## [DISCUSSION DRAFT]

[AS OF MARCH 9, 2010]

[Modifications to HR 2067, Protecting America's Workers Act]

111TH CONGRESS 2D Session **H.R**.

To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for certain violators, and for other purposes.

## IN THE HOUSE OF REPRESENTATIVES

Ms. WOOLSEY introduced the following bill; which was referred to the Committee on \_\_\_\_\_

## A BILL

- To amend the Occupational Safety and Health Act of 1970 to expand coverage under the Act, to increase protections for whistleblowers, to increase penalties for certain violators, and for other purposes.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,

## 1 SECTION 1. SHORT TITLE.

2 This Act may be cited as the "Protecting America's3 Workers Act".

## 4 SEC. 2. REFERENCES.

5 Except as otherwise expressly provided, wherever in 6 this Act an amendment or repeal is expressed in terms 7 of an amendment to, or repeal of, a section or other provi-8 sion, the reference shall be considered to be made to a 9 section or other provision of the Occupational Safety and 10 Health Act of 1970 (29 U.S.C. 651 et seq.).

# 11 TITLE I—COVERAGE OF PUBLIC 12 EMPLOYEES AND APPLICA13 TION OF ACT

#### 14 SEC. 101. COVERAGE OF PUBLIC EMPLOYEES.

(a) IN GENERAL.—Section 3(5) (29 U.S.C. 652(5))
is amended by striking "but does not include" and all that
follows through the period at the end and inserting "including the United States, a State, or a political subdivision of a State.".

(b) CONSTRUCTION.—Nothing in this Act shall be
construed to affect the application of section 18 of the Occupational Safety and Health Act of 1970 (29 U.S.C.
667).

#### 24 SEC. 102. APPLICATION OF ACT.

25 Section 4(b) (29 U.S.C. 653(b)(1)) is amended—

(1) by redesignating paragraphs (2), (3), and
 (4) as paragraphs (5), (6), and (7), respectively; and
 (2) by striking paragraph (1) and inserting the
 following:

5 "(1) If a Federal agency has promulgated and is enforcing a standard or regulation affecting occupational 6 7 safety or health of some or all of the employees within 8 that agency's regulatory jurisdiction, and the Secretary 9 determines that such a standard or regulation as promulgated and the manner in which the standard or regulation 10 is being enforced provides protection to those employees 11 12 that is at least as effective as the protection provided to 13 those employees by this Act and the Secretary's enforcement of this Act, the Secretary may publish a certification 14 15 notice in the Federal Register. The notice shall set forth that determination and the reasons for the determination 16 17 and certify that the Secretary has ceded jurisdiction to 18 that Federal agency with respect to the specified standard 19 or regulation affecting occupational safety or health. In 20 determining whether to cede jurisdiction to a Federal 21 agency, the Secretary shall seek to avoid duplication of, 22 and conflicts between, health and safety requirements. 23 Such certification shall remain in effect unless and until 24 rescinded by the Secretary.

"(2) The Secretary shall, by regulation, establish pro-1 2 cedures by which any person who may be adversely affected by a decision of the Secretary certifying that the 3 4 Secretary has ceded jurisdiction to another Federal agency 5 pursuant to paragraph (1) may petition the Secretary to 6 rescind a certification notice under paragraph (1). Upon 7 receipt of such a petition, the Secretary shall investigate 8 the matter involved and shall, within 90 days after receipt 9 of the petition, publish a decision with respect to the peti-10 tion in the Federal Register.

"(3) Any person who may be adversely affected by—
"(A) a decision of the Secretary certifying that
the Secretary has ceded jurisdiction to another Federal agency pursuant to paragraph (1); or

15 "(B) a decision of the Secretary denying a peti16 tion to rescind such a certification notice under
17 paragraph (1),

may, not later than 60 days after such decision is pub-18 19 lished in the Federal Register, file a petition challenging 20 such decision with the United States court of appeals for 21 the circuit in which such person resides or such person 22 has a principal place of business, for judicial review of 23 such decision. A copy of the petition shall be forthwith 24 transmitted by the clerk of the court to the Secretary. The Secretary's decision shall be set aside if found to be arbi-25

1 trary, capricious, an abuse of discretion, or otherwise not

2 in accordance with law.

3 "(4) Nothing in this Act shall apply to working condi4 tions covered by the Federal Mine Safety and Health Act
5 of 1977 (30 U.S.C. 801 et seq.).".

# 6 TITLE II—INCREASING PROTEC7 TIONS FOR WHISTLE8 BLOWERS

## 9 SEC. 201. EMPLOYEE ACTIONS.

10 Section 11(c)(1) (29 U.S.C. 660(c)(1)) is amended 11 by inserting before the period at the end the following: 12 ", including the reporting of any injury, illness, or unsafe 13 condition to the employer, agent of the employer, safety 14 and health committee involved, or employee safety and 15 health representative involved".

