

APPENDIX F

ZOE LOFGREN, CALIFORNIA
CHAIR

BEN CHANDLER, KENTUCKY
G. K. BUTTERFIELD, NORTH CAROLINA
KATHY CASTOR, FLORIDA
PETER WELCH, VERMONT

DANIEL J. TAYLOR,
COUNSEL TO THE CHAIR

R. BLAKE CHISAM,
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

JO BONNER, ALABAMA
RANKING REPUBLICAN MEMBER

K. MICHAEL CONAWAY, TEXAS
CHARLES W. DENT, PENNSYLVANIA
GREGG HARPER, MISSISSIPPI
MICHAEL T. McCaul, TEXAS

KELLE A. STRICKLAND,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER

SUITE HT-2, THE CAPITOL
(202) 225-7103

October 22, 2010

CONFIDENTIAL

Representative Charles B. Rangel
2354 Rayburn House Office Building
Washington, DC 20515

Re: In the Matter of Representative Charles B. Rangel

Dear Representative Rangel:

Pursuant to Committee on Standards of Official Conduct Rule 23(f)(1), Committee counsel hereby transmits the documents that counsel intends to use as evidence in the adjudicatory hearing. Enclosed are twelve binders containing Exhibits 1 through 547.

Should you have any questions, please do not hesitate to contact me.

Sincerely,



R. Blake Chisam
Staff Director and Chief Counsel

Enclosures

cc (w/o encl.): Representative Zoe Lofgren, Chair
Representative Michael T. McCaul, Ranking Republican Member

ZOE LOFGREN, CALIFORNIA
CHAIR
BEN CHANDLER, KENTUCKY
G. K. BUTTERFIELD, NORTH CAROLINA
KATHY CASTOR, FLORIDA
PETER WELCH, VERMONT
DANIEL J. TAYLOR,
COUNSEL TO THE CHAIR
R. BLAKE CHISAM,
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS
U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515-6328

JO BONNER, ALABAMA
RANKING REPUBLICAN MEMBER
K. MICHAEL CONAWAY, TEXAS
CHARLES W. DENT, PENNSYLVANIA
GREGG HARPER, MISSISSIPPI
MICHAEL T. McCAUL, TEXAS
KELLE A. STRICKLAND,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER
SUITE HT-2, THE CAPITOL
(202) 225-7103

October 22, 2010

CONFIDENTIAL

Representative Charles B. Rangel
2354 Rayburn House Office Building
Washington, DC 20515

Re: In the Matter of Representative Charles B. Rangel

Dear Representative Rangel:

Pursuant to Committee on Standards of Official Conduct Rule 23(f)(1), Committee counsel hereby transmits a list of the names of witnesses that it intends to call in the adjudicatory hearing, and a summary of their expected testimony.

Should you have any questions, please do not hesitate to contact me.

Sincerely,



R. Blake Chisam
Staff Director and Chief Counsel

Enclosure

cc: Representative Zoe Lofgren, Chair
Representative Michael T. McCaul, Ranking Republican Member

**UNITED STATES HOUSE OF REPRESENTATIVES
COMMITTEE ON STANDARDS OF OFFICIAL CONDUCT
ADJUDICATORY SUBCOMMITTEE**

In the Matter of

REPRESENTATIVE CHARLES B. RANGEL,

Respondent.

_____ /

Witness Summaries

Please take notice that, pursuant to Committee Rule 23(f), counsel for the Committee on Standards of Official Conduct identifies the following individuals that counsel intends to call at the adjudicatory hearing in the Matter of Representative Charles B. Rangel. To the extent any witness identified is not called, Committee rules do not provide for any adverse inferences to be drawn. For each witness, the testimony will include that individual's background and background on the entity identified.

Rachelle Butler

Employer: City College of New York (CCNY)

Position: Vice President, Development and Institutional Advancement Office

Summary of expected testimony:

- Background on the Rangel Center;
- Fundraising efforts on behalf of the Rangel Center;
- Communications and interactions with potential donors to the Rangel Center;
- Communications and interactions with Representative Rangel and his staff;
- Meetings where Representative Rangel and potential donors were present; and
- Actions taken by Representative Rangel and his staff related to fundraising for the Rangel Center.

