 5 years, and
3	the amount per year shall be at least \$80,000.
4	(c) Eligibility.—Award recipients shall be individ-
5	uals who are employed in a tenure-track position as an
6	assistant professor or equivalent title, or who hold an
7	equivalent position, at—
8	(1) an institution of higher education in the
9	United States; or
10	(2) an organization in the United States that is
11	a nonprofit, nondegree-granting research organiza-
12	tion such as a museum, observatory, or research lab-
13	oratory.
14	(d) Selection.—Award recipients shall be selected
15	on a competitive, merit-reviewed basis.
16	(e) Selection Process and Criteria for
17	AWARDS.—An applicant seeking funding under this sec-
18	tion shall submit a proposal to the Director of the Office
19	of Science at such time, in such manner, and containing
20	such information as the Director may require. In evalu-
21	ating the proposals submitted under this section, the Di-
22	rector shall consider, at a minimum—
23	(1) the intellectual merit of the proposed work;

(2) the innovative or transformative nature of

the proposed research;

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- 1 (3) the extent to which the proposal integrates
- 2 research and education, including undergraduate
- 3 education in science and engineering disciplines; and
- 4 (4) the potential of the applicant for leadership
- 5 at the frontiers of knowledge.
- 6 (f) Collaboration With National Labora-
- 7 TORIES.—In awarding grants under this section, the Di-
- 8 rector shall give priority to proposals in which the pro-
- 9 posed work includes collaboration with the Department of
- 10 Energy National Laboratories.
- 11 (g) AWARDS.—In awarding grants under this section,
- 12 the Director shall endeavor to ensure that the recipients
- 13 are from a variety of types of institutions of higher edu-
- 14 cation and nonprofit, nondegree-granting research organi-
- 15 zations. In support of this goal, the Director shall broadly
- 16 disseminate information about when and how to apply for
- 17 grants under this section, including by conducting out-
- 18 reach to Historically Black Colleges and Universities that
- 19 are part B institutions as defined in section 322(2) of the
- 20 Higher Education Act of 1965 (20 U.S.C. 1061(2)) and
- 21 minority institutions (as defined in section 365(3) of that
- 22 Act (20 U.S.C. 1067k(3))).
- (h) AUTHORIZATION OF APPROPRIATIONS.—There
- 24 are authorized to be appropriated to the Secretary of En-
- 25 ergy to carry out the Director's responsibilities under this

- 1 section \$25,000,000 for each of the fiscal years 2008
- 2 through 2012.
- 3 (i) Report on Recruiting and Retaining Early
- 4 Career Science and Engineering Researchers at
- 5 THE NATIONAL LABORATORIES.—Not later than 3
- 6 months after the date of enactment of this Act, the Direc-
- 7 tor of the Office of Science shall transmit to the Com-
- 8 mittee on Science and Technology of the House of Rep-
- 9 resentatives and to the Committee on Energy and Natural
- 10 Resources of the Senate a report on efforts to recruit and
- 11 retain young scientists and engineers at the early stages
- 12 of their careers at the Department of Energy National
- 13 Laboratories. The report shall include—
- 14 (1) a description of Department of Energy and
- 15 National Laboratory policies and procedures, includ-
- ing financial incentives, awards, promotions, time set
- aside for independent research, access to equipment
- or facilities, and other forms of recognition, designed
- to attract and retain young scientists and engineers;
- 20 (2) an evaluation of the impact of these incen-
- 21 tives on the careers of young scientists and engi-
- 22 neers at Department of Energy National Labora-
- tories, and also on the quality of the research at the
- National Laboratories and in Department of Energy
- programs;

- 1 (3) a description of what barriers, if any, exist
 2 to efforts to recruit and retain young scientists and
 3 engineers, including limited availability of full time
 4 equivalent positions, legal and procedural require5 ments, and pay grading systems; and
 6 (4) the amount of funding devoted to efforts to
- 7 recruit and retain young researchers and the source of such funds.

9 SEC. 4. INTEGRATIVE GRADUATE EDUCATION AND RE-

10 SEARCH TRAINEESHIP PROGRAM.

- 11 (a) Funding.—For each of the fiscal years 2008
- 12 through 2012, the Director of the National Science Foun-
- 13 dation shall allocate at least 1.5 percent of funds appro-
- 14 priated for Research and Related Activities to the Integra-
- 15 tive Graduate Education and Research Traineeship pro-
- 16 gram.
- 17 (b) Coordination.—The Director shall coordinate
- 18 with Federal departments and agencies, as appropriate,
- 19 to expand the interdisciplinary nature of the Integrative
- 20 Graduate Education and Research Traineeship program.
- 21 (c) Authority to Accept Funds From Other
- 22 AGENCIES.—The Director is authorized to accept funds
- 23 from other Federal departments and agencies to carry out
- 24 the Integrative Graduate Education and Research
- 25 Traineeship program.