## 16 SEC. 202. PROHIBITION OF DISCRIMINATION.

17 Section 11(c) (29 U.S.C. 660(c)) is amended by strik-18 ing paragraph (2) and inserting the following:

19 "(2) No person shall discharge or in any manner discriminate against an employee for refusing to 21 perform the employee's duties if the employee has a 22 reasonable apprehension that performing such duties 23 would result in serious injury to, or serious impair-24 ment of the health of, the employee or other employ-25 ees. The circumstances causing the employee's ap-

1 prehension of serious injury or serious impairment 2 of health shall be of such a nature that a reasonable 3 person, under the circumstances confronting the em-4 ployee, would conclude that there is a bona fide dan-5 ger of a serious injury, or serious impairment of 6 health, resulting from the circumstances. In order to 7 qualify for protection under this paragraph, the em-8 ployee, when practicable, shall have sought from the 9 employee's employer, and have been unable to ob-10 tain, a correction of the circumstances causing the 11 refusal to perform the employee's duties.".

## 12 SEC. 203. PROCEDURE.

13 Section 11(c) (29 U.S.C. 660(c)) is amended by strik14 ing paragraph (3) and inserting the following:

15 "(3) COMPLAINT.—Any employee who believes
16 that the employee has been discharged, disciplined,
17 or otherwise discriminated against by any person in
18 violation of paragraph (1) or (2) may seek relief for
19 such violation by filing a complaint with the Sec20 retary under paragraph (5).

21 "(4) Statute of limitations.—

22 "(A) IN GENERAL.—An employee may take
23 the action permitted by paragraph (3)(A) not
24 later than 180 days after the later of—

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1	"(i) the date on which an alleged vio-
2	lation of paragraph (1) or (2) occurs; or
3	"(ii) the date on which the employee
4	knows or should reasonably have known
5	that such alleged violation occurred.
6	"(B) REPEAT VIOLATION.—Except in
7	cases when the employee has been discharged,
8	a violation of paragraph (1) or (2) shall be con-
9	sidered to have occurred on the last date an al-
10	leged repeat violation occurred.
11	"(5) Investigation.—
12	"(A) IN GENERAL.—An employee may,
13	within the time period required under para-
14	graph (4)(B), file a complaint with the Sec-
15	retary alleging a violation of paragraph (1) or
16	(2). If the complaint alleges a prima facie case,
17	the Secretary shall conduct an investigation of
18	the allegations in the complaint, which—
19	"(i) shall include—
20	"(I) interviewing the complain-
21	ant;
22	"(II) providing the respondent an
23	opportunity to—

1	"(aa) submit to the Sec-
2	retary a written response to the
3	complaint; and
4	"(bb) meet with the Sec-
5	retary to present statements from
6	witnesses or provide evidence;
7	and
8	"(III) providing the complainant
9	an opportunity to—
10	"(aa) receive any statements
11	or evidence provided to the Sec-
12	retary;
13	"(bb) meet with the Sec-
14	retary; and
15	"(cc) rebut any statements
16	or evidence; and
17	"(ii) may include issuing subpoenas
18	for the purposes of such investigation.
19	"(B) DECISION.—Not later than 90 days
20	after the filing of the complaint, the Secretary
21	shall—
22	"(i) issue a decision on whether to
23	order relief; and

1	"(ii) notify, in writing, the complain-
2	ant and the respondent named in the com-
3	plaint of such decision.

4 "(6) PRELIMINARY ORDER FOLLOWING INVES-5 TIGATION.—If, after completion of an investigation 6 under paragraph (5)(A), the Secretary finds reason-7 able cause to believe that a violation of paragraph 8 (1) or (2) has occurred, the Secretary shall issue a 9 preliminary order providing relief authorized under 10 paragraph (14) at the same time the Secretary 11 issues a decision under paragraph (5)(B). If a de 12 novo hearing is not requested within the time period 13 required under paragraph (7)(A)(i), such prelimi-14 nary order shall be deemed a final order of the Sec-15 retary and is not subject to judicial review.

- 16 "(7) HEARING.—
- 17 "(A) REQUEST FOR HEARING.—
  18 "(i) IN GENERAL.—A de novo hearing
  19 on the record before an administrative law
  20 judge may be requested—

21 "(I) by the complainant or re22 spondent within 30 days after receiv23 ing notification of a decision or pre24 liminary order for relief issued under
25 paragraph (5)(B) or (6), respectively;

