

ROYCE 002

AMENDMENT TO H.R. _____

OFFERED BY MR. ROYCE

[amendment to the IPA discussion draft of October 1, 2009]

Page 18, line 2, strike "The Securities" and insert
“(a) IN GENERAL.—The Securities”.

Page 30, after line 13, insert the following:

1 (b) ADMINISTRATION AND ENFORCEMENT.—The Se-
2 curities and Exchange Commission shall establish a sepa-
3 rate office within the Commission to administer and en-
4 force the provisions of section 21F of the Securities Ex-
5 change Act of 1934, as added by subsection (a). Such of-
6 fice shall report annually to Congress on its activities,
7 whistleblower complaints, and the response of the Com-
8 mission to such complaints.