SEC. 5. PRESIDENTIAL INNOVATION AWARD.

2	(a)	ESTABL	ISHMENT.—T	he Presiden	t shall	peri	odi-
3	cally pr	esent the	Presidential	Innovation	Award,	on	the

- 4 basis of recommendations received from the Director of
- 5 the Office of Science and Technology Policy or on the
- 6 basis of such other information as the President considers
- 7 appropriate, to individuals who develop one or more
- 8 unique scientific or engineering ideas in the national inter-
- 9 est at the time the innovation occurs.
- 10 (b) Purpose.—The awards under this section shall
- 11 be made to—
- 12 (1) stimulate scientific and engineering ad-13 vances in the national interest;
- 14 (2) illustrate the linkage between science and 15 engineering and national needs;
- 16 (3) show the potential of such innovation to 17 substantively enhance the economic competitiveness 18 of the United States through development of 19 commercializable intellectual property; and
- 20 (4) provide an example to students of the con-21 tribution they could make to society by entering the 22 science and engineering profession.
- (c) CITIZENSHIP.—An individual is not eligible to re-
- 24 ceive the award under this section unless at the time such
- 25 award is made the individual—

1	(1) is a citizen or other national of the United
2	States; or
3	(2) is an alien lawfully admitted to the United
4	States for permanent residence who—
5	(A) has filed an application for naturaliza-
6	tion in the manner prescribed by section 334 of
7	the Immigration and Nationality Act (8 U.S.C.
8	1445); and
9	(B) is not permanently ineligible to become
10	a citizen of the United States.
11	(d) Presentation.—The presentation of the award
12	shall be made by the President with such ceremonies as
13	he may deem proper, including attendance by appropriate
14	Members of Congress.
15	SEC. 6. NATIONAL COORDINATION OFFICE FOR RESEARCH
16	INFRASTRUCTURE.
17	(a) In General.—The Office of Science and Tech-
18	nology Policy shall establish a National Coordination Of-
19	fice for Research Infrastructure. Such Office shall—
20	(1) identify and prioritize the deficiencies in re-
21	search facilities and major instrumentation located
22	at academic institutions and at national laboratories
23	that are available for use by academic researchers;
24	and

1	(2) institute and coordinate the planning by
2	Federal agencies for the acquisition, refurbishment
3	and maintenance of research facilities and major in-
4	strumentation required to address the deficiencies
5	identified under paragraph (1).
6	In prioritizing the deficiencies identified under paragraph
7	(1), the Office shall consider research needs in areas rel-
8	evant to the Nation's economic competitiveness.
9	(b) STAFFING.—The Director of the Office of Science
10	and Technology Policy shall appoint individuals to serve
11	in the Office established under subsection (a) from among
12	the principal Federal agencies that support research in the
13	sciences, mathematics, and engineering, and shall at ϵ
14	minimum include individuals from the National Science
15	Foundation and the Department of Energy.
16	(c) Report.—The Director of the Office of Science
17	and Technology Policy shall provide annually a report to
18	Congress at the time of the President's budget proposal—
19	(1) describing the research infrastructure needs
20	identified in accordance with subsection (a);
21	(2) listing research facilities projects and budg-
22	et proposals, by agency, for major instrumentation
23	acquisitions that are included in the President's
24	budget proposal; and

1	(3) explaining how these facilities projects and
2	instrumentation acquisitions relate to the defi-
3	ciencies and priorities arrived at in accordance with
4	subsection (a).
5	SEC. 7. RESEARCH ON INNOVATION AND INVENTIVENESS.
6	In carrying out its research programs on science pol-
7	icy and on the science of learning, the National Science
8	Foundation may support research on the process of inno-
9	vation and the teaching of inventiveness.
10	SEC. 8. REPORT ON NATIONAL INSTITUTE OF STANDARDS
11	AND TECHNOLOGY EFFORTS TO RECRUIT
12	AND RETAIN EARLY CAREER SCIENCE AND
13	ENGINEERING RESEARCHERS.
14	Not later than 3 months after the date of enactment
15	of this Act, the Director of the National Institute of
16	Standards and Technology shall transmit to the Com-
17	mittee on Science and Technology of the House of Rep-
18	resentatives and to the Committee on Commerce, Science,
19	and Transportation of the Senate a report on efforts to
20	recruit and retain young scientists and engineers at the
21	early stages of their careers at the National Institute of
22	Standards and Technology laboratories and joint insti-
23	tutes. The report shall include—
24	(1) a description of National Institute of Stand-
25	ards and Technology policies and procedures, includ-

- ing financial incentives, awards, promotions, time set aside for independent research, access to equipment or facilities, and other forms of recognition, designed to attract and retain young scientists and engineers;
 - (2) an evaluation of the impact of these incentives on the careers of young scientists and engineers at the National Institute of Standards and Technology, and also on the quality of the research at the National Institute of Standards and Technology's laboratories and in the National Institute of Standards and Technology's programs;
 - (3) a description of what barriers, if any, exist to efforts to recruit and retain young scientists and engineers, including limited availability of full time equivalent positions, legal and procedural requirements, and pay grading systems; and
 - (4) the amount of funding devoted to efforts to recruit and retain young researchers and the source of such funds.