	10
1	"(II) by the complainant within
2	30 days after the date the complaint
3	is dismissed without investigation by
4	the Secretary under paragraph (5)(A);
5	or
6	"(III) by the complainant within
7	120 days after the date of filing the
8	complaint, if the Secretary has not
9	issued a decision under paragraph
10	(5)(B).
11	"(ii) Reinstatement order.—The
12	request for a hearing shall not operate to
13	stay any preliminary reinstatement order
14	issued under paragraph (6).
15	"(B) PROCEDURES.—
16	"(i) IN GENERAL.—A hearing re-
17	quested under this paragraph shall be con-
18	ducted expeditiously and in accordance
19	with rules established by the Secretary for
20	hearings conducted by administrative law
21	judges.
22	"(ii) SUBPOENAS; PRODUCTION OF
23	EVIDENCE.—In conducting any such hear-
24	ing, the administrative law judge may issue
25	subpoenas. The respondent or complainant

1	may request the issuance of subpoenas
2	that require the deposition of, or the at-
3	tendance and testimony of, witnesses and
4	the production of any evidence (including
5	any books, papers, documents, or record-
6	ings) relating to the matter under consid-
7	eration.
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8 "(iii) DECISION.—The administrative 9 law judge shall issue a decision not later 10 than 90 days after the date on which a 11 hearing was requested under this para-12 graph and promptly notify, in writing, the 13 parties and the Secretary of such decision, 14 including the findings of fact and conclu-15 sions of law. If the administrative law 16 judge finds that a violation of paragraph 17 (1) or (2) has occurred, the judge shall 18 issue an order for relief under paragraph 19 (14). If review under paragraph (8) or 20 (11) is not timely requested, such order 21 shall be deemed a final order of the Sec-22 retary that is not subject to judicial review. "(8) Administrative appeal.— 23 24

"(A) IN GENERAL.—Not later than 30 days after the date of notification of a decision

and order issued by an administrative law judge
 under paragraph (7), the complainant or re spondent may file, with objections, an adminis trative appeal with the Secretary (or an admin istrative review body designated by the Sec retary).

7 "(B) STANDARD OF REVIEW.—In review-8 ing the decision and order of the administrative 9 law judge, the Secretary (or designated admin-10 istrative review body) shall affirm the decision 11 and order if it is determined that the factual 12 findings set forth therein are supported by sub-13 stantial evidence and the decision and order are 14 made in accordance with applicable law.

15 "(C) DECISION.—If the Secretary grants 16 the administrative appeal and finds that a viola-17 tion of paragraph (1) or (2) has occurred, the 18 Secretary shall issue, within 60 days of receipt 19 of the administrative appeal, a final decision 20 and order providing relief authorized under 21 paragraph (14), and such decision and order 22 shall constitute a final agency action.

23 "(9) SETTLEMENT IN THE ADMINISTRATIVE
24 PROCESS.—

1	"(A) IN GENERAL.—At any time before
2	issuance of a final order, an investigation or
3	proceeding under this subsection may be termi-
4	nated on the basis of a settlement agreement
5	entered into by—
6	"(i) the Secretary or an administra-
7	tive law judge conducting a hearing under
8	this subsection;
9	"(ii) the complainant; and
10	"(iii) the respondent.
11	"(B) Public policy considerations.—
12	The Secretary or an administrative law judge
13	conducting a hearing under this subsection may
14	not accept a settlement that contains conditions
15	conflicting with the rights protected under this
16	Act or that are contrary to public policy, includ-
17	ing a restriction on a complainant's right to fu-
18	ture employment with employers other than the
19	specific employers named in a complaint.
20	"(10) INACTION BY THE SECRETARY OR ADMIN-
21	ISTRATIVE LAW JUDGE.—
22	"(A) IN GENERAL.—The complainant may
23	bring a de novo action described in subpara-
24	graph (B) if—

"(i) an administrative law judge has
 not issued a decision and order within the
 90-day time period required under para graph (7)(B)(iii); or

5 "(ii) the Secretary has not issued a
6 decision and order within the 60-day time
7 period required under paragraph (8)(C).

8 "(B) DE NOVO ACTION.—Such de novo ac-9 tion may be brought at law or equity in the 10 United States district court for the district 11 where a violation of paragraph (1) or (2) alleg-12 edly occurred or where the complainant resided 13 on the date of such alleged violation. The court 14 shall have jurisdiction over such action without 15 regard to the amount in controversy and to 16 order appropriate relief under paragraph (14). 17 Such action shall, at the request of either party 18 to such action, be tried by the court with a 19 jury.

20 "(11) JUDICIAL REVIEW.—

21 "(A) TIMELY APPEAL TO THE COURT OF
22 APPEALS.—Any party adversely affected or ag23 grieved by a final decision and order issued
24 under this subsection may obtain review of such
25 decision and order in the United States Court

1 of Appeals for the circuit where the violation, 2 with respect to which such final decision and 3 order was issued, allegedly occurred or where 4 the complainant resided on the date of such al-5 leged violation. To obtain such review, a party 6 shall file a petition for review not later than 60 7 days after the final decision and order was 8 issued. Such review shall conform to chapter 7 9 of title 5, United States Code. The commencement of proceedings under this subparagraph 10 11 shall not, unless ordered by the court, operate 12 as a stay of the final decision and order. 13 "(B) LIMITATION ON COLLATERAL AT-

TACK.— An order and decision with respect to
which review may be obtained under subparagraph (A) shall not be subject to judicial review
in any criminal or other civil proceeding.

