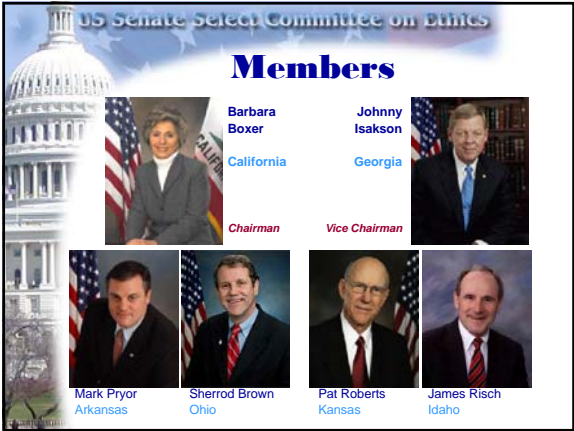
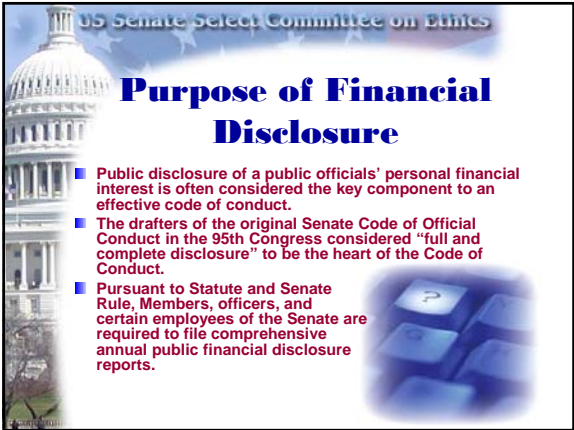


United States Senate

Select Committee on Ethics - *Financial Disclosure*







United States Senate
Select Committee on Ethics - Financial Disclosure

US Senate Select Committee on Ethics

WHO REVIEWS REPORTS?

- **ETHICS COMMITTEE**
 - The committee is required by Section 106 of the Ethics in Government Act of 1978 to review public financial disclosure reports in order to determine whether they are in compliance with applicable laws and regulations.
- **STAFFER'S SUPERVISOR**
 - Pursuant to Resolution 236, the Ethics Committee is required to provide the supervising Senator or designated staff member to receive on a confidential basis the public financial disclosure reports filed by senate employees to check for possible conflicts of interests.
- **PUBLIC**
 - Any Financial Disclosure report filed by May 17 will be made available for public inspection by the Secretary of the Senate Office of Public Records within 30 days after filing or by June 16.



US Senate Select Committee on Ethics

WHERE TO FILE

File Reports and Amendments with the Secretary of the Senate,

OFFICE OF PUBLIC RECORDS, 232 HART	or	OFFICE OF PUBLIC RECORDS, P.O. Box 2517 Alexandria, VA 22301-0517
---------------------------------------	----	---



Reports will be made available for public inspection within 30 days of filing

US Senate Select Committee on Ethics

ON-LINE DISCLOSURE FORM

- **2 different versions to choose from**
 - Adobe
 - MS Word




United States Senate
Select Committee on Ethics - Financial Disclosure

US Senate Select Committee on Ethics

DUE DATE

Annual Reports Covering
CY 2009
are due
MONDAY,
MAY 17th, 2010



US Senate Select Committee on Ethics

EXTENSIONS

- Requests for extensions of up to 90 days for filing reports may be submitted to the Ethics Committee



US Senate Select Committee on Ethics

PENALTIES

- \$200 late filing fee is imposed for filing a report 30 days after due date



United States Senate

Select Committee on Ethics - *Financial Disclosure*

US Senate Select Committee on Ethics

REQUESTS TO ETHICS

- Send requests for extensions and waivers of penalties and other general correspondence to the

ETHICS COMMITTEE
220 HART
or
Fax: (202) 224-7416



US Senate Select Committee on Ethics

WHO HAS TO FILE Annual Reports?