George Dalley

Employer: Representative Charles B. Rangel (former employer)

Position: Chief of Staff

Summary of expected testimony:

- Background on the Rangel Center;
- Actions taken by Representative Rangel and his staff related to fundraising for the Rangel Center;
- Communications and interactions between CCNY officials and Representative Rangel or his staff regarding the Rangel Center;
- Appropriations sought for the Rangel Center;
- Donors and prospective donors to the Rangel Center, including their business and interests before the House of Representatives; and
- Preparation of Representative Rangel's financial disclosure statements.

James Capel

Employer: Representative Charles B. Rangel

Position: District Director

Summary of expected testimony:

- Background on the Rangel Center;
- Actions taken by Representative Rangel and his staff related to fundraising for the Rangel Center;
- Communications and interactions between CCNY officials and Representative Rangel or his staff regarding the Rangel Center;
- Donors and prospective donors to the Rangel Center, including their business and interests before the House of Representatives;
- Communications and interactions with members of the Olnick Organization in his official capacity; and
- Meetings between Representative Rangel, Rangel staff, and members of the Olnick Organization in Representative Rangel's official capacity.

Walter Swett

Employer: Rangel for Congress and National Leadership PAC

Position: Executive Director

Summary of expected testimony:

- Use of apartment 10U by Representative Rangel and his campaign committees; and
- Communications and meetings with employees of the Olnick Organization, Hampton Management or Fourth Lenox Terrace Associates.

Edward “Ned” Cloonan

Employer: American International Group, Inc. (AIG) (former employer)

Position: Vice President, Corporate and International Affairs

Summary of expected testimony:

- AIG’s business and interests before the House of Representatives;
- AIG’s general process for vetting potential grantees and awarding grants;
- Communications and meetings between AIG staff and CCNY staff regarding a grant to CCNY for the Rangel Center; and
- Communications and meetings between AIG staff and Representative Rangel or his congressional staff regarding potential donations from AIG for the Rangel Center.

George Nichols

Employer: New York Life Insurance Co.

Position: Senior Vice President in Charge of the Office of Governmental Affairs

Summary of expected testimony:

- New York Life’s business and interests before the House of Representatives;
- Internal communications among New York Life employees regarding a potential donation to CCNY for the Rangel Center;
- Communications and meetings between New York Life staff and CCNY staff regarding a grant to CCNY for the Rangel Center; and
- Communications and meetings between New York Life staff and Representative Rangel or his congressional staff regarding potential donations from New York Life for the Rangel Center.

Roger Mott

Employer: Verizon Communications Inc.

Position: Vice President, Government Relations

Summary of expected testimony:

- Communications with Representative Rangel or his staff concerning the Verizon Foundation’s grant to the Rangel Center;
- Communications between Verizon Communications and the Verizon Foundation regarding a grant to the Rangel Center; Verizon Communications’ business and interests before the House of Representatives; and
- Communications with Representative Rangel or his staff regarding Verizon Communications’ interest in legislative issues.

Ivan Seidenberg

Employer: Verizon Communications Inc.

Position: Chairman of the Board and Chief Executive Officer

Summary of expected testimony:

- Verizon Communications' business and interests before the House of Representatives;
- The origin of Verizon Foundation's pledged donation of \$500,000 to CCNY in 2007; and
- Isenberg's decision to approve Verizon Foundation's \$500,000 donation to CCNY for the Rangel Center.

Maurice "Hank" Greenberg

Employer: C.V. Starr & Co., Inc.

Position: Chairman and Chief Executive Officer

Summary of expected testimony:

- A general description of the C.V. Starr Foundation's work and connections to AIG and the C.V. Starr Co., Inc.
- A general description of C.V. Starr & Co.'s work;
- C.V. Starr Foundation's general process for vetting potential grantees and awarding grants;
- Communications and meetings between Greenberg and Representative Rangel regarding the Rangel Center;
- Internal communications among C.V. Starr Foundation employees and directors regarding a potential donation to CCNY for the Rangel Center; and
- C.V. Starr & Co., Inc.'s business and interests before the House of Representatives.