18 "(12) ENFORCEMENT OF ORDER.—If a re-19 spondent fails to comply with an order issued under 20 this subsection, the Secretary or the complainant on whose behalf the order was issued may file a civil ac-21 22 tion for enforcement in the United States district 23 court for the district in which the violation was 24 found to occur to enforce such order. If both the 25 Secretary and the complainant file such action, the

action of the Secretary shall take precedence. The
 district court shall have jurisdiction to grant all ap propriate relief including, injunctive relief, compen satory or exemplary damages, and reasonable attor neys' fees and costs.

6 "(13) BURDENS OF PROOF.—

7 "(A) CRITERIA FOR DETERMINATION.—In adjudicating a complaint pursuant to this sub-8 9 section, the Secretary or a court may determine 10 that a violation of paragraph (1) or (2) has oc-11 curred only if the complainant demonstrates 12 that any conduct described in paragraph (1) or (2) with respect to the complainant was a con-13 14 tributing factor in the adverse action alleged in 15 the complaint.

"(B) PROHIBITION.—Notwithstanding sub-16 17 paragraph (A), a decision or order that is favor-18 able to the complainant shall not be issued in 19 any administrative or judicial action pursuant 20 to this subsection if the respondent dem-21 onstrates by clear and convincing evidence that 22 the respondent would have taken the same adverse action in the absence of such conduct. 23

24 "(14) Relief.—

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1	"(A) Order for relief.—If the Sec-
2	retary or a court determines that a violation of
3	paragraph (1) or (2) has occurred, the Sec-
4	retary or court, respectively, shall have jurisdic-
5	tion to order all appropriate relief, including in-
6	junctive relief, compensatory and exemplary
7	damages, including—
8	"(i) affirmative action to abate the
9	violation;
10	"(ii) reinstatement without loss of po-
11	sition or seniority, and restoration of the
12	terms, rights, conditions, and privileges as-
13	sociated with the complainant's employ-
14	ment, including opportunities for pro-
15	motions to positions with equivalent or bet-
16	ter compensation for which the complain-
17	ant is qualified;
18	"(iii) compensatory and consequential
19	damages sufficient to make the complain-
20	ant whole, (including back pay, prejudg-
21	ment interest, and other damages); and
22	"(iv) expungement of all warnings,
23	reprimands, or derogatory references that
24	have been placed in paper or electronic
25	records or databases of any type relating

1	to the actions by the complainant that
2	gave rise to the unfavorable personnel ac-
3	tion, and, at the complainant's direction,
4	transmission of a copy of the decision on
5	the complaint to any person whom the
6	complainant reasonably believes may have
7	received such unfavorable information.
8	"(B) Attorneys' fees and costs.—If
9	the Secretary or a court grants an order for re-
10	lief under subparagraph (A), the Secretary or
11	court, respectively, shall assess, at the request
12	of the employee against the employer—
13	"(i) reasonable attorneys' fees; and
14	"(ii) costs (including expert witness
15	fees)) reasonably incurred, as determined
16	by the Secretary or court respectively, in
17	connection with bringing the complaint
18	upon which the order was issued.
19	"(15) PROCEDURAL RIGHTS.—The rights and
20	remedies provided for in this subsection may not be
21	waived by any agreement, policy, form, or condition
22	of employment, including by any pre-dispute arbitra-
23	tion agreement or collective bargaining agreement.
24	"(16) SAVINGS.—Nothing in this section shall
25	be construed to diminish the rights, privileges, or

1	remedies of any employee who exercises rights under
2	any Federal or State law or common law, or under
3	any collective bargaining agreement.
4	"(17) Election of venue.—
5	"(A) IN GENERAL.—An employee of an
6	employer who is located in a State that has a
7	State plan approved under section 18 may file
8	a complaint alleging a violation of paragraph
9	(1) or (2) by such employer with—
10	"(i) the Secretary under paragraph
11	(5); or
12	"(ii) a State plan administrator in
13	such State.
14	"(B) Referrals.—If—
15	"(i) the Secretary receives a complaint
16	pursuant to subparagraph (A)(i), the Sec-
17	retary shall not refer such complaint to a
18	State plan administrator for resolution; or
19	"(ii) a State plan administrator re-
20	ceives a complaint pursuant to subpara-
21	graph (A)(ii), the State plan administrator
22	shall not refer such complaint to the Sec-
23	retary for resolution.".

## 1 SEC. 204. RELATION TO ENFORCEMENT.

2 Section 17(j) (29 U.S.C. 666(j)) is amended by in3 serting before the period the following: ", including the
4 history of violations under section 11(c)".