- SENATORS
- CANDIDATES FOR THE SENATE
- POLITICAL FUND DESIGNEES
- FELLOWS / DETAILEES who earned a rate of pay from an outside source equal to or in excess of \$117,787
- STAFFERS who earned a rate of pay equal to or in excess of \$ 117,787 for a period equal to or in excess of 60 days
 - Employees Who Received a Bonus: A report is required if:
 - Paid at a rate of pay in excess of the reporting threshold of \$117,787 for more than 60 days; and
 - Gross earning for the calendar year was equal to or in excess of \$117,787



US Senate Select Committee on Ethics

LATE NOTICES

- Committee policy is to mail late notices certified mail, return receipt requested
- A copy of the late notice is also cc:d to the supervising Senator

Civil and criminal sanctions may be imposed for individuals who knowingly and willfully fail to file this report



United States Senate

Select Committee on Ethics - Financial Disclosure



AMENDMENT REQUESTS


■ Within 60 days of filing, the Committee reviews reports for compliance and omissions and mails letters to filers requesting additional information/clarifications





Completing Your Financial Disclosure Report....




UNITED STATES SENATE FINANCIAL DISCLOSURE FOR ANNUAL AND TERMINATION REPORT			
Filer	Joe	2009	Select Committee on Ethics
Enter the Calendar Year (2009) not the Current Year (2010)			
AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS AND ATTACH THE RELEVANT PART			
Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If Yes, Complete and Attach PART I.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	Did you, your spouse, or dependent child receive any reportable travel or entertainment for travel in the reporting period (i.e., worth more than \$25 from one source)? If Yes, Complete and Attach PART VI.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	Did you, your spouse, or dependent child have any reportable liability (more than \$10,000) during the reporting period? If Yes, Complete and Attach PART VII.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive unearned or investment income of more than \$500 in the reporting period? If Yes, Complete and Attach PART III.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	Did you hold any reportable positions on or before the date of filing in the current calendar year? If Yes, Complete and Attach PART VIII.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset worth more than \$1,000 in the reporting period? If Yes, Complete and Attach PART IV.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	Do you have any reportable agreement or arrangement with an outside party? If Yes, Complete and Attach PART IX.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Did you, your spouse, or dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If Yes, Complete and Attach PART V.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	Did you receive compensation of more than \$200 from a single source in the reporting period? If Yes, Complete and Attach PART X.	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Each question must be answered and the appropriate PART attached for each "YES" response.			
File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. \$200 Penalty for filing more than 30 days after due date.			
This Financial Disclosure Statement is required by the Ethics in Government Act of 1976, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (52 U.S.C. §§ 10501, 10502, and 10505; 18 U.S.C. § 1011.)			
I certify that the information I have made on this form and all attached schedules is true, correct, and complete to the best of my knowledge and belief.	 Date: 5/17/10	(For electronic filing only - Do Not Write Below this Line)	
I am the Officer of the issuer that the information made on this form is in compliance with Title 1 of the Ethics in Government Act.	Signature of Reporting Officer	Date: Month, Day, Year	

United States Senate Select Committee on Ethics - Financial Disclosure

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT FOR NEW EMPLOYEE AND CANDIDATE REPORTS			
Last Name	Full Name (as printed)	Date of Birth (month/year)	Reporting Period
Employing Office Address (Street, Box, City, State and Zip)	Former Candidate Office Address (City, State and Zip)	Candidate District or County	
AFTER READING THE INSTRUCTIONS - ANSWER			
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than \$200 from any reportable source in the reporting period? If Yes, Complete and Attach PART I.		YES	NO
Did you, your spouse, or dependent child hold any reportable asset worth more than \$1,000 at the end of the period or receive investment income of more than \$200 in the reporting period? If Yes, Complete and Attach PART IIA and/or IIB.		YES	NO
Did you, your spouse, or dependent child have any reportable arrangement with an outside entity? If Yes, Complete and Attach PART IIIA and/or IIIB.		YES	NO
Did you, your spouse, or dependent child have any reportable contribution of more than \$5,000 from a single source in the reporting period? If Yes, Complete and Attach PART X.		YES	NO
Each "YES" response requires completion of the appropriate PART attached for each "YES" response.			
File this report with the Select Committee on Ethics, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Capitol, Washington, D.C. 20510. Deadline for filing more than 30 days after due date.			
This report is required by the Ethics in Government Act of 1978, as amended. The statement will be available to any requesting person upon written application and will be made available to the public.		FOR OFFICIAL USE ONLY Do Not Write Below This Line	
Individuals who knowingly and willfully falsify, conceal, or omit material information, or who knowingly and willfully provide false information, in any statement required by the Act may be subject to criminal sanctions. (See 5 U.S.C. app. 6, 304, and 18 U.S.C. 1001.)		Date (Month/Day/Year)	
I CERTIFY that the information I have disclosed is true, and all attached schedules are true, complete and correct to the best of my knowledge and belief.		Date (Month/Day/Year)	
Signature of Reportant (Print Name)		Date (Month/Day/Year)	
Signature of Reporting Office		Date (Month/Day/Year)	
It is the Option of the reportant that the statements made in this report are in compliance with Title I of the Ethics in Government Act.			