Donald J. Trump

Employer: The Trump Organization

Position: Chairman and President

Summary of expected testimony:

- Communications and meetings between Trump and Representative Rangel regarding the Rangel Center; and
- Trump and The Trump Organization's business and interests before the House of Representatives.

Marnie Pillsbury

Employer: David Rockefeller

Position: Philanthropic Associate

Summary of expected testimony:

- David Rockefeller's philanthropic contributions;
- David Rockefeller's process for taking meetings about making donations of his personal funds;
- David Rockefeller's contacts with Representative Rangel;
- Communications between David Rockefeller and Ms. Pillsbury regarding Mr. Rockefeller's potential donation to CCNY for the Rangel Center;
- Communications between Ms. Pillsbury and others regarding Mr. Rockefeller's potential donation to CCNY for the Rangel Center; and
- David Rockefeller's donation to CCNY for the Rangel Center.

Alison Bernstein

Employer: Ford Foundation (former employer)

Position: Vice President, Knowledge, Creativity & Freedom Program

Summary of expected testimony:

- The Ford Foundation's process for awarding grants;
- Representative Rangel's contacts with the Ford Foundation regarding the Rangel Center;
- CCNY representatives' contacts with the Ford Foundation regarding the Rangel Center;
- Luncheon hosted by the Ford Foundation for the Rangel Center; and
- The Ford Foundation's decision to donate to CCNY for the Rangel Center.

Lorie Slutsky

Employer: New York Community Trust (NYCT)

Position: President

Summary of expected testimony:

- Luncheon hosted by the Ford Foundation for the Rangel Center;
- NYCT's grant to the Rangel Center;
- Correspondence between NYCT and Representative Rangel;
- Background on associations such as the Council on Foundations and Independent Sector;

- Discussion of public charities and distinction between public charities and private foundations; and
- Issues before the House of Representatives potentially affecting public charities and private foundations, generally, and NYCT, specifically.

James Colgate or other representative from NYC Department of Buildings

Employer: New York City Department of Buildings

Position: Assistant Commissioner

Summary of expected testimony:

- The purpose and application of New York City Building Code;
- The agency's process for discovering Building Code violations;
- Enforcement of Building Code violations;
- Zoning and Building Code provisions applicable to Lenox Terrace; and
- Whether Representative Rangel's use of a residential apartment as a campaign office violated any provision of the New York Building Code.

Sheldon Melnitsky

Employer: New York State Department of Housing and Community Renewal (DHCR)

Position: Managing Attorney

Summary of expected testimony:

- The origins and purpose of the New York Rent Stabilization Code;
- Enforcement mechanisms and penalties for violations of the New York Rent Stabilization Code;
- Options available to landlords upon discovery of a tenant's non-conforming use of a rent-stabilized apartment;
- General trends in the conduct of landlords regarding non-conforming use of a rent-stabilized apartment; and
- Whether Representative Rangel's use of a rent-stabilized apartment as a campaign office violated any provision of the New York Rent Stabilization Code.

Neil Rubler

Employer: Olnick Organization (former employer)

Position: Chief Operating Officer

Summary of expected testimony:

- Organizational structure of the Olnick Organization, Hampton Management and Fourth Lenox Terrace Associates;
- Management of the Lenox Terrace complex;
- Creation of the Lenox Terrace “special handling” tenant list;
- Lenox Terrace policies related to rent-stabilized units;
- Internal Olnick Organization communications regarding Representative Rangel’s rent-stabilized apartments;
- Communications and meetings between Olnick Organizations employees with Representative Rangel or Ms. Alma Rangel regarding Representative Rangel’s rent-stabilized units; and
- Communications and meetings with Representative Rangel or his staff in his official capacity.