## 5 **TITLE III—INCREASING**

## 6 **PENALTIES FOR VIOLATORS**

## 7 SEC. 301. POSTING OF EMPLOYEE RIGHTS.

8 Section 8(c)(1) (29 U.S.C. 657(c)(1)) is amended by 9 adding at the end the following new sentence: "Such regu-10 lations shall include provisions requiring employers to post 11 for employees information on the protections afforded 12 under section 11(c).".

13 SEC. 302. EMPLOYER REPORTING OF WORK-RELATED
14 DEATHS AND HOSPITALIZATIONS AND PROHI15 BITION ON DISCOURAGING EMPLOYEE RE16 PORTS OF INJURY OR ILLNESS.

17 Section 8(c)(2) (29 U.S.C. 657(c)(2)) is amended by adding at the end the following new sentences: "Such reg-18 19 ulations shall require employers to promptly notify the 20 Secretary of any work-related death or work-related injury 21 or illness that results in the in-patient hospitalization of 22 an employee for medical treatment. Such regulations shall 23 also prohibit the employer from adopting or implementing 24 policies or practices by the employer that have the effect of discouraging accurate recordkeeping and the reporting 25 of work-related injuries or illnesses by any employee or 26

in any manner discriminates or provides for adverse action
 against any employee for reporting a work-related injury
 or illness."

#### 4 SEC. 303. NO LOSS OF EMPLOYEE PAY FOR INSPECTIONS.

5 Section 8(e) (29 U.S.C. 657(e)) is amended by insert-6 ing after the first sentence the following: "Time spent by 7 an employee participating in or aiding any such inspection 8 shall be deemed to be hours worked and no employee shall 9 suffer any loss of wages, benefits, or other terms and con-10 ditions of employment for having participated in or aided 11 any such inspection.".

## 12 SEC. 304. INVESTIGATIONS OF FATALITIES AND SIGNIFI-13 CANT INCIDENTS.

Section 8 (29 U.S.C. 657) is amended by adding atthe end the following new subsection:

16 "(i) INVESTIGATION OF FATALITIES AND SERIOUS17 INCIDENTS.—

18 "(1) IN GENERAL.—The Secretary shall investigate19 any significant incident or an incident resulting in death20 that occurs in a place of employment.

21 "(2) APPROPRIATE MEASURES.—If a significant inci-22 dent or an incident resulting in death occurs in a place 23 of employment, the employer shall promptly notify the 24 Secretary of the incident involved and shall take appro-25 priate measures to prevent the destruction or alteration

of any evidence that would assist in investigating the inci-1 2 dent. The appropriate measures required by this para-3 graph do not prevent an employer from taking action on 4 a worksite to prevent injury to employees or substantial 5 damage to property or to avoid disruption of essential 6 services necessary to public safety. If an employer takes 7 such action, the employer shall notify the Secretary of the 8 action in a timely fashion.

9 "(3) DEFINITIONS.—In this subsection:

10 "(A) INCIDENT RESULTING IN DEATH.—The
11 term 'incident resulting in death' means an incident
12 that results in the death of an employee.

13 "(B) SIGNIFICANT INCIDENT.—The term 'sig14 nificant incident' means an incident that results in
15 the in-patient hospitalization of 2 or more employees
16 for medical treatment.".

## 17 SEC. 305. PROHIBITION ON UNCLASSIFIED CITATIONS.

18 Section 9 (29 U.S.C. 658) is amended by adding at19 the end the following:

"(d) No citation for a violation of this Act may be
issued, modified, or settled under this section without a
designation enumerated in section 17 with respect to such
violation.".

## 1 SEC. 306. VICTIMS' RIGHTS.

2 The Act is amended by inserting after section 9 (293 U.S.C. 658) the following:

## 4 "SEC. 9A. VICTIM'S RIGHTS.

5 "(a) RIGHTS BEFORE THE SECRETARY.—A victim or
6 the representative of a victim, shall be afforded the right,
7 with respect to an inspection or investigation conducted
8 under section 8 to—

9 "(1) meet with the Secretary regarding the in-10 spection or investigation conducted under such sec-11 tion before the Secretary's decision to issue a cita-12 tion or take no action;

"(2) receive, at no cost, a copy of any citation
or report, issued as a result of such inspection or investigation, at the same time as the employer receives such citation or report;

17 "(3) be informed of any notice of contest or ad18 dition of parties to the proceedings filed under sec19 tion 10(c); and

20 "(4) be provided notification of the date and 21 time or any proceedings, service of pleadings, and 22 other relevant documents, and an explanation of the 23 rights of the employer, employee and employee rep-24 resentative, and victim to participate in proceedings 25 conducted under section 10(c).