CONFIDENTIAL DISCLOSURE OF CANDIDATES HOME ADDRESS		
Name	Full Name and Title	Date of Election
Filer	Joe	10/15/09
Home address (Street, Box, City, State, and Zip)	City, State, Zip and Phone Number	
1234 2 nd and C Street Washington, DC 20510	202-555-5555	
Home address (Street, Box, City, State, and Zip)	City, State, Zip and Phone Number	
1235 2 nd and C Street Washington, DC 20510	202-555-5556	
<p>Who Must File: Any candidate who files a public financial disclosure report with the Senate Select Committee on Ethics must also file this confidential report.</p> <p>Where to File: File this report with the Select Committee on Ethics, Room 220, Hart Senate Office Building, U.S. Senate, Washington, DC 20510.</p> <p>When to File: Within 30 days after becoming a candidate for nomination or election to the office of Member of the United States Senate, or by May 15 of that calendar year, which ever is later, but at least 30 days before the election, and on or before May 15 of each succeeding year an individual continues to be a candidate. A candidate who currently holds an elected position in the United States Congress is not required to file a Candidate Report.</p> <p>Contents of Reports: List your home and office address and phone number. Please sign your report certifying that your report is complete and correct.</p> <p>Penalty Provisions: Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 penalty fee. Waivers of this fee may be granted by the Committee in extraordinary circumstances if requested in writing. Falsifying or failing to file this report may result in the imposition of civil and criminal sanctions. (See 2 U.S.C. 701 et seq. and 18 U.S.C. 1001.)</p> <p>Review of Reports: These reports will be reviewed by the Committee along with the corresponding public reports within 60 days of the filing date. These reports will be kept confidential by the Committee in accordance with the Ethics in Government Act 1978, as amended.</p>		
Signature	Signature of Reporting Office	Date (Month/Day/Year)
	Joe Filer	5/17/10

PART I. PAYMENTS TO PAY CHARITABLE ORGANIZATIONS IN LIEU OF HONORARIA				
JOE FILER				1
Report the source (name and address), date, and amount of any payment from each source to a charitable organization made in lieu of honoraria to you during the reporting period. Identify the activity (speech, article, or appearance), which generated the payment. For further information, see Instructions.				
Note: Travel expenses in excess of \$335 related to activities giving rise to these payments must be reported in Part VI, Reimbursements.				
Date of Payment	Name of Source	Address (City, State)	Speech, Article, or Appearance	Amount
Example: 3/25/08	Association of American Associations	Washington, DC	Speech	\$1,000
Example: 7/12/09	XYZ Magazine	New York, NY	Article	\$200
6/10/09	Let's Go Knicks, Inc.	Chicago, Illinois	Speech	\$2000
REMEMBER...				
A separate, confidential report which names the charitable organization receiving such payments must be filed directly with the Select Committee on Ethics.				