20 SEC. 9. NASA'S CONTRIBUTION TO INNOVATION.

- 21 (a) Sense of the Congress.—It is the sense of the
- 22 Congress that—

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- 23 (1) a balanced science program as authorized
- by section 101(d) of the National Aeronautics and
- 25 Space Administration Authorization Act of 2005

- 1 (Public Law 109–155) contributes significantly to
- 2 innovation in and the economic competitiveness of
- 3 the United States; and
- 4 (2) a robust National Aeronautics and Space
- 5 Administration, funded at the levels authorized
- 6 under sections 202 and 203 of that Act, would offer
- 7 a balance among science, aeronautics, exploration,
- 8 and human space flight programs, all of which can
- 9 attract and employ scientists, engineers, and techni-
- cians across a broad range of fields in science, tech-
- 11 nology, mathematics, and engineering.
- 12 (b) Participation in Innovation and Competi-
- 13 TIVENESS PROGRAMS.—The Administrator of the Na-
- 14 tional Aeronautics and Space Administration shall fully
- 15 participate in any interagency efforts to promote innova-
- 16 tion and economic competitiveness through scientific re-
- 17 search and development within the spending levels cited
- 18 in subsection (a).
- 19 SEC. 10. UNDERGRADUATE SCHOLARSHIPS FOR SCIENCE,
- 20 TECHNOLOGY, ENGINEERING, AND MATHE-
- 21 MATICS.
- (a) Establishment.—The National Science Foun-
- 23 dation shall establish a program, to be known as the Un-
- 24 dergraduate Scholarships for Science, Technology, Engi-
- 25 neering, and Mathematics, or US-STEM, program, for

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1	awarding scholarships to undergraduate scholars in
2	science, technology, engineering, and mathematics.
3	(b) Eligibility.—A student is eligible for a scholar-
4	ship under this section only if the student—
5	(1) is enrolled at a public, 4-year college or uni-
6	versity;
7	(2) will have completed at least one-half of the
8	credit requirements for an undergraduate degree be-
9	fore beginning studies to be funded by the scholar-
10	ship;
11	(3) has maintained a grade point average in un-
12	dergraduate studies of at least 3.0 on a scale of 4.0,
13	or an equivalent level as calculated by the National
14	Science Foundation, except that if the student's in-
15	stitution appeals this criterion on the basis of undue
16	hardship on the student, the National Science Foun-
17	dation may waive this paragraph;
18	(4) has a total family income of less than
19	\$75,000 per year, with such amount to be adjusted
20	annually by the National Science Foundation for in-
21	flation;
22	(5) has not been convicted of a felony; and

- (5) has not been convicted of a felony; and
- 23 (6) is a citizen or permanent resident alien of 24 the United States.

- 1 (c) Selection Criteria.—Scholarship recipients
- 2 shall be selected on the basis of merit and such other cri-
- 3 teria as the National Science Foundation shall establish.
- 4 (d) AWARDS.—The National Science Foundation
- 5 shall announce awards before April 1 for each upcoming
- 6 academic year, and may make up to 2,500 awards per
- 7 year. Awards may be made for a maximum of 2 academic
- 8 years for each student, and scholarship amounts shall be
- 9 paid to the institution.
- 10 (e) Advisory Board.—The Director of the National
- 11 Science Foundation shall establish an advisory board,
- 12 which shall make recommendations to the Director for se-
- 13 lection criteria for scholarship recipients, and provide
- 14 guidance and oversight for the program.
- 15 (f) AUTHORIZATION OF APPROPRIATIONS.—There
- 16 are authorized to be appropriated to the National Science
- 17 Foundation for carrying out this section—
- 18 (1) \$30,000,000 for fiscal year 2009;
- 19 (2) \$60,000,000 for fiscal year 2010;
- 20 (3) \$61,800,000 for fiscal year 2011;
- 21 (4) \$63,600,000 for fiscal year 2012; and

1 (5) \$65,500,000 for fiscal year 2013.

Passed the House of Representatives April 24, 2007.

Attest: LORRAINE C. MILLER,

Clerk.