"(b) RIGHTS BEFORE THE COMMISSION.—Upon re quest, a victim or representative of a victim shall be af forded the right with respect to a work-related bodily in jury or death to—

5 "(1) be notified of the time and date of any6 proceeding before the Commission; and

7 "(2) receive pleadings and any decisions relat-8 ing to the proceedings; and

9 "(3) be provided an opportunity to appear and
10 make a statement in accordance with the rules pre11 scribed by the Commission.

12 "(c) MODIFICATION OF CITATION.—Before entering into an agreement to withdraw or modify a citation issued 13 as a result of an inspection or investigation of an incident 14 15 under section 8, the Secretary shall notify a victim or rep-16 resentative of a victim and provide the victim or represent-17 ative of a victim with an opportunity to appear and make 18 a statement before the parties conducting settlement nego-19 tiations. In lieu of an appearance, the victim or represent-20 ative of the victim may elect to submit a letter to the Sec-21 retary and the parties.

22 "(d) SECRETARY PROCEDURES.—The Secretary shall
23 establish procedures—

24 "(1) to inform victims of their rights under this25 section; and

"(2) for the informal review of any claim of a
 denial of such a right.

3 "(e) COMMISSION PROCEDURES.—The Commission
4 shall establish procedures relating to the rights of victims
5 to be heard in proceedings before the Commission.

6 "(f) DEFINITION.—In this section, the term 'victim'
7 means—

8 "(1) an employee, including a former employee,
9 who has sustained a work-related injury or illness
10 that is the subject of an inspection or investigation
11 conducted under section 8, or

12 "(2) a family member (as further defined by
13 the Secretary) of a victim described in paragraph
14 (1), if—

15 "(A) the victim dies as a result of a inci16 dent that is the subject of an inspection or in17 vestigation conducted under section 8; or

18 "(B) the victim sustains a work-related in19 jury or illness that is the subject of an inspec20 tion or investigation conducted under section 8,
21 and the victim because of incapacity cannot rea22 sonably exercise the rights under this section.".
23 SEC. 307. RIGHT TO CONTEST CITATIONS AND PENALTIES.

24 Section 10 (20 U.S.C. 659) is amended—

25 (1) in the first sentence of subsection (b)—

1	(A) by inserting ", with the exception of
2	violations designated as serious, willful, or re-
3	peated," after "(which period shall not begin to
4	run'';
5	(2) in subsection (c)—
6	(A) in the first sentence—
7	(i) by inserting after "that he intends
8	to contest a citation issued under section
9	(9)" the following: "(or a modification of a
10	citation issued under this section)";
11	(ii) by inserting after "the issuance of
12	a citation under section 9" the following:
13	"(including a modification of a citation
14	issued under such section)";
15	(iii) by inserting after "files a notice
16	with the Secretary alleging' the following:
17	"that the citation fails properly to des-
18	ignate the violation as serious, willful, or
19	repeated, that the proposed penalty is not
20	adequate, or';
21	(B) by inserting after the first sentence,
22	the following: "The pendency of a contest be-
23	fore the Commission shall not bar the Secretary
24	from inspecting a place of employment or from
25	issuing a citation under section 9."; and

1	(C) by amending the last sentence—
2	(i) by inserting "employers and" after
3	"Commission shall provide"; and
4	(ii) by inserting before the period at
5	the end ", and notification of any modi-
6	fication of a citation".
7	(3) by adding at the end the following:
8	"(d) Correction of Serious, Willful, or Re-
9	PEATED VIOLATIONS; ABATEMENT PENDING CONTEST
10	and Procedures for a Stay.—
11	"(1) Period permitted for correction of
12	SERIOUS, WILLFUL, OR REPEATED VIOLATIONS
13	For each violation which the Secretary designates as
14	serious, willful, or repeated, the period permitted for
15	the correction of the violation shall begin to run
16	upon receipt of the citation.
17	"(2) FILING OF A MOTION OF CONTEST.—The
18	filing of a notice of contest by an employer—
19	"(A) shall not operate as a stay of the pe-
20	riod for correction of a violation designated as
21	serious, willful, or repeated; and
22	"(B) may operate as a stay of the period
23	for correction of a violation not designated by
24	the Secretary as serious, willful, or repeated.