United States Senate Select Committee on Ethics - Financial Disclosure

CONFIDENTIAL DISCLOSURE OF PAYMENTS TO CHARITABLE ORGANIZATIONS IN LIEU HONORARIA			
Name: JOE		House Number (Include Area Code): 202-224-2981	
FILER		Office (Agency in which employed or formerly employed):	
Calendar Year Covered by Annual Report: 2009		Official Agency or Office (Employer): US SENATE ETHICS COMMITTEE	
<input checked="" type="checkbox"/> ANNUAL FILER		Covered by Termination Report:	
<input type="checkbox"/> TERMINATION FILER			
<p>Who Must File: Any reporting individual who has an annual net compensation (including honoraria) report with the Senate Select Committee on Ethics must also file this confidential report if any individual writes, gives a speech, or makes an appearance for a series of articles, speeches, or appearances which are directly related to official duties or the status of the individual within the organization or which the reporting organization makes a payment directly to a charitable organization in lieu of honoraria. To determine if you are a reporting organization, see page 2 of this report. Please refer to the instructions for the Senate Public Financial Disclosure Report or contact the U.S. Senate Select Committee on Ethics.</p> <p>When to File: Reporting individuals should file this report no later than May 15th, annually. If the report must be filed 15 or more days late on a weekend, or other holiday, the filing deadline shall be on the next business day. If an individual becomes employed after the report must be filed no later than the 30th day after termination. Penalties assessed must be reported in writing, but not later than 60 days after termination. These filing deadlines correspond with the filing dates of the Senate Public Financial Disclosure Report.</p> <p>Contents of Report: List the date of the payment (or, if unascertainable, the date of the activity giving rise to the payment), the name and address (i.e., name of the institution of the payment), the name and address (i.e., name of the recipient charitable organization), and the amount of the payment. Include all payments directly conveyed by the reporting period of the annual or termination report. Financial disclosure forms filed in conjunction with this report change your report only if they are complete and correct, and that no payments were made to charitable organizations that are not listed on this report.</p> <p>Penalty Provisions: Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or if an extension is granted, more than 30 days after the last day of the reporting period, shall be subject to a \$200 penalty fee. Waivers of this fee may be granted by the Committee in exceptional circumstances. If required by the Senate Public Financial Disclosure Report, the reporting individual shall file this report in the possession of a judicial or congressional member. (Class 2 U.S.C. 201 and 18 U.S.C. 1001.)</p> <p>Review of Reports: These reports will be reviewed by the Committee using the following reporting public reports within 60 days of the filing date. These reports will be kept confidential by the Committee in accordance with the Ethics in Government Act of 1976, as amended.</p>			
Date	Source of Payment (Name, Address)	Recipient Charitable Organization (Name, Address)	Amount
6/10/09	Lets Go Knicks, Chicago, IL	American Red Cross, Washington, DC	\$2,000
This particular page of the report should be filed with the US Senate Ethics Committee			
Certification: I CERTIFY that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief. No financial benefit is derived from any charitable organization listed by me on this report, unless I indicate otherwise by check or mark.		Signature of Reporting Individual: <i>Joe Filer</i>	Date (Month, Day, Year): 5/15/2010

Reporting Individual's Name: JOE FILER				PART II. EARNED AND NON-INVESTMENT INCOME				Page Number: 2	
<p>Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.</p> <p>Individuals not covered by the Honoraria Ban: For you and for your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.</p>									
Name of Income Source		Address (City, State)		Type of Income		Amount			
Example: JP Company		Wash, DC		Salary		Example: \$15,000			
Example: ABC Company		Atlanta, GA		Salary		Example: Over \$1,000			
DEWEY CHEATEM REELECTION CMTE		NEW YORK, NY		SALARY		\$18,000			
I BELIEVE SO, INC. (SPOUSE)		JOE FILER		SALARY		OVER \$1,000			
1									
2									
3									
4									
5									
6									
7									
8									
9									
10									
11									
12									
13									
14									
15									
16									
17									
18									
19									
20									
If "Over \$1,000" is indicated for the AMOUNT, please be sure to indicate that it is from your "Spouse"									

Reporting Individual's Name: JOE FILER		PART III. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES										Page Number: 3				
BLOCK A: Identify of Publicly Traded Assets and Unearned Income Sources		BLOCK B: Valuation of Assets					BLOCK C: Type and Amount of Income									
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:		At the close of reporting period (1/1/09, or later 3/31/09). Check the first column.					If "None (or less than \$200)" is checked, no other entry is needed in Block C for that item. This includes amounts received or accrued in the form of the report.									
(1) had a value exceeding \$1,000 at the close of the reporting period; and/or																
(2) generated over \$200 in "unearned" income during the reporting period.																
Include on this PART IIIA, a complete identification of each public bond, mutual fund, publicly traded partnership interest, unsecured investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.																
Example (C): IBM Corp. (stock)																
Example (D): ABC Hedge Fund																
1												Example				
2												Example				
3																
4																
5																
6																
7																
8																
9																
10																
EXEMPTION TEST (see instructions before marking box) If you entered any asset because it meets the three-part test for exemption described in the instructions, please check box in the right.																
This category applies only if the asset is held independently by the spouse or dependent child. If the asset is owned and held by the filer or jointly held, use the other categories of value, as appropriate.																