Respectfully submitted,

Date: 10/22/2010



R. Blake Chisam
Staff Director and Chief Counsel
Committee on Standards of Official Conduct

Copies to: Representative Charles B. Rangel
Representative Zoe Lofgren, Chair
Representative Michael McCaul, Ranking Republican Member

ZOE LOFGREN, CALIFORNIA
CHAIR
BEN CHANDLER, KENTUCKY
G. K. BUTTERFIELD, NORTH CAROLINA
KATHY CASTOR, FLORIDA
PETER WELCH, VERMONT
DANIEL J. TAYLOR,
COUNSEL TO THE CHAIR
R. BLAKE CHISAM,
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS
U.S. House of Representatives
COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT
Washington, DC 20515-6328

JO BONNER, ALABAMA
RANKING REPUBLICAN MEMBER
K. MICHAEL CONAWAY, TEXAS
CHARLES W. DENT, PENNSYLVANIA
GREGG HARPER, MISSISSIPPI
MICHAEL T. McCaul, TEXAS
KELLE A. STRICKLAND,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER
SUITE HT-2, THE CAPITOL
(202) 226-7103

October 29, 2010

CONFIDENTIAL

Representative Charles B. Rangel
2354 Rayburn House Office Building
Washington, DC 20515

Re: In the Matter of Representative Charles B. Rangel

Dear Representative Rangel:

On October 22, 2010, Committee counsel produced to you the documents it intends to use against you in the adjudicatory hearing, which were marked as Exhibits 1 through 547. Upon subsequent review of those documents, we identified two documents had been numbered incorrectly, and have renumbered those documents as follows:

- Exhibit 110 included two pages. Only the page marked CSOC.CBR.00007473 should have been included in that exhibit. The document marked CSOC.CBR.00024436 has now been marked as Exhibit 548.
- Exhibit 162 contained two pages which should not have been included in that exhibit. The pages marked CSOC.CBR.00007293-94 should have been designated as Exhibit 158. The pages marked CSOC.CBR.00007245-46 originally designated as Exhibit 158 have been discarded, as they are a duplicate of Exhibit 157.

No new documents have been added to the record. Should you have any questions, please do not hesitate to contact me.

Sincerely,



R. Blake Chisam
Staff Director and Chief Counsel

Representative Charles B. Rangel
October 29, 2010
Page 2 of 2

cc: Representative Zoe Lofgren, Chair
Representative Michael T. McCaul, Ranking Republican Member

ZOE LOFGREN, CALIFORNIA
CHAIR
BEN CHANDLER, KENTUCKY
G. K. BUTTERFIELD, NORTH CAROLINA
KATHY CASTOR, FLORIDA
PETER WELCH, VERMONT
DANIEL J. TAYLOR,
COUNSEL TO THE CHAIR
R. BLAKE CHISAM,
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

JO BONNER, ALABAMA
RANKING REPUBLICAN MEMBER
K. MICHAEL CONAWAY, TEXAS
CHARLES W. DENT, PENNSYLVANIA
GREGG HARPER, MISSISSIPPI
MICHAEL T. MCCAUL, TEXAS
KELLE A. STRICKLAND,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER
SUITE HT-2, THE CAPITOL
(202) 225-7103

November 5, 2010

CONFIDENTIAL

Representative Charles B. Rangel
2354 Rayburn House Office Building
Washington, DC 20515

Re: In the Matter of Representative Charles B. Rangel

Dear Representative Rangel:

In the course of preparing for the adjudicatory hearing, Committee counsel discovered that your Amended Financial Disclosure Statement for calendar year 2001 was not included in the exhibits produced to you.

In the interest of completeness, we believe the 2001 amendment should be included as part of the record. You previously produced a copy of the 2001 amendment to the investigative subcommittee.

Committee counsel views the 2001 amendment as information favorable to you, and, accordingly, is producing the document pursuant to Committee Rule 25. The document will be Bates-stamped and marked as Exhibit 549.

Sincerely,



R. Blake Chisam
Staff Director and Chief Counsel

Enclosure

Representative Charles B. Rangel
November 5, 2010
Page 2 of 2

Copies to: Representative Zoe Lofgren, Chair
Representative Michael T. McCaul, Ranking Republican Member

CHARLES B. RANGEL
15TH CONGRESSIONAL DISTRICT
NEW YORK

DEPUTY WHIP

COMMITTEE
WAYS AND MEANS
RANKING MEMBER
SUBCOMMITTEE ON TRADE

JOINT COMMITTEE ON TAXATION

Amendment to CY 2001 FD
HAND DELIVERED

2354 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-3215
TELEPHONE: (202) 225-4365