1	"(3) CRITERIA AND RULES OF PROCEDURE FOR
2	STAYS.—
3	"(A) MOTION FOR A STAY.—An employer
4	may file with the Commission a motion to stay
5	a period for the correction of a violation des-
6	ignated as serious, willful, or repeated.
7	"(B) CRITERIA.—In determining whether
8	a stay should be issued on the basis of a motion
9	filed under subparagraph (A), the Commission
10	shall consider whether—
11	"(i) the employer has demonstrated a
12	substantial likelihood of success on its con-
13	test to the citation;
14	"(ii) the employer will suffer irrep-
15	arable harm absent a stay; and
16	"(iii) a stay will adversely affect the
17	health and safety of workers.
18	"(C) RULES OF PROCEDURE.—The Com-
19	mission shall develop rules of procedure for con-
20	ducting a hearing on a motion filed under sub-
21	paragraph (A) on an expedited basis. At a min-
22	imum, such rules shall provide:
23	"(i) That a hearing before an admin-
24	istrative law judge shall occur not later
25	than 15 days following the filing of the

1	motion for a stay (unless extended at the
2	request of the employer), and shall provide
3	for a decision on the motion not later than
4	15 days following the hearing (unless ex-
5	tended at the request of the employer).
6	"(ii) That a decision of an administra-
7	tive law judge on a motion for stay is ren-
8	dered on a timely basis.
9	"(iii) That if a party is aggrieved by
10	a decision issued by an administrative law
11	judge regarding the stay, such party has
12	the right to file an objection with the Com-
13	mission not later than 5 days after receipt
14	of the administrative law judge's decision.
15	Within 10 days after receipt of the objec-
16	tion, a Commissioner, if a quorum is seat-
17	ed pursuant to section 12(f), shall decide
18	whether to grant review of the objection.
19	If, within 10 days after receipt of the ob-
20	jection, no decision is made on whether to
21	review the decision of the administrative
22	law judge, the Commission declines to re-
23	view such decision, or no quorum is seated,
24	the decision of the administrative law
25	judge shall become a final order of the

1	Commission. If the Commission grants re-
2	view of the objection, the Commission shall
3	issue a decision regarding the stay not
4	later than 30 days after receipt of the ob-
5	jection. If the Commission fails to issue
6	such decision within 30 days, the decision
7	of the administrative law judge shall be-
8	come a final order of the Commission.
9	"(iv) For notification to employees or
10	representatives of affected employees of re-
11	quests for such hearings and shall provide
12	affected employees or representatives of af-
13	fected employees an opportunity to partici-
14	pate as parties to such hearings.".

### 15 SEC. 308. CONFORMING AMENDMENTS.

16 (a) SECTION 17.—Section 17(d) (29 U.S.C. 666(d))
17 is amended to read as follows:

18 "(d) Any employer who fails to correct a violation designated by the Secretary as serious, willful or repeated 19 20 and for which a citation has been issued under section 9(a)21 within the period permitted for its correction (and a stay 22 has not been issued by the Commission under section 10(d)) may be assessed a civil penalty of not more than 23 \$7,000 for each day during which such failure or violation 24 continues. Any employer who fails to correct any other vio-25

1 lation for which a citation has been issued under section 2 9(a) of this title within the period permitted for its correc-3 tion (which period shall not begin to run until the date 4 of the final order of the Commission in the case of any 5 review proceeding under section 10 initiated by the employer in good faith and not solely for delay of avoidance 6 7 of penalties) may be assessed a civil penalty of not more 8 than \$7,000 for each day during which such failure or violation continues.". 9

10 (b) SECTION 11(A).—The first sentence of section
11 11(a) (29 U.S.C. 660(a)) is amended by—

(1) by inserting "(or the failure of the Commission, including an administrative law judge, to make
a timely decision on a request for a stay under section 10(d))" after "an order";

16 (2) by striking "subsection (c)" and inserting
17 "subsections (c) and (d)"; and

(3) by inserting "(or in the case of a petition
from a final Commission order regarding a stay
under section 10(d), 15 days)"after "sixty days".

21 SEC. 309. CIVIL PENALTIES.

22 (a) IN GENERAL.—Section 17 (29 U.S.C. 666) is
23 amended—

24 (1) in subsection (a)—

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1	(A) by striking "\$70,000" and inserting
2	``\$120,000'';
3	(B) by striking "\$5,000" and inserting
4	"\$8,000"; and
5	(C) by adding at the end the following: "If
6	such a violation causes the death of an em-

ployee, such civil penalty amounts shall be increased to not more than \$250,000 for each such violation, but not less than \$50,000 for each such violation, except that for an employer with 25 or fewer employees such penalty shall not be less than \$25,000 for each such violation.";

14 (2) in subsection (b)—

15 (A) by striking "\$7,000" and inserting
16 "\$12,000"; and

17 (B) by adding at the end the following: "If 18 such a violation causes the death of an em-19 ployee, such civil penalty amounts shall be in-20 creased to not more than \$50,000 for each such 21 violation, but not less than \$20,000 for each 22 such violation, except that for an employer with 23 25 or fewer employees such penalty shall not be 24 less than \$10,000 for each such violation.";