United States Senate
Select Committee on Ethics - Financial Disclosure

US Senate Select Committee on Ethics

PART IIIA.
Publicly Traded Assets & Unearned Income

- Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, for production of income or investment which:
 - had a value exceeding \$1,000 at the close of the reporting period; and/or
 - generated over \$200 in "unearned" income during the reporting period

US Senate Select Committee on Ethics

PART IIIA.
YOU SHOULD REPORT ALL :

■ Stocks	■ Futures Contracts
■ Bonds	■ Excepted Trusts
■ Mutual Funds	■ Qualified Blind Trusts
■ Pension Interests	■ Underlying personal trade accounts
■ Annuities	➢ (e*trade, Ameritrade, etc.),
■ IRA assets	■ Personal Banking Accounts
■ 529 Plans	➢ Other bank accounts in excess of \$5000, which include:
	• CD's & Money Market Accounts

US Senate Select Committee on Ethics

PART IIIA.

- **PUBLICLY TRADED STOCKS & BONDS**
 - Identify by complete name, and preferably the exchange upon which it's listed
- **MUTUAL FUNDS**
 - Identify by complete name, including name of investment institution offering the fund (Templeton, Vanguard, etc.)
 - and the specific identification of the fund (Total Return, Equity, etc.).
- **MUNICIPAL BONDS**
 - Identify by name of municipality offering the bond and complete name of the bond

United States Senate
Select Committee on Ethics - Financial Disclosure

US Senate Select Committee on Ethics

PART IIIA.
PERSONAL SAVINGS
ACCOUNTS

- Report the complete name of the financial institution where personal accounts are held
 - (This would include personal savings, checking, money market, certificates of deposit, and any other accounts at any institution)
- AGGREGATE MORE THAN \$5,000 at the end of the reporting period or which
- GENERATED MORE THAN \$200 in unearned income during the reporting period.

US Senate Select Committee on Ethics

PART IIIA.
EXCEPTED
INVESTMENT FUND

- A mutual fund, common trust fund of a bank, pension or deferred compensation plan, or any other investment fund which is:
 - Widely held (i.e. has more than 100 participants or investors); and
 - Publicly traded (or available) or widely-diversified; and
 - Held under circumstances where you don't have control over the financial interests held by the fund.

US Senate Select Committee on Ethics

PART IIIA.
INVESTMENT CLUBS
OR OTHER
HOLDING ARRANGEMENTS

- Identify the investment club and also any underlying investment asset or property held by the club where
 - (i) you (your spouse or dependent child's) interest in the underlying asset exceeds \$1,000 in value or
 - (ii) where the underlying asset generated more than \$200 in income for you (your spouse or dependent child)
 - (iii) if the investment club or holding itself meets the definition of an excepted investment fund, the information regarding the underlying assets are not reportable

United States Senate
Select Committee on Ethics - Financial Disclosure

US Senate Select Committee on Ethics

PART IIIA.
RETIREMENT PLANS

- Identify each asset held by a self-directed retirement plan.
- A self-directed retirement plan is one which does not meet the definition of an excepted investment fund.
 - Widely held (i.e. has more than 100 participants or investors); and
 - Publicly traded (or available) or widely-diversified; and
 - Held under circumstances where you don't have control over the financial interests held by the fund.

US Senate Select Committee on Ethics

PART IIIA.
EXCEPTED TRUST

- An excepted trust is one which:
 - Was not created by you, your spouse, or your dependent child; and
 - Has holdings or sources of income of which you, your spouse, and any dependent child have no knowledge.

US Senate Select Committee on Ethics

PART IIIA.
QUALIFIED BLIND TRUST

- A qualified blind trust is a trust which has been certified by the Senate Select Committee on Ethics, in accordance with Senate Rule 34.

CERTIFIED
By the
Select Committee
on Ethics

United States Senate Select Committee on Ethics - Financial Disclosure

Reporting Individual's Name: **JOE FILER** Form Number: **3**

BLOCK A: Identity of Publicly Traded Assets and Unearned Income Sources
Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child. (See p. 3, CONTENTS OF REPORTS Part A or instructions) for production of income or investment which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include on this PART III a complete identification of each public bond, mutual fund, publicly traded partnership interest, unexcused investment funds, bank accounts, unexcused and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B: Valuation of Assets
At the close of reporting period:
If None, or less than \$1,001, Check the first column.
If More (or less than \$200) is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

BLOCK C: Type and Amount of Income
If "None (or less than \$200)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income
New Column - "None" for Type of Income.

Amount of Income

Asset Name	None	\$1,001 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$10,000	\$10,001 - \$25,000	\$25,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Received if "Other" Specified
MOOLAH, INC. (NYSE)		<input checked="" type="checkbox"/>											
HOME DEPOT		<input checked="" type="checkbox"/>											
LEI GROWTH AND INCOME		<input checked="" type="checkbox"/>											
MICROSOFT		<input checked="" type="checkbox"/>											Partial Sale
FORD MOTOR		<input checked="" type="checkbox"/>											Complete Sale
DAMLER CHRYSLER		<input checked="" type="checkbox"/>											
CIRCUIT CITY (Short Sale)		<input checked="" type="checkbox"/>											
TARGET (Underwater Stock Option)		<input checked="" type="checkbox"/>											
CISCO		<input checked="" type="checkbox"/>											

EXEMPTION TEST (See instructions before marking box) If you checked any asset box(es) it means this item has not been exempted. (See instructions, please check box in the type.

This category applies only if the asset is not held independently by the spouse or dependent child. If the asset is held either solely by the filer or jointly held, use the other category of value, as appropriate.

US Senate Select Committee on Ethics

EXEMPTION TEST

The reporting individual is not required to report assets, transactions, and/or liabilities which the reporting individual certifies:

- (1) represents the spouse's or dependent child's sole financial interest or responsibility and which the reporting individual has no knowledge of;
- (2) which are not in any way, past or present, derived from the income, assets, or activities of the reporting individual; and
- (3) which the reporting individual neither derives, nor expects to derive, any financial or economic benefit.

Reporting Individual's Name: **JOE FILER** Form Number: **4**

BLOCK A: Identity of Non-Publicly Traded Assets and Unearned Income Sources
Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p. 3, CONTENTS OF REPORTS Part B or instructions) for production of income or investment in a non-public trade or business which:

(1) had a value exceeding \$1,000 at the close of the reporting period; and/or
(2) generated over \$200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B: Valuation of Assets
At the close of reporting period:
If None, or less than \$1,001, Check the first column.
If More (or less than \$200) is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

BLOCK C: Type and Amount of Income
If "None (or less than \$200)" is checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

Type of Income
New Column - "None" for Type of Income.

Amount of Income

Asset Name	None	\$1,001 - \$1,000	\$1,001 - \$5,000	\$5,001 - \$10,000	\$10,001 - \$25,000	\$25,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Received if "Other" Specified
BOXER AND REARSON ETHICS VOICER			<input checked="" type="checkbox"/>										\$6,345
LTD. PARTNERSHIP WASHINGTON, DC		<input checked="" type="checkbox"/>											
Maycough Investments Holding Co. NY, NY		<input checked="" type="checkbox"/>											
LIQUID BRICK, LLP HARRIS CO, RICHMOND, VA		<input checked="" type="checkbox"/>											
Undeveloped Land, Laplata, MD		<input checked="" type="checkbox"/>											
SF Home Rental, Washington, DC			<input checked="" type="checkbox"/>										
SF Home Rental, Severn, MD			<input checked="" type="checkbox"/>										

EXEMPTION TEST (See instructions before marking box) If you checked any asset box(es) it means this item has not been exempted. (See instructions, please check box in the type.

This category applies only if the asset is held independently by the spouse or dependent child. If the asset is held either solely by the filer or jointly held, use the other category of value, as appropriate.

United States Senate

Select Committee on Ethics - Financial Disclosure



GENERAL RULE

- If not a lobbyist or foreign agent, or entity that employs or retains a lobbyist or foreign agent.



- One time limit - \$49.99
- Yearly aggregate limit - \$99.99
- "no limit" - \$9.99 or less




RE - 1 / 2

Travel Form

- All Staffers fill out RE 1 / 2.
- Forms should be filed with OPR within 30 days after completion of travel.
- Make sure all signatures and dates are filled in before form is turned in to OPR.
- Available on-line

Travel reported more than 30 days after completion of travel should be reported on **Part VI – Reimbursements** of your Public Financial Disclosure Form.



RE - 1 / 2

Travel Form

- All Staffers fill out RE 1 / 2.
- Forms should be filed with OPR within 30 days after completion of travel.
- Make sure all signatures and dates are filled in before form is turned in to OPR.
- Available on-line

Travel reported more than 30 days after completion of travel should be reported on **Part VI – Reimbursements** of your Public Financial Disclosure Form.

United States Senate
Select Committee on Ethics - Financial Disclosure



US Senate Select Committee on Ethics

**IF YOU HAVE ANY
QUESTIONS, PLEASE
CALL**

The Senate Select Committee on Ethics



224-2981 (main)
224-7416 (fax)

mailbox_office@ethics.senate.gov (email)

All Advice is Confidential