Congress of the United States
House of Representatives
Washington, DC 20515-3215

- DISTRICT OFFICES:
- MS. VIVIAN E. JONES
DISTRICT ADMINISTRATOR
- 163 WEST 126TH STREET
NEW YORK, NY 10027
TELEPHONE: (212) 669-3900
- 2110 FIRST AVENUE
NEW YORK, NY 10029
TELEPHONE: (212) 348-9630

PLEASE RESPOND TO
OFFICE CHECKED

June 18, 2002

Committee on Standards of Official Conduct
US House of Representatives
HT-2, The Capitol
Washington, DC 20515

MC

Dear Members of the Committee:

I am filing an amendment to my financial disclosure statement for 2001 in order to specify the assets held in the Merrill Lynch account jointly owned by my wife Alma and me. A revised schedule III identifies the mutual funds and cash/money accounts managed for us by Merrill Lynch.

LEGISLATIVE RESOURCE CENTER
2002 JUN 19 PM 5: 14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

I also file a schedule IV to reflect the purchase of the Merrill Lynch managed assets and other equities by us in 2001.

RECEIVED
2002 JUN 26 PM 4: 20
COMMITTEE ON STANDARDS

Sincerely,

[Handwritten Signature]
CHARLES B. RANGEL
Member of Congress

CBR:gad

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2001

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2002 JUN 19 PM 5:16

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Congressman Mark Bernard Pappalardo
3354 U.S. House of Representatives
Washington, DC 20515 (Mailing Address)
202-225-4365 (Dwelling Telephone)

Filer Status: Member of the U.S. House of Representatives
 State: *NY* District: *15*
 Report Type: Annual (May 15) Amendment
 Officer or Employee: Officer or Employee
 Employing Office: Termination Date: Termination

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I. Yes No

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II. Yes No

III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III. Yes No

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV. Yes No

V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V. Yes No

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$250 and not otherwise exempt)? If yes, complete and attach Schedule VI. Yes No

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$250 from one source)? If yes, complete and attach Schedule VII. Yes No

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. Yes No

IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. Yes No

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes No

EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes No

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification: I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: *Mark Bernard Pappalardo*
 Date (Month, Day, Year): *6/19/02*

ZOE LOFGREN, CALIFORNIA
CHAIR

BEN CHANDLER, KENTUCKY
G. K. BUTTERFIELD, NORTH CAROLINA
KATHY CASTOR, FLORIDA
PETER WELCH, VERMONT

DANIEL J. TAYLOR,
COUNSEL TO THE CHAIR

R. BLAKE CHISAM,
CHIEF COUNSEL AND STAFF DIRECTOR

ONE HUNDRED ELEVENTH CONGRESS

U.S. House of Representatives

COMMITTEE ON STANDARDS OF
OFFICIAL CONDUCT

Washington, DC 20515-6328

November 10, 2010

JO BONNER, ALABAMA
RANKING REPUBLICAN MEMBER

K. MICHAEL GONAWAY, TEXAS
CHARLES W. DENT, PENNSYLVANIA
GREGG HARPER, MISSISSIPPI
MICHAEL T. McCAUL, TEXAS

KELLE A. STRICKLAND,
COUNSEL TO THE RANKING
REPUBLICAN MEMBER

SUITE HT-2, THE CAPITOL
(202) 226-7103

CONFIDENTIAL

Representative Charles B. Rangel
2354 Rayburn House Office Building
Washington, DC 20515

Re: In the Matter of Representative Charles B. Rangel

Dear Representative Rangel:

On October 22, 2010, Committee counsel provided you with a list of 16 witnesses that it intended to call at the adjudicatory hearing. Counsel is hereby notifying you that we intend to offer affidavits in lieu of live testimony for the following witnesses, each of whom was identified in the October 22, 2010 letter:

1. Maurice Greenberg;
2. Ivan Seidenberg;
3. Lorie Slutsky; and
4. Neil Rubler.

Should you have any questions, please do not hesitate to contact me.

Sincerely,



R. Blake Chisam
Staff Director and Chief Counsel

cc: Representative Zoe Lofgren, Chair
Representative Michael T. McCaul, Ranking Republican Member