1	(3) in subsection (c), by striking "\$7,000" and
2	inserting ''\$12,000'';
3	(4) in subsection (d), by striking "\$7,000" and
4	inserting ''\$12,000'';
5	(5) by redesignating subsections (e) through (l)
6	as subsections (f) through (m), respectively; and
7	(6) in subsection (j) (as redesignated by para-
8	graph (5)), by striking "\$7,000" and inserting
9	``\$12,000;``.
10	(b) INFLATION ADJUSTMENT.—Section 17 (29
11	U.S.C. 666) (as amended by subsection (a)) is further
12	amended by inserting after subsection (d) the following:
13	"(e) Amounts provided under this section for civil
14	penalties shall be adjusted by the Secretary at least once
15	during each 4-year period to account for the percentage
16	increase or decrease in the Consumer Price Index for all
17	urban consumers during such period.".
18	SEC. 310. OSHA CRIMINAL PENALTIES.
19	(a) IN GENERAL.—Section 17 (29 U.S.C. 666) (as
20	amended by section 309) is further amended—
21	(1) by amending subsection (f) to read as fol-
22	lows:
23	(f)(1) Any employer who knowingly violates any
24	standard, rule, or order promulgated under section 6 of

25 this Act, or of any regulation prescribed under this Act,

1 and that violation caused or contributed to death to any 2 employee, shall, upon conviction, be punished by a fine in 3 accordance with section 3571 of title 18, United States 4 Code, or by imprisonment for not more than 10 years, or both, except that if the conviction is for a violation com-5 6 mitted after a first conviction of such person under this 7 subsection or subsection (i), punishment shall be by a fine 8 in accordance with section 3571 of title 18, United States 9 Code, or by imprisonment for not more than 20 years, or 10 by both.

"(2) For the purpose of this subsection, the term 'employer' means, in addition to the definition contained in
section 3 of this Act, any officer or director.";

(2) in subsection (g), by striking "fine of not
more than \$1,000 or by imprisonment for not more
than six months," and inserting "fine in accordance
with section 3571 of title 18, United States Code, or
by imprisonment for not more than 2 years,";

(3) in subsection (h), by striking "fine of not
more than \$10,000, or by imprisonment for not
more than six months," and inserting "fine in accordance with section 3571 of title 18, United States
Code, or by imprisonment for not more than 5
years,";

(4) by redesignating subsections (j) through
 (m) as subsections (k) through (n), respectively; and
 (5) by inserting after subsection (i) the fol lowing:

5 (j)(1) Any employer who knowingly violates any standard, rule, or order promulgated under section 6, or 6 7 any regulation prescribed under this Act, and that viola-8 tion causes or contributes to serious bodily harm to any 9 employee but does not cause death to any employee, shall, 10 upon conviction, be punished by a fine in accordance with 11 section 3571 of title 18, United States Code, or by impris-12 onment for not more than 5 years, or by both, except that if the conviction is for a violation committed after a first 13 14 conviction of such person under this subsection or sub-15 section (e), punishment shall be by a fine in accordance with section 3571 of title 18, United States Code, or by 16 imprisonment for not more than 10 years, or by both. 17

18 "(2) For the purpose of this subsection, the term 'em19 ployer' means, in addition to the definition contained in
20 section 3 of this Act, any officer or director.

"(3) For purposes of this subsection, the term 'serious bodily harm' means any circumstance, deficiency, or
shortfall that could result in an injury or illness including,
risk of death, unconsciousness, physical disfigurement, or
loss or impairment (whether permanent or temporary) of

1 the function of a bodily member, organ, or mental facil-2 ity.".

3 (b) JURISDICTION FOR PROSECUTION UNDER STATE
4 AND LOCAL CRIMINAL LAWS.—Section 17 (29 U.S.C.
5 666) (as amended by subsection (a)) is further amended
6 by adding at the end the following:

7 "(o) Nothing in this Act shall preclude a State or
8 local law enforcement agency from conducting criminal
9 prosecutions in accordance with the laws of such State or
10 locality.".

# 11 TITLE IV—EFFECTIVE DATE

## 12 SEC. 401. EFFECTIVE DATE.

(a) GENERAL RULE.—Except as provided for in subsection (b), this Act and the amendments made by this
Act shall take effect not later than 90 days after the date
of the enactment of this Act.

17 (b) EXCEPTION FOR STATES AND POLITICAL SUB-18 DIVISIONS.—The following are exceptions to the effective19 date described in subsection (a):

(1) A State that has a State plan approved
under section 18 (29 U.S.C. 667) shall amend its
State plan to conform with the requirements of this
Act and the amendments made by this Act not later
than 12 months after the date of the enactment of
this Act. The Secretary of Labor may extend the pe-

riod for a State to make such amendments to its
State plan by not more than 12 months, if the
State's legislature is not in session during the 12month period beginning with the date of the enactment of this Act. Such amendments to the State
plan shall take effect not later than 90 days after
the adoption of such amendments by such State.

8 (2) This Act and the amendments made by this 9 Act shall take effect not later than 36 months after 10 the date of the enactment of this Act in a State, or 11 a political subdivision of a State, that does not have 12 a State plan approved under section 18 (29 U.S.C. 13 